

1 **Comparison of methods to derive the height-area
2 relationship of shallow lakes in West Africa using
3 remote sensing**

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17 **Key Points:**

- 18 • Four different remote sensing methods to derive volume changes of small and medium-
19 sized shallow lakes have been intercompared.
20 • All methods, based on radar and lidar altimetry, Sentinel-2 water areas, and Pleiades
21 Digital Surface Models, show good performances.
22 • Pros and cons of each method are identified and discussed.

23

24 **Abstract**

25 In West Africa, lakes and reservoirs play a vital role as they are critical resources for drinking
 26 water, livestock, irrigation and fisheries. Given the scarcity of in-situ data, satellite remote
 27 sensing is an important tool for monitoring lake volume changes in this region. Several
 28 methods have been developed to do this using water height and area relationships, but
 29 few publications have compared their performance over small and medium-sized lakes. In
 30 this work we compare four methods based on recent data from the Pleiades, Sentinel-2
 31 and -3, ICESat-2 and GEDI missions over 16 lakes in the Central Sahel, ranging in area
 32 from 0.22 km^2 to 21 km^2 . All methods show consistent results and are generally in good
 33 agreement with in-situ data (height RMSE and volume NRMSE mostly below 0.30m and
 34 11% respectively). The obtained height-area relationships show very little noise (fit RMSD
 35 mostly below 0.10m), except for the Sentinel-3-based method which tends to produce higher
 36 dispersion. The precision of the estimated water height is about 0.20m for Pleiades Digital
 37 Surface Models (DSMs) and less than 0.13m for the other methods. In addition, fine shape
 38 patterns are consistently observed over small height amplitudes, highlighting the ability
 39 to monitor shallow lakes with non-linear bathymetric behavior. Inherent limitations such
 40 as DSM quality, temporal coverage of DSM and lidar data, and spatial coverage of radar
 41 altimetry data are identified. Finally, we show that the combination of lidar and radar
 42 altimetry-based methods has great potential for estimating water volume changes in this
 43 region.

44 **1 Introduction**

45 Lakes store 87% of surface liquid freshwater on Earth (Gleick, 1993). Even though
 46 the main freshwater stocks are located in glaciers and underground (Oki & Kanae, 2006),
 47 lakes are a crucial component of the water cycle as they provide a readily accessible water
 48 resource. Their number is dominated by abundant small water bodies and ponds (Biggs
 49 et al., 2017) whereas medium-sized and large lakes (size $> 1\text{km}^2$) represent 85% of the
 50 global lake area (Pi et al., 2022). Lakes and reservoirs provide crucial services for humans
 51 (Reynaud & Lanzanova, 2017) and ecosystems (Schallenberg et al., 2013) such as freshwater
 52 and food supply, electricity, nutrients processing, natural habitats and recreational services.
 53 The capability of lakes to ensure these services inherently depends on their water storage.

54 Monitoring lake volume change is essential as several recent studies highlighted significant
 55 variations over the past decades. For instance, Wurtsbaugh et al. (2017) demonstrated
 56 that many of the world's saline lakes are shrinking at an important rate. Yao et al. (2023)
 57 identified a decline of lake water volume over 53% of the 1972 largest global lakes, with
 58 the majority of the loss attributable to direct human activities and climate change. Even
 59 though lake desiccation trends are widespread, the Yao et al. study, consistently with Luo
 60 et al. (2022) and (Wang et al., 2018), also revealed regional patterns with net water volume
 61 gains in areas such as the Inner Tibetan Plateau and the Northern Great Plains of North
 62 America.

63 The hydrological functioning of water bodies in West Africa is poorly known at the large
 64 scale (Papa et al., 2023). Yet areas such as Central Sahel host a multitude of water bodies,
 65 ranging from reservoirs (Cecchi et al., 2009), small lakes and ponds (Gardelle et al., 2010;
 66 Grippa et al., 2019) and temporary water bodies (Haas et al., 2009), which are widespread
 67 but still relatively unknown in number. Being used for drinking water, livestock watering,
 68 irrigation and fishing, these water bodies play a vital role in such an area subject to a long
 69 dry season (Cecchi et al., 2009; Frenken, 2005). Despite the severe drought that impacted
 70 Central Sahel in the 1970s and 1980s, several studies have highlighted a paradoxical increase
 71 in the surface area of lakes and ponds (Baba et al., 2019; Gal et al., 2016; Gardelle et al.,
 72 2010), as well as an increase in runoff and river discharges (Descroix et al., 2018; Favreau
 73 et al., 2009; Mahe et al., 2010). Attempts to study the evolution of water volumes in West
 74 Africa have been carried out either at the scale of a few lakes (Fowe et al., 2015; Gal et al.,

75 2016; Pham-Duc et al., 2020), or at a larger scale but punctually in time (Annor et al., 2009;
 76 Cecchi et al., 2009; Liebe et al., 2005). In addition, West African lakes and reservoirs have
 77 been included in global studies, but these are brief in time (Cooley et al., 2021) or cover
 78 only a few large lakes (Luo et al., 2022; Yao et al., 2023). In this regard, efforts remain to
 79 be done for both long-term and large-scale monitoring of the lake volume changes in this
 80 region.

81 Historically, in-situ sensors are used to measure the evolution of lake water level and
 82 volume. However, the limited spatial coverage and the global decline of in-situ operations
 83 and installations (Papa et al., 2023; Riggs et al., 2023; Schwatke et al., 2015) challenge
 84 the capability to have long and large-scale time series. With periodic observations and a
 85 considerably increased spatial coverage, satellites are a relevant tool for assessing lake water
 86 volume trends globally.

87 Remote sensing allows measuring physical parameters of water bodies such as water
 88 surface height and area. Water surface height is derived from the return time estimation of
 89 electromagnetic waves emitted by nadir-looking radar or laser altimeters. Synthetic Aperture
 90 Radar (SAR) altimeters such as those on board Sentinel-3 and Sentinel-6 are able to
 91 measure the elevation of water bodies of a few hectares with a sub-monthly revisit time
 92 (Normandin et al., 2018; Taburet et al., 2020). However, these measurements still suffer
 93 from coarse across-track resolutions which may lead to contamination by bright surfaces
 94 located in the radar footprint (Boy et al., 2022). In addition, the nadir-viewing and the
 95 inter-track distance of several tens of kilometers of the conventional radar altimeters restrict
 96 their spatial coverage. The Ice, Cloud and land Elevation Satellite-2 (ICESat-2) and the
 97 Global Dynamics Ecosystem Investigation (GEDI) missions carry on board multi-beams
 98 laser altimeters enabling along-track surface elevation posting rate from tens of centimeters
 99 to tens of meters (Neuenschwander et al., 2023), (Dubayah et al., 2020). Nonetheless, these
 100 measurements remain discrete and their temporal coverage is limited by the multi-month
 101 revisit time of the satellites and some degraded acquisition periods for GEDI (Urbazaev et
 102 al., 2022).

103 The estimation of the water extent from optical or radar imagery observations is based
 104 on the separation of the spectral or backscattering signature of water from that of the soil
 105 (Pekel et al., 2016; Yao et al., 2019). With a revisit time of 5 days and a spatial resolution of
 106 up to 10m, the Sentinel-2 optical sensors can be used to monitor water surface area variations
 107 of a large number of lakes and reservoirs (Reis et al., 2021; Schwatke et al., 2019; Yang et
 108 al., 2017). Cloud cover, which is usually one of the main obstacles to optical observation of
 109 water bodies, is not a major problem in West Africa since the dry season lasts between 6
 110 and 9 months (Nicholson, 2018).

111 Water surface height and area can be combined to calculate volume changes between
 112 consecutive observations. This is usually done by assuming that the observed portion of
 113 the lake behaves like a cone or pyramid frustum (Crétaux et al., 2016; Luo et al., 2022;
 114 Terekhov et al., 2020), or by multiplying the water level change by the average surface area
 115 between the two dates (Gao et al., 2012; Li et al., 2020; Song et al., 2013). These two
 116 solutions require simultaneous observations of water surface height and area and are based
 117 on geometric approximations whose accuracy decreases as the water level change increases.
 118 A third way consists of using the height-area relationship (Abileah et al., 2011), which
 119 synthesizes the lake's bathymetry information into a relationship that describes changes
 120 in surface area as a function of water level. Once the height-area relationship has been
 121 constructed, volume change can be calculated by integration (Carabajal & Boy, 2021; Duan
 122 & Bastiaanssen, 2013; Magome et al., 2003) and using only one of the two variables.

123 The construction of the height-area relationship requires computing the height and ex-
 124 tent of the lake banks contour lines (isobaths). With remote sensing data, isobaths are
 125 typically calculated by combining near-simultaneous (within a few days) observations of
 126 water surface height and area from radar or lidar altimetry data and imagery respectively

(Abileah et al., 2011; Busker et al., 2019; Gao et al., 2012; Schwatke et al., 2020). Bank topography data such as global Digital Elevation Models (DEM) generated before impoundment or at low water levels have been combined with satellite images to retrieve the water surface elevation of lakes that cannot be observed by altimeters (Avisse et al., 2017; Bhagwat et al., 2019; Terekhov et al., 2020; Tseng et al., 2016). In addition, height-area relationships can also be generated through the analysis of a DEM alone. This method enabled studying the volume changes of many medium-sized and large lakes worldwide (Fang et al., 2019; Pan et al., 2013; Yao et al., 2018; S. Zhang & Gao, 2020). Publications such as Arsen et al. (2013); Bacalhau et al. (2022); Ma et al. (2019); N. Xu et al. (2020) have taken advantage of the high spatial resolution and vertical accuracy of lidar altimetry data to determine not the elevation of the water surface but that of the banks. Unlike DEMs, this bank topography data is discrete but, once intersected with water contours derived by satellite imagery, has shown great potential for bathymetry retrieval above the lowest observed water level.

In terms of intercomparison of methods, Magome et al. (2003) estimated volume change of Lake Volta in Ghana by comparing different methods using altimetry (TOPEX/Poseidon) and optical imagery (Moderate-Resolution Imaging Spectroradiometer, MODIS) or their combination with a DEM. They obtained better results when combining altimetry and DEM and highlighted the greater spatial coverage of the method using the combination of imagery and DEM. Zolá and Bengtsson (2007) also compared several methods over lake Poopó in Bolivia using echo-sounding measurements, combination of Landsat-5 with in situ water heights, and water balance calculations. They found consistent results and good complementarity between the different methods. Apart from these publications, both focusing on large lakes ($> 100\text{km}^2$), few studies have attempted to intercompare different methods to provide height-area relationships, on smaller lakes and with recent data. The aim of this work is to intercompare four different methods based on recent data (Pleiades, Sentinel-2, Sentinel-3, ICESat-2, GEDI) over 16 small ($< 1\text{km}^2$) and medium-sized (1-100 km^2) lakes located in Central Sahel. The results of each method are evaluated using criteria of accuracy, precision, sensitivity to surface characteristics and spatio-temporal coverage. The study area, data and methods are described in Section 2 and the comparison results are presented in Section 3 and further discussed in Section 4.

2 Material and methods

2.1 Study area and in-situ data

The study area is mainly located in Central Sahel, between the 10.8°N and 15.5°N latitudes and extends over Mali, Niger and Burkina Faso (BF). From North to South, the climate is semi-arid and dry sub-humid. Rainfall is driven by a tropical monsoon system and follows a latitudinal gradient with mean annual precipitation ranging, from the North to the South, from 200mm.yr^{-1} to 1000mm.yr^{-1} . Rainfall is concentrated during the wet season stretching from June to October. The rest of the year gives way to a long dry season with a very little cloud cover, which is suited for observing water bodies using optical imagery.

Sixteen lakes have been selected according to the in-situ and remote sensing data availability or to existing knowledge and documentation (Figure 1 and Table S1). They are spread along the climatic gradient and include three lakes in Mali, two in Niger and eleven in Burkina Faso.

Ten of these water bodies are reservoirs and others are natural lakes. Their mean altitude varies between 200 and 500m above mean sea level, their mean water surface area ranges from 0.22km^2 (Bangou Kirey) to 21km^2 (Kokorou), and most of them are relatively shallow (a few meters deep). These lakes show different optical water types with varied levels of turbidity, from moderately turbid (Robert et al 2016) to very turbid (e.g. lake Bangou Kirey, (Touré et al., 2016), and some of them harbor temporary or permanent aquatic vegetation (Gardelle et al., 2010; Baba et al., 2019).

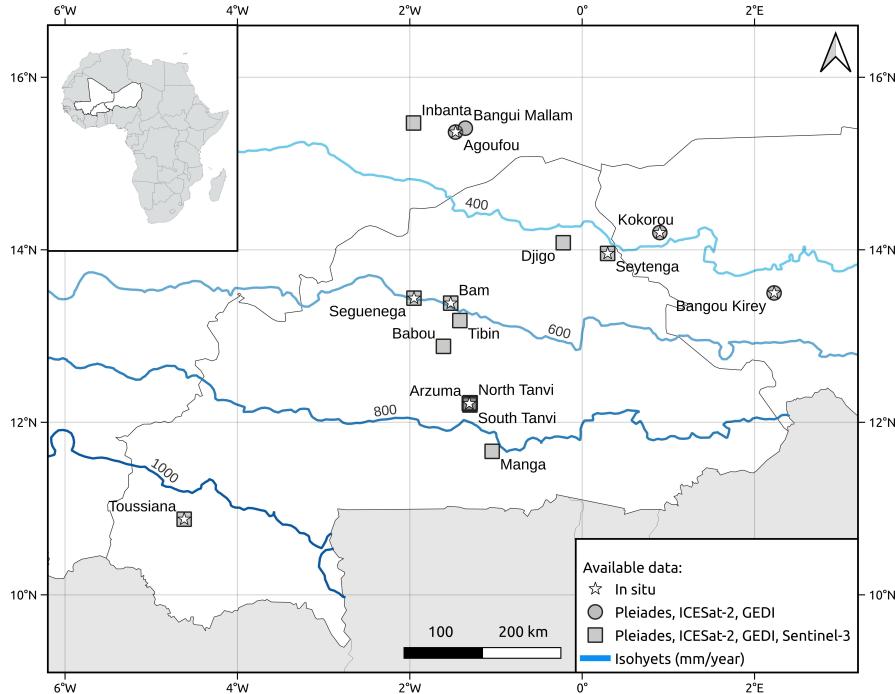


Figure 1. Study area and lakes analyzed in this study.

177 in-situ data are of different nature and come from different sources. Water surface
 178 height data are measured continuously, every 30 minutes, through pressure transducers on
 179 the Bangou Kirey lake and the Arzuma reservoir, respectively since July 2022 and March
 180 2023. Additional water surface height measurements have been collected on the Agoufou lake
 181 by AMMA-CATCH observatory (Galle et al., 2018) between 2015 and 2019 with a weekly
 182 or monthly frequency. Height-volume (H-V) relationships of the Burkinabe reservoirs of
 183 Bam, Seguenega and Seytenga have been provided by the Direction Générale des Ressources
 184 en Eau (DGRE) in Burkina Faso and come from topographic survey performed before
 185 the dams impoundment. Finally, the height-area (H-A) and height-volume-area (H-V-A)
 186 relationships of the Kokorou lake and the Toussiana reservoir are extracted respectively
 187 from the digitization of Baba et al. (2019) and from Sanogo and Dezetter (1997).

188 2.2 Satellite data, water surface area and height extraction

189 2.2.1 Water surface areas and contours from Sentinel-2 optical images

190 Sentinel-2A and -2B acquire high-resolution multispectral images with a revisit time of
 191 approximately 5 days (Table 1). The MultiSpectral Instrument (MSI) onboard Sentinel-2
 192 has 13 spectral bands from blue to Short-Wave InfraRed (SWIR), with spatial resolution
 193 from 10m to 60m on the ground. For this study, we use the green and SWIR bands which
 194 have resolutions of 10m and 20m. Images are L2A Surface Reflectance (SR) products cor-
 195 rected from atmospheric effects with Sen2Corr processing. Images are downloaded through
 196 Google Earth Engine (GEE, (Gorelick et al., 2017)) as the "COPERNICUS/S2_SR" collec-
 197 tion, over December 2018 to December 2022. All bands are downsampled to a pixel size of 20m
 198 x 20m and images with a percentage of cloudy pixels greater than 5% are discarded. The
 199 residual cloudy pixels are masked using the QA cloud and cirrus bitmasks, and an empirical
 200 threshold of 0.2 on the blue reflectance. After these steps, a few remaining images (usually

201 less than 5 per lake) contaminated by clouds or aerosols have been discarded after visual
202 inspection.

203 To compute water surface area, we mask water pixels by applying a threshold on the
204 MNDWI (H. Xu, 2006), which is a spectral index commonly used to detect water on optical
205 images, based on the normalized difference between the green (B3) and the short-wave
206 infrared (B12) bands.

$$MNDWI = \frac{green - SWIR}{green + SWIR}$$

207 First, we clip the images to the close surroundings of the water body to exclude close but
208 unconnected water bodies. Then, the MNDWI is computed and the threshold, constant in
209 time, is determined ad hoc for each lake following De Fleury et al. (2023) and Reis et al.
210 (2021). Reis et al. (2021) have shown that water detection is usually accurate for a full
211 range of MNDWI thresholds rather than a well-defined value. The water surface area is
212 finally calculated by counting the number of pixels above the threshold and multiplying by
213 the pixel area. The water contour is delineated using the marching squares algorithm, a 2D
214 adaptation of the marching cubes algorithm (Lorensen & Cline, 1987) which is implemented
215 in the “find contours” function from the Scikit-image Python package. This function takes
216 as input the MNDWI pixels raster and the threshold value and generates iso-value contours
217 at a sub-pixel scale by linearly interpolating the MNDWI pixel values. If the lake separates
218 into several parts as it dries up, we keep only the largest part. For each lake, a time series
219 of water surface areas and water contours is eventually generated.

220 2.2.2 Pleiades Digital Surface Model

221 Pleiades-1A and -1B Pleiades are two satellites equipped with a very high-resolution
222 optical sensor acquiring panchromatic images (480-830nm) with a pixel size of 0.50m (Table
223 1 and Figure 3). We ordered the acquisition of pairs of cloud-free Pleiades panchromatic
224 stereo-images (Pleiades ©CNES 2021, 2022, 2023, Distribution Airbus DS) over each lake,
225 with a B/H ratio between 0.35 and 0.8. Pleiades images allow the creation of Digital Surface
226 Models (DSM) by photogrammetric processing through the computation of matching pixels
227 displacement between two stereo-images. DSMs were processed using the Digital Surface
228 Model from OPTical stereoscopic very-high resolution imagery (DSM-OPT) online service,
229 based on the MicMac tool (Rupnik et al., 2017) and operated by the Solid Earth ForM@Ter
230 pole of the research infrastructure DATA TERRA. DSM-OPT also provides an ortho-image
231 which is a panchromatic image georeferenced identically to the DSM.

232 Since DSM estimation by photogrammetry is challenging over the water surface due to
233 low pixel correlation, we ordered Pleiades images at the end of the dry season, when water
234 surface level is minimum, which allows exploring the maximum bank extent. We generated
235 DSMs at 1m x 1m horizontal resolution, in line with Bagnardi et al. (2016). As the semi-arid
236 landscapes of the study area often show small surface roughness (compared to mountainous
237 or forest landscapes for instance), we adapted the correlation window size to 9 x 9 pixels and
238 we used 0.2 as the minimum correlation coefficient for matching (Bagnardi et al., 2016). Due
239 to the large extent of the Bam reservoir, two stereo-pairs acquisitions are needed to observe
240 the northern and southern part of the reservoir. To end up with a single DSM, we generated
241 a DSM for each part and we merged them after applying the Nuth and Käab method (Nuth
242 & Käab, 2011) to ensure co-registration. However, a residual elevation bias between the
243 two parts has been observed after co-registration. We corrected it by comparing the DSM
244 of each part with terrain ICESat-2 data and subtracting the respective mean difference.

245 Some Pleiades DSMs showed along-track undulations which were highlighted when
246 computing the difference with the GLO-30 Copernicus DEM (European Space Agency,
247 2021). For instance, we observed along-track undulations of several meters in Pleiades-1B-
248 derived DSM of the Bangou Kirey and Kokorou lakes. These undulations have been noticed
249 on many DEMs from several space-borne missions (Hugonnet et al., 2022) and are caused

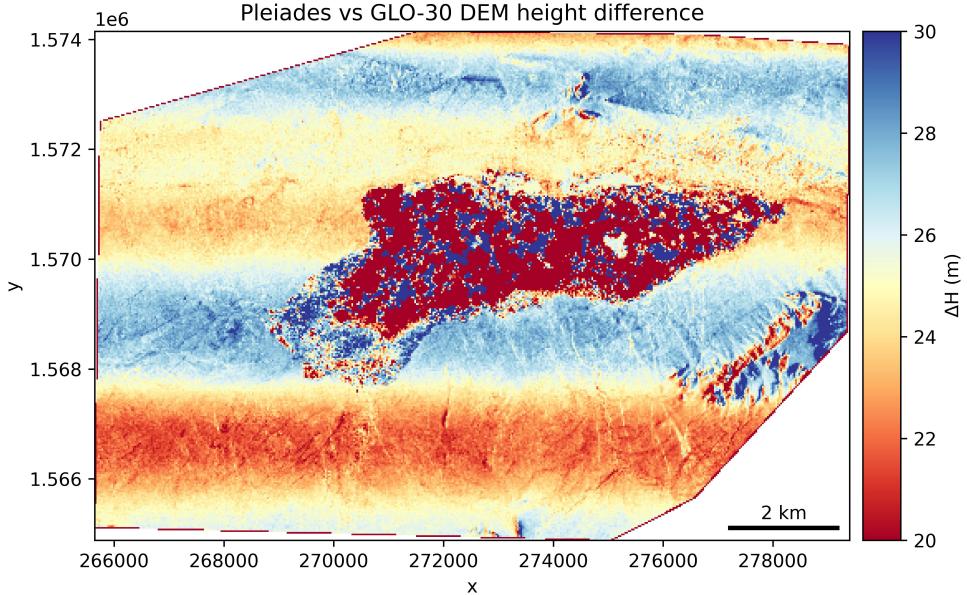


Figure 2. Difference between Pleiades DSM and GLO-30 DEM over Kokorou lake.

by errors in the image geometry estimation due to sensor motion (jitter). Our method to correct for these undulations is partly based on Girod et al. (2017). We compute the average per line of the DEM difference with GLO-30 (Figure 2) and subtract it to the Pleiades DSM.

2.2.3 Bank elevation profile from ICESat-2 lidar altimeter data

The Ice, Cloud and land Elevation Satellite-2 (ICESat-2) was launched in September 2018 (Table 1 and Figure 3) by NASA (Markus et al., 2017). The Advanced Topographic Laser Altimeter System (ATLAS) onboard ICESat-2 is a photon-counting lidar with 3 pairs of laser beams emitting pulses at 10 kHz and separated by 3.3 km in the cross-track direction. The footprint size of each beam has a 14 m diameter. Each pair is composed of a strong beam and a weak beam (energy ratio of 4:1) with a wavelength of 532 nm and located 90 m from each other.

The ATL08 version 6 product is dedicated to land and vegetation and contains along-track heights above the WGS84 ellipsoid for the ground and canopy surfaces (Neuenschwander et al., 2023). We downloaded all ATL08 data over the October 2018 (first data available) - June 2023 period. The nominal posting rate is theoretically 100 m but data gaps can occur due to low signal-to-noise ratio or acquisition errors. For the mid-point of each 100 m segment, ATL08 provides three height metrics, respectively the mean, the median and the best-fit terrain height. The latter is the height resulting from the polynomial which best fits the 100 m terrain profile, among 1st, 3rd and 4th order polynomials. Since the topography of the banks is likely to vary inhomogeneously over 100 m, and as suggested by Tian and Shan (2021), we use the best-fit height in this study. Liu et al. (2021) assessed ICESat-2 ATL08 terrain height data accuracy against airborne lidar products over 40 sites located in the U.S. mainland, Alaska, and Hawaii. They showed that quite similar performances were obtained independently of beam energy, whereas strong beams should theoretically be more accurate because of their better signal-to-noise ratio. They also found nighttime terrain accuracy slightly better than daytime. However, daytime data represent a non-negligible proportion

276 of the ATL08 data quantity and consequently condition the spatial coverage. Hence, we
 277 decided not to filter ATL08 data on the beam energy and acquisition time criteria.

278 Moreover, the number of terrain photons detected within a segment is important to fit
 279 the 100 m height profile and derive a robust estimation of the segment height. Hence, we set
 280 a threshold of 10 on the minimum detected number of terrain photons, in line with the results
 281 of Urbazaev et al. (2022). To remove large outliers, we keep data with a photon heights STD
 282 inferior to 1 m and discard data whose best fit height is inferior to the minimum detected
 283 photon height, this being probably due to a fitting error. Finally, given that ICESat-2 beams
 284 were purposely mispointing during the first height cycles of the mission, that is during the
 285 two first years (nominal cycle of 91 days), certain lakes have irregular or limited temporal
 286 coverage.

287 ***2.2.4 Bank elevation profile from GEDI lidar altimeter data***

288 The Global Ecosystem Dynamics Investigation mission on board the International Space
 289 Station started in December 2018 (Dubayah et al., 2020). It consists of a full-waveform lidar
 290 with 3 lasers producing a total of 8 beam ground transects spaced 600 m apart in the cross-
 291 track direction. Each ground transect has a footprint size of 30 m and samples the Earth's
 292 surface approximately every 60 m along-track (Table 1 and Figure 3). GEDI L2A version
 293 2 data product, distributed by NASA's Land Processes Distributed Active Archive Center
 294 (LP DAAC), provides ground elevation, canopy top height and relative height metrics. The
 295 ground elevation is represented by the lowest mode elevation which gives the height of the
 296 last significant energy return detected in the waveform.

297 We removed large outliers by rejecting data whose elevation absolute difference with
 298 the digital_elevation_model_srtm value, a parameter in the product representing the Shuttle
 299 Radar Topography Mission (SRTM) elevation at GEDI footprint location, was greater than
 300 100 m. We also discarded data with a non-zero degrade_flag value, meaning that the lidar
 301 shot occurred during a non-degraded period. As for ICESat-2 ATL08 data and following
 302 the suggestions of Liu et al. (2021) who assessed GEDI L2A terrain height data accuracy
 303 as well, we considered unnecessary to discard GEDI data on the basis of beam energy and
 304 acquisition time.

305 ***2.2.5 Water surface heights from Sentinel-3 radar altimetry data***

306 The Sentinel-3 (S3) mission includes the Sentinel-3A and 3B satellites carrying on
 307 board the Synthetic Aperture Radar Altimeter (SRAL), a delay/Doppler altimeter (Table
 308 1 and Figure 3). The altimeter operates in global mode with an along-track posting rate
 309 of approximately 300m and an across-track resolution of several kilometers. Water surface
 310 height measurements of the same target are provided every 27 days. Water surface height
 311 data have been retrieved from the radar waveforms recorded by Sentinel-3 with the Offset
 312 Centre of Gravity (OCOG) retracking algorithm and have been provided by the Centre de
 313 Topographie des Océans et de l'Hydrosphère (CTOH). They have been processed using the
 314 Altimetric Time Series Software (AITiS version 2.0, (Frappart et al., 2021)). The data were
 315 first selected within a polygon fitted to the lake maximum water extent derived from the
 316 corresponding water contour time series. Then, they were filtered with a threshold of 40dB
 317 on the backscattering coefficient for data acquired before January 2020, and a threshold of
 318 20dB for data acquired after January 2020 (De Fleury et al., 2023). These thresholds are
 319 in line with what is suggested by Taburet et al. (2020) and Kittel et al. (2021). Besides,
 320 multi-peak waveforms or dry-lake data were rejected with an empirical threshold of 20 on the
 321 waveform peakiness. The resulting water surface height is computed as the median of the
 322 remaining height values. Water surface heights with a Median Absolute Deviation (MAD)
 323 along the transect greater than 1m are rejected. For each lake, a water surface height time
 324 series was eventually generated.

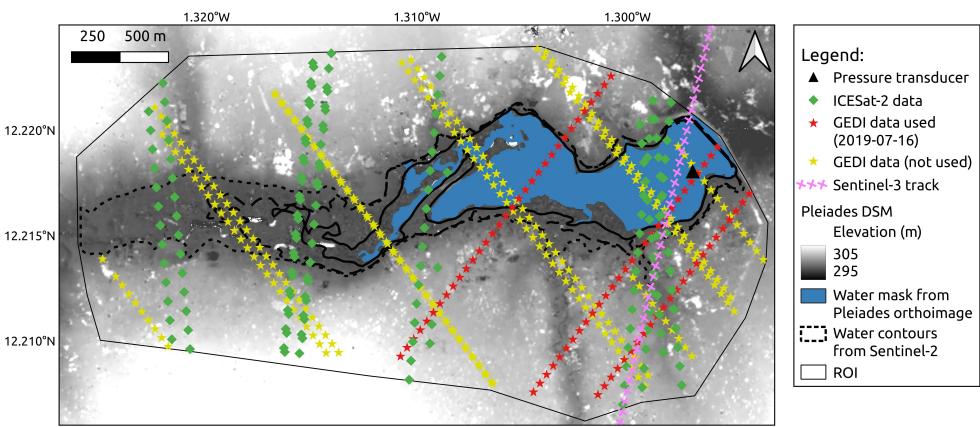


Figure 3. Data available over the Arzuma reservoir. Gray level background is the Pleiades DSM with the corresponding water mask in blue. Pressure transducer is represented by a black triangle. ICESat-2, unused and used GEDI data are respectively represented by green diamonds, yellow and red stars. Sentinel-3 theoretical ground track is represented by magenta crosses. Full, dashed and dotted black lines represent 3 selected water contours computed from Sentinel-2 images.

Table 1. Remote sensing data and corresponding mission used in this study.

Mission	S2	S3	ICESat-2	GEDI	Pleiades
Full name	Sentinel-2	Sentinel-3	Ice, Cloud and Land Elevation Satellite-2	Global Ecosystem Dynamics Investigation	Pleiades
Launch date	Jun 2015 (2A), Mar 2017 (2B)	Feb 2016 (3A), Apr 2018 (3B)	Sep 2018	Dec 2018	Dec 2011 (1A), Dec 2012 (1B)
Product	L2A surface reflectance	L2 OCOG	ATL08 v6	L2A v2	panchromatic stereo
Parameter	water surface area	water surface height	terrain height profile	terrain height profile	2D surface elevation
Revisit time	5 days	27 days	91 days (after Sept. 2020)	variable	
Posting rate	20m x 20m	300m (along-track)	100m (along-track)	60m (along-track)	1m x 1m
Field name	B03, B12	ice1_ku_SurfHeight_alti_h_te_bestfit	elev_lowestmode		

325 2.3 Methods to derive height-area relationships

326 2.3.1 DEM filling

327 This method uses an incremental approach to count DEM pixels whose elevation is
 328 between two given altitudes, and repeats the operation over a set of elevation increments
 329 until filling the entirety of the banks of the water body. Taking advantage of the DSM
 330 Pleiades vertical resolution, the incremental step between two successive elevations of the
 331 processing is empirically set to 0.1m. For each elevation increment, the corresponding pixel
 332 number is converted to an area by multiplying by the pixel area, and forms an elevation-
 333 area pair. All the elevation-area pairs form the H-A curve. Moreover, since the processing
 334 stops at an altitude defined manually, it is possible that, at a certain point, the computed
 335 water areas exceed the physical reality of the lake dynamics over the study period. The
 336 upper limit of the H-A relationship is therefore set to the maximum Sentinel-2-observed
 337 water area. For the following, this method will be referred to as the "DSM Pleiades" and is
 338 graphically represented in Figure 4a.

339 A Pleiades DSM footprint is at least 100km². We first limit the processed area to the
 340 region of interest by clipping the DSM to a polygon representing the close surroundings of
 341 the water body. Water surfaces generate "No Data" values or extreme outliers on the DSM
 342 due to low pixel correlations during the stereo-matching processing, and have to be filtered
 343 out. Hence, we mask water pixels on the orthoimage. Since orthoimage reflectance values
 344 generally follow a bi-modal distribution, we separate water from soil by defining a global
 345 threshold on the reflectance pixels. Finally, we mitigate the remaining minimal classification
 346 errors by filling the holes with a morphological closure using a square structuring element
 347 of size 9x9.

348 Once water has been masked, we determine the altitude of the water surface as the
 349 median elevation of the water pixels located along the contour. This contour is computed as
 350 the external morphological gradient using a cross structuring element of size 1. In addition,
 351 contamination by outliers is mitigated using the MADe method (Kannan et al., 2015).

352 ***2.3.2 Intersecting a DEM with water contours***

353 This method is similar to what Mason et al. (1995-12-01) mentions as the “waterline
 354 method”, and multiple studies such as Ragettli et al. (2021) already employed it to retrieve
 355 lake bathymetry. Assuming the water surface is flat, isobaths can be computed as the
 356 intersection of water contours with a DEM. The water contours elevation is computed as
 357 the median value of the intersected DEM pixels elevations, consecutively to an outliers
 358 removal process based on the MAdE method. Furthermore, we consider that the water
 359 contour must intersect a minimum number of DEM pixels, empirically set as 20. Finally,
 360 the lower limit of the H-A relationship is set to the minimum Pleiades-observed water
 361 area. For the application of this method on Pleiades DSMs, we will use the term ”DSM
 362 Pleiades/contours” (Figure 4b).

363 Since the Pleiades DSM are surface models, they provide elevation of the highest ob-
 364 served point on the ground. Thus, they are impacted by relief like buildings and, particularly
 365 for the studied lakes, trees and riparian vegetation. To mitigate this impact, we mask out
 366 the obvious wooded parts of the Pleiades DSMs. This is the case for the right banks of the
 367 Bangou Kirey lake. The Inbanta lake is densely covered in trees and if all of them were
 368 masked the remaining area would be too small to compute the H-A curve. Therefore, for
 369 this lake we do not mask out any area. The resulting impact of vegetation will be discussed
 370 later.

371 ***2.3.3 Intersecting bank elevation profile with water contours***

372 Instead of using a whole DEM providing continuous information of the ground eleva-
 373 tion, this method requires one or multiple discrete elevation profiles of the banks of the
 374 water body. Here, we use elevation profiles either from ICESat-2 or GEDI lidar topogra-
 375 phy measurements. This method will be referred to as the ”Profile ICESat-2/contours”
 376 or ”Profile GEDI/contours” method (Figure 4c). As with the DSM-based methods, the
 377 water level at the dates the elevation profiles were recorded determines the extent of the
 378 bank bathymetry that can be characterized. Isobaths are retrieved by calculating the in-
 379 tersections between the water contours and the banks elevation profile. For this purpose,
 380 the profiles are converted to geometric lines and we compute the crossover points with the
 381 water contour polygons. The crossover points elevation is linearly interpolated between the
 382 two measurement points. It is important to check that the pair of measurement points was
 383 acquired over the ground and not over water, and that they are located on the same bank,
 384 otherwise the resulting interpolated elevation will be erroneous. To do this, we mask out
 385 the measurement points acquired over water using the closest Sentinel-2 image in time.

386 We empirically set a maximal threshold of 2% on the bank slope to reject crossover
 387 points located at places too steep with respect to lidar data posting rate. Then, because
 388 it is more robust than the mean, the median value of the crossover points elevations is
 389 retained for the water contour elevation, following Arsen et al. (2013). Finally, we filter out
 390 water contours whose elevation is computed from only one crossover point, as it may reflect
 391 erroneous intersections due to small water contour detection errors. Given the multiplicity of
 392 the laser beams or the shape of some contours, we frequently have more than two crossover
 393 points per contour.

394 We observe large biases (tens of centimeters to tens of meters) between GEDI data from
 395 different dates of acquisition. For simplicity, we only select for each lake the acquisition
 396 date giving the most complete H-A curve. Most of the time, it results in selecting the latest
 397 acquisition date of the dry season.

398 ***2.3.4 Matching water surface height with water surface area measurements***

399 This method uses water surface height data and combines them with synchronous wa-
 400 ter surface area observations. To construct the height-area relationship, we search for the

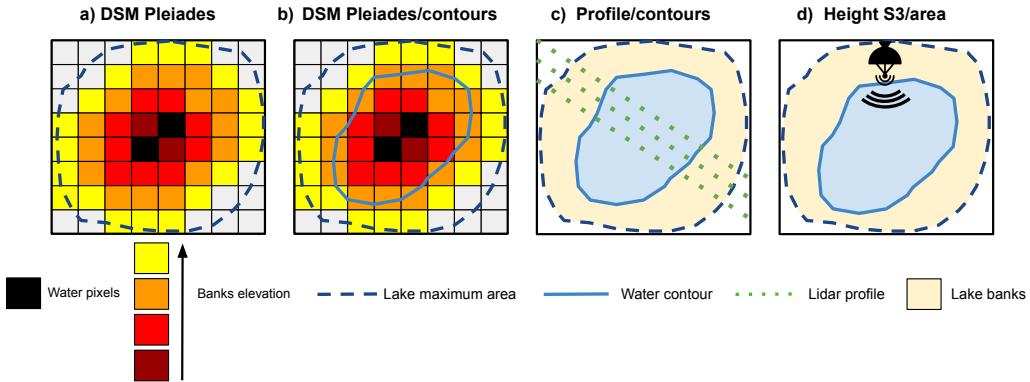


Figure 4. Schematic representation of the 4 methods used in this study to derive the height-area relationships.

401 Sentinel-2 images co-dated with the Sentinel-3 data and match the height and area measurements
 402 with a temporal tolerance of 3 days. A tolerance of 3 days is appropriate given
 403 the temporal variability of the lakes studied (De Fleury et al., 2023), and provides a good
 404 trade-off with respect to data availability. If the time difference between Sentinel-2 and
 405 Sentinel-3 acquisitions is not zero, we linearly interpolate successive water area data to the
 406 water surface height date. This method will be referred to as the "Height S3/area" method
 407 (Figure 4d).

408 2.4 Processing the height-area relationships

409 2.4.1 Processing the height-area relationships of in-situ data

410 For three reservoirs (Bam, Seytenga and Seguenega), in-situ data provided as H-V
 411 relationships have been converted to H-A relationships, computing the areas as the derivative
 412 of the volume with respect to the height $A = dV/dH$ (Gao et al., 2012). For three lakes
 413 (Agoufou, Arzuma and Bangou Kirey), water surface height in-situ measurements are com-
 414 bined with Sentinel-2 water surface areas acquired on the same day. For Agoufou, since
 415 height data are acquired at a weekly frequency, Sentinel-2 areas are interpolated between
 416 two consecutive dates to match the in-situ data.

417 2.4.2 Resolving the bias between elevation data

418 As we do not have absolute elevation data for all methods, the comparison of the
 419 height-area relationships requires prior elevation bias removal. Indeed, the in-situ data and
 420 part of the Pleiades DSMs are not absolutely leveled, GEDI data showed acquisition time-
 421 dependent biases and the other remote sensing data have different references. The elevation
 422 biases are removed directly on the height-area curves. The DSM Pleiades/contours height-
 423 area method is taken as reference because it provides long and regular datasets, and the
 424 bias with the other methods is computed as the mean of the height differences:

$$bias = \text{mean}(h_{\text{method}} - h_{\text{Pleiades}})$$

425 **2.4.3 Combining the height-area relationships based on open source data**

426 The capabilities of the height-area relationships derived from methods based on open
 427 source data only have been also assessed. This concerns Profile ICESat-2/contours, Profile
 428 GEDI/contours and Height S3/area methods based on Sentinel-2 ICESat-2, GEDI and
 429 Sentinel-3 data.

430 For each lake, we fit the H-A relationship of the three methods combined with the best
 431 polynomial function of degree lower or equal to 2. Then, we discard the data outside the fit
 432 95% confidence interval in order to remove the outliers. For the following, this method will
 433 be referred to as the “Combined open source” method.

434 **2.5 Processing the volume-area relationships**

435 For each height-area dataset associated with a specific lake and method, water volume
 436 changes are computed as the integral of the corresponding height-area function between
 437 two consecutive heights (Yao et al., 2023; Abileah et al., 2011). To do this, the H-A
 438 relationship is fitted and then integrated over H. A polynomial function (maximum degree
 439 of 5) is used for the fit and the Akaike Information Criterion (citeAkaike1973 is used to
 440 select the best fit and avoid overfitting. The volume-area relationship is finally given by
 441 cumulating volume changes. Since the height of the lake bottom is not always known, the
 442 reference is set to the “in-situ” data and all other methods are truncated to the minimum
 443 in-situ volume. Volumes from the different methods are then computed as relative volumes
 444 given by $V_{method} - V_{0,in situ}$. Moreover, since the different datasets do not start at the same
 445 water area, a dataset-specific volume offset called $V_{0,method}$ has to be resolved. The offset
 446 is computed as the mean difference with the in-situ dataset.

447 **2.6 Methodology for the performance assessment of the different methods**

448 Different metrics are used to assess the precision and accuracy of the different methods:

- 449 • Median Absolute Deviation

$$MAD = median(|y_i - median(y)|)$$

- 450 • Coefficient of determination

$$R^2 = 1 - \frac{\sum_{i=1}^n (y_i - \hat{y}_i)^2}{\sum_{i=1}^n (y_i - \bar{y})^2}$$

- 451 • Root Mean Squared Difference

$$RMSD = \sqrt{\frac{1}{n} \sum_{i=0}^{n-1} (y_i - \hat{y}_i)^2}$$

- 452 • Normalized Root Mean Squared Difference

$$NRMSE = \frac{RMSD}{y_{max} - y_{min}}$$

- 453 • Root Mean Squared Error

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=0}^{n-1} (y_i - y_{insitu})^2}$$

- 454 • Normalized Root Mean Squared Error

$$NRMSE = \frac{RMSE}{y_{insitu,max} - y_{insitu,min}}$$

455 where n is the number of observations, y_i the value observed by remote sensing, $y_{in situ}$ the
 456 value observed in-situ, \hat{y}_i the predicted value and \bar{y}_i the mean value.

457 To assess the precision of the water heights retrieved by the different sources of elevation
 458 data, i.e. how flat these elevation data sources observe the water surface, we use the MAD
 459 because it is more robust to outliers than the standard deviation. The MAD is computed
 460 along the water transect for Sentinel-3 data and along the water contours estimated by
 461 Sentinel-2 for methods using Pleiades, ICESat-2 and GEDI data. For the last three methods,
 462 the dispersion estimate also includes water contour detection uncertainty and therefore does
 463 not allow a strict assessment of the precision of the water level data alone.

464 We provide information on the H-A curves dispersion using the R^2 , height RMSD and
 465 height NRMSD of the A-H polynomial fits. The Normalized RMSD (NRMSD) is the RMSD
 466 divided by the amplitude of the height observations ($y_{max} - y_{min}$).

467 For the accuracy assessment of the heights and volumes, R^2 , RMSE and NRMSE are
 468 used. Since lakes can have very different volumes, NRMSE provides a more comprehensive
 469 information compared to RMSE. in-situ H-A and A-V curves are interpolated to obtain
 470 height and volume matchups with data from the other methods.

471 3 Results

472 3.1 Height-area relationships

473 The height amplitude observed by the remote sensing-based methods is ranging from
 474 1.5m (Agoufou, Bangui Mallam, Inbanta, Manga) to 4m (Arzuma, Toussiana), with most
 475 amplitudes below 3m (Figure 5). Fine shape patterns such as slope changes are well retrieved
 476 using the different methods and are in good agreement with in-situ data (e.g. Arzuma, Djigo,
 477 Kokorou, Tibin).

478 The methods relying on bank elevation data (Pleiades, ICESat-2 and GEDI) are de-
 479 pending on the acquisition dates which limit the observable extent of the H-A curves. In the
 480 case of ICESat-2 data, the low number of data over the small lakes (Bangou Kirey, Manga,
 481 North Tanvi, South Tanvi) is also due to the fact that the laser beams only overpassed the
 482 lakes during the planned first two years of lidar mispointing. For Bangou Kirey, Pleiades,
 483 ICESat-2 and GEDI sensors overpassed the lake at a relatively high water level, not allowing
 484 exploring the full H-A curve. Conversely, simultaneous observations of in-situ water level
 485 and Sentinel-2-derived area are not available for the highest water levels, which occur for
 486 only a few days during the rainy season when cloud cover is a problem for optical imagery.
 487 Therefore, it remains difficult to compare in-situ and satellite estimates for this lake.

488 Complete drying of some lakes during the dry season increases the H-A curves extent
 489 but also introduces errors in the Pleiades DSM. Indeed the H-A relationships derived by
 490 the DSM Pleiades method show hockey cross patterns for Inbanta and North Tanvi. For
 491 these lakes which dried up, the water contour could not be used to estimate the starting
 492 altitude as described in Section 2.3.1, which has been set to 310m for Inbanta and 296m
 493 for North Tanvi. The presence of high noise in the DSM challenged other solutions to
 494 derive the starting altitude, such as for example using the minimum DSM elevation within
 495 the lake polygon. The location of the noisy DSM pixels is confirmed by areas of low pixel
 496 correlations corresponding to smooth surfaces such as, for instance, the lake bottom for
 497 North Tanvi (Figure 6). The noise leads to troughs several meters deep which force the
 498 starting altitude (lake bottom) to be underestimated. These pixels are filled progressively
 499 with small changes in lake area, which explains the observed hockey cross pattern. As soon
 500 as the lake is not completely dry, the average elevation computed over the smallest water
 501 area smoothes the noise and gives a correct minimum water elevation. For Inbanta, we also
 502 note a difference between the DSM Pleiades and the DSM Pleiades/contours curves reflected
 503 by overestimated water areas within the two first thirds of the DSM Pleiades curve. This

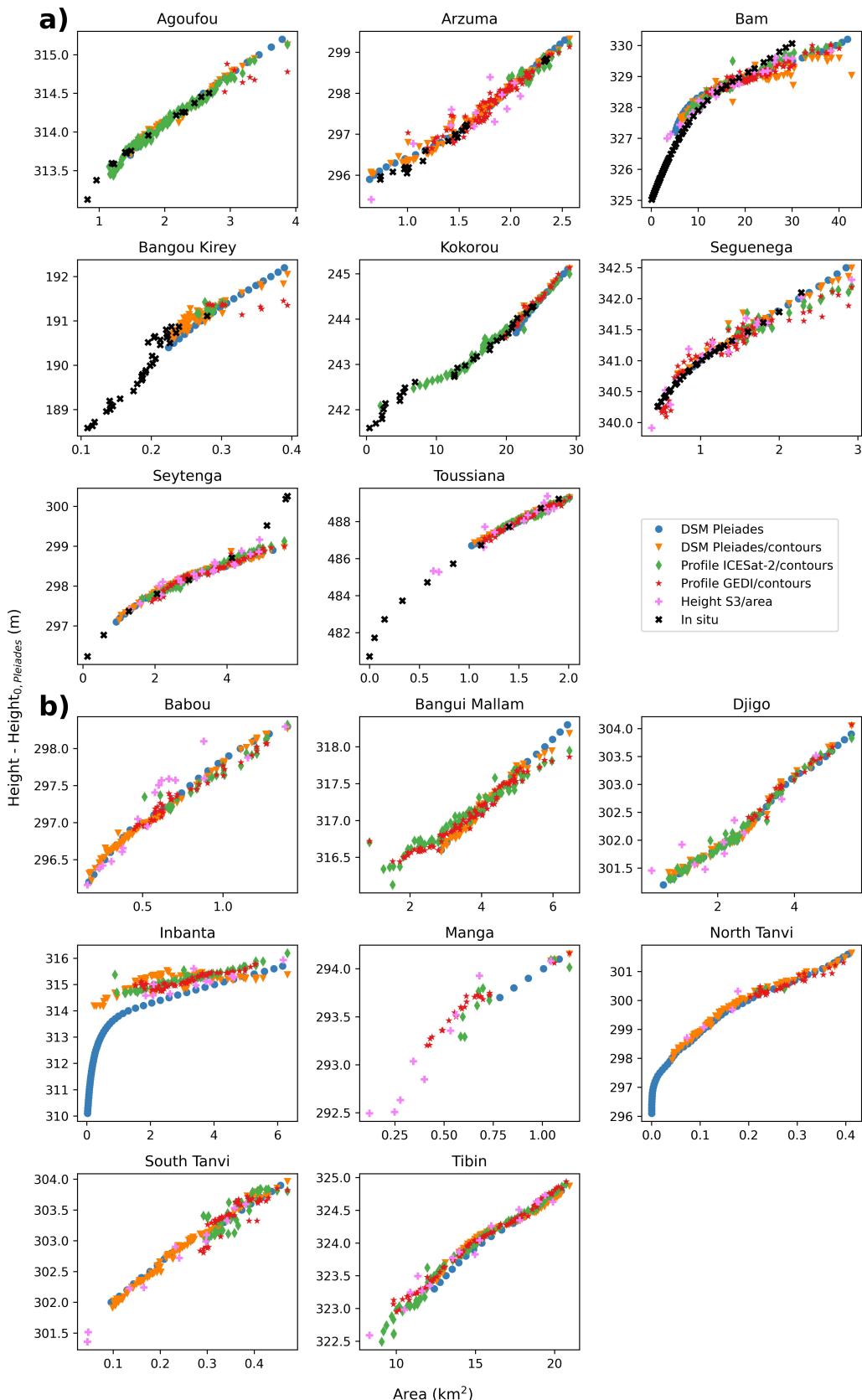


Figure 5. Height-area relationships derived from all the methods a) over the lakes with in-situ data and b) over the other lakes.

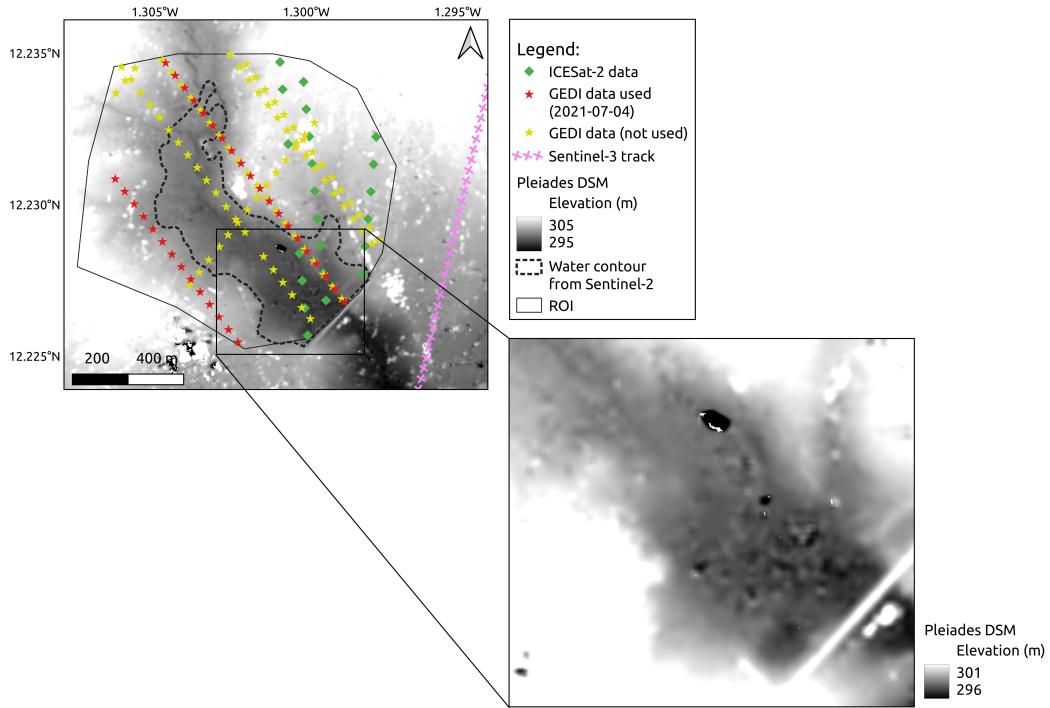


Figure 6. Data available over North Tanvi reservoir. We show a zoom on Pleiades DSM pixels over the bottom of the reservoir. The amplitude of the DSM noise exceeds 1.5m in some places.

difference is also attributed to the DSM noise, the resulting troughs causing a substantial quantity of pixels to be prematurely filled.

3.2 Volume-area relationships

The V-A curves also denote a generally good agreement between the different methods (Figure 7). Some small slope differences observed on the H-A curves comparison are more evident on the volume-area curves (e.g. DSM Pleiades over Kokorou lake), which is partly due to error propagation in the calculations. The largest differences with respect to in-situ data are observed over Bam and Setylenga reservoirs, where all remote sensing methods agree well with each other and differ from in-situ data. Part of these discrepancies may be due to bank erosion and sedimentation (Cecchi et al., 2020), with sediment transfer from the lake edge mainly due to land use (Tully et al., 2015) and wave-induced bank erosion (Hilton, 1985). For example, Boena and Dapola (2001) documented the Bam reservoir silting and showed that the sediment deposits in the lake could be of substantial thickness.

3.3 Quantitative results

3.3.1 Dispersion of the area-height relationship

All methods provided good fit results with almost all R^2 above 0.80 and most values above 0.90 (Table 2). The DSM Pleiades method outperforms all the other methods with all RMSD values below 0.03m, except for two lakes (Inbanta and North Tanvi) where RMSD equals 0.21m and 0.15m, respectively. The DSM Pleiades/contours, Profile ICESat-2/ and Profile GEDI/contours methods show good and consistent results with all RMSD values below 0.14m, most being below 0.10m. The Height S3/area method tends to produce curves

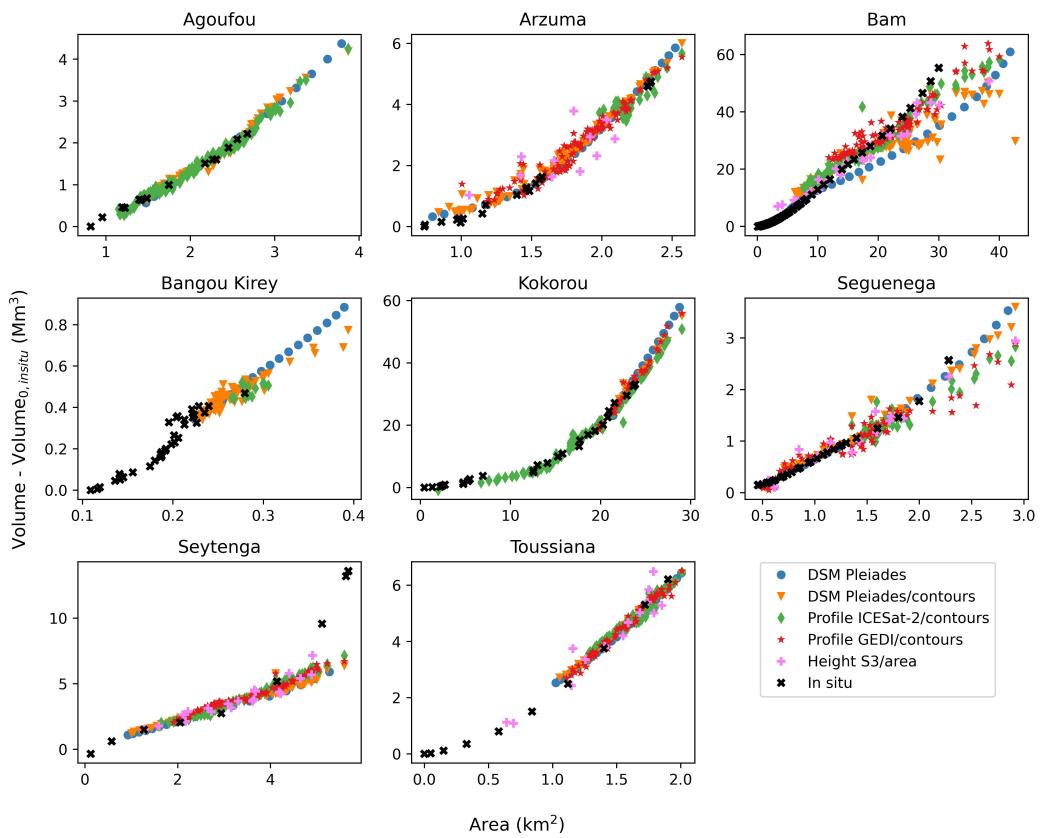


Figure 7. Volume-area relationships derived from the different methods over the lakes with in-situ data.

525 with dispersion values almost systematically greater than those from other methods. RMSD
 526 is between 0.09m and 0.34m, with 4 lakes having RMSD above 0.20m. A small part of this
 527 dispersion is inherently related to the time interpolation required to match water surface
 528 height and area measurements.

529 ***3.3.2 Precision of water elevation***

530 The median MAD obtained using the different sources of elevation data (Figure 8) are
 531 respectively between 0.11m and 0.70m with most values below 0.20m for Pleiades, between
 532 0.04m and 0.19m with most values below 0.13m for ICESat-2, between 0.04m and 0.23m
 533 with most values below 0.13m for GEDI, and between 0.01m and 0.12m with most values
 534 below 0.06m for Sentinel-3. Therefore, all sources of elevation data provide good results.

535 The number of points per contour/transect used to compute the precision varies with
 536 the methods and highly depends on lake size and, especially for Sentinel-3, and on the
 537 satellite's track attack angle with respect to the lake banks. The median number of points
 538 per contour ranges from 675 (Babou) to 4260 (Tibin) for Pleiades DSMs, from 2 (North
 539 Tanvi) to 50 (Bam) for ICESat-2, from 2 (Bangou Kirey) to 20 (Tibin) for GEDI (mainly
 540 because we selected only one acquisition date) and from 1 (Toussiana) to 12 (Bam) per
 541 transect for Sentinel-3.

542 The relatively low precision of Pleiades DSMs ($> 0.40\text{m}$) over certain lakes can be
 543 explained either by high amplitudes of noise due to very smooth areas or by flooded veg-
 544 etation and trees. It is not surprising that the precision of Pleiades is poorer than other
 545 data sources, as we have chosen to generate the DSMs at a spatial resolution of $1\text{m} \times 1\text{m}$,
 546 which introduces more pixel-to-pixel noise than a coarser resolution. The average precision
 547 of 0.04m for Sentinel-3 must be taken carefully because for half of the lakes, the transects
 548 are made of a median number of 3 points or less. Except for these cases, all sources of data
 549 show a good precision stability with Inter-Quartile Ranges (IQR) $< 0.20\text{m}$.

550 ***3.3.3 Height-area and volume-area relationships accuracy***

551 For all methods, the height RMSE is between 0.03m and 0.42m with most values below
 552 0.30m and the height NRMSE is between 1.3% and 13.7% with most values below 8%.
 553 Some methods are missing for some lakes. They all perform well on the common lakes but
 554 not similarly from one lake to another. However, we do not observe systematic differences
 555 between one method and another. Heights derived from Sentinel-3 give higher RMSE and
 556 NRMSE on certain lakes. One of the reasons might be that radar altimeter waveforms are
 557 affected by crops or other water bodies surrounding the reservoir that generate relatively
 558 high backscattering (Arzuma). The other reason is the limitation of the radar altimeter
 559 along-track resolution. This can occur with small water bodies (noise observed for Babou
 560 and Manga lakes) or larger water bodies whose orientation with respect to the altimeter
 561 ground track generates narrow transects (Toussiana). Since these transects are made of
 562 very few measurements, they are more likely to provide larger errors.

563 For all methods, the volume RMSE is between 0.03Mm^3 and 8.72Mm^3 with most
 564 values below 5Mm^3 and the volume NRMSE is between 2.3% and 15.8% with most values
 565 below 11% (Table 3 and Figure 9). Similarly to the height statistics, we do not observe
 566 systematic differences between one method and another, or between one lake and another.
 567 Nevertheless, Profile GEDI/contours and Height S3/area methods are particularly impacted
 568 by some higher RMSEs due to the dispersion in the volume-area curve. In addition, some
 569 poor performances have been improved when going from height to volume accuracy, whereas
 570 some good performances have been reduced. This observation reflects that volume accuracy
 571 is not only a matter of height-area relationship accuracy and dispersion, but also a matter
 572 of height-area shape. This statement is supported by the results over Toussiana reservoir,
 573 where the difference between the Height S3/area method and the others methods are much

Table 2. Polynomial fit statistics of the area-height relationships.

	DSM Pleiades	DSM Pleiades/contours	Profile ICESat-2/contours	Profile GEDI/contours	Height S3/area
	Polynomial degree / R ² / RMSE (m) / NRMSE (%)				
Agoufou	5 / > 0.99 / < 0.01 / 0.04	5 / 0.99 / 0.02 / 1.32	4 / 0.99 / 0.04 / 2.1	5 / 0.98 / 0.02 / 0.92	
Arzuma	5 / > 0.99 / 0.01 / 0.23	3 / 0.99 / 0.08 / 2.04	5 / 0.8 / 0.09 / 2.26	4 / 0.96 / 0.12 / 3.17	1 / 0.81 / 0.34 / 8.59
Babou	5 / > 0.99 / 0.01 / 0.62	5 / > 0.99 / 0.03 / 1.36	5 / 0.98 / 0.05 / 2.23	5 / 0.98 / 0.05 / 2.21	4 / 0.96 / 0.12 / 5.58
Bam	5 / > 0.99 / 0.03 / 0.82	5 / 0.95 / 0.12 / 3.82	5 / 0.97 / 0.09 / 2.93	4 / 0.93 / 0.1 / 3.14	2 / 0.98 / 0.1 / 3.23
Bangou Kirey	5 / > 0.99 / < 0.01 / 0.09	2 / 0.9 / 0.09 / 5.25	1 / 0.31 / 0.08 / 4.61	4 / 0.87 / 0.03 / 1.52	
Bangui Mallam	5 / > 0.99 / 0.01 / 0.4	5 / 0.98 / 0.07 / 2.37	5 / 0.97 / 0.08 / 2.78	5 / 0.99 / 0.05 / 1.91	
Djigo	5 / > 0.99 / 0.03 / 1.06	5 / 0.99 / 0.07 / 2.31	5 / 0.99 / 0.07 / 2.3	5 / 0.97 / 0.07 / 2.58	5 / 0.96 / 0.13 / 4.48
Inbanta	5 / 0.98 / 0.21 / 3.36	3 / 0.8 / 0.14 / 2.36	5 / 0.96 / 0.07 / 1.18	5 / 0.94 / 0.07 / 1.13	1 / 0.68 / 0.24 / 3.88
Kokorou	5 / > 0.99 / < 0.01 / 0.03	1 / 0.98 / 0.04 / 1.46	5 / 0.98 / 0.08 / 2.48	5 / 0.94 / 0.1 / 3.33	
Manga	5 / > 0.99 / < 0.01 / < 0.01	4 / > 0.99 / < 0.01 / < 0.01	2 / 0.91 / 0.08 / 4.91	5 / 0.99 / 0.03 / 1.61	3 / 0.97 / 0.09 / 5.64
North Tanvi	5 / 0.99 / 0.15 / 2.63	4 / 0.99 / 0.06 / 1.17	5 / > 0.99 / < 0.01 / < 0.01	3 / 0.92 / 0.09 / 1.61	5 / > 0.99 / < 0.01 / < 0.01
Seguenega	5 / > 0.99 / 0.01 / 0.34	2 / 0.98 / 0.06 / 2.14	1 / 0.84 / 0.09 / 3.6	5 / 0.95 / 0.11 / 4.36	4 / 0.95 / 0.13 / 4.97
Seytenga	3 / > 0.99 / 0.01 / 0.25	4 / 0.99 / 0.04 / 1.92	5 / 0.99 / 0.03 / 1.58	5 / 0.94 / 0.09 / 4.23	3 / 0.94 / 0.1 / 4.9
South Tanvi	5 / > 0.99 / 0.01 / 0.44	5 / 0.99 / 0.05 / 1.91	3 / 0.82 / 0.12 / 4.43	3 / 0.85 / 0.11 / 4.1	1 / 0.93 / 0.2 / 7.53
Tibin	5 / > 0.99 / < 0.01 / 0.05	5 / > 0.99 / 0.02 / 0.63	5 / 0.99 / 0.06 / 2.32	5 / 0.99 / 0.04 / 1.57	2 / 0.97 / 0.1 / 4.02
Toussiana	5 / > 0.99 / 0.01 / 0.12	4 / > 0.99 / 0.03 / 0.79	4 / 0.97 / 0.09 / 2.17	2 / 0.99 / 0.07 / 1.8	1 / 0.94 / 0.3 / 7.3

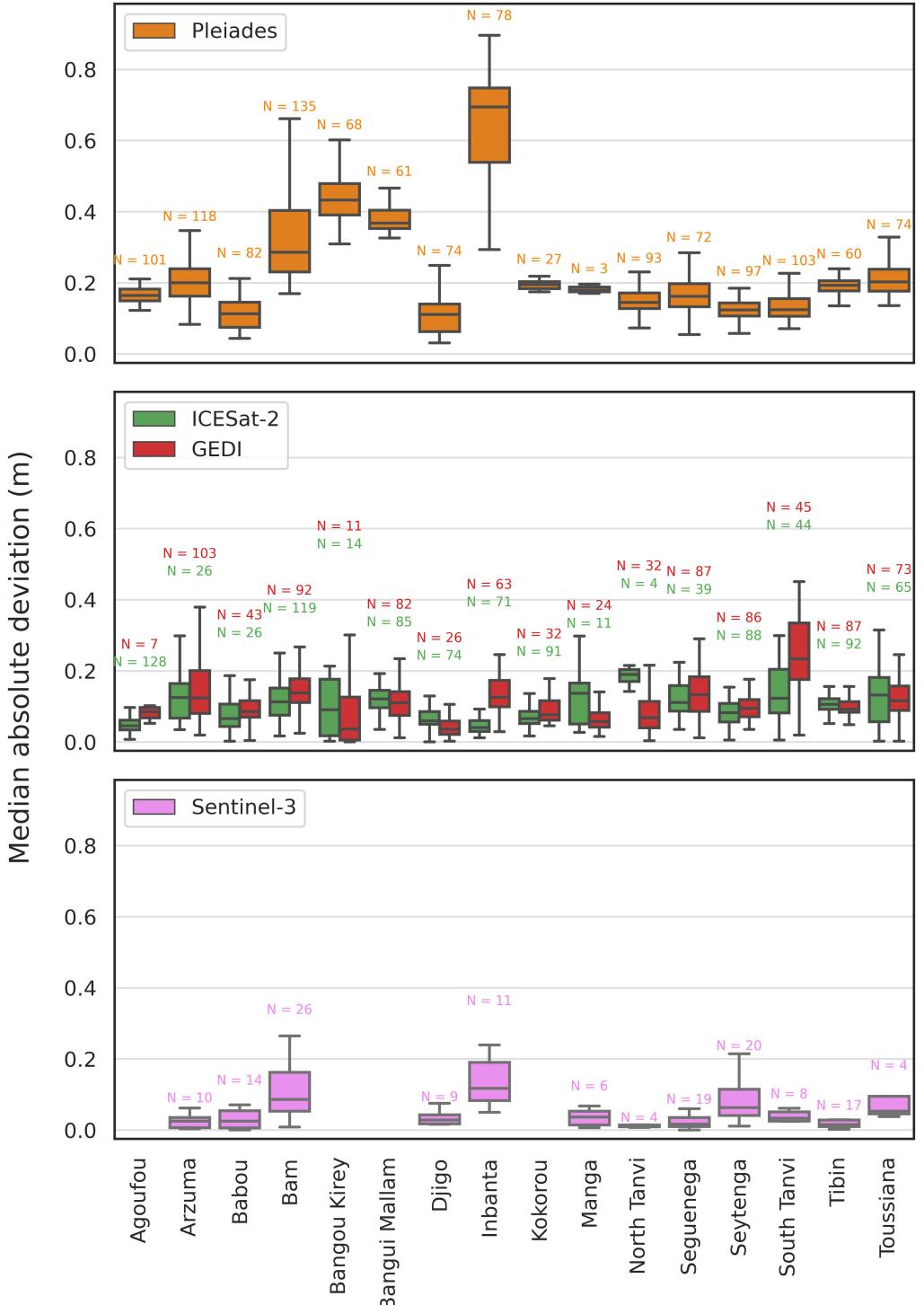


Figure 8. Box plot of the water elevation precision achieved by the different data sources. For each lake in x-axis, we plot the distribution of the water elevation precision in y-axis. The precisions computed for each transect/contour are stacked into a box reflecting the 25th, 50th and 75th percentiles of the distribution. Water elevations resulting from only one measurement are rejected.

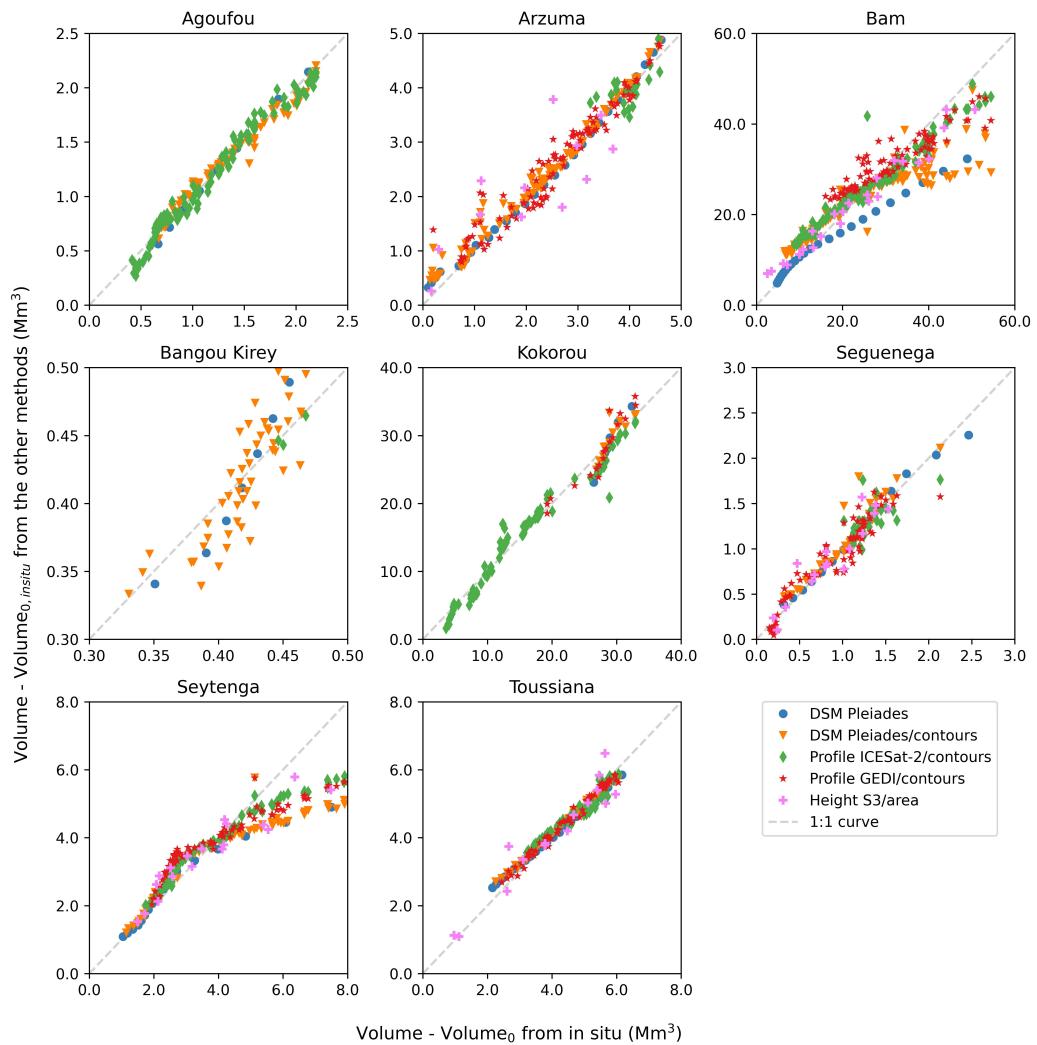


Figure 9. Comparison between relative volumes from in-situ (x-axis) and from other methods (y-axis). The 1:1 curve is plotted as grey dashed line.

lower when looking at the volume accuracy than the height accuracy metrics. We think that this is mainly due to the shape of the Height S3/area-derived height-area relationship that allows the volume-area relationship to fit the in-situ data more closely (Figure 5).

Table 3. Accuracy statistics of height and volume.

	DSM Pleiades	DSM Pleiades/contours	Profile ICESat- 2/contours	Profile GEDI/contours	Height S3/area	Combined open source
– Height –						
R^2 / RMSE (m) / NRMSE (%)						
Agoufou	> 0.99 / 0.03 / 2.31	0.98 / 0.04 / 2.62	0.97 / 0.05 / 3.64			0.97 / 0.05 / 3.64
Arzuma	0.99 / 0.09 / 3.11	0.98 / 0.13 / 4.38	0.32 / 0.15 / 5.13	0.92 / 0.17 / 5.85	0.68 / 0.4 / 13.73	0.94 / 0.15 / 5.11
Bam	0.98 / 0.42 / 8.33	0.91 / 0.35 / 6.86	0.96 / 0.21 / 4.15	0.87 / 0.3 / 5.87	0.98 / 0.28 / 5.49	0.96 / 0.25 / 4.93
Bangou Kirey	0.93 / 0.19 / 7.63	0.66 / 0.11 / 4.2	0.48 / 0.23 / 8.93			0.48 / 0.23 / 8.93
Kokorou	0.96 / 0.17 / 6.49	0.73 / 0.07 / 2.56	0.96 / 0.11 / 3.97	0.87 / 0.09 / 3.27		0.98 / 0.08 / 3.13
Seguenega	> 0.99 / 0.03 / 1.43	0.94 / 0.07 / 3.84	0.37 / 0.11 / 5.64	0.92 / 0.14 / 7.27	0.88 / 0.14 / 7.43	0.93 / 0.11 / 5.95
Seytenga	0.95 / 0.27 / 6.23	0.9 / 0.28 / 6.57	0.94 / 0.22 / 5.24	0.91 / 0.27 / 6.28	0.92 / 0.18 / 4.18	0.93 / 0.24 / 5.72
Toussiana	> 0.99 / 0.17 / 1.96	> 0.99 / 0.12 / 1.43	0.95 / 0.16 / 1.91	0.99 / 0.11 / 1.27	0.94 / 0.32 / 3.76	0.98 / 0.14 / 1.7
– Volume –						
R^2 / RMSE (Mm ³) / NRMSE (%)						
Agoufou	> 0.99 / 0.05 / 2.37	0.98 / 0.08 / 3.51	0.98 / 0.08 / 3.77			0.98 / 0.16 / 7.23
Arzuma	0.99 / 0.14 / 2.99	0.98 / 0.2 / 4.27	0.32 / 0.3 / 6.37	0.93 / 0.28 / 5.86	0.62 / 0.71 / 14.97	0.94 / 0.27 / 5.79
Bam	0.99 / 6.25 / 11.29	0.85 / 6.71 / 12.11	0.95 / 3.79 / 6.85	0.87 / 4.91 / 8.87	0.97 / 3.43 / 6.2	0.95 / 8.72 / 15.75
Bangou Kirey	0.94 / 0.03 / 5.47	0.66 / 0.03 / 5.74	0.48 / 0.03 / 7.23			0.48 / 0.04 / 8.86
Kokorou	0.96 / 1.99 / 6.03	0.73 / 1.56 / 4.71	0.96 / 1.75 / 5.29	0.87 / 1.92 / 5.79		0.98 / 1.4 / 4.23
Seguenega	0.99 / 0.07 / 2.83	0.91 / 0.12 / 4.72	0.37 / 0.17 / 7.0	0.9 / 0.15 / 6.03	0.87 / 0.16 / 6.27	0.9 / 0.17 / 6.84
Seytenga	0.92 / 1.55 / 11.11	0.87 / 1.6 / 11.46	0.93 / 1.33 / 9.49	0.91 / 1.43 / 10.25	0.9 / 1.0 / 7.15	0.92 / 1.43 / 10.23
Toussiana	> 0.99 / 0.22 / 3.6	> 0.99 / 0.17 / 2.78	0.95 / 0.24 / 3.89	0.99 / 0.16 / 2.51	0.92 / 0.46 / 7.37	0.97 / 0.45 / 7.31

3.4 Combining all height-area curves from open source data

When combining the methods based on open source data (Figure 10), the results give height RMSE between 0.05m and 0.25m and height NRMSE between 1.7% and 8.9% with most values below 6%. The volume RMSE is between 0.04Mm³ and 8.72Mm³ with most values below 1.44Mm³, and the volume NRMSE is between 4.2% and 15.8% with most values below 10.3% (Table 3). Except for a few lakes, these results are comparable to that obtained with the Profile ICESat-2/contours method alone.

4 Discussion

4.1 Comparison with the literature

4.1.1 Precision and accuracy of the area-height relationships

Many publications (Schwatke et al., 2020; Busker et al., 2019; Li et al., 2020; Chen et al., 2022) show similar results to those shown in 3.3.1 about the dispersion in the area-height relationships, and reported high values of R^2 (> 0.90). This is expected as water surface height and area are correlated. Our results with the Height S3/area method (RMSD values between 0.09m and 0.34m, with average being 0.16m) are slightly better than those of

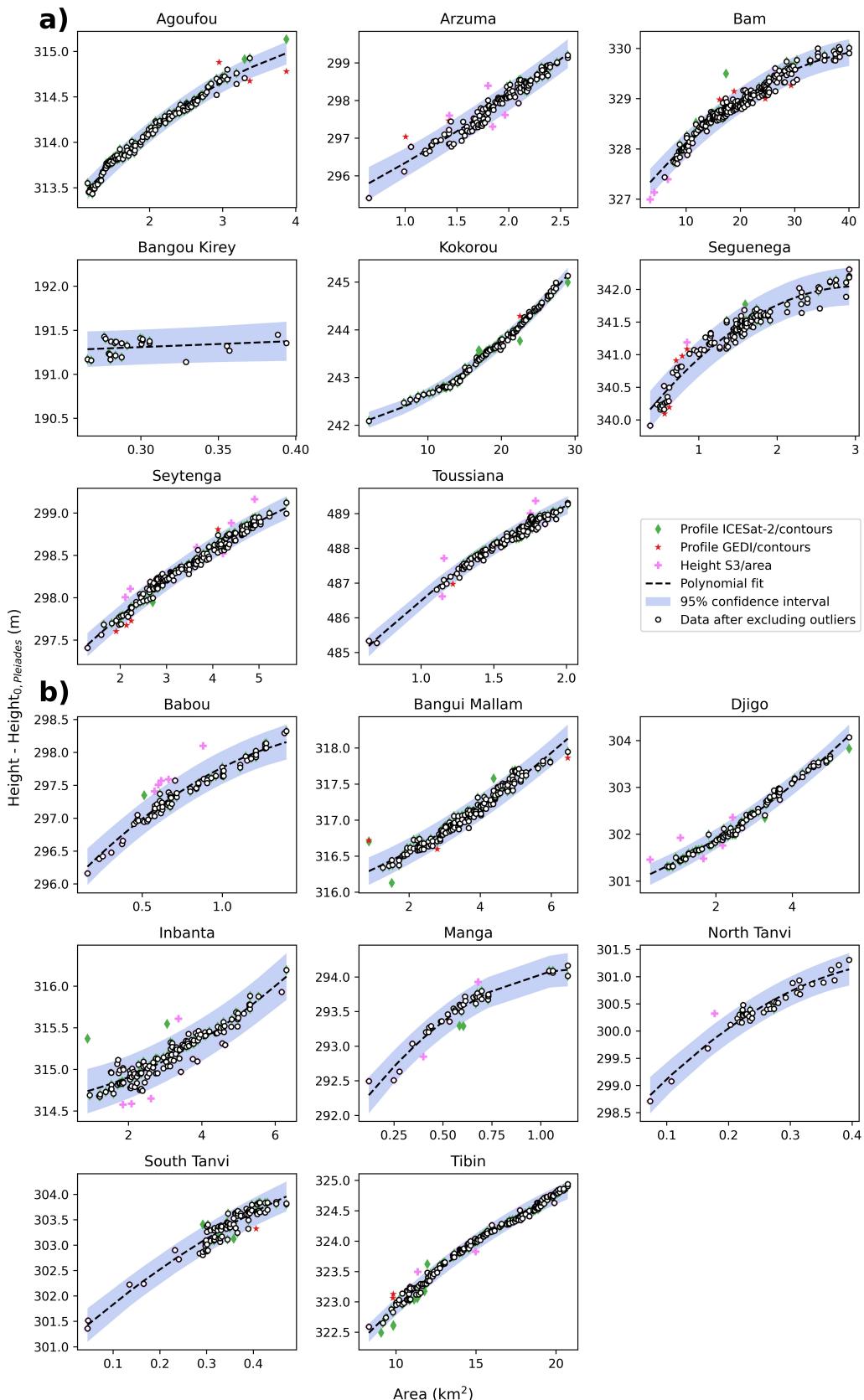


Figure 10. Combination of height-area curves from ICESat-2-, GEDI- and Sentinel-3-based methods for a) lakes with in-situ data and b) other lakes.

592 Schwatke et al. (2020) who reported RMSD values between 0.15m and 0.53m, and average
 593 of 0.27m, over 6 Texan lakes having a number of points comparable to that of our curves
 594 (e.g 32 points or less). Schwatke et al. (2020) used altimetry data from multiple missions
 595 with different accuracy, allowed a time lag of up to 10 days between water surface height and
 596 area data acquisitions, and did not perform time interpolation to generate the matchups,
 597 which may cause slightly larger RMSD.

598 Regarding the height-area relationship accuracy, most RMSE values are below 0.30m.
 599 Li et al. (2020) obtained RMSE values of 0.06m, 0.47m, 0.76m and 1.20m over four medium-
 600 sized lakes (1-100km²) when validating their height-area curves derived from the combi-
 601 nation of either ICESat, Hydroweb (<https://hydroweb.theia-land.fr>) (Crétaux et al.,
 602 2011) or G-REALM (https://ipad.fas.usda.gov/cropexplorer/global_reservoir/)
 603 (Birkett et al., 2011) altimetry data with water areas from the Joint Research Center (JRC)
 604 Global Surface Water (GSW) dataset (Pekel et al., 2016). Part of the difference with our re-
 605 sults may be explained by elevation biases between remote sensing and in-situ data reported
 606 in the study of Li et al. (2020).

607 *4.1.2 Precision of the height estimations*

608 The water elevation precision along lake contours has been assessed in Section 3.3.2,
 609 with values ranging between 0.04m and 0.19m, and most values below 0.13m. Five lakes
 610 show a precision better or equal to 0.08m. These values are in line with Arsen et al. (2013)
 611 who reported water contour elevation standard deviations ranging from 0.02m to 0.11m
 612 when intersecting ICESat 170m posting rate banks elevation profiles with water contours
 613 over lake Poopo in Bolivia.

614 For GEDI, we did not find assessment of the water elevation precision along contour
 615 lines in the literature. If we compare the water contour elevation precision with values
 616 obtained along transects over water from other publications, our results (precision between
 617 0.04m and 0.23m, with most values below 0.13m) are in line with Z. Zhang et al. (2023)
 618 who studied the water level dynamics of Qinghai Lake with GEDI data. The large biases
 619 noted on GEDI profiles from different acquisition dates were also pointed out by Fayad et
 620 al. (2020), and require further investigations.

621 For Sentinel-3, Taburet et al. (2020) reported a median standard deviation of water
 622 elevation of 0.17m. This is consistent but slightly higher than our results, which is expected
 623 as Taburet et al. (2020) studied thousands of water bodies, including rivers. Also, the use
 624 of the median absolute deviation in our study provides better results compared to using the
 625 standard deviation. More generally, standard deviations of a few centimeters have already
 626 been achieved over larger lakes with radar altimeters previous to Sentinel-3 (Crétaux &
 627 Birkett, 2006). This study shows that such a performance can be achieved on small and
 628 medium-sized lakes as well.

629 *4.1.3 Water area estimations*

630 The MNDWI threshold for water classification has been determined ad hoc for each
 631 lake. Using the same spectral index, we also tested automatic methods based on histogram
 632 analysis such as Otsu (Otsu, 1979) and Minimum Error Thresholding (Kittler & Illingworth,
 633 1986). Both methods assume that the MNDWI distribution is bi-modal with two classes
 634 respectively associated with land and water. The Otsu's method determines the optimal
 635 threshold as the value which maximizes the inter-class variance and the MET method as-
 636 sumes that the histogram is a mixture of two gaussian-like distributions associated with the
 637 respective classes. Both methods were found to perform poorly in particular for lakes cov-
 638 ered by aquatic vegetation (tri-modal histograms) or for lakes almost dried out (monomodal
 639 histograms for some dates). Consequently, we decided to follow De Fleury et al. (2023) and
 640 use ad hoc MNDWI thresholding. For some lakes, fairly negative threshold values have been

selected to account for aquatic vegetation (Table S1). We acknowledge that spatio-temporal variations in spectral signature of the lake or atmospheric conditions may lead to underestimation or overestimation of the water surface area, but ad hoc thresholding allows for a more consistent time series. The accuracy of the water surface areas has not been directly assessed but the results of Section 3.3.2 indicate that the precision of the water contours elevation is of the order of 0.10m to 0.20m. This, combined with the satisfactory height-area relationships dispersion and accuracy, reflects a good water contours detection accuracy and proves ad hoc MNDWI thresholding to be efficient for our study.

4.1.4 Accuracy of the volume-area relationships

We reported volume NRMSE between 2.3% and 15.8%, with most values below 11%. This is in line with Busker et al. (2019) who validated volume variations derived from the combination of radar altimetry and GSW monthly areas over 18 global lakes and reservoirs and obtained NRMSE between 1.784% and 18.872% with most values below 11% (extrapolated volumes excluded). Schwatke et al. (2020) also obtained similar results with NRMSE (defined as the RMSE divided by the difference of the 95% percentile and the 5% percentile of the height variations) varying between 2.8% and 14.9%, with an average of 8.3%, when validating against in-situ volume variations. The in-situ data used in our study come from various sources (with errors difficult to estimate) and may induce different uncertainties during the comparisons.

4.2 Pros and cons of each method

4.2.1 Pleiades-based methods

Pleiades-based height-area relationships show generally good performance in terms of accuracy, water elevation precision and dispersion. In particular, those derived from the DSM Pleiades method have the advantage of relying on a single data source. However, our study shows that despite their very high spatial resolution, Pleiades DSMs should be subjected to preliminary quality checks for issues related to jitter and high noise due to low pixel correlation, which can introduce errors of several meters. Dried out lake Pleiades DSMs allow characterizing the topography of the whole lake bathymetry but also represent a challenge for the estimation of the lake bottom altitude. Indeed in the absence of water, determining the starting altitude of the height-area relationship is not straightforward as the lake bottom may show high noise. In this study we manually selected a starting altitude from which water areas increase significantly. Alternative options might be to use the elevation from an external water contour intersected with the DSM, or to correct for the amplitude of the noise estimated over a flat area. If the noise is more widely spread over the banks (not only on the lake bottom but also on higher parts of the banks), reducing the starting altitude is mandatory in order not to underestimate the water areas subsequently computed.

The DSM Pleiades/contours method, which combines Pleiades DSMs with water contours, requires an additional data source compared to the DSM Pleiades method but is not affected by the effect of dry lake noise on the starting elevation of the curves, as these are truncated to the minimum water contour extent. More generally, Pleiades DSMs represent the surface elevation, and thus remain affected by all kinds of relief such as vegetation whose footprint on the DSMs is often wider due to smoothing in the DSM generation processing.

4.2.2 Lidar-based methods

Profile ICESat-2/ and Profile GEDI/contours methods are able to generate accurate height-area relationships over small to medium-sized lakes with sometimes a single but more often a few numbers of bank elevation profiles. Furthermore, these relationships are consistent with very high resolution DSM-based curves and highlight the potential of existing lidar altimetry missions for lake volume changes monitoring. We also note that the satis-

factory water elevation precision obtained with ICESat-2 and GEDI data suggests that the algorithm implemented in the respective operational products used in this study properly separate echos from tree canopy and ground. Nonetheless, the methods face some limitations. Among them, the height-area relationship quality depends on the lake's shape and the attack angle of the lidar altimeter ground tracks with respect to the water contours. The more parallel to the lake the trajectory is, the bigger the impact of water detection errors on the resulting contour elevation will be. The location of the lidar profiles is important as well since it also conditions the sensitivity of the methods to water detection errors (as it could be the case for dendritic lakes or profiles located close to the shore). The lidar data posting rates of respectively 60m and 100m represent a limitation with respect to the range of bank slope that can be observed. A threshold on the bank slope must be applied to prevent errors induced by linear interpolation of the topography or water detection which is more challenging as the banks get steeper. Another limitation of ICESat-2 (nominal revisit time of 91 days, drifting orbit during the first two years of the mission) and GEDI (variable revisit time) data is the temporal coverage which conditions the observable volume dynamics. In addition, GEDI suffers from some degraded acquisition periods (Urbazaev et al., 2022). Finally, being optical sensors, lidars are not suited to areas with important cloud cover. In this study we were not significantly impacted by this effect as the dry season, with very low or absent cloud cover, represents the major part of the year in the study area.

4.2.3 Height S3/area method

As well as lidar data, Sentinel-3 data are less impacted by relief than the Pleiades DSMs and better separate water from flooded vegetation, as suggested by the comparison between Height S3/area and Pleiades-based height-area relationships over the Inbanta lake. One of the advantages of Sentinel-3 data, in addition to having no trouble with cloud cover, is also the temporal coverage (revisit time of 27 days) which excludes the acquisition dates dependency associated with the other methods and may allow observing a greater water volume dynamics. Even more frequent revisit time is possible with Sentinel-6 data (10 days) but the spatial coverage decreases substantially (e.g. only one of the lakes studied is covered by Sentinel-6). Nonetheless, despite good water surface height precision (below 0.10m for most lakes), the Height S3/area method tends to generate height-area relationships with more dispersion (Section 3.3.1). In addition to the impact of time interpolation for matching S2 and S3 data, part of these errors might be attributed to contamination of the radar waveform by surrounding bright surfaces such as crops, humid soils or neighboring water bodies which challenge the retracking (Boy et al., 2022).

4.3 Learnings from this study

4.3.1 Characterization of small and shallow water bodies

Overall, the methods were able to derive consistent height-area relationships of small and medium-sized lakes with areas ranging from tens of hectares to tens of square kilometers and small height amplitudes about 1.5m. This result represents a step forward for volume change monitoring of shallow lakes. Indeed, multiple publications in the literature focus on lakes with higher water level amplitude or use 1m-vertical resolution DEM such as SRTM data to estimate height-area relationships or volume changes (Fang et al., 2019; S. Zhang & Gao, 2020; Pan et al., 2013; Yao et al., 2018).

The slope breaks and curvatures consistently observed on the height-area relationships of some lakes such as Djigo, Kokorou and Tibin (Figure 5) are of particular interest as they reveal fine shape behaviors. Since multiple existing studies (Gao et al., 2012; Crétaux et al., 2015; Busker et al., 2019; Smith & Pavelsky, 2009; S. Zhang & Gao, 2020; Bhagwat et al., 2019; Fang et al., 2019; Li et al., 2020; Chen et al., 2022), consider linear, quadratic or power-law regressions to fit the height-area relationship, our observations show that such

738 assumptions might be unsuited to capture complex shape patterns in the case of small and
 739 medium-sized lakes.

740 *4.3.2 Spatial coverage and data accessibility*

741 Pleiades images are commercial data, so they are not open-access. We tested the potential
 742 of open-access global DEMs such as SRTM data to produce height-area relationships.
 743 For this, the DEM filling method has been used on the SRTM DEM of each of the sixteen
 744 lakes studied. With the exception of the Tibin reservoir, which is among the largest studied
 745 lakes (mean area of 15.39km²) and was not impounded yet during the SRTM acquisition,
 746 the resulting height-area relationships showed a general disagreement with all other meth-
 747 ods as they were almost systematically steeper. Moreover, the 1-m vertical resolution of
 748 SRTM, as well as that of other global DEMs such as the ALOS Global Digital Surface
 749 Model (AW3D30) or the ASTER Global Digital Elevation Map (GDEM), is insufficient to
 750 capture water surface height variations of a few meters that we commonly observe. GLO-
 751 30 Copernicus DEM has a better vertical resolution but represents a 2011-2015 averaged
 752 topography from multiple DEMs derived from the TanDEM-X mission and acquired with
 753 different water levels. Hence, bank topography must be regarded carefully as it may contain
 754 contributions from water.

755 Due to the spatial coverage limitation of the conventional altimetry missions, none of
 756 the studied lakes are included in the global databases such as Hydroweb, G-REALM or
 757 the Database for Hydrological Time Series of Inland Waters (DAHITI, <https://dahiti.dgfi.tum.de/en>) (Schwatke et al., 2015). De Fleury et al. (2023) intersected Sentinel-3A
 758 and Sentinel-3B altimeter ground tracks with the lakes maximum water extent from GSW
 759 dataset over Central Sahel and estimated a total number of only 150 lakes below the tracks,
 760 which is far below the several thousands of water bodies found in the region by Pi et al.
 761 (2022). Moreover, the inter-track distance of other altimetry missions such as Sentinel-6 is
 762 larger than that of Sentinel-3. This emphasizes the limited spatial coverage of the radar
 763 altimeters.

764 Multi-beams lidar altimetry data from ICESat-2 and GEDI missions allows bypassing
 765 the limitations mentioned above by providing open-access surface elevation data with en-
 766 hanced spatial coverage compared to that of radar altimetry missions. Indeed, Chen et al.
 767 (2022) showed for example that the ICESat-2 ATL13 product allowed observing 2 to 7 times
 768 more global water bodies than what the traditional altimetry missions can do. The ATL13
 769 product being spatially limited by a shape mask derived from existing inland water bodies
 770 databases (Jasinski et al., 2023), it is likely that the ATL08 product used in our study allows
 771 for an even better spatial coverage.

773 *4.3.3 Combination of methods based on open-access data*

774 We showed that combining the methods based on non-commercial data gave results
 775 comparable to that obtained with the Profile ICESat-2/contours method alone, so the benefit
 776 in terms of accuracy is not substantial. However, combining different methods mitigates
 777 some of the limitations of each method and provides more robust curves. The temporal
 778 coverage (sub-monthly revisit time) of radar altimetry data and the spatial coverage of
 779 lidar data improve the height-area curves extent and the number of water bodies observed,
 780 respectively. Thus, the combination of radar and lidar altimetry data provides an open
 781 source solution for upscaling volume dynamics analysis to a wider range of lakes, as the
 782 methods are easily transferable to other lakes. This could be of particular interest for the
 783 monitoring of ungauged lakes or lakes with outdated in-situ data.

784 **5 Conclusion**

785 The height-area relationships of sixteen lakes and reservoirs in West Africa have been
 786 derived from four different methods. These methods used different data sources such as
 787 Pleiades DSMs, Sentinel-2 optical imagery, ICESat-2 and GEDI lidar altimetry and Sentinel-
 788 3 radar altimetry. We found a generally good agreement with in-situ data (most height
 789 RMSE values below 0.30m and volume NRMSE values below 11%) and among the meth-
 790 ods. With the exception of the Sentinel-3-based method which tends to produce higher
 791 dispersions, all methods provide curves with very low noise (fit RMSD values below 0.10m
 792 for most lakes). Fine shape patterns were consistently observed over small height am-
 793 plitudes, highlighting the ability of the different methods to monitor shallow lakes with
 794 non-linear bathymetric behaviors. We found satisfactory water elevation precisions, with
 795 values close to 0.20m using Pleiades DSMs and slightly better values of the order of 0.13m
 796 or less using the other methods. We identified inherent limitations in terms of data qual-
 797 ity, surface features, spatio-temporal coverage and data accessibility. This analysis suggests
 798 that lidar-based methods combined with radar altimetry data show similar performance to
 799 high-resolution DSMs-based methods and therefore have great potential for estimating wa-
 800 ter volume changes over lakes and reservoirs in this region. Furthermore, benefiting from its
 801 wide-swath Ka-band radar interferometer (KaRIN), the Surface Water and Ocean Topog-
 802 raphy (SWOT) mission, launched on December 16, 2022, will be able to observe 90% of the
 803 inland areas and all lakes larger than 250 x 250m² (requirements) located between 78°N and
 804 78°S (Biancamaria et al., 2016). With a minimum revisit time of 21 days, SWOT will thus
 805 provide volume change estimates for the majority of the lakes and reservoirs in the study
 806 area, further expanding the number of water bodies that could be addressed by remote
 807 sensing. The H-A-V relationships derived in this study will provide a valuable database to
 808 assess SWOT performances in this area.

809 **Open Research Section**810 **Data Availability**

811 The in-situ water surface elevation data on Bangou Kirey and Agoufou lakes are avail-
 812 able in the AMMA-CATCH observatory database (www.amma-catch.org, DOI: <https://doi.org/10.2136/vzj2018.03.0062>). For the height-volume relationships of Bam, Seguenega
 813 and Setylenga reservoir, please contact the Institut International d'Ingénierie de l'Eau et de
 814 l'Environnement (2IE, ousmane.yonaba@2ie-edu.org, tazen.fowe@2ie-edu.org). The height-
 815 area relationships of the Kokorou lake and Toussiana reservoir have been extracted respec-
 816 tively from Baba et al. (2019) and Sanogo and Dezetter (1997).

817 The Sentinel-2 L2A Surface Reflectance (SR) images are available on Google Earth En-
 818 gine (GEE, (Gorelick et al., 2017)) as the "Sentinel-2 MSI: MultiSpectral Instrument, Level-
 819 2A" collection (https://developers.google.com/earth-engine/datasets/catalog/COPERNICUS_S2_SR). The Sentinel-3 Sar Radar Altimeter (SRAL) data and the Altimetric Time Se-
 820 ries Software (AlTiS, (Frappart et al., 2021)) are provided by the Centre de Topographie
 821 des Océans et de l'Hydrosphère (CTOH, <https://www.legos.omp.eu/ctoh/catalogue/>). The Ice, Cloud and land Elevation Satellite-2 (ICESat-2) L3A Land and Vegetation height
 822 data product (ATL08) is accessible on the National Snow and Ice Data Center (NSIDC)
 823 website (<https://nsidc.org/data/at108/versions/6>). The Global Dynamics Ecosys-
 824 tem Investigation (GEDI) L2A Geolocated Elevation and Height Metrics (GEDI02_A) are
 825 downloaded from the NASA Land Processes Distributed Active Archive Center (LP DAAC,
 826 https://lpdaac.usgs.gov/products/gedi02_av002/).

827 The dataset containing the height-area-volume relationships of the remote sensing-
 828 based methods is provided as a CSV file accessible through [https://dataverse.ird.fr/](https://dataverse.ird.fr/privateurl.xhtml?token=ac61adc6-254a-4ccc-9061-7a6d1bd21612)
 829 privateurl.xhtml?token=ac61adc6-254a-4ccc-9061-7a6d1bd21612. The dataset also
 includes the in-situ data-based height-area-volume relationship of the Arzuma reservoir. In

order to allow a direct comparison, the provided relationships are all unbiased with respect to the DSM Pleiades method.

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