

1 **Constraining and Characterizing the size of Atmospheric**
2 **Rivers: A perspective independent from the detection algorithm.**

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8 **Key Points:**

- 9 • Multiple independent estimates show atmospheric rivers size is between 7×10^{11}
10 and 10^{13} m², with several orders of magnitude lower uncertainty than ARTMIP
11 estimates
- 12 • Landfalling atmospheric rivers originating in the northwest Pacific are larger and
13 more zonally oriented than those from the northeast Pacific
- 14 • In general, atmospheric rivers tend to decrease their size and become more merid-
15 ionally oriented through their lifecycle

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Abstract

Atmospheric rivers (AR) are large and narrow filaments of horizontal water vapor poleward transport. The size of ARs determines how much water vapor is carried from the tropics into higher latitudes, leading to extreme precipitation. Current AR detection and tracking algorithms have resulted in large uncertainty in the estimation of their sizes, with areas varying over several orders of magnitude among different detection methods. We develop and implement five independent methods to reduce the uncertainty and characterize the size of ARs that make landfall over the west coast of North America in the 1980-2017 period. ARs that originate in the northwest Pacific (WP) (100°E-180°E) have larger sizes and are more zonally oriented than those from the northeast Pacific (EP) (180°E-240°E). ARs become smaller through their lifecycle, mainly due to reductions in their width. They also become more meridionally oriented towards the end of their lifecycle. Overall, the size estimation methods proposed in this work provide a range of AR areas (between $7 \times 10^{11} \text{ m}^2$ and 10^{13} m^2) that is several orders of magnitude narrower than current methods estimation. This methodology can provide statistical constraints in size and geometry for the AR detection and tracking algorithms; and objective insight into AR size studies under climate change scenarios in the future.

Plain Language Summary

Atmospheric rivers (AR) are a meteorological phenomenon with strong horizontal water vapor poleward transport. ARs have significant impacts over the regions where they make landfall (negative and positive), particularly for west coast US water resources. AR size directly impacts on how much water vapor is carried from the tropics, and ARs are sometimes associated with extreme precipitation. There are large differences in size estimation between current detection methods, with areas varying over several orders of magnitude. Our work focuses on characterizing AR size using five different methods independent of the AR detection algorithm. We find that North America landfalling ARs originated in the North Pacific have areas between $7 \times 10^{11} \text{ m}^2$ and 10^{13} m^2 (between 1 and 11 times the area of California), and their lengths are on average four times their widths. ARs originating from the northwest Pacific (WP)(100°E-180°E) are bigger than those from the northeast Pacific (EP)(180°E-240°E). Also, WP ARs are more parallel to the equator than those from EP. Our methods provide a narrower range of size estimation than the current methods and could be used to constraint current and future AR detection methods. We present an objective methodology to quantify how AR size would vary under climate change scenarios.

1 Introduction

Atmospheric rivers (ARs) are long and narrow filaments of water vapor poleward transport from the tropics (Ralph et al., 2018; Newell et al., 1992; Zhu & Newell, 1998). Different mid-latitude continental regions around the world have large amounts of precipitation associated with atmospheric rivers (Ramos et al., 2015; Viale et al., 2018; Lavers & Villarini, 2013b; Waliser & Guan, 2017; Neiman et al., 2008). ARs are associated with up to half of the extreme events in the top 2% of the precipitation and wind distribution, across most mid-latitude regions. Moreover, landfalling ARs are associated with about 40–75% of extreme wind and precipitation events over 40% of the world’s coastlines (Waliser & Guan, 2017). ARs can have both positive and negative effects in continental regions, while their absence can lead to droughts (M. D. Dettinger, 2013), many ARs can lead to flooding and other hydrological hazards (M. Dettinger, 2011; Lavers & Villarini, 2013a; Ralph et al., 2006; Ralph & Dettinger, 2011). Ultimately, atmospheric rivers have important consequences in the hydrological cycle of regions like California. They contribute to the accumulation of the snowpack and hence to the reservoir level and

65 water availability (Goldenson et al., 2018; Guan et al., 2010; Eldardiry et al., 2019; Kim
66 et al., 2013; Dirmeyer & Brubaker, 2007).

67 ARs practically carry the total meridional transport from the tropics to higher lat-
68 itudes but may occupy only about 10% of the total longitudinal length (Zhu & Newell,
69 1998). The size of ARs determines how much water vapor is carried from the tropics into
70 higher latitudes, that can lead to extreme precipitation. The size of an AR plays an impor-
71 tant role in water vapor transport, extreme precipitation/wind, and hydrometeorological,
72 social, and economic impacts. Ralph et al. (2019) introduced a scale to categorize AR
73 strength based on vapor transport intensity and landfall duration. They show that there are
74 beneficial and hazardous impacts associated with AR events and demonstrated the impor-
75 tance of studying the intensity and duration of ARs. We hypothesize that ergodicity exists
76 between AR size and duration, and they can be equivalent; therefore, their size is directly
77 related to the benefits and hazards associated with them. Therefore, the question “how
78 large an atmospheric river is?” represents a key aspect of research in the atmospheric river
79 research community.

80 The Atmospheric River Tracking Method Intercomparison Project (ARTMIP) has
81 made an international effort to understand whether our scientific understanding of ARs
82 may depend on the detection algorithm. The different ARTMIP detection and tracking
83 algorithms are designed to answer different questions, and they produce differences in AR
84 climatology (Shields et al., 2018; Rutz et al., 2019); therefore there are differences in their
85 detected shape and size. It has become clear that AR detection and tracking are heavily
86 influenced by how researchers have quantitatively identified this phenomenon, which has
87 resulted in large uncertainty in the estimation of the size, with areas varying over several
88 orders of magnitude among different detection methods (see Figure 1).

89 The definition of AR boundaries, and hence the size quantification, are ongoing re-
90 search questions, and therefore the large uncertainty among methods is to be expected.
91 Some recommendations made after the formal AR definition in the Glossary of Meteorol-
92 ogy in 2018 were “to keep the definition as short as possible and to leave specifications
93 of how the boundaries of an AR are to be quantified open for future and specialized de-
94 velopments” (Ralph et al., 2018). The research described in this manuscript works toward
95 reducing uncertainty in the size of AR through the development and implementation of 5
96 independent size estimation methods. To do so, we create a North American coast land-
97 falling winter (November-April) AR composite for the 1980-2018 period, and objectively
98 estimate the size of ARs using the integrated vapor transport (IVT) from ERA5 reanalysis
99 data (Copernicus Climate Change Service, 2017). These methods are not directly related
100 to any AR detection or tracking algorithm, and we argue that they collectively provide a
101 robust and objective way to estimate AR size.

102 **2 Data**

103 In this work, we use the AR detection results from three different ARTMIP Tier 1
104 methods (Rutz et al., 2019; Shields et al., 2018): `CASCADE_BARD_v1` (O’Brien, Risser, et
105 al., 2020), `Lora_global` (Lora et al., 2017), and `Mundhenk_v3` (Mundhenk et al., 2016).
106 Employing these three different detection algorithms allows us to broadly sample ARs in
107 the north Pacific Ocean. Each of these methods generates a binary flag, 1 for “AR condi-
108 tions exist”, and 0 for “AR conditions do not exist”, for each latitude-longitude grid point.
109 AR binary flags for each AR were detected and tracked using thermodynamic and dynam-
110 ical fields from the Modern-Era Retrospective Analysis for Research and Applications Ver-
111 sion 2 (MERRA2) reanalysis (Gelaro et al., 2017), as a part of the ARTMIP Tier 1 cat-
112 alog (Shields et al., 2018). In the methods section, we describe how we use these binary
113 flags to create the AR composite.

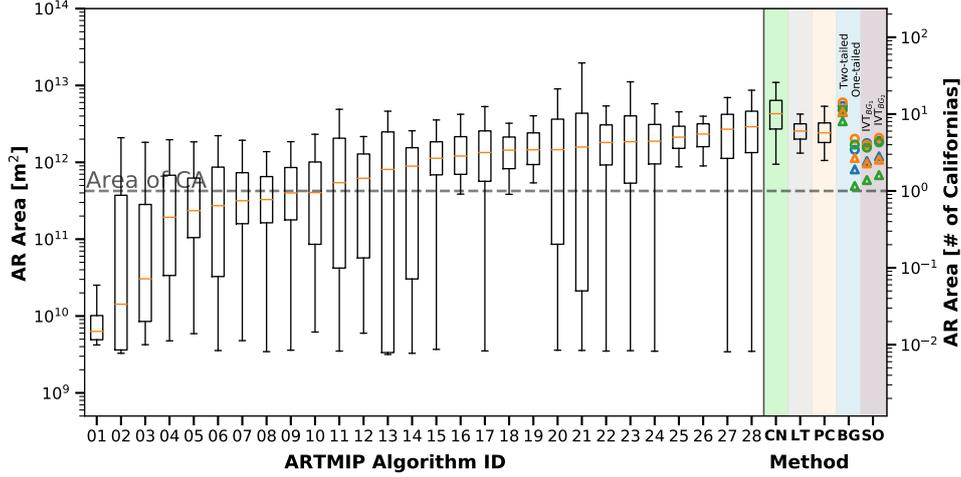


Figure 1. White background: AR area calculated from different methods in ARTMIP, ordered by median area (01-17). Colored background: AR area calculated in this work using the ClimateNet ARTMIP campaign (CN), Lagrangian Tracers method (LT), Principal Component Analysis of IVT (PC), KS-test between the IVT of AR and the background IVT field (BG), and the statistical overlapping of the conditional probability distribution of IVT given distance to the center of AR and the background IVT probability density function (SO): see Sections 3.1-3.4 for details. For BG and SO methods, triangles represent the composite of AR with northeast Pacific origin (EP) and circles represent the composite of AR with northwest Pacific origin (WP). Blue, orange, and green markers account for the 25, 50, and 75% of the AR life cycle, respectively. For the BG method, we show the results from the two-tailed and one-tailed KS-test. For the SO method, we show the results using IVT_{BG_1} and IVT_{BG_2} , that correspond to a $p \geq \sigma^+$ at 179.5 and 193.9 $\text{kg m}^{-1} \text{s}^{-1}$, respectively. (Algorithm name is included in the supporting information Table S1 for reference).

114 The most common variables used to characterize ARs are IVT and the column in-
 115 tegrated water vapor (IWV). We employ the vertical integral of eastward/northward water
 116 vapour flux $[\dot{q}_x, \dot{q}_y]$ ($\text{kg m}^{-1} \text{s}^{-1}$), from ERA5 reanalysis, and calculate IVT as

$$IVT = \sqrt{\dot{q}_x^2 + \dot{q}_y^2}, \quad (1)$$

117 where

$$\dot{q}_x = -\frac{1}{g} \int_{p_b}^{p_t} q u dp, \quad (2)$$

$$\dot{q}_y = -\frac{1}{g} \int_{p_b}^{p_t} q v dp, \quad (3)$$

118 q is the specific humidity [kg kg^{-1}], u and v the zonal and meridional wind velocity over
 119 the pressure surface p [m s^{-1}], P_b is 1000 hPa, P_t is 200 hPa, and g is the gravitational
 120 acceleration. u and v are also used in the Lagrangian tracers model used in subsection 3.3.
 121 ERA5 data have a temporal resolution of 1 hour and a horizontal resolution of 0.25 de-
 122 grees. We focus our work in the 1980-2017 period from the full ERA5 reanalysis.

123 Following O'Brien, Risser, et al. (2020), in order to avoid the large contiguous re-
 124 gions of high IVT near the tropics associated with the intertropical convergence zone
 125 (ITCZ), we spatially filter the IVT field as

$$IVT(x, y)' = IVT(x, y) \cdot (1 - e^{-\frac{y^2}{2\Delta y^2}}), \quad (4)$$

126 where $IVT'(x, y)$ is the filtered IVT field, x and y are the longitude and latitude, respec-
 127 tively, and Δy is half-width at half-maximum of the filter. We use $\Delta y = 15^\circ$, which effec-
 128 tively damps the IVT to zero within the ITCZ. Hereon we refer to the filtered field as IVT
 129 for simplicity.

130 This analysis focuses on 37 wet seasons (November-April) in the 1980-2017 period
 131 over the North American coast. By focusing on landfalling ARs, we effectively restrain
 132 the domain to the North Pacific Basin (0°N - 90°N , 100°E - 240°E).

133 Furthermore, since the main focus of this work is to study the size of ARs, we only
 134 utilize output from the three ARTMIP algorithms to obtain a broad and robust sample of
 135 AR occurrences (time and approximate location). With the exception of the areas shown
 136 in Figure 1, we explicitly avoid using the exact shape or size determined by the detection
 137 algorithm.

138 3 Methods

139 We apply the AR life cycle tracking algorithm from Zhou et al. (2018) to the AR
 140 binary flag data (from the three detection methods used in this work) and record the po-
 141 sition and time stamp of the detected AR. To ensure we sample over the higher possible
 142 number of ARs and avoid double-sampling events, we start by taking all the ARs detected
 143 from one tracking method. We add the ARs from the second tracking method that are
 144 not detected by the first, and finally, we add the ones from the third method that are not
 145 in the first or the second. It is essential to note that we only record the AR time stamp
 146 and centroid coordinates of each object through its life cycle (calculated using equations 8
 147 and 8), and we do not infer the shape or size of ARs from the binary flag data. Our size-
 148 estimating methods later use the recorded AR centroid as a first guess on the time/location
 149 of an AR.

150 In this fashion, we create a 1980-2017 wet season (November-April) dataset of North
 151 American coast landfalling AR objects. Each object correspond to one AR, and contains
 152 the time stamp and location of the centroid through its life cycle. The dataset is divided
 153 based on AR origin location (northwest Pacific “WP” 100°E - 180°E vs northeast Pacific
 154 “EP” 180°E - 240°E); and by its AR life cycle stage, at 0.25, 0.50, and 0.75 of the AR total
 155 lifetime. All subsequent analyses and methods in this work are applied separately for each
 156 of these six sub-datasets.

157 3.1 Principal Component Analysis of IVT (PC Method)

158 Recognizing that ARs are associated with ridge-like structures in the IVT field,
 159 the principal components (PC) method is designed to estimate AR size by modeling AR
 160 shapes as Gaussian. To do this, we apply principal component analysis to the high IVT
 161 cluster closest to the centroid coordinates (or first guess). For each member of the com-
 162 posite, we compute the weighted covariance matrix C_w (Price, 1972) of latitude and lon-
 163 gitude

$$C_w = \frac{\sum_{i=1}^{n_x} \sum_{j=1}^{n_y} IVT_{ij} (x_{ij} - \bar{x})^T (y_{ij} - \bar{y})}{\sum_{i=1}^{n_x} \sum_{j=1}^{n_y} IVT_{ij}}, \quad (5)$$

164 where x_{ij} and y_{ij} are the longitude and latitude of the ERA5 grid, \bar{x}, \bar{y} are the spatial
 165 zonal and meridional mean, and the weight is given by the IVT at each grid point, $IVT(x_{ij}, y_{ij})$.
 166 C_w is a 2×2 matrix, such that

$$C_w \begin{pmatrix} \vec{s}_0 \\ \vec{s}_1 \end{pmatrix} = \begin{pmatrix} \lambda_0 \vec{s}_0 \\ \lambda_1 \vec{s}_1 \end{pmatrix}, \quad (6)$$

167 where the eigenvectors \vec{s}_0, \vec{s}_1 are the principal components (PC) of the IVT field, and
 168 λ_0, λ_1 are the eigenvalues. The PC represent the directions of maximum variance of IVT
 169 field near the AR, and this process essentially fits a Gaussian to the IVT field. The largest

170 eigenvalue represents the direction that explains the largest IVT variance, hence the short-
 171 est AR axis (across the AR, \vec{s}_0), while the smallest would represent the longest AR axis
 172 (along the AR, \vec{s}_1).

173 To filter the IVT far field we use a 2-step iterative method. First we find the IVT
 174 cluster closest to the first guess location and define the AR “core” as the points of this
 175 cluster where IVT is greater than 0.5 times the local (cluster) maximum IVT. We apply
 176 the principal component analysis to the core and use the eigenvalues and eigenvectors to
 177 create a 2D Gaussian using equation (11). Then, from the original IVT field, we filter all
 178 the points outside the 10^{-3} core Gaussian contour (we found this value worked well for
 179 smaller and larger ARs). We then apply principal components analysis to this filtered IVT
 180 field and use its results to estimate the size of the AR.

181 We define the width (length) of the AR as twice the magnitude of \vec{s}_0 (\vec{s}_1), and its
 182 area as the ellipse whose axes are the principal components \vec{s}_0 and \vec{s}_1 (white lines and el-
 183 lipse in Figure 2). This method is labeled *PC* throughout this work. We utilize `fastKDE`¹
 184 (O’Brien et al., 2014, 2016) to calculate probability density functions (PDF) of length,
 width, area and angle θ with respect to the equator.

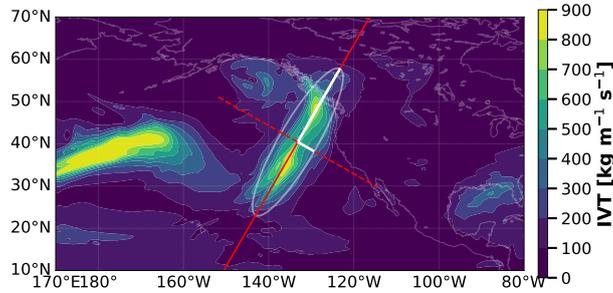


Figure 2. Principal component analysis method. White lines represent the PC of the AR, and the white contour is the area estimated from the ellipse whose axes are the PCs. The red lines represent directions along and across AR used to sample IVT for SO and BG methods (solid/dashed represent the first/second PC).

185

186 **3.2 Estimating AR Size from Composites and background IVT field (BG and SO** 187 **Methods)**

188 The statistical overlapping (SO) method is used to determine the distance at which
 189 the PDF of IVT is resolvable from the background PDF. To estimate the AR length and
 190 width from the composite, we use two separate statistical methods for determining the dis-
 191 tance at which the AR composite becomes indistinguishable from the background IVT
 192 field. The statistical overlapping (SO) looks at the overlapping between the background
 193 field PDF and AR composite as a function of the distance. On the other hand, the K-S
 194 statistic with the background IVT method (BG) looks at the difference between the back-
 195 ground cumulative distribution function (CDF) and the conditional probability distribution
 196 of the AR composite IVT given the distance to the center. We describe both methods in
 197 §3.2.2 and §3.2.1. We calculate the AR composite area by modeling the shape of ARs as
 198 an ellipse.

¹ <https://bitbucket.org/lbl-cascade/fastkde>

199 From a total of 1150 (980) AR objects for the WP (EP) in the 1980-2017 wet sea-
 200 sons, we randomly sub-sample 300 events to create the AR composite. We define the AR
 201 center coordinates (\bar{x}, \bar{y}) for every AR event within the composite as the IVT centroid, in
 202 the same fashion as the center of mass

$$\bar{x} = \frac{\sum_{i=1}^{n_x} \sum_{j=1}^{n_y} \text{IVT}_{ij} x_{ij}}{\sum_{i=1}^{n_x} \sum_{j=1}^{n_y} \text{IVT}_{ij}}, \quad (7)$$

$$\bar{y} = \frac{\sum_{i=1}^{n_x} \sum_{j=1}^{n_y} \text{IVT}_{ij} y_{ij}}{\sum_{i=1}^{n_x} \sum_{j=1}^{n_y} \text{IVT}_{ij}}. \quad (8)$$

203 Then, we sample IVT along the direction of the principal components through all the do-
 204 main (represented by the red lines in Figure 2), and calculate the distance d of each point
 205 to the AR center

$$d = \|(x', y') - (\bar{x}, \bar{y})\|, \quad (9)$$

206 where (x', y') represent the coordinates of the points along the directions of the princi-
 207 pal components. In this fashion, we create a joint distribution of IVT and d for the AR
 208 composite, and utilize `fastKDE` to calculate the conditional probability distribution of IVT
 209 given d .

210 We calculate the PDF and CDF of the background IVT field (which we refer to as
 211 BG) by randomly sampling IVT from ERA5 reanalysis data, through the North Pacific
 212 Ocean in the period of study. Since the definition of ‘background IVT’ is somewhat am-
 213 biguous, we calculate two separate backgrounds fields: IVT_{BG_1} , where the grid cells in-
 214 side AR is masked at the time of sampling (using the ARTMIP binary tag for the first-
 215 guess AR detected at that time); and IVT_{BG_2} , ARs are not masked at the time of IVT
 216 sampling. Despite IVT_{BG_2} including high-IVT points inside some ARs, we remark that
 217 both backgrounds are statistically indistinguishable with a confidence level of 95% ac-
 218 cording to a two-sample Kolmogorov–Smirnov test (KS-test). The CDF of the background
 219 is higher than 0.84 ($p \geq \sigma^+$) at 179.5 and 193.9 $\text{kg m}^{-1}\text{s}^{-1}$ for IVT_{BG_1} and IVT_{BG_2}
 220 respectively. These two different backgrounds are used later in the SO and BG methods
 221 (described in 3.2.1-3.2.2) and referred to in the text label in Figure 1.

222 **3.2.1 Statistical Overlapping of IVT With the Background Field PDF (SO)**

223 One way to estimate the length and width of the AR composite is by looking at the
 224 overlap of the background IVT PDF and the composite conditional probability distribu-
 225 tion (CPD) of IVT given distance to the center of AR. We define the statistical boundary
 226 of the AR composite as the distance where the $-1\text{-}\sigma$ value ($\text{CPD}(d) = 0.16$) of the IVT
 227 CPD curve (lower boundary of the shading contour in Figure 3) is greater-than or equal
 228 to the $+1\sigma$ value ($\text{CDF} = 0.84$) of the background IVT PDF (dotted line in Figure 3). In
 229 other words, we determine the AR extent by determining the distance d where the overlap
 230 between the IVT CPD and the background PDF is less than two standard deviations (the
 231 points where the dotted line intersects the lower shaded contour in Figure 3). This method
 232 is referred as SO throughout this work.

233 **3.2.2 K-S Statistics Between AR Composite and the Background CDF (BG)**

234 The KS-test is used to determine at which distance the CDF of the IVT is indistin-
 235 guishable from the background field. We assume that the IVT distribution within AR is
 236 different from the background field.

237 We calculate the CDF of IVT at different distances along and across the AR com-
 238 posite from the conditional probability distribution of IVT given distance to the AR cen-
 239 ter. We compare the CDF of the AR against the CDF of the background IVT field (4) and
 240 apply the two-tailed and one-tailed KS-test. For the one-tailed KS-test, we define the AR

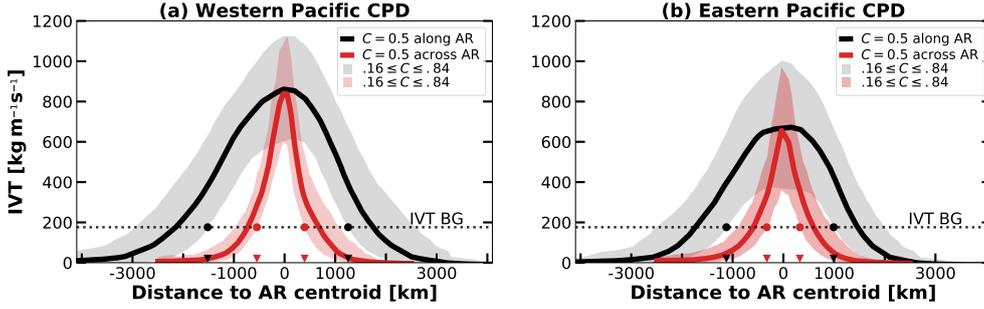


Figure 3. Conditional probability distribution of IVT given the distance to the center of the AR. Red colors represent the transverse direction (across AR), black colors represent the longitudinal direction (along AR). The 0.5 conditional probability C is represented in solid thick lines. The shading corresponds to probabilities between 0.16 and 0.84. According to the statistical overlapping method, the AR is delimited by those distances where the dashed line (background IVT $p \geq 0.84$) intersects the 0.16 CPD contour (marked in red and black dots). For example, for northwest Pacific composite (WP), approximately from -1500 to 1200 km along AR, and -600 to 400 km across AR. The triangles mark the distance of overlapping with the background.

boundaries at that distance where the CDF of the background is statistically significantly lower than the background, at the 95% confidence level. Additionally, for the two-tailed KS-test, the AR boundaries are delimited by those distances at which the KS-statistic reaches a minimum value, *i.e.* where the CDF of the AR IVT and the background field is most similar. Figure 4 shows how the CDF of the AR (in colored contours) converges to the background CDF farther from the center of AR ($d = 0$ km). Both BG and SO methods provide a robust statistical estimation of the AR size. They compare the conditional probability distribution of IVT (along and across AR) of the entire composite with the PDF of the background IVT. In the supplemental information we show a sensitivity test for values of AR length, width and area with changes in the background PDF and composite CPD overlapping values for the SO method, and to the statistical level of significance for the one-tailed BG method (Text S1 and Figures S1(a-b)).

3.3 Lagrangian Tracers for Area Estimation (LT)

Previous work by Garaboa-Paz et al. (2015) suggests that ARs relate to attracting Lagrangian Coherent Structures (LCS) in the 2D and 3D flow. With this in mind, we hypothesize that Lagrangian tracers can be used to estimate AR area from a fluid dynamics point of view. The association of ARs with LCS implies that tracers inside the AR are more likely to preserve spatial coherence through the backward and forward trajectory integration. Furthermore, tracers near the boundaries (and outside) of the AR would be more likely to disperse and end up at a final location farther than its initial location when compared with those inside the AR.

To do so, we use a 2D passive Lagrangian tracers advection model. The tracers are advected over pressure surfaces using 2D velocity fields from ERA5 reanalysis following the movement equation

$$dx_i = (u_i + \sqrt{2}\bar{u}_i w_i)dt, \tag{10}$$

where i represents the zonal and meridional directions, u the 2D velocity over pressure surfaces, \bar{u}_i is the root mean square of the local velocity near the tracer (Griffa et al., 1995; Rodean, 1996; Sawford, 1991; LaCasce, 2008), and w_i is a random perturbation with zero mean and unit variance (*i.e.*, a Wiener process). This random nudging in the tracer position at each time step is introduced to represent the diffusion, turbulence, and

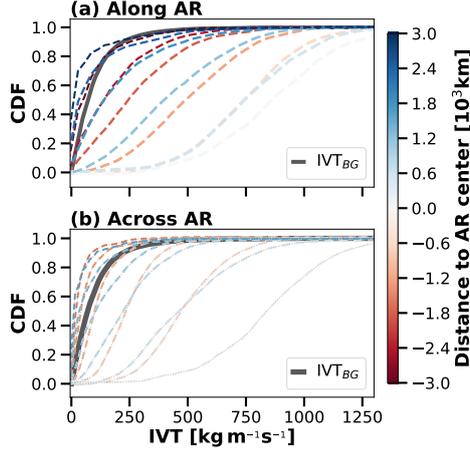


Figure 4. Colored lines show the CDF of IVT for the AR composite, at different distances from the AR center (less transparent colors represent a farther distance to the AR center). The black line shows the CDF of the background IVT field. The KS-test evaluates when the AR IVT and background fields are indistinguishable (for the two-tailed test), or the AR IVT statistically lower than the background field (for the one-tailed test).

270 other processes not solved by the model resolution. In the supplemental information Text
 271 S2 and Figure S2, we show a test of the sensitivity of AR area to changes of the scaling
 272 velocity $\sqrt{2u_i}$. We solve equation (10) using the Euler method with a time-step of 1 hour
 273 (same as the ERA5 resolution, thus avoiding the need for time interpolation). The model
 274 uses bilinear interpolation in space to find the velocity at each tracer location.

275 We select the initial position for the tracers in the vicinity of the AR, by randomly
 276 selecting 2000 points from all the domain (-80S to 80N, 180W to 180E), with a probabil-
 277 ity given by a 2D Gaussian function centered in the AR

$$g(x, y) = \exp(-(a(x - \bar{x})^2 + 2b(x - \bar{x})(y - \bar{y}) + c(y - \bar{y})^2)), \quad (11)$$

278 where

$$a = \frac{\cos^2(\theta)}{2\lambda_0^2} + \frac{\sin^2(\theta)}{2\lambda_1^2}, \quad (12)$$

$$b = \frac{\sin(2\theta)}{4\lambda_0^2} - \frac{\sin(2\theta)}{4\lambda_1^2}, \quad (13)$$

$$c = \frac{\sin^2(\theta)}{2\lambda_0^2} + \frac{\cos^2(\theta)}{2\lambda_1^2}, \quad (14)$$

279 λ_0 and λ_1 are the eigenvalues of the covariance matrix in equation (5), \bar{x} and \bar{y} are the
 280 longitude and latitude of the AR center, and θ is the angle between the main axis of the
 281 AR (smallest eigenvector from equation (5) and the equator). We observe that for fewer
 282 than 500 tracers, the AR area might not be correctly resolved, since for larger AR there
 283 might be regions without tracer initial positions. For larger number of initial tracers, the
 284 initial position distribution is larger than the IVT blob. We found that 2000 tracers is
 285 in general a sufficient number for the AR within the composite and our results do not vary
 286 for larger number of initial tracers. This way, we ensure that the initial position of tracers
 287 is distributed inside and outside on the AR and around it, but no tracers (or a negligible
 288 number) far from the AR (represented by the black dots in Figure 5 (a)). Additionally, we

289 repeat the integration 50 times for each tracer, resulting in different trajectories due to the
 290 random term in equation (10). This is equivalent to repeating the experiment 50 times,
 291 thus increasing the statistical robustness of the results. We did not find different results
 292 using a higher number of repetitions.

293 First, starting from the initial position, we compute the five days backward in time
 294 trajectory (resulting in the orange dots in Figure 5 (a)). Then, we use these new loca-
 295 tions to calculate the forward in time five days trajectory (resulting in the blue dots in Fig-
 296 ure 5 (a)). We repeat this process at each vertical pressure level in ERA5 between the sur-
 297 face and 500 hPa and record the tracers' final position. We choose five days because we
 298 need a timescale longer than the boundary layer and convective timescales, so we want a
 299 timescale as long as possible without exceeding the Rossby timescale by too much. More-
 300 over, other works have found that few AR have a longer duration than five days (Zhou et
 301 al., 2018; Payne & Magnusdottir, 2016).

302 We calculate the PDF of the final position of the tracers (bivariate PDF of latitude
 303 and longitude), and estimate the AR area as the size of the largest contiguous contour with
 304 PDF of 0.68 (thicker green contour in Figure 5 (b)). Figure 5 (b) illustrate the idea behind
 305 this method. Supplemental information Text S2 and Figure S3 shows a test of the sensitiv-
 ity of AR area relative to the changes of the PDF value used to estimate AR size.

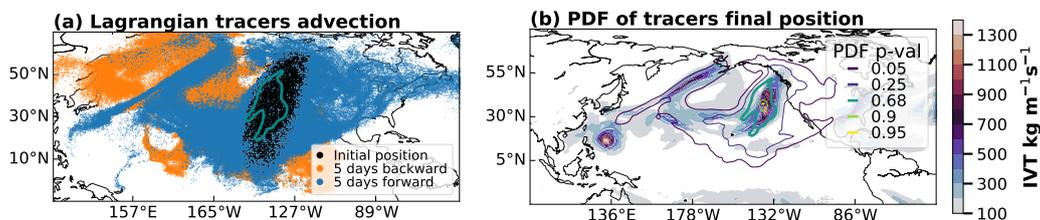


Figure 5. (a) Initial position (black), after five days backward advection (orange), and five days forward advection from the orange tracer locations (blue). The cyan contour is the 0.68 contour for the final position PDF. (b) IVT (filled contours) and PDF of the tracers' final position (contours). Thicker cyan contour at $p = 0.68$ area is used to estimate the AR size in the Lagrangian tracers method (LT).

306

307 3.4 ClimateNet method (CN)

308 We use *ClimateNet*^{2,3} Climate Contours, which is a guided user interface for anno-
 309 tating climate events, facilitating the collection of hand-labeled weather datasets (Kashinath
 310 et al., 2020).

311 We use the data generated using ClimateNet labeling tool during the 3rd ARTMIP
 312 workshop⁴ in October 2019. Half a day out of a 2.5-day workshop was devoted to this
 313 task, including over 15 workshop participants who labeled 660 time slices of data dur-
 314 ing the session (O'Brien, Payne, et al., 2020). A total of 1822 AR detections were made
 315 over the whole globe and 378 were made in the North Pacific Ocean region (which will
 316 be referred to as global and NP, respectively) using an Atmospheric Model Intercompari-
 317 son Project (AMIP) simulation performed with the Community Atmosphere Model (ver-
 318 sion 5) running at 25-km resolution. We calculate the size and orientation of each of these

² <https://www.nersc.gov/research-and-development/data-analytics/big-data-center/climatenet/>

³ http://labelmegold.services.nersc.gov/climatecontours_gold/tool.html

⁴ <http://www.cgd.ucar.edu/projects/artmip/meetings.html>

319 hand-labeled ARs. Unlike the methods described in Sections 3.1-3.3, this method does not
 320 distinguish between the AR-genesis location or the life cycle.

321 3.5 AR Size Calculation Methods Summary

322 In order to aid the reader in keeping track of the various methods used in this work,
 323 Table 1 summarizes a description for each method and the short names used throughout
 this work.

Table 1. Methods for AR size estimation used in this work.

| Method acronym | Description | Section |
|-----------------------|--|----------------|
| PC | Principal components analysis of atmospheric river integrated vapor transport field. | §3.1 |
| SO | Statistical overlapping of AR composite conditional probability distribution of IVT given the distance to the AR center and the PDF of the background IVT field. | §3.2.1 |
| BG | Comparison of the IVT CDF of AR composite with the CDF of the background IVT field. | §3.2.2 |
| LT | PDF of Lagrangian tracers final position near an AR after backward and forward 5 days advection. | §3.3 |
| CN | Hand-labeled AR using CLIMANET contours labeling tool by a group of experts at the 2019 ARTMIP workshop. | §3.4 |

324

325 4 Results

326 We focus on the size of North America landfalling atmospheric rivers. The results
 327 from all four methods in this study are consistent, with AR areas within the 10^{11} to 10^{12} m²
 328 range for three of the four methods: the Lagrangian Tracers (LT), KS-test with the back-
 329 ground (BG) and the statistical overlapping (SO); and between 10^{10} to 10^{12} m² for the
 330 principal components method (PC). Our results have a narrower range of AR area than the
 331 ARTMIP ensemble (Figure 1), and have orders of magnitude that are consistent with the
 332 majority of the algorithms used in ARTMIP. Table 2 contains a summary of the length,
 333 width, and area for all four methods, depending on the AR genesis location and life cycle.

334 4.1 AR length and width

335 Figure 6 (a-c) show the PDF of length and width calculated using the PC method.
 336 The PDF exhibits the typical “long and narrow” shape of AR, from 2.3 to 4.5 times longer
 337 than the width (Table 3). The PC method results in a median width of 844 km (90% of
 338 the cases were between 520 and 1386 km), and length of 3842 km (90% between 2495
 339 and 5816 km) for the AR with WP origin; and median width of 814 km (90% of the
 340 cases were between 6477 and 1476 km), and length of 3413 km (90% between 2321 and
 341 5400 km) for the ARs with EP Origin.

342 For the PC method, WP has larger and wider AR than EP. The differences in length
 343 are statistically significant at a 99% confidence level, however differences in width are not.
 344 Concerning the life cycle, WP composite has the smallest AR size at 25% and the largest
 345 at 50% of its life cycle, nevertheless only the differences in length are statistically signifi-
 346 cant. The EP composite length does not change much through the life cycle. However, the

width decreases monotonically through its life cycle, with differences statistically significant at a 99% confidence level.

Consistently with PC, BG, and SO methods show larger AR originated in the WP. The BG method's composite length (width) at 50% lifecycle are 4019 (1121) km for the WP, and 3275 (501) km for the EP. The SO method's composite length (width) at 50% lifecycle are 2751 (916) km for the WP, and 2107 (646) km for the EP.

The length calculated by the BG and SO methods exhibits little variation throughout the life cycle. In contrast, AR width decreased by a factor of 0.67 (WP) and 0.60 (WP) for the BG method, and 0.85 (WP) 0.69 (EP) for the SO method. These results suggest that the difference in AR size comes mainly from differences in width.

4.2 AR area

Figure 6 (d-e) shows the PDF of the AR area, calculated using the PC method (solid lines) and the LT method (dashed lines). For the WP composite, the area calculated by the PC and LT methods have a median of $2.47 \times 10^{12} \text{m}^2$ and $2.75 \times 10^{12} \text{m}^2$ respectively. For the EP composite, the area has a median of $2.23 \times 10^{12} \text{m}^2$ and $2.33 \times 10^{12} \text{m}^2$ (PC and LT respectively). The WP composite has larger areas than the EP at a 99% confidence level. EP ARs do not show a significant difference in the area through the life cycle, while WP ARs attain maximum area at their mid-life cycle for both the PC and LT methods.

The one-tailed KS-test (one-tailed BG) resulted in AR areas of $3.67 \times 10^{12} \text{m}^2$ and $1.40 \times 10^{12} \text{m}^2$ for WP and EP respectively (at 50% life cycle). The SO method calculates areas of $1.75 \times 10^{12} \text{m}^2$ and $8.74 \times 10^{11} \text{m}^2$ for WP and EP respectively (at 50% life cycle), with more extensive (both width and length) AR from WP when compared with the EP AR.

Using the BG method, AR composite area decreases through the lifecycle by a factor of 0.68 and 0.54 for the WP and EP, respectively. This change comes mainly from differences in width. The SO method shows that the composite area decreases through the AR lifecycle by a factor of 0.83 for the WP, and 0.62 for the EP.

The AR area calculated from the ARTMIP workshop ClimateNet session data has a median of $3.34 \times 10^{12} \text{m}^2$ (90% of data between 6.15×10^{11} and $7.70 \times 10^{12} \text{m}^2$) in the North Pacific region. Figure 7(a) shows larger AR areas for the global analysis $4.29 \times 10^{12} \text{m}^2$ (90% of data between 9.43×10^{11} and $1.09 \times 10^{13} \text{m}^2$). All the other methods (PC, LT, BG, and SO) are consistent with the experts' hand-labeled AR sizes, demonstrating that these methods give reasonable estimates of the composite AR area. If so, our results using ClimateNet might be on the larger side in terms of AR area, which could be related to the specific shapes the user can determine, or where the user exactly locates the AR "boundary" polygon at the time of labeling, however, these details are outside of the scope of this study.

The sensitivity tests (supplemental information Text S1 and S2, and Figures S1 to S3) show that for the SO method, variations in the overlapping background PDF and composite CPD values from (PDF, CPD)=(0.05,0.95) (minimum overlapping) to (PDF, CPD)=(0.5,0.5) (large overlapping) result in area changes from 2.84×10^{11} to $9.97 \times 10^{11} \text{m}^2$. BG one-tailed method sensitivity to the statistical significance level ($p = 0.8$ to $p = 0.99$) show a change in AR area from 2.26×10^{12} to $1.48 \times 10^{12} \text{m}^2$. For both BG and SO methods, AR length shows more sensitivity to variations in the parameters than width.

The sensitivity test for the LT method shows that when we use 0.68 as the PDF contour to define AR size, variations in the scaling velocity (from 0.125 times to 4 times \sqrt{u}) result in an area change from 1.53×10^{12} to $4.16 \times 10^{12} \text{m}^2$. Variations in the PDF value that defines the AR area (from 0.4 to 0.93) result in area variations between one and two orders

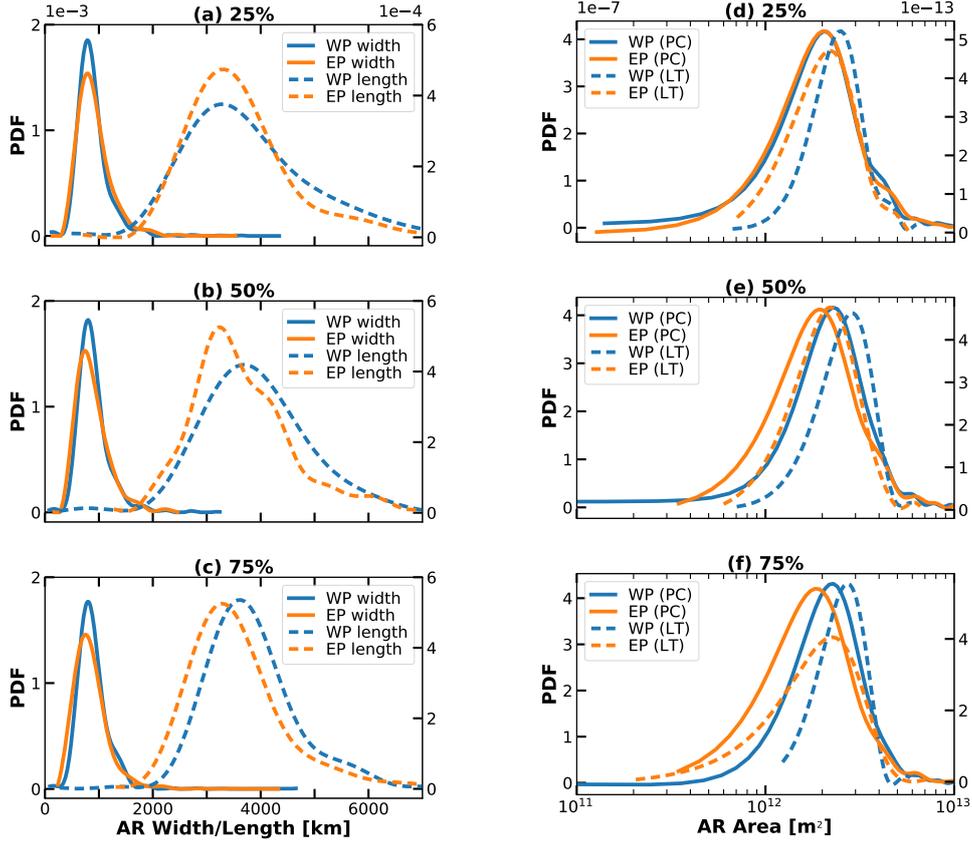


Figure 6. (a-c) PDF of AR length (dashed lines) and width (solid lines) using the principal components method (PC), at 25, 50, and 75% of the AR life cycle. WP composite in blue lines, EP composite in orange lines. (d-f) PDF of AR area for the PC method in solid lines, and the LT method in dashed lines. Lines colors same as in (a-c).

395 of magnitude. All the sensitivity analysis described here are for the WP at 50% life cycle.
 396 We find similar results for different stages of the AR life cycle, and for EP ARs.

397 4.3 AR orientation

398 Figure 7(b) shows the PDF of the AR orientation with respect to the equator, calcu-
 399 lated from the principal components method (PC), and ClimateNet method (CN). PC
 400 method shows that AR originated in the WP have a more zonal orientation with respect to
 401 EP. WP orientation has a median of 13.7° (with 90% of the data between 7.7° and 99.8°).
 402 EP has a median of 49.1° (with 90% of the data between 10.4° and 142.6°). The Cli-
 403 mateNet method (CN) analysis for the angle of orientation has a median of 26.5° (with
 404 90% of the data between 6.9° and 157.2°), for the North Pacific AR.

405 Concerning the AR lifecycle, both the WP and EP show an increase in the median
 406 angle of orientation: from 28° to 37° for the WP, and from 46° to 53° for the EP.

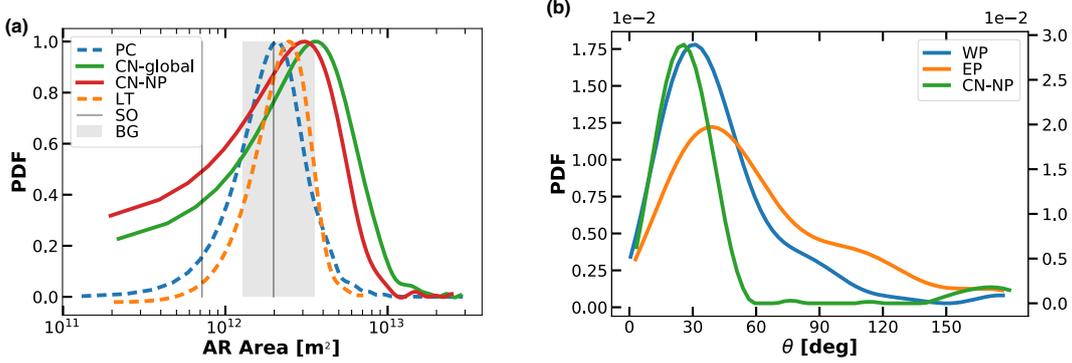


Figure 7. (a) PDF of AR area (not normalized for visualization). PC and LT methods PDF is calculated using data from WP and EP at 50% lifecycle. BG (SO) lowest to highest obtained value is represented in the shaded grey area (between solid grey lines) for comparison. (b) PDF of AR orientation with respect to the equator from the principal components method (PC), at (a) 25, (b) 50, and (c) 75% of the AR life cycle. WP composite in blue lines, EP composite in orange lines.

5 Discussion and Conclusions

Table 2 summarizes the length, width, and area of the AR composite from all the methods in this work. In previous work, two main areas of AR-genesis have been identified: over the subtropical Northwest Pacific and the Northeast Pacific (Zhou et al., 2018; Sellars et al., 2017). Here, we find robust evidence of a statistically significant difference in size, depending on their region of AR-genesis, with longer and broader ARs from the Northwest Pacific relative to those originating over the Northeast Pacific. This result may be related to the dynamical process driving the AR formation. It has previously been suggested (Zhou & Kim, 2019; Cordeira et al., 2013; Li & Wettstein, 2012) that WP atmospheric rivers have a stronger association with a thermally driven jet over the North Pacific Ocean; while EP atmospheric rivers are thought to be more associated with extratropical cyclone activity and to the commonly known phenomenon “Pineapple Express”. We also found evidence WP ARs tend to have more zonal orientation than those originating in the EP, which we believe could also relate to the dynamical feature driving the AR. This difference in AR size between the EP and WP may have implications for where meridional moisture transport occurs.

AR geometrical characteristics (aspect ratio and orientation) are summarized in Table 3. PC, BG, and SO methods agree on the typical “long and narrow” shape from the AR literature, with a median aspect ratio of approximately 4 (length/width). Other detection algorithms could use these findings as geometrical constraints in the future. The AR orientation difference between WP and EP could also directly affect the precipitation associated with landfalling AR, depending on the relative angle to the coastal mountain range, and hence the orographic lifting (Hu et al., 2017). More meridionally oriented AR towards the end of the lifecycle might modify the impacts over the coast. Furthermore, the angle of orientation of ARs increases during the lifecycle, which could be due to the tendency for ARs to eventually be entrained in the southerly flow on the northeast flank of extratropical cyclones. If there is a relationship between size, duration, propagation speeds, and orientation, this could influence the angle of AR landfalling and precipitation, which is a question worthy of further investigation.

It is worth noticing that the AR width for the end of life cycle (75%) EP composite obtained from SO and BG methods (355 and 394 km respectively) is in good agreement with airborne and satellite observations from the 1997/1998 winter ARs, where they find

Table 2. Summary of AR size statistics by method.

| | Northwest Pacific | | | Northeast Pacific | | |
|---------------|--|-----------|-----------|-------------------|-----------|-----------|
| | 0.25 | 0.5 | 0.75 | 0.25 | 0.5 | 0.75 |
| Method | Length [km] | | | | | |
| PC | 3553 | 3842 | 3757 | 3366 | 3413 | 3425 |
| 5-95% | 2168-5984 | 2495-5816 | 2608-5562 | 2239-5596 | 2321-5454 | 2315-5400 |
| BG | 2783 | 2932 | 2813 | 2431 | 1640 | 1764 |
| SO | 2422 | 2650 | 2532 | 1986 | 1944 | 1580 |
| Method | Width [km] | | | | | |
| PC | 823 | 844 | 838 | 845 | 814 | 809 |
| 5-95% | 520-1386 | 530-1405 | 510-1366 | 513-1550 | 477-1476 | 454-1516 |
| BG | 664 | 912 | 769 | 465 | 882 | 355 |
| SO | 850 | 812 | 771 | 625 | 582 | 394 |
| Method | Area [10^{12} m²] | | | | | |
| PC | 2.32 | 2.60 | 2.49 | 2.26 | 2.24 | 2.19 |
| 5-95% | 1.02-5.29 | 1.23-5.22 | 1.26-4.98 | 1.03-5.30 | 1.03-5.09 | 0.97-5.22 |
| LT | 2.55 | 2.91 | 2.74 | 2.35 | 2.34 | 2.32 |
| 5-95% | 1.52-4.54 | 1.49-4.47 | 1.59-3.88 | 1.26-4.32 | 1.25-3.97 | 1.09-4.01 |
| BG | 1.45 | 2.10 | 1.70 | 0.88 | 1.13 | 0.49 |
| SO | 1.61 | 1.69 | 1.53 | 0.97 | 0.89 | 0.48 |
| CN | | | | 3.34 | | |
| 5-95% | | | | 0.61-7.70 | | |

439 an average width scale based in IWV of 417.3 km (Ralph et al., 2004). Moreover, our
 440 result on orientation of EP ARs at the end of the life cycle (53.6°) agree with the 17-
 441 case composite observation from dropsondes, where they find an average wind direction
 442 of the low-level jet of 216.7° (corresponding to 53.3 from our methodology's frame of
 443 reference).

444 We also observe a monotonic decrease in AR width through the lifecycle, which
 445 could be a systematic loss of moisture, or be associated with frontogenesis and sharpen-
 446 ing of the frontal zone. These results could be explored in future studies, especially ones
 447 using a tracer technique.

448 The sensitivity tests suggest that length is more sensible than width to the choice of
 449 parameters in the statistical size estimation methods (SO and BG). We hypothesize that
 450 this is related to the difficulty to statistically distinguish the tail (or southwest end) of AR
 451 from the high water vapor and IVT near the ITZC. We observe (in a case by case explo-
 452 ration) that sometimes the IVT field does not have a clear boundary with respect to the
 453 ITZC, and a noisier CPD in the left side of the AR composite for large probability con-
 454 tours ($C > 0.9$). It is possible that this would also have an impact in the detection and
 455 tracking algorithms and their ability to objectively determine the AR boundary.

456 Furthermore, this raises the question about a possible link between AR size and
 457 duration, and how the size of AR might be directly related to hydrological impacts over
 458 landfalling regions. Do we need to explicitly include size in addition to IVT intensity and
 459 duration in the categorization scale for AR (Ralph et al., 2019) and their impacts? We
 460 often assume ergodicity, but if larger ARs would have systematically slower/faster propa-

Table 3. Summary of AR geometry statistics by method.

| | Northwest Pacific | | | Northeast Pacific | | |
|---------------|------------------------------------|----------|-----------|-------------------|------------|------------|
| | 0.25 | 0.5 | 0.75 | 0.25 | 0.5 | 0.75 |
| Method | Aspect ratio [width/length] | | | | | |
| PC | 4.2 | 4.5 | 4.4 | 3.9 | 4.2 | 4.3 |
| 5-95% | 2.3-7.6 | 2.3-7.7 | 2.6-7.3 | 2.3-7.1 | 2.3-7.4 | 2.4-7.5 |
| BG | 4.1 | 3.2 | 3.6 | 5.2 | 1.8 | 4.9 |
| SO | 2.8 | 3.2 | 3.2 | 3.1 | 3.3 | 4.0 |
| Method | Orientation [deg] | | | | | |
| PC | 28.9 | 29.5 | 35.7 | 49.9 | 53.1 | 53.6 |
| 5-95% | 8.1-80.2 | 7.3-89.2 | 8.5-111.8 | 9.5-136.5 | 12.3-130.1 | 12.1-149.6 |
| CN | 26.5 | | | | | |
| 5-95% | 6.9-157.2 | | | | | |

461 gation speeds, then the AR size-life cycle (and possibly landfalling duration) relationship
 462 would not be ergodic. Our future research will work toward answering these questions.

463 In Figure 1 (white background part), we can observe a high spread on the size of
 464 AR among methods. Our results show values with much less spread (colored background
 465 part in Figure 1) relative to the current methods (white background part in Figure 1). This
 466 approach can provide a statistical constraint in AR size for other detection methods, and
 467 we could incorporate size into the categorization and extreme wind and precipitation im-
 468 pact of AR in coastal regions.

469 We speculate that different algorithms within ARTMIP detect different parts of the
 470 AR since each algorithm defines different rules and relatively-unconstrained thresholds.
 471 For example, since algorithms 01 to 04 are outside the range of areas estimated in this
 472 study, we can confidently argue that these algorithms are not detecting the same part of
 473 ARs as our methods or as algorithms 11-17. The AR research community may need to
 474 define more than one term, with different definitions depending on what particular mete-
 475 orological feature of AR is studying. We propose three potential new terms: “AR core”
 476 (algorithms 1-4), “dynamical envelope” (LT method and maybe 9-17 methods), and “ther-
 477 modynamic envelope” (PC, BG, SO, CN and algorithms 9-17). Although the dynamical
 478 and thermodynamical envelopes are indistinguishable here, they may not be in studies of
 479 future AR size. This could help understanding what is the extent of the consistency in AR
 480 detection among different algorithms, particularly the size.

481 We will continue to examine the relation between AR size and duration. Moreover,
 482 the direct relationship we found between AR origin location and size, the life cycle and
 483 size, motivates us to apply our methodology to understand how the AR size would change
 484 under global warming scenarios. Current detection methods may require adjusting the pa-
 485 rameters and thresholds when studying different climate scenarios, making the objective
 486 study of change in AR size a challenging problem for future projections. Our approach
 487 could provide an objective insight into the possible changes and hydrological impacts due
 488 to AR size and climate change.

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 496 [cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-levels?tab=form)
 497 [-levels?tab=form](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-levels?tab=form).

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