

1 **Positive Low Cloud Feedback Primarily Caused by Increasing Longwave Radiation**  
2 **from the Sea Surface in Two Versions of a Climate Model**  
3

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9 **Key Points:**

- 10 • The increase in longwave radiation from the sea surface is a leading order cause of the  
11 positive low cloud feedback in a climate model.
- 12 • This increase in longwave radiation leads to warming and drying in the boundary layer,  
13 which contributes to the decrease in the low cloud.
- 14 • This mechanism is not associated with increases in surface evaporation or vertical  
15 moisture contrast.

## 16 **Abstract**

17 Low cloud feedback in global warming projections by climate models is characterized by its  
18 positive sign, the mechanism of which is not well understood. Here we propose that the positive  
19 sign is primarily caused by the increase in upward longwave radiation from the sea surface. We  
20 devise numerical experiments that enable separation of the feedback into components coming  
21 from physically distinct causes. Results of these experiments with a climate model indicate that  
22 increases in upward longwave radiation from the sea surface cause warming and absolute drying  
23 in the boundary layer, leading to the positive low cloud feedback. The absolute drying results  
24 from decrease in surface evaporation, and also from decrease in inversion strength which  
25 enhances vertical mixing of drier free tropospheric air into the boundary layer. This mechanism  
26 is different from previously proposed understanding that positive low cloud feedback is caused  
27 by increases in surface evaporation or vertical moisture contrast.

28

## 29 **Plain Language Summary**

30 We project future climate change induced by atmospheric greenhouse gas increases by  
31 conducting numerical simulations using specialized computer codes, namely Global Climate  
32 Models. Results of such simulations are characterized by decreases in low cloud with warming at  
33 the Earth's surface, which amplifies the warming by reflecting less sunlight back to space and  
34 allowing more sunlight to be absorbed at the surface. This amplifying effect, called 'positive low  
35 cloud feedback', is important because the amount of future warming affects our living and safety.  
36 However, the mechanism of the low cloud decreases with warming is not well understood. Here  
37 we propose that the low cloud decrease is primarily caused by increase in upward longwave  
38 radiation from the sea surface. We devise numerical simulations that enable the separation of the  
39 low cloud feedback into components coming from physically distinct causes. Results of the  
40 simulations indicate that increases in upward longwave radiation from the sea surface cause  
41 warming and drying near the Earth's surface, leading to the low cloud decrease. This mechanism  
42 is different from previously proposed understanding that the low cloud decrease is due to  
43 increases in sea surface evaporation or vertical moisture contrast.

## 44 **1 Introduction**

45 Low cloud feedback is an important source of uncertainty in the projections of future  
46 climate using general circulation models (GCMs). The projections of future climate by multiple  
47 GCMs exhibit large inter-model differences, which cause difficulty in evaluating the impact of  
48 climate change. The inter-model difference in the projected surface air temperature for a given  
49 CO<sub>2</sub> increase is mainly attributable to the inter-model difference in cloud feedback (e.g.,  
50 Caldwell et al. 2016; Vial et al. 2013; Webb et al. 2013). Specifically, changes in low cloud  
51 induced by surface warming make the largest contribution to this uncertainty (e.g., Zelinka et al.  
52 2016, 2020). Understanding the inter-model difference in low cloud feedback is thus imperative,  
53 which motivates research on the mechanism of the low cloud feedback simulated by the GCMs.

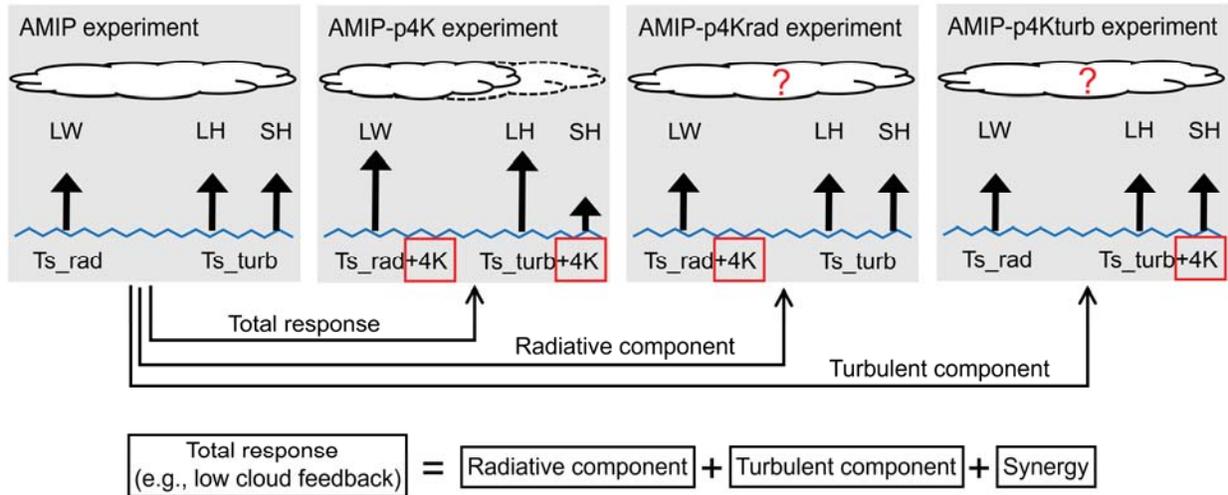
54 An interesting feature of the low cloud feedback simulated by the GCMs is that it is  
55 positive in most models (Zelinka et al. 2020). The positive sign is associated with decreases in  
56 low cloud amount with surface warming, which amplifies the warming by allowing more solar  
57 radiation to be absorbed at the surface. However, the magnitude of the low cloud decrease varies  
58 widely across models, leading to a large uncertainty in the low cloud feedback. A critical

59 question here is why low cloud decreases with surface warming, the mechanism of which is not  
60 well understood (Boucher et al. 2013; Forster et al. 2021).

61 Several studies have been conducted to address this issue by attributing simulated  
62 changes in low cloud to changes in environmental factors (e.g., Qu et al. 2014, 2015b; Zhai et al.  
63 2015; Myers and Norris 2016; Brient and Schneider 2016; McCoy et al. 2017; Klein et al. 2017;  
64 Cesana and Del Genio 2021; Ceppi and Nowack 2021). Qu et al. (2014), among others,  
65 developed a heuristic model which interprets the positive low cloud feedback in the subtropical  
66 low cloud regions in GCMs. The model indicates that changes in low cloud amount mainly come  
67 from two factors: local SST warming and increase in the strength of the inversion capping the  
68 atmospheric boundary layer, which is measured by the Estimated Inversion Strength (EIS, Wood  
69 and Bretherton 2006). The local SST warming tends to decrease low cloud, while the  
70 enhancement of EIS tends to increase the cloud. The net effect is a decrease in low cloud amount  
71 because the effect of the SST outweighs that of the EIS in most models.

72 The mechanism underlying the effect of EIS on low cloud is well understood (Klein and  
73 Hartmann 1993; Wood and Bretherton 2006). However, the mechanism of how the local SST  
74 warming influences the low cloud is still under debate. The following two mechanisms have  
75 been proposed, based on studies using Large Eddy Simulations. First, SST warming leads to an  
76 increase in surface latent heat flux, which enhances vertical mixing by turbulence or convection  
77 in the lower troposphere. This enhances entrainment of drier air from the free troposphere into  
78 the moister boundary layer, desiccating low cloud (Rieck et al. 2012). Second, the increase in  
79 latent heat flux from the sea surface induces an increase in water vapor specific humidity in the  
80 atmosphere. The magnitude of the increase in humidity is more pronounced in the boundary  
81 layer than in free troposphere, increasing the vertical moisture contrast. This increase in moisture  
82 contrast enhances the efficiency with which vertical mixing dehydrates the boundary layer,  
83 reducing low cloud (Bretherton and Blossey 2014, Sherwood et al. 2014, van der Dussen et al.  
84 2015).

85 Recently, however, detailed examination of some GCM experiments gave results which  
86 are not consistent with the above understanding. For instance, Webb et al. (2018) explored the  
87 impact of surface latent heat flux on low cloud amount, forcing the latent heat flux to increase at  
88 different rates with SST warming in HadGEM2-A. They found that the magnitude of the low  
89 cloud decrease becomes smaller when the latent heat flux is forced to increase at higher rates.  
90 Similar results were obtained by Watanabe et al. (2018) using MIROC5. These findings suggest  
91 that mechanisms other than the increase in latent heat flux are needed to explain the decrease in  
92 low cloud with SST warming in climate models. However, such mechanisms are yet to be  
93 identified. Here we propose an alternative mechanism for the low cloud decrease with SST  
94 warming based on a new method for decomposing feedbacks in GCM experiments. We argue  
95 that the increase in upward longwave radiation from the sea surface is a leading order cause of  
96 the low cloud decrease.



97

98 **Figure 1.** Schematic showing the experimental design.  $Ts\_rad$  indicates the SST used for  
 99 calculating LW radiation from the sea surface.  $Ts\_turb$  is the SST used for calculating turbulent  
 100 transport from the sea surface, including latent heat (LH) and sensible heat (SH) fluxes.

## 101 2 Numerical experiments

102 The low cloud feedback is investigated using an atmospheric GCM MIROC6 with the  
 103 spatial resolution of T85 ( $\sim 1.4^\circ$ ) with 81 vertical levels (Tatebe et al. 2019). The simulation  
 104 protocol follows that of the Atmospheric Model Intercomparison Project (AMIP), in which the  
 105 atmosphere is forced by a historical SST (AMIP experiment) and the SST uniformly warmed by  
 106 4K (AMIP-p4K experiment). The SSTs are not affected by the changes in the atmosphere since  
 107 they are prescribed as a boundary condition. These AMIP-type experiments provide a good  
 108 approximation to the cloud feedbacks determined from coupled atmosphere-ocean  $CO_2$ -forced  
 109 simulations (Ringer et al. 2014; Qin et al. 2022).

110 In the AMIP-p4K run, the uniform SST warming of 4K compared to the AMIP run  
 111 modifies the atmosphere via two causal pathways, firstly by increasing the upward longwave  
 112 radiation from the sea surface, and secondly by changing the turbulent transport at the air-sea  
 113 interface, such as the latent and sensible heat fluxes (Figure 1). The decrease in low cloud  
 114 amount, and hence the positive low cloud feedback, is a result of these two causal factors.

115 We attempt to better understand the roles of the two factors by adding two experiments.  
 116 In the first experiment, SST is raised by 4K only when calculating the upward longwave  
 117 radiation from the sea surface using Planck function (AMIP-p4Krad experiment, Figure 1). In  
 118 the second, SST is raised by 4K only when calculating the turbulent transport at the air-sea  
 119 interface using bulk aerodynamic formulas (AMIP-p4Kturb experiment). More details of the two  
 120 experiments are given in the Supporting Information (Text S1). All of the experiments are  
 121 integrated for 1979-2014 and the output is averaged for 36 years.

122 The differences of the SST warming experiments compared to the AMIP run are called  
 123 'total response (AMIP-p4K minus AMIP)', 'radiative component (AMIP-p4Krad minus AMIP)',  
 124 and 'turbulent component (AMIP-p4Kturb minus AMIP)', respectively. As the total response, we  
 125 focus on the low cloud feedback, and write it as a sum of the radiative component, the turbulent

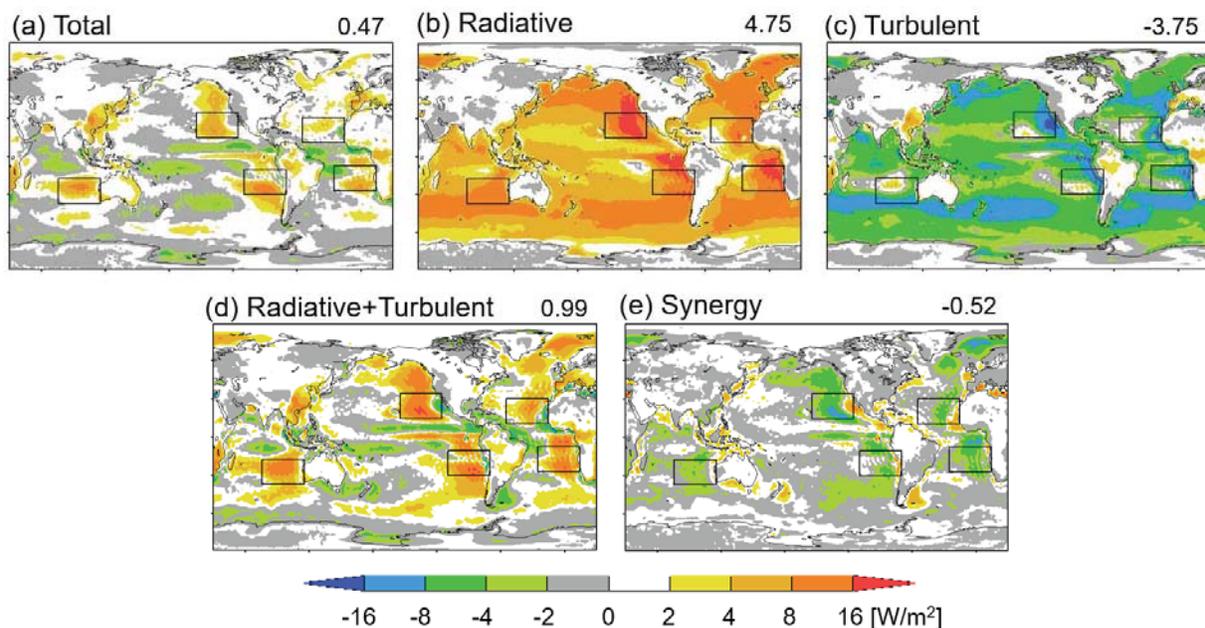
126 component, and a synergy term (Figure 1). Now the low cloud feedback is separated into  
 127 components that originate from physically distinct causes, namely, the effect of increasing SST  
 128 on upwelling surface longwave radiation and its effect on surface turbulent fluxes. The intention  
 129 here is to see which component makes the low cloud feedback positive. The synergy is a residual  
 130 term that is evaluated as the difference between the total response and the sum of the radiative  
 131 and turbulent components. It represents the effect of the radiative and turbulent components  
 132 working together.

133 All of the experiments, as outlined above, are repeated using another atmospheric GCM  
 134 MIROC5 with the spatial resolution of T42 ( $\sim 2.8^\circ$ ) with 40 vertical levels (Watanabe et al.  
 135 2010; Shiogama et al. 2012; Ogura et al. 2017). MIROC5 is different from MIROC6 in terms of  
 136 its representation of the atmospheric boundary layer. Specifically, MIROC5 does not include a  
 137 shallow convection parameterization while MIROC6 does. Still, the results from both models are  
 138 consistent with the main conclusions. For conciseness, we present results from MIROC6 in the  
 139 main part, while those from MIROC5 are shown in the Supporting Information (Figures S1-S2).

### 140 3 Results

141 We first present the low cloud feedback simulated by MIROC6 in Figure 2(a). This is  
 142 evaluated by multiplying changes in the ISCCP low cloud amount by the cloud radiative kernel,  
 143 which gives the changes in radiation flux at the TOA induced by the low cloud changes (Zelinka  
 144 et al. 2012; Bodas-Salcedo et al. 2011; Klein and Jakob 1999; Webb et al. 2001). The ISCCP  
 145 cloud amount with cloud top pressure greater than 680hPa is used for the evaluation. In Figure  
 146 2(a), we confirm that the global average low cloud feedback is positive. The positive signal is  
 147 particularly evident in subtropical marine regions off the western coasts of continents, where low  
 148 clouds prevail in both observations and model control climates.

149



150

151 **Figure 2.** Low cloud feedback induced by 4K increases in SST. (a) Total low cloud feedback,  
 152 (b) radiative component, (c) turbulent component, (d) sum of the radiative and turbulent  
 153 components, and (e) synergy. Global averages are indicated at the top right of each panel. The  
 154 units can be converted to [ $\text{W}/\text{m}^2/\text{K}$ ] by dividing by the surface warming of 4.54K in the AMIP-  
 155 p4K run. Black rectangles indicate low cloud regions focused on in Figure 3.

156

157 The low cloud feedback is separated into the radiative component, turbulent component,  
 158 and synergy as shown in Figure 2(b,c,e). The radiative component is characterized with positive  
 159 contributions over the oceans, while the turbulent component is dominated by negative  
 160 contributions (Figure 2b,c). If we add the two components together, as shown in Figure 2(d), the  
 161 result captures the geographical pattern (especially the sign) of the total low cloud feedback in  
 162 Figure 2(a). The pattern correlation between Figures 2(a) and 2(d) is 0.81. Therefore, the low  
 163 cloud feedback can be approximated as a sum of the radiative and turbulent components,  
 164 although the synergy effect is not negligible as shown in Figure 2(e).

165 Focusing on the sum of the radiative and the turbulent components in Figure 2(d), we  
 166 find that the low cloud feedback becomes positive where the radiative component outweighs the  
 167 turbulent component. Without the radiative component, the low cloud feedback would have been  
 168 negative overall (Figure 2c). This means that the low cloud feedback becomes positive because  
 169 of the radiative component. In other words, the positive sign of the feedback is mainly attributed  
 170 to the increase in upward longwave radiation from the sea surface. This argument applies to  
 171 MIROC5, too (Figure S1).

172 How does the longwave radiation cause the positive low cloud feedback? The mechanism  
 173 is further examined, focusing on area averages over the five oceanic regions indicated by the  
 174 black rectangles in Figure 2. These regions are chosen because the positive low cloud feedback  
 175 stands out here in MIROC6 (Figure 2a), and also because they match the low cloud regions  
 176 based on observations (Qu et al. 2014). Here, vertical profiles of cloud-related variables are  
 177 examined in Figure 3. We focus on the cloud amount below the 680hPa level because this is  
 178 where the low cloud feedback originates (Figure 3a,e). Note also that the low cloud feedback is  
 179 strongly correlated with the cloud amount, but less well with the cloud optical thickness or cloud  
 180 top pressure (Figure S3).

181 The total response of the cloud amount below the 680hPa level (Figure 3e, black) shows  
 182 a characteristic dipole pattern, in which a cloud decrease above ( $\sigma$ -p level $\approx$ 0.85) is moderated  
 183 by a cloud increase below ( $\sigma$ -p level $\approx$ 0.9). The dipole pattern reflects shallowing of the  
 184 boundary layer cloud at  $\sigma$ -p level $\approx$ 0.9 (Figure 3a). As a comparison, we also plot the radiative  
 185 and turbulent components in Figure 3e (red and blue). Clearly, the turbulent component (blue)  
 186 fails to reproduce the total response (black) at the  $\sigma$ -p level $\approx$ 0.9, namely, the blue curve  
 187 exceeds the black one. This explains how the turbulent component shows increase in low cloud,  
 188 leading to the negative feedback. In contrast, the radiative component (red) shows a decrease in  
 189 low cloud at  $\sigma$ -p level $\approx$ 0.9, which opposes the cloud increase in the turbulent component (blue).  
 190 When added together, the radiative and turbulent components (green) roughly reproduce the  
 191 dipole pattern in the total response (black), although the positive and negative maxima are

192 exaggerated. Hence, the low cloud decrease in the radiative component (red) is the key to  
193 understanding the low cloud decrease in the total response (black).

194 The low cloud decrease in the radiative component (Figure 3e, red) is consistent with a  
195 decrease in relative humidity (Figure 3f, red), which comes from both a warming and a decrease  
196 in specific humidity (Figure 3gh, red). This can be confirmed by looking at the geographical  
197 distribution (Figure S4). The warming is caused by the increase in upward longwave radiation  
198 from the sea surface, which is absorbed by the atmosphere (Figure 3i). The decrease in specific  
199 humidity can be explained by two mechanisms. Firstly, the magnitude of the warming is larger in  
200 the boundary layer compared to the free troposphere, having a bottom-heavy vertical profile  
201 (Figure 3h, red). This decreases the strength of the inversion capping the boundary layer. As a  
202 result, vertical mixing across the inversion increases, making the boundary layer less humid  
203 (Klein and Hartmann 1993). Secondly, the longwave-induced warming of the atmosphere  
204 increases the static stability at the air-sea interface. Note that the SST is kept the same as the  
205 AMIP experiment when calculating the turbulent transport at the air-sea interface in the AMIP-  
206 p4Krad experiment. The increase in the static stability suppresses the turbulent transport of water  
207 vapor from the sea surface, thereby contributing to the decrease in specific humidity (Text S2,  
208 Figure S8).

209 The warming and the absolute drying in the boundary layer, as described above, leads to  
210 the low cloud decrease in the radiative component. The mechanism may be summarized as  
211 "Cloud Reduction due to Increased Surface Temperature Longwave Emission (CRISTLE)". In  
212 addition, the decrease in the low cloud initiates a process that reduces the low cloud further.  
213 Namely, the decrease in the low cloud causes weakening of the downward longwave radiation  
214 from the cloud. As a result, divergence in the downward longwave radiation decreases, which  
215 leads to weakening of the radiative cooling of the boundary layer (Figures S7c,f,i, blue). This  
216 contributes to warming and a decrease in relative humidity, thereby reducing the low cloud  
217 further (Figure S6e, green, Brient and Bony 2012). We note that the low cloud decrease in the  
218 radiative component is not associated with an increase in specific humidity or surface  
219 evaporation (Figures 3g, S8a). We also considered a number of other possible explanations for  
220 the low cloud reductions in the radiative component (Table S1).

221 In the turbulent component, by contrast, the low cloud changes are associated with the  
222 increase in specific humidity and surface evaporation. We attribute the low cloud increases in the  
223 turbulent component to multiple processes that compete with each other (e.g., Wyant et al. 2009;  
224 Vial et al. 2016; Tan et al. 2017; Schneider et al. 2019; Narenpitak and Bretherton 2019). For  
225 instance, the magnitude of the increase in specific humidity is larger at lower altitudes, which  
226 enhances the moisture contrast between the free troposphere and the boundary layer (Figure 3g,  
227 blue). As a result, the upward moisture flux by shallow convection increases, which tends to  
228 decrease the low cloud (Figures S5c,f, red, Zhang et al. 2013; Brient et al. 2016). In contrast, we  
229 also note that the vertical temperature profile stabilizes with warming, which increases strength  
230 of the inversion capping the boundary layer (Figure 3h, blue). As a result, vertical mixing across  
231 the inversion reduces, which tends to keep the boundary layer more humid and increase the low  
232 cloud (Miller 1997; Tan et al. 2016). Understanding the roles of different processes within the  
233 turbulent component will be a subject of future studies. More details of the competing processes  
234 are given in Table S1.

235 In the AMIP-p4Krad and the AMIP-p4Kturb experiments, the SST warming takes place  
236 uniformly, including both the low cloud regions and the convective regions such as the western

237 tropical Pacific. Readers might be interested in whether the SST warming changes deep  
238 convection, and whether the change in the deep convection has remote effects on the low clouds.  
239 Our preliminary answer is "yes, to some extent".

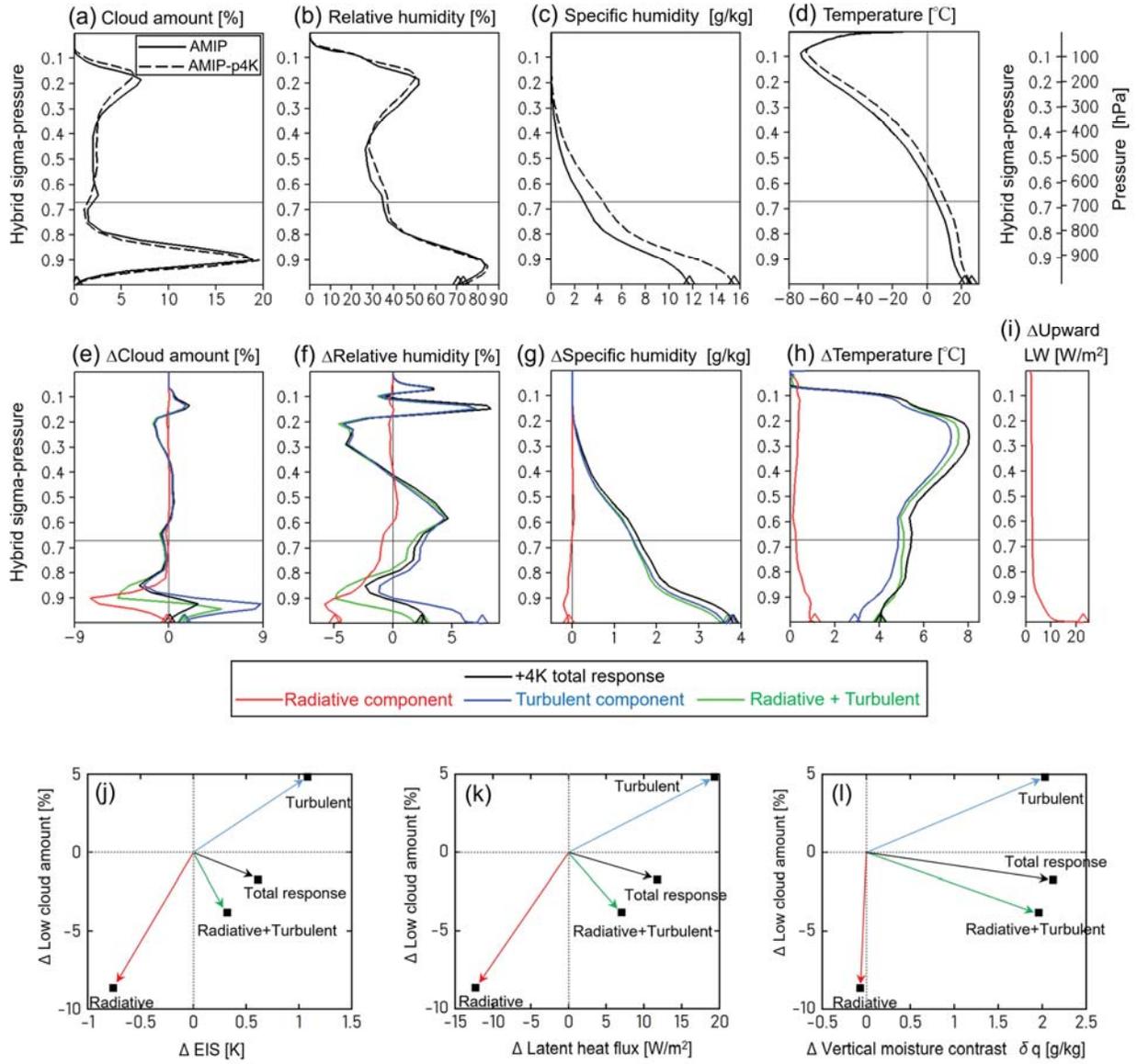
240 In the AMIP-p4Kturb experiment, for instance, the SST warming leads to increase in  
241 precipitation over the western tropical Pacific and the tropical Indian oceans (Figure S9c), which  
242 is related to the enhanced latent heating by the deep convection. In the low cloud regions, at  
243 700hPa level, temperature warms up by 4.8K (Figures S10c), which increases the EIS, and  
244 subsidence weakens by 4.2hPa/day (Figures S11c). These are consistent with the understanding  
245 that deep convection affects low clouds by changing the tropical overturning circulation and  
246 temperature in the free troposphere (e.g., Williams et al. 2023; Schiro et al. 2022; Silvers and  
247 Robinson 2021; Erfani and Burls 2019; Andrews and Webb 2018), and both the warming and the  
248 weakening of subsidence will tend to increase the low clouds (e.g., Qu et al. 2015; Myers and  
249 Norris 2013). Regarding the AMIP-p4Krad experiment, the SST warming leads to reduction of  
250 precipitation over the western tropical Pacific and the tropical Indian oceans (Figure S9b), which  
251 is related to suppressed latent heating by the deep convection. However, remote effects of the  
252 changes in the deep convection are relatively small. In the low cloud regions, at 700hPa level,  
253 temperature warms up by only 0.3K (Figure S10b) and subsidence weakens by only 1.0hPa/day  
254 (Figure S11b). Those changes do not explain the decrease in the low cloud amount (Figure 3e,  
255 red).

256 Then, what is the role of the local SST warming in the AMIP-p4Kturb experiment? Does  
257 it decrease the low clouds, as indicated by the LES experiments? Currently, we have no answer  
258 for this. Additional efforts are needed to separately quantify the local and remote effects of the  
259 SST warming, which is a subject of future studies.

260 The results obtained so far illustrate how the low cloud feedback originates from the sea  
261 surface warming. The processes involved in the feedback are classified into the radiative and the  
262 turbulent components. The two components are dissimilar to each other, with the former  
263 decreasing the ISCCP low cloud amount (LCA), while the latter increases it. However, the two  
264 components are both related to changes in the EIS, as follows. In the radiative component, the  
265 LCA decreases as the EIS decreases (Figure 3e,h, red). In the turbulent component, the LCA  
266 increases as the EIS increases (Figure 3e,h, blue). In the synergy component, also, the LCA  
267 increases as the EIS increases (not shown). The relationship between the LCA and the EIS is  
268 qualitatively consistent with observation (Wood and Bretherton 2006).

269 If we add the three components together, however, the relation between the LCA and the  
270 EIS changes compared to that above. Namely, the LCA decreases as the EIS increases (Figure  
271 3e,h, black), which may appear counter-intuitive. Why does the relation between the LCA and  
272 the EIS break down when the components are added together? This issue is examined in Figure  
273 3(j).

274



276 **Figure 3.** (a)-(i) Vertical profiles of cloud-related variables averaged over the low cloud regions  
 277 in Figure 2. (a)(b)(c)(d) for AMIP and AMIP-p4K experiments, and (e)(f)(g)(h)(i) for changes  
 278 due to +4K SST warming. The vertical coordinate is hybrid  $\sigma$ -p on model level, which is  
 279 compared with pressure levels on the top-right corner. Horizontal lines at the  $\sigma$ -p level of 0.67  
 280 mark the boundary between low-top clouds and middle-top clouds at 680hPa. Diamonds indicate  
 281 values at the lowest level. The changes in upward longwave, (i), are evaluated assuming that the  
 282 atmosphere remains fixed at the AMIP condition. (j)-(l) Relationships between changes in low  
 283 cloud amount and changes in (j) EIS, (k) latent heat flux, and (l) vertical moisture contrast  $\delta q$ .  
 284 The  $\delta q$  is defined as the specific humidity  $q$  at 1000hPa minus  $q$  at 700 hPa. The delta,  $\Delta$ ,  
 285 denotes changes induced by the SST warming of 4K. The data are averages over the low cloud  
 286 regions in Figure 2.

287

288 In figure 3(j), the changes induced by the SST warming of 4K are represented by 2-D  
 289 vectors on the  $\Delta EIS$ - $\Delta LCA$  plane. The radiative component is shown in red, with the coordinate  
 290 values of  $(\Delta EIS_{rad}, \Delta LCA_{rad})$ , while the turbulent component is shown by blue, with the  
 291 coordinate values of  $(\Delta EIS_{turb}, \Delta LCA_{turb})$ . The two vectors appear in the 3rd and the 1st  
 292 quadrants, indicating that the LCA decreases (increases) as the EIS decreases (increases). Adding  
 293 the two components together, we obtain the sum shown by green, with the coordinate values of  
 294  $(\Delta EIS_{turb} + \Delta EIS_{rad}, \Delta LCA_{turb} + \Delta LCA_{rad})$ . Now the vector appears in the 4th quadrant,  
 295 indicating that the LCA decreases as the EIS increases, which captures the sign of the total  
 296 response shown in black.

297 Focusing on the sum of the two components, we find that the LCA decreases as the EIS  
 298 increases under the following conditions:

$$299 \quad \Delta EIS_{turb} + \Delta EIS_{rad} > 0, \text{ and } \Delta LCA_{turb} + \Delta LCA_{rad} < 0 \quad (1).$$

300 Namely, the change in the EIS is dominated by the turbulent component, while the change in the  
 301 LCA is dominated by the radiative component. In other words, the total response to the SST  
 302 warming includes two counter-acting components, and which component dominates depends on  
 303 the variable we look at. This explains how the relation between the LCA and the EIS changes  
 304 when adding the radiative and turbulent components together.

305 We also note that rate of change in the LCA with respect to the EIS is different between  
 306 the radiative and turbulent components, as follows:

$$307 \quad \Delta LCA_{rad} / \Delta EIS_{rad} > \Delta LCA_{turb} / \Delta EIS_{turb} \quad (2).$$

308 The conditions (1) can be met only under the condition (2). The condition (2) indicates that LCA  
 309 is less sensitive to EIS in the turbulent component than in the radiative component. This may be  
 310 because, in the turbulent component, the EIS increase is accompanied by an increase in vertical  
 311 moisture contrast,  $\delta q$  (Figure 3gh, blue). The change in the EIS tends to increase the LCA,  
 312 while the change in the  $\delta q$  tends to decrease it, making the LCA less sensitive to the EIS  
 313 (Kawai et al. 2017).

314 Similar arguments hold, even if we replace the EIS with the surface latent heat flux or the  
315 vertical moisture contrast,  $\delta q$  (Figure 3k,l). Namely, in the total response shown in black, the  
316 LCA decrease is accompanied by an increase in latent heat flux or  $\delta q$ . This can be explained by  
317 the fact that the LCA decrease is dominated by the radiative component while the increase in  
318 latent heat flux or  $\delta q$  is driven by the turbulent component.

#### 319 **4 Conclusions**

320 In order to understand the reason for the positive sign of the low cloud feedback  
321 simulated by GCMs, we devise numerical experiments which enable separation of the feedback  
322 into three components, namely, the effect of increasing SST on upwelling surface longwave  
323 radiation, its effect on surface turbulent fluxes, and the synergy between the two. The numerical  
324 experiments are conducted using MIROC5 and MIROC6. The results indicate that the positive  
325 sign of the low cloud feedback is mainly attributed to the increase in longwave radiation from the  
326 sea surface, which leads to a warming and a drying in the boundary layer, as well as a decrease  
327 in the low cloud amount (LCA). The mechanism involved is summarized as “Cloud Reduction  
328 due to Increased Surface Temperature Longwave Emission (CRISTLE)”. It is not associated  
329 with increases in surface latent heat flux or vertical moisture contrast. The decomposition of the  
330 feedback also helps to explain how the LCA decrease is accompanied by increases in the EIS,  
331 the latent heat flux, and the vertical moisture contrast.

332 The present study mainly discusses the positive low cloud feedback over the subtropical  
333 oceans off the western coast of the continents. If we broaden the scope, however, we find other  
334 regions where the low cloud feedback becomes negative due to changes in the surface turbulent  
335 fluxes. There are also regions where the synergy exceeds sum of the radiative and the turbulent  
336 terms. Therefore, the geographical pattern of the low cloud feedback on the global scale is  
337 determined by the changes in the upward surface longwave radiation and the turbulent fluxes, as  
338 well as their interaction. We also note that the low cloud feedback simulated in the present study  
339 includes contribution from the SST warming in regions remote from the low cloud. This  
340 contribution from the remote SST warming appears to be a major factor in the turbulent  
341 component.

342 Whether other GCMs or Large Eddy Simulations support the present findings will be an  
343 interesting topic for future studies. Currently, output from CMIP6 experiments is analyzed to see  
344 if the mechanism proposed in this study can explain the sub-tropical low cloud feedbacks in  
345 multi-GCMs. In addition, the experiments proposed in this study are being conducted with a  
346 Large Eddy Simulation model under the CGILS protocol (Blossey et al. 2016). The results will  
347 be presented in subsequent papers.

348

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359

## 360 **Open Research**

361 Data used in this study are available at <https://doi.org/10.5281/zenodo.4153249> (Ogura and  
362 Webb 2020).

363

## 364 **References**

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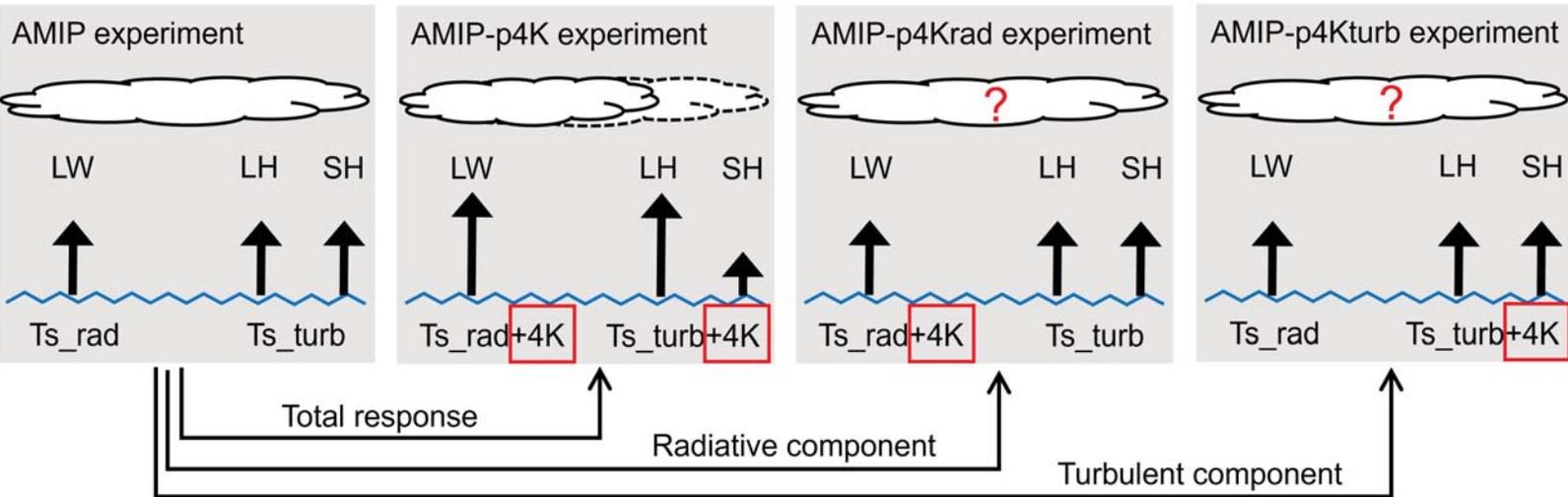
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Figure 1.



$$\boxed{\text{Total response (e.g., low cloud feedback)}} = \boxed{\text{Radiative component}} + \boxed{\text{Turbulent component}} + \boxed{\text{Synergy}}$$

Figure 2.

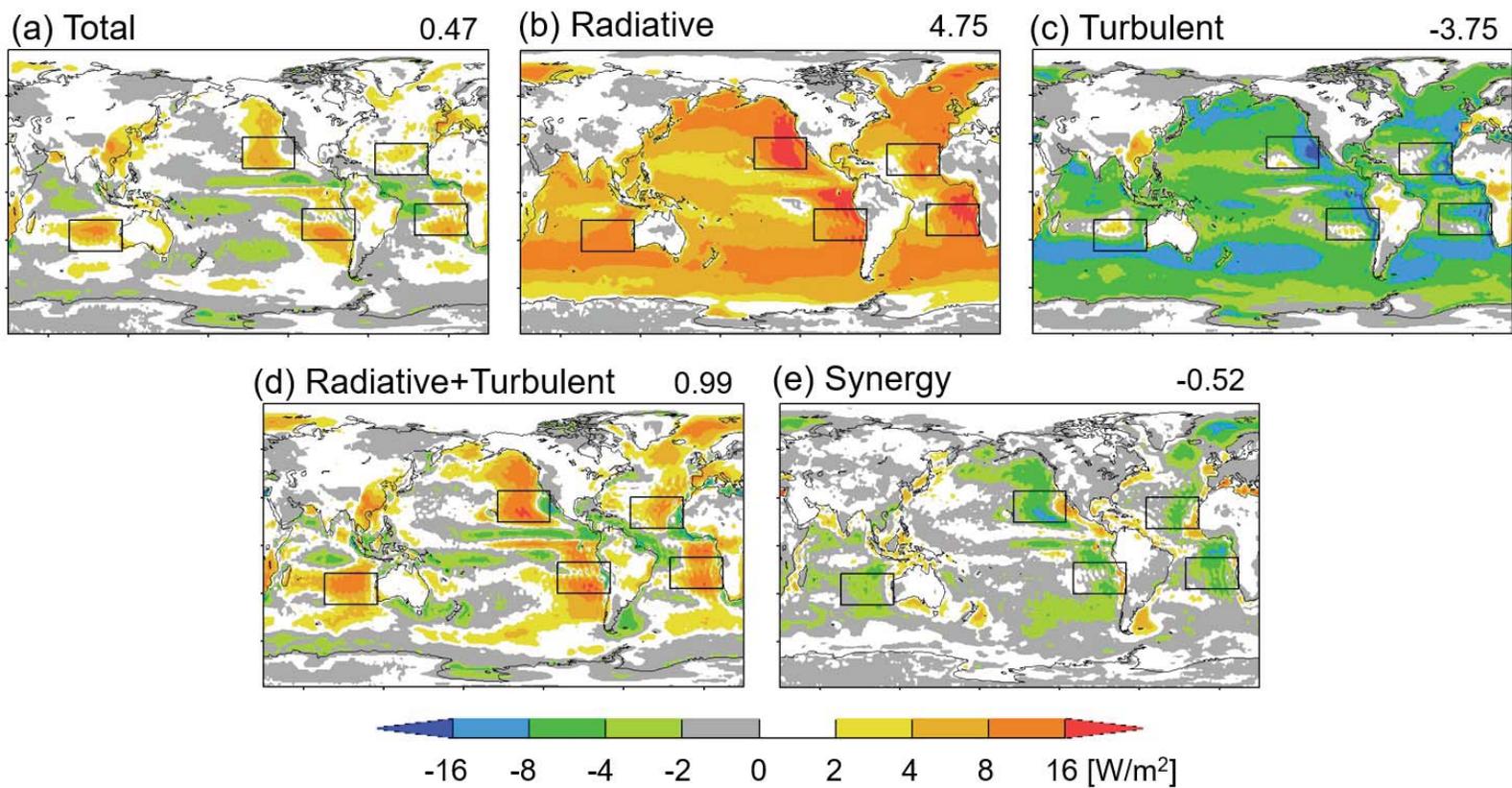


Figure 3.

