

The Impact Of Flocculation on In Situ and Ex Situ Particle Size Measurements by Laser Diffraction

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Key Points:

- The D_{50} , a generic way to parametrise particle size distributions, is not an absolute number, but depends on the measurement method.
- Differences between in situ and ex situ measured particle size distributions are caused by the ex situ alteration of flocculated particles.
- A robust particle size distribution measurement with laser diffraction takes longer for coarser field samples with a wider distribution.

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Abstract

Accurate particle size distribution (PSD) measurements of suspended particulate matter composed of flocs and aggregates are important to improve understanding of ecological and geomorphological processes, and for environmental engineering applications. PSD can be measured in situ (in the field) using a submersible sensor, or ex situ (in the laboratory) using samples. The methodological choice is often guided by logistical factors, and the differences in PSDs acquired by in situ and ex situ measurements are not acknowledged. In this study, a laser-diffraction instrument (LISST-200X) was used to compare in situ and ex situ PSD measurements. Samples measured ex situ were stored for three consecutive weeks and measured each week in a laboratory using different stirrer speeds. We observed that ex situ measurements display a higher D_{50} (median particle size) than in situ measurements of the same sample (up to 613% larger, 112% on average). Our experiments show that the difference between in situ and ex situ measurements can be explained by flocculation of the riverine sediments during the first week of storage. During the subsequent ex situ measurements, the stirring results in a significantly lower D_{50} . Ex situ measurements are therefore unsuitable for flocculated suspended particulate matter. This study provides recommendations for optimizing PSD measurements by calculating the measurement times required to obtain robust PSD measurements (exceeding three minutes per sample), which are larger for field samples with coarser particles and wider PSDs.

Plain Language Summary

Measurements of the size of particles suspended in a water column are important for understanding many processes related to river ecology and morphology. It is possible to measure these particles directly in the field using a submersible sensor (in situ), or by taking samples and transporting them to a laboratory (ex situ). The choice between these options often depends on logistics, with little recognition for the impact that this choice can have on the measurements. In this research, the differences between in situ and ex situ measurements are explored. We find that ex situ measured particle sizes are on average 112% larger than in situ measurements, which can be related to flocculation of the riverine particles. Flocs are a combination of mineral particles (such as silt or clay) and organic particles, forming larger aggregates. Our results show that flocs grow when a sample is taken to the laboratory and stored. During ex situ measurements, which involve stirring, they break apart. Ex situ measurements are therefore unsuitable for determining the natural particle size. We show how long a measurement should be recorded to give a representative particle size. In situ, longer measurements are needed.

1 Introduction

Accurate and robust particle size distribution (PSD) measurements of suspended particulate matter (SPM) (including mineral particles, and flocs/aggregates) are important to many environmental studies. Examples include studying pollution transport by suspended particles (Davies et al., 2012), studying the effect of colmatation on spawning sites of aquatic biota (Bilotta & Brazier, 2008), and tackling technological challenges such as calibration of optical sensors (Agrawal & Pottsmith, 2000; Sehgal, Martínez-Carreras, et al., 2022). Additionally, the local SPM PSDs, together with flow dynamics, are found to control the mud (clay and silt) fluxes in rivers (Lamb et al., 2020). Also, PSD is an important physical characteristic controlling sediment transport models directly or indirectly through settling velocity and critical shear stress. An accurate measure of the PSD is thus important for the estimation of SPM fluxes. However, the accuracy and reliability of the SPM PSD measurements are affected by many factors, such as SPM composition, flocculation (Droppo, 2004), measurement methodology (in situ / ex situ), and the logistics around the measurement process.

66 The methodological choice of whether to measure the PSD in situ or ex situ often
67 depends on the aim and logistics of the study. Measuring in situ provides a natural picture
68 of the PSD, commonly referred to as the effective PSD (Gartner et al., 2001), and allows
69 for continuous long-term monitoring (Andrews et al., 2010). The in situ PSD is essential to
70 include the composite particles (flocs) in the PSD. Composite particles can be composed of
71 mineral particles, and organic and active biological material, and can constitute a significant
72 proportion of the SPM (Williams et al., 2008; Droppo, 2001) in riverine environments (Livsey
73 et al., 2022; Nicholas & Walling, 1996; Bungartz & Wanner, 2004; Grangeon et al., 2012).
74 Conversely, ex situ measurements are performed under controlled laboratory conditions,
75 often to better understand the complex particle transport processes. In situ and ex situ
76 PSD measurements are subject to different factors and will therefore yield different results.
77 These differences, typically neither acknowledged nor studied, will be discussed below.

78 The PSD is also impacted by decisions made before, during, and after the measure-
79 ments. These include the choice of instrument type (e.g., laser diffraction, image analysis, or
80 sieving), measurement time to obtain a reliable average, and data (post-)processing. Even
81 more uncertainty is introduced when measuring ex situ, where sample collection (e.g., grab
82 sampling, using Niskin bottles, or automatic samplers), sample storage (including storage
83 duration and temperature), sample treatment (e.g., pre-sieving, oxidation, or chemical dis-
84 persion) and transportation become necessary (Gartner et al., 2001). Many studies (Phillips
85 & Walling, 1995; Chakraborti et al., 2009; Livsey et al., 2022; Czuba et al., 2015; Boss et
86 al., 2018; Zhao et al., 2018) attempt to understand and quantify the individual uncertain-
87 ties associated with each of the above-mentioned choices. The LISST series of instruments
88 developed by Sequoia (LISST-100X/200X and LISST-SL) are commonly used for in situ
89 measurements. These instruments use laser diffraction and measurements are affected by
90 (i) the instrument itself (measurement range, optical system (number and location of the de-
91 tectors) and the selected particle size distribution model (Fraunhofer, Mie)), (ii) the particle
92 properties (shape, composition and mass density) and (iii) the measurement environment
93 (turbulence and thermal fluctuations) (Czuba et al., 2015; Bieganski et al., 2018). Hence,
94 different laser diffraction instruments may yield different results.

95 Instrument-related differences become evident when comparing the PSDs of a sample
96 measured using different measurement instruments for both in situ and ex situ. For example,
97 Czuba et al. (2015) compared PSDs measured with an in stream LISST-SL, and physical
98 samples using the pipette method and a Sedi-Graph (a lab based instrument). Boss et al.
99 (2018) compared PSDs measured with a LISST-100X using an in situ flow-through cham-
100 ber and physical samples using a Coulter Counter (a lab based instrument). Both studies
101 found comparable PSDs in situ and ex situ, but post-measurement adjustments were nec-
102 essary to account for differences in the size ranges measured with each technique. Without
103 adjustments, Czuba et al. (2015) measured lower D_{50} values in the stream than on the phys-
104 ical samples, whereas Boss et al. (2018) measured similar PSD shapes but 2.5 times more
105 particulate volume concentration with the Coulter Counter than with the LISST-100X. As
106 different instruments measure at different ranges and might use different measurement prin-
107 ciples, accurate comparison of in situ and ex situ PSD measurements is only possible using
108 a single instrument.

109 An additional drawback of the laser-diffraction instruments used in the previously dis-
110 cussed studies is that flocculated particles can break when using a LISST-SL and a pump-
111 controlled flow through a chamber. Breaking or deforming the flocs during measurements
112 can result in unreliable PSD measurements (Lamb et al., 2020), as flocs get spread across
113 multiple size classes (Chassagne et al., 2021). The (de)formation of flocs changes the parti-
114 cle size distribution, density and particle settling velocity (Guo & He, 2011). For example,
115 freshwater flocs with diameters of 150–250 μm (fine sand) can have similar settling velocities
116 as 20 μm silt, because of their low densities, thus affecting the theoretical SPM flux estima-
117 tions (Lamb et al., 2020). Measuring in situ PSDs is therefore essential when using SPM
118 flux estimation models (Chassagne & Safar, 2020). The in situ use of LISST-200X, which

will be used in this research, overcomes this limitation as particles pass through an open flow chamber, minimising local turbulence during both in situ and ex situ measurements. Additionally, water sampling for ex situ measurements might induce breakage of flocs or promote flocculation (Gibbs, 1981; Phillips & Walling, 1995), which eventually attain a new equilibrium with the ex situ measurement setup after sampling (Kranck, 1979).

Another factor to be taken into account when using laser diffraction to determine PSDs is that a measurement time must be chosen to obtain representative measurements. Very little is known about the influence of SPM characteristics (e.g. dominant size-class) on the required measurement times. They should be long enough to be statistically representative, while remaining time and resource efficient. In existing literature, different measurement and averaging intervals are indistinctly used. For example, Czuba et al. (2015) measurements included an average of 16 readings taken in 2 seconds, while Gartner et al. (2001) averaged 16 readings taken in 20s, and subsequently averaged this over one minute. Alternatively, Andrews et al. (2010) took 10 measurements every second, and averaged this over 100 seconds. Zhao et al. (2018) looked more critically at the averaging method. They used an average of 30 measurements, indicating little difference ($< \sim 10\%$) between readings, and showed that both 30 or 60 readings yield approximately the same result. It should be noted that the aforementioned authors used different LISST versions, and that there is currently a lack of guidance on how to optimise measurement times.

It is crucial to acknowledge that the measured SPM PSD of a water sample collected from a river (ex situ) may not match the actual PSD in the natural environment. This is because the existing flocs or aggregates could be altered during sampling, storage and ex situ PSD measurements, changing its SPM characteristics. Similarly, optimum measurement time lengths might vary depending on SPM characteristics. We argue that the magnitude of the alteration when using ex situ methods is largely unknown, and that this lack of knowledge hampers the formulation of clear guidelines to measure PSDs in and ex situ, affecting the multitude of disciplines depending on particle size information. In this study, we hypothesize that the alteration of flocs is the main cause of divergence between in and ex situ PSD measurements, and that larger measurement times are needed as floc size increases. The latter is because the PSD of flocculated sediments is likely to cover a larger number of size classes. We test this by performing in situ and ex situ PSD measurements using the same instrument, storing samples for different duration of times and at different conditions (hot and light, and cold and dark), and by investigating the relationship between statistical uncertainty, number of measurements, and PSD characteristics. The objectives are (i) to examine the how D_{50} /PSD of flocculated particles changes in function of the ex situ measurement environment (shear stress parametrized by stirring speed), (ii) to determine the impact of sample storage duration on ex situ D_{50} /PSD measurements, and (iii) to establish optimal measurement times for in situ and ex situ measurements as a function of SPM characteristics. The key novelties of this study are the quantification of the effect of flocculation on grain size distributions and the presentation of an optimised measurement time for recording PSD and calculating reliable D_{50} values. The aims of this paper are conceptualised in Figure 1.

2 Methods

PSD measurements were performed using a LISST-200X (Sequoia Scientific), hereafter referred to as a LISST, for both in situ and ex situ measurements. Additionally, a Mastersizer-3000 (Malvern Panalytical), hereafter referred to as a Mastersizer, was used to test higher stirring speeds ex situ. During ex situ analysis, microscopic images were taken to visualise particles. This allows for identification and explanation of the differences between measurement methods. Finally, requirements for the duration of the in and ex situ measurements (measurement time length) were determined.

2.1 Particle size distribution measurements

2.1.1 LISST-200X

A LISST-200X is a submersible laser-diffraction based particle-size analyser. Laser diffraction instruments are based on the scattering of collimated laser light by small particles, and the subsequent detection (Agrawal & Pottsmith, 2000). The instrument projects a laser beam through a sample of particles in suspension and measures the forward scattering divided in multiple angles (Andrews et al., 2010; Czuba et al., 2015). The detector has multiple rings with logarithmically increasing radii, which correspond to a range of scattering angles (Agrawal & Pottsmith, 2000). The largest particles are detected by the innermost ring, and vice versa. The LISST has an optical path length of 2.5 cm through which the laser passes the sample. Light is scattered in 36 angles, resulting in 36 log-spaced size classes between 1.00 - 500 μm . Additionally, the laser passes through the centre of the rings, and a photo-diode behind the ring detector measures the transmission. The measured reduction in light intensity by attenuation is used to de-attenuate the measured scattered light. It is essential to correct for attenuation since the magnitude of scattering is related to the number of particles, and therefore needed to derive the PSD (Agrawal & Pottsmith, 2000). Before the light distributions are inverted to PSD, they must be corrected to account for background scattering in pure water and ageing of the laser and windows. Finally, the detected light is back-calculated to a PSD assuming a certain optical model. The LISST outputs PSD, total volume concentration, optical transmission, depth, and temperature on a desired measurement interval.

Limitations should be considered when using the LISST. Firstly, particles beyond the instrument's range (1.00 - 500 μm) are grouped in the smallest or largest size classes ('rising tails') (Fettweis, 2008), which can lead to an over or underestimation of D_{50} . Secondly, multiple scattering caused by high particle concentrations can affect the PSD measurements (Czuba et al., 2015; Sehgal, Martínez-Carreras, Hissler, Bense, & Hoitink, 2022). However, in this study, the measured SPMC (suspended particulate matter concentrations) were below 150 mg/L, what lies within the recommended measuring maximum limit of the manufacturer (1332 mg/l for 31.25 D_{50}). Thirdly, natural particles (including flocs) are not circular, impacting light scattering (Mikkelsen & Pejrup, 2001; Pedocchi & García, 2006). We therefore used the irregular particle random shape model of LISST, which takes into account the non-spherical nature of particles (Agrawal et al., 2008).

2.1.2 In situ measurements

The schematic diagram (Figure 2) summarises the steps taken to perform the measurements in situ and ex situ. In situ particle size measurements were performed in the Attert River in Useldange, Luxembourg. The sampling period covered the rising limb of a runoff event (16/11/2023 - 18/11/2023). At the sampling location, a LISST was mounted on a stepladder submerged close to the riverbank. The sensor was constantly submerged, positioned 20 cm above the stream bed, and parallel to the stream channel. This reduced particle adherence and sedimentation in the measurement cells. For optimum data quality, the LISST was cleaned every 2 weeks and the background calibration was updated. It was programmed to measure every 30 seconds.

The in situ PSD of each measurement was calculated as the average of the in situ measurements recorded for 15 minutes, evenly spread around the grab sampling time. This was not the case for the first measurement (out of four) however, where it is an average of the first 7.5 minutes due to a technical failure.

2.1.3 Ex situ measurements

To perform ex situ measurements in the laboratory, four grab samples (12-L each; sample 1, sample 2, sample 3, and sample 4) were collected near the LISST using a bucket

with a lid. Each grab sample was split into 12 1-L bottles (hereafter called sub-samples). Out of the 12 sub-samples, 6 sub-samples were stored at room temperature (18-23 °C) while exposed to light, referred as hot-stored samples, and 6 were refrigerated inside a dark cold-storage (4 °C), referred as cold-stored samples.

Ex situ particle size measurements were performed in the laboratory using a LISST and a Mastersizer. Additionally, the SPMC of the samples was measured, and the samples were inspected using a microscope. This analysis was done on various sub-samples, for three storage durations (1-3 weeks) and for two storage conditions (hot and cold).

The ex situ LISST PSD measurement procedure was as follows. Before doing the measurement, a background measurement was carried out with clear water. Then, after gentle agitation of the sediment bottle, the sample was poured into a test volume chamber provided by the LISST manufacturer (Figure 2). A magnetic stirrer kept particles in suspension, without air bubbles forming. Each sample was measured at three different stirrer speeds (100, 300, 400 rpm). Higher speeds were not used to avoid disalignment of the magnetic stirrer. Measurements were performed for 5 minutes. The LISST was set to average 10 recordings per second, resulting in 1 measurement per second. Measurements were taken consecutively with increasing stirring speeds starting at 100 rpm. We observed an exponential decrease in D_{50} in the first minute of stirring after changing the stirrer speed. After this time, the D_{50} and transmission (indication of turbidity) remained constant. We therefore excluded the data collected during the first minute. The raw data was converted to the corresponding PSD using the random-shape model (Agrawal et al., 2008). The averaged data was used to calculate the D_{50} value per sample, which was done for each individual stirrer setting (100, 300, 400 rpm), storage duration (1, 2, and 3 weeks) and storage condition (hot and cold). The calculated values were subsequently used to determine the effect of storage duration and stirring on PSD.

Additionally, ex situ particle size measurements were performed using a Mastersizer-3000 (Malvern Panalytical Ltd., Malvern, United Kingdom), hereafter referred to as Mastersizer (MS), to test high stirrer speed settings. Three different settings were used for this purpose: 1000 rpm, 2500 rpm, and 2500 rpm along with ultrasonic vibrations (US). The procedure is detailed in the Supplementary Text S2.

A standard gravimetric method was used to measure the SPMC of all water samples after filtration through 1.2 μm Whatman GF/C glass fibre filters (General guidelines: (Guy, 1969)). Finally, a settling column was used to visualise the SPM samples under an inverted microscope (Leica® DMR). First, the samples were transferred using a pipette into the settling column, where they were allowed to settle for 15 minutes. Next, a Leica-DFC 500 high-resolution digital camera (v. 3.7.0, Leica Microsystems) fitted on the microscope was used to take 2D images on a scale of 50 μm . 2D images may not reflect the spatial complexity of natural sediment and flocs, however, they provide a simple solution to infer the levels of intra-particle aggregation (Spencer et al., 2021). Here, we do not intend to quantitatively analyse the 2D images. Rather, we provide an example of the difference in the scale of primary particles (clay, silt, and sand) and flocs.

2.1.4 Additional data sets

Additional in situ and ex situ data sets (Table 1, in grey) were used to calculate the required measuring time to obtain representative PSDs, with the aim of including samples with contrasting characteristics. All additional data sets were collected using the same LISST-200X.

The additional in situ data from measurements at Everlange (Luxembourg) and Rotterdam (The Netherlands) were taken from Sehgal, Martínez-Carreras, and Hissler (2022a). The additional ex situ sources consist of two data sets: 1) measurements from several consecutive events sampled at Huncherange (Luxembourg), and 2) experimental data sets collected

268 using a tank setup. Both data sets, except for a few experiments from the second data set
 269 (oxidised, tank setup), were taken from (Sehgal, Martínez-Carreras, & Hissler, 2022b). A
 270 detailed description of the tank setup and measurement protocol is available in (Sehgal,
 271 Martínez-Carreras, Hissler, Bense, & Hoitink, 2022). The same measurement protocol and
 272 samples were used to characterize the sediment samples that were oxidised using hydrogen
 273 peroxide (H₂O₂) 60% at 1:1 solution (H₂O₂ and Mili-Q water) for 15 days with intermittent
 274 stirring and warming at 30 °C. Measurements recorded at concentrations of 100 mg/l and
 275 1000 mg/l were used. The oxidised data set was added to include PSD measurements of
 276 samples with nearly no organic matter or inter-particle cohesion.

Table 1. The list of data sets used to generate results in this study. Data set in grey is used for the Monte Carlo analysis (Section 3.5).

Data set	Amount of samples	in situ LISST	ex situ LISST				ex situ Mastersizer (MS)		
			Stirrer speed (rpm)				Stirrer speed (rpm)		
			100	300	350	400	1000	2500	2500*
This paper	28 (4 in situ, 24 ex situ)	X	X	X		X	X	X	X
Tank setup (no ox) ¹	32				X				
Tank setup (oxidised)	28				X				
Huncherange ¹	70					X			
Everlange ²	26	X							
Rotterdam ²	36	X							

*Additionally, ultrasonic vibrations were applied.

¹Sehgal, Martínez-Carreras, and Hissler (2022b); ²Sehgal, Martínez-Carreras, and Hissler (2022a)

277 2.2 Data analysis

278 2.2.1 Sample characterisation

279 We characterised the PSDs based on i) size percentiles, ii) distribution width, and iii) bi-
 280 or multi-modality. To account for differences in volumetric concentration when visualising
 281 the data, the PSDs are normalised by dividing the area per bin by the total area under the
 282 PSD.

283 The particle size of the sample was parameterised by taking the 10th, 50th, or 90th
 284 percentile of the PSD, resulting in the D_{10} , D_{50} , and D_{90} [μm], respectively. To group the
 285 samples, the PSD of a sample was defined as small if its D_{50} was smaller than the median
 286 D_{50} of all collected samples (51 μm). The PSD width was characterised as the span value
 287 (SV [-]):

$$SV = \frac{D_{90} - D_{10}}{D_{50}} \quad (1)$$

288 The PSD was defined as narrow if its SV was smaller than the median SV of all collected
 289 samples (2.38).

290 Finally, the bi- and multi-modality of a sample was defined by identifying local maxima
 291 (peaks) in its PSD. A local maxima is a data point in the PSD that is larger than its
 292 two neighbouring maxima. If the local maxima was at least 0.5 times the height of the
 293 concentration indicated by the global maximum (highest peak), then the sample was labelled
 294 as bi- or multi-modal.

2.2.2 Measuring time requirements

We studied the relation between statistical uncertainty and number of measurements, which was used to determine how many measurements are required to obtain a representative PSD. We performed a Monte Carlo bootstrap analysis to find which subset of all collected measurements of a sample reflects the characteristics of the entire population. We assume that the entire population is not changing over time. We randomly drew a subset of measurements and calculated its D_{50} . The size of the subset ranged from one measurement to all measurements in the entire set. Next, a Monte Carlo bootstrap analysis was performed 1000 times for each subset size to determine the deviation of the subset from the data set mean D_{50} . The minimum and maximum values were taken from each run. These simulations were performed for 233 samples (Table 1) with varying values of D_{50} , SV, modality, and measurement method (in or ex situ).

The measurement frequency (which could be more than 1 measurement per second) was used to convert the number of measurements, as calculated by the Monte Carlo bootstrap analysis, to measurement time. By studying the change in maximum deviation from the data set mean when adding more measurement readings (when measurement time increases), we gave an estimate on how many readings (and hence measurement time) were needed to give a representative estimate of the D_{50} of the sample. The threshold to determine when the sample is statistically representative was defined in three different ways, and can be tailored to the researchers' needs. The first two thresholds were based on the slope of the maximum and minimum deviation from the data set mean. The slope of the deviation decreased when adding more measurements, indicating that the information gain (or decrease in uncertainty) was decreasing when including more measurements. The first threshold is reached when the slope of the maximum and minimum deviation from the data set mean is equal or less than ± 0.05 . A stricter formulation of this is used for the second threshold, where the slope should be equal to or less than ± 0.005 . Finally, a maximum deviation of 5% from the data set mean is allowed for the third threshold. Different thresholds can be chosen depending on the accuracy level required.

3 Results and Discussion

Sections 3.1 and 3.2 describe the PSD behaviour in the in situ and ex situ measuring environments. Sections 3.3 and 3.4 highlight the influence of storage and stirring on D_{50} with reference to in situ D_{50} . Section 3.5 provides the minimum measurement time needed to obtain a reliable average value of D_{50} for different SPM characteristics. Section 3.6 describes the implications of the results and recommendations for PSD measurements based on this study.

3.1 In situ sample characterisation

Figure 3 shows the in situ and ex situ PSD of the 4 samples collected during the rising limb of a runoff event. In-situ measurement 1 (and sample 1) was taken during the onset of the event and measurements 2-4 were taken during the rising limb (Figure 2).

Discharge dynamics impact the PSDs of the samples in three different ways. First, while discharge increased with measurement number, so did the D_{50} of the in situ samples ($D_{50} = 26 \pm 3, 47 \pm 4, 53 \pm 3, \text{ and } 53 \pm 2 \mu\text{m}$ for samples 1-4, respectively), and also in the SPMC of the samples (11, 47, 53 and 53 mg/L for samples 1-4, respectively; see also Supplementary Figure S11). With increasing discharge, the particle size and concentration increases, which can be related to remobilisation of sediment stored on the river bed (Lee, 2019) and an increase of floc size by increasing shear (Grangeon et al., 2012).

Second, the nature of the particles that are dominating the PSD differs per measurement. During the onset of the event (sample 1), the D_{50} is smaller, and the bimodal

distribution of the PSD (peaks at 6 and 22 μm) could be related to the presence of small primary particles (clay) and small flocs. These peaks may represent the base flow conditions, which become less dominant as larger particles are entrained. However, these sizes are still visible as plateaus in the PSDs of samples 2-4. The peaks and plateaus in the in situ PSDs are located at 3, 6, 22, 50-85, and 385 μm (the largest plateau only in sample 2). These sizes correspond to the often made division between primary clay particles (3 μm), flocculi (15 μm), microflocs (50-200 μm) and macroflocs (200-500 μm) (Lee et al., 2012).

Finally, the discharge signature is also visible in the variability of in situ PSDs. This variability can be indicated by the coefficient of variation (standard deviation divided by mean) of the volumetric SPMC, which are 11, 9.7, 7.8, and 6.6 $\mu\text{L/L}$ for measurements 1-4 respectively (see also Supplementary Figure S11). The variability is the largest in the first sample. This could be related to the fact that flocs are often more irregularly shaped at low discharge, with a more open matrix (loosely bonded) in which macro-pores can develop (Williams et al., 2008), while they are more densely packed at high discharge (Droppo et al., 2005).

3.2 Discrepancy between in and ex situ PSDs

Ex situ PSDs shown in Figure 3 include the PSDs from both storage conditions (hot and cold) measured after 1, 2, and 3 weeks of storage using different stirrer settings (100, 300, and 400 rpm; Table 1). The average D_{50} of the samples measured ex situ (105 ± 34 , 76 ± 26 , 80 ± 26 , and 73 ± 31 μm for samples 1-4 respectively, see Supplementary Table S1 for the D_{50} corresponding to each measurement) is larger than those measured in situ, which is primarily caused by the presence of larger particles (Figure 3) - possibly flocs that form when particles settle at the bottom of the sample bottles during storage.

The presence of flocs in the samples is confirmed from microscopic images. They show that the particulate matter found in our samples range from primary particles (clay, silt, sand; Figure 4a-c) to flocs of different sizes (Figure 4d-f). The flocs found in our samples are rich in organic matter (Figure 4), and range up to 0.5 mm. Flocculated particles are commonly found in rivers, often in the presence of organic matter (Nicholas & Walling, 1996; Bungartz & Wanner, 2004) which helps bind particles together (Dyer, 1989; Winterwerp, 2002; Mietta et al., 2009). It is important to derive the effective PSD, including the flocculated particles, since flocs impact sediment transport by changing the settling velocity: flocs the size of medium sand have a settling velocity equivalent to fine silt (Lamb et al., 2020). Excluding flocs from the PSD would result in a shift in D_{50} towards smaller sizes (Droppo, 2004). In the following sections, we explore the impact of flocs on ex situ PSD LISST measurements.

3.3 Impact of stirring on ex situ measurements

The stirrer speed has a large impact on PSD ex situ measurements (Figure 5). For all samples, a decrease in D_{50} values with an increase in stirrer speed was observed. This was on average 56% when stirrer speed changed from 100 to 300 rpm and 23% with a change from 300 to 400 rpm (Figure 5).

The stirrer speed is a measure for shear stress in the mixing jar, which is often several orders of magnitude higher than in natural rivers (Chakraborti et al., 2009). Since floc size is known to attain an equilibrium with the shear stress in the water column (Kranck, 1979), the stirrer speed will impact the floc size. The decrease in D_{50} with increasing stirrer speed, and therefore increased shear, is related to deformation (densification and coiling Chassagne et al. (2021)), and/or breaking of flocs (Oles, 1992). Coiling is the restructuring of a floc into a more compact arrangement while maintaining its integrity, even after being subjected to external forces. This deformation often coincides with densification. Densification can also occur when flocs break and re-aggregate (Selomulya et al., 2003), but this results in flocs

with weaker attachment strengths (Clark & Flora, 1991; A. K. Yeung & Pelton, 1996). It is unclear which process (deformation or breaking) lead the decrease in D_{50} of our samples. A. Yeung et al. (1997) used turbidity as a proxy of the inverse of flocculation. Turbidity can be estimated by the transmission value of the LISST, and was found to be relatively constant (on average a decreased a 2% at the end of the measurement) in this study. Additionally, the total volume concentration remained constant. This implies that the number of particles remained the same, indicating that the deformation process dominated rather than the breaking process.

The D_{50} values of the in situ LISST measurements are considered a reference for the ex situ LISST and Mastersizer measurements (Figure 5). The largest difference between the in situ and ex situ D_{50} values using LISST was observed at 100 rpm: the mean D_{50} measured ex situ using LISST was on average 180% greater than the in situ value. 90% and 60% greater values were observed using 300 and 400 rpm.

The stirrer speed of the Mastersizer was larger than during the LISST measurements, resulting in smaller values of D_{50} (Supplementary Figure S1 and S2). At the lowest stirrer speed of the Mastersizer (1000 rpm), the ex situ D_{50} values are larger than the in situ values. At 2500 rpm (+US), the in situ values of D_{50} are larger than the ex situ equivalents. Adding US slightly decreases the D_{50} , which could be due to breaking of the flocs, or because the vibrations caused by the high frequency sound waves lead to coiling of the flocs. The Mastersizer results suggest that there should be an intermediate stirring speed which breaks or deforms the flocs to such an extent that the conditions are equal to riverine conditions. Chakraborti et al. (2009) suggested that the choice of ex situ stirring speed can be adjusted to the in situ shear forces the researcher wants to mimic, to be able to compare in and ex situ measurements. This requires the assumption that field samples are taken in steady state, which could be true for their lake samples, but might not be the case for our riverine samples taken during the rising limb of a discharge event. As shown in this research, simulating natural conditions is very difficult, and simply measuring in situ might be an easier and more reliable solution.

Ex situ measurements are however valuable for determining the PSD of primary particles (PP). The difference between the effective PSD and PP PSD gives a measure of the degree of flocculation, and can also be useful to understand which size fractions in the PSD are influenced by organic matter (Lake et al., 2021). Our results suggest that the higher the stirring speed, the closer the data reflects the PSD of the PP, which is specifically evident in the highest tested stirrer speed with the Mastersizer (Supplementary Figures S3-S6). To fully reduce the sample to PP, hydrogen peroxide treatment is needed, which removes all the organic matter and the corresponding cohesive bonds (Gray et al., 2010; Walling et al., 2000). Lake et al. (2021) performed ex situ PSD analysis of samples taken close to our study area after removal of the organic matter. Their data indicated that the D_{50} of PP is about 44-52% smaller than the ex situ measurements of the non-treated samples, both measured using a Mastersizer at 2500 rpm. This most certainly indicates that we have not reduced our samples to PP by only increasing stirrer speed.

3.4 Impact of storage on ex situ measurements

Samples showed flocculation during the first week of storage, as shown by the large increase in D_{50} values between in situ and ex situ samples. After one week of storage, the ex situ mean D_{50} was 258%, 59%, 46% and 57% larger than the in situ mean D_{50} (Figure 6). Phillips and Walling (1995) explored the effects of storage on the sample in the first days after sampling. They found an increase in D_{50} of 9-63% compared to in situ measurements after a relaxation time of up to three days and using the lowest stirrer speed possible to keep particles in suspension. After storing our samples for seven days, we found an increase in D_{50} of 207-588% (average 293%) using the lowest stirrer speed. This is much larger than the findings of Phillips and Walling (1995), suggesting that the process of floc

443 formation increases beyond their study time. Neither in this study, nor in the study of
 444 Phillips and Walling (1995), was it possible to resemble the in situ reference state with ex
 445 situ measurements, once the sediment had settled in storage. However, they did report a
 446 good agreement between in and ex situ measurements when storage time was short enough
 447 to avoid particle settling in the sample containers, despite the fact that flocs can also break,
 448 deform, or grow during sampling (Gibbs, 1981; Eisma, 1986). However, the storage time
 449 until settling is so short that it is practically infeasible to transport the samples to a lab
 450 for ex situ measurements. This underlines the recommendation to measure in situ to obtain
 451 robust and representative PSDs, rather than to perform ex situ measurements.

452 Surprisingly, the influence of storage on flocculation beyond the first week was minimal
 453 (Figure 6), and stirrer speed turned out to be far more important for D_{50} determination
 454 than storage time. The relatively constant D_{50} over time indicates that flocculation did
 455 not continue, independent of storage condition. Stabilised conditions might inhibit any
 456 further floc formation. The slight increase (13 % on average, compared to week 1) in D_{50}
 457 for cold-stored samples, could potentially indicate that bonds had strengthened over time
 458 due to stabilisation. In contrast, the decrease (40 % on average, compared to week 1) in
 459 D_{50} for hot-stored samples could be related to the disintegration of the organic-rich flocs.
 460 Organic-rich flocs could be more susceptible to decomposition and bio-degradation in warm
 461 conditions, leading to floc breakage rather than formation. Only the cold-stored samples
 462 in week 2 showed a large increase in D_{50} compared to week one (201-292%, depending on
 463 stirrer speed), which could be caused by an unusually large microbiological presence in this
 464 specific sample.

465 3.5 Required measurement time for a representative PSD

466 Figure 7 shows an example of the Monte Carlo bootstrap analysis for six samples. With
 467 an increasing number of measurements, the deviation of the minimum and maximum D_{50}
 468 (and hence the possible range of outcomes) from the mean D_{50} value obtained for the total
 469 population decreased exponentially. After a certain threshold, adding more measurements
 470 results in only a minor decrease in the statistical uncertainty (Figure 7 b and d). This
 471 threshold defines the minimum amount of measurements (time) that are needed to obtain
 472 a statistically representative D_{50} . The threshold (threshold 1) measurement time is indi-
 473 cated with the vertical line, and is achieved when the smoothed slope of the minimum and
 474 maximum deviation from the actual mean reaches a slope lower than 0.005.

475 For all three thresholds, the required measurement time increases if the median par-
 476 ticle size D_{50} increases, and if the span value SV increases (Figure 8). Samples which are
 477 characterized by a low D_{50} but a high SV, or the other way around, require generally less
 478 measurement time to reach the threshold. The threshold of 5% deviation from the actual
 479 mean is the strictest threshold, which is mostly sensitive to SV (Figure 8). To explore the
 480 robustness of this relation, the required measurement times of all in situ and ex situ samples
 481 were explored.

482 The impacts of the measurement method (in situ or ex situ), D_{50} , SV, and modality are
 483 summarised in Table 2. Regardless of the threshold, in situ, bi- or multi-modal samples with
 484 a large D_{50} and SV required longer sampling times. However, these PSD characteristics are
 485 interrelated. For example, the percentage of field samples that is classified as wide, large,
 486 and bimodal is 70, 68, and 60%, respectively. Similarly, only 18% of the samples are classi-
 487 fied as wide and small, and 15% as wide and bimodal. We performed an ANOVA analysis
 488 (Supplementary Materials, Text S1 and Figures S12, S13) to determine the relative impor-
 489 tance of PSD characteristics on the required measurement time. The required measurement
 490 time primarily depends on measurement method (in/ex situ) for thresholds 1 and 3, and
 491 the interaction between the measurement method and D_{50} for threshold 2. Other important
 492 variables were the interaction between D_{50} and bimodality (threshold 1), the interaction

493 between SV and D_{50} (threshold 2), the interaction between SV and measurement method
 494 (in/ex situ) (threshold 2 and 3), and SV (threshold 3).

495 The relation between measurement method, SV, bimodality, and measurement time can
 496 be understood intuitively. In situ samples show higher temporal variability than their ex
 497 situ equivalents, thereby increasing the required sampling time. Similarly, wide and bimodal
 498 distributions are more variable, and a longer sampling time is needed to remove the effect of
 499 this variability. By approximately knowing the character of the samples, the sampling time
 500 can be tailored to a research area. The fact that similar samples have similar characteristics
 501 (i.e. most field samples have a wide, bimodal distribution; Table 2), can be used in our
 502 favour, since only one of the characteristics has to be known to make an estimation of the
 503 required sample times. Samples with flocculated particles often have a wider, coarser, and
 504 more bimodal distribution compared to the non-flocculated equivalents. This means that
 505 the presence of flocs increases the sampling time required.

506 The recommended sampling time can serve as a baseline for the design of in situ mon-
 507 itoring protocols, or as an indication for the initial design of an ex situ measurement cam-
 508 paign. Especially for in situ measurements, resources (time, costs, battery duration) are
 509 limited, and sampling time should be minimised as much as possible. The obtained sam-
 510 pling times can help optimise time and resource allocation in data collection. Minimising
 511 sampling time means a higher spatial resolution can be obtained if time is no constraint.

512 When implementing this strategy in future research, one should be aware that the
 513 required measuring time is an indication, and may be system specific. Therefore, the same
 514 Monte Carlo bootstrap analysis method should be adopted in other systems independently.
 515 When a few samples with relatively long sampling times are taken, the bootstrap analysis
 516 can determine the sampling time needed in that specific system. Furthermore, the analysis
 517 can also be used to optimise the measurement time for other statistical parameters describing
 518 the PSD, such as D_{10} or D_{90} . The procedure itself can be adjusted to the researcher's needs.
 519 The choice of threshold, which determines the time needed to obtain a representative number
 520 of measurements, is dependent on the required accuracy of the study. Additionally, if there
 521 is a need for higher spatio-temporal resolution, outlier reduction in post-processing can be
 522 considered. We tested this by excluding PSD outliers when calculating the D_{50} . An outlier
 523 is defined as the 95-percentile of the worst correlating individual samples, determined with
 524 cross-correlation. This decreased the averaged sampling time by 2 seconds. Care should be
 525 taken when filtering outliers, since 'outliers' on the large side of the PSD spectrum could be
 526 flocculated particles.

527 **3.6 Implications and recommendations**

528 The effects of storage and stirring when doing ex situ measurement of suspended (floc-
 529 culated) particles should be considered carefully. The formation of flocs during storage is
 530 not neutralised by the destruction/deformation of flocs during stirring, and the PSD as
 531 measured has very little resemblance to the original in situ PSD. Ex situ measurements
 532 give reliable data only about primary particles, after the right sampling treatment. When
 533 interested in the effective PSD, in situ measurements should be preferred. The drawbacks
 534 of in situ measurements are the non-controlled environment in which they are performed
 535 and the impact of bubbles and debris on the measurements. To account for this variabil-
 536 ity the sampling time needed to obtain a robust mean is longer for in situ than ex situ
 537 measurements. Additionally, the presence of the device slightly alters the water flow, the
 538 effect of which can be minimised by optimising the positioning of the device. When in situ
 539 measurements are logistically infeasible, ex situ measurements should take place right away
 540 after sampling, without allowing the sediments to settle (Phillips & Walling, 1995), which
 541 comes with its own challenges.

542 This analysis reveals great variability among D_{50} estimations that are often considered
 543 equivalent. Values of D_{50} depend on the measurement instrument (LISST, Mastersizer), the

Table 2. Measurement time requirements (median, mean, and max) for different types of samples (including their number) and the three thresholds (slope = 0.05, slope = 0.005, and 5% deviation). Samples characterized as 'large', are samples with a D_{50} that is larger than the population median. The opposite is true for samples characterised as 'small'. Samples with a 'narrow' PSD are characterised by an SV that is smaller than the population median, the opposite is true for samples with a 'wide' PSD. # > th indicates the number of samples for which the threshold is not reached.

Sample type (#)	Threshold (th)								
	slope = 0.05			slope = 0.005			5% deviation		
	median (mean) (s)	max (s)	# > th	median (mean) (s)	max (s)	# > th	median (mean) (s)	max (s)	# > th
Ex situ (83)	33 (45)	158	2	59 (67)	172	2	29 (66)	186	6
In situ (150)	57 (61)	154	3	64 (70)	179	3	121 (117)	217	6
Small (116)	32 (43)	153	1	64 (67)	179	1	30 (60)	217	6
Large (117)	47 (58)	158	4	80 (83)	172	4	108 (108)	212	6
Narrow (117)	31 (39)	153	0	65 (67)	172	0	20 (45)	217	3
Wide (116)	52 (62)	158	5	80 (83)	179	5	135 (126)	214	9
Unimodal (139)	33 (43)	153	0	66 (69)	160	0	29 (59)	214	4
Bi- and multimodal (94)	50 (63)	158	5	78 (83)	179	5	130 (123)	217	8

544 measurement method (in situ and ex situ) and the sampling manipulation (storage, stirrer
545 speed). This has several consequences. Firstly, this means that “The” particle size distri-
546 bution does not exist, which can have serious consequences. For example, implementing
547 an erroneous D_{50} of only 50 μm (300 instead of 250 μm - a realistic error as shown in this
548 analysis) in the sediment transport predictor of Ribberink (1998), results in an underes-
549 timation of the non-dimensionalised sediment bed-load transport of 26% (Supplementary
550 Figure S14). Secondly, particle size measurements reported in one study cannot be directly
551 compared with other studies. This stresses the need for accurate reporting of PSD mea-
552 surement and analysis protocols. Unfortunately, a standard protocol to measure PSDs is
553 lacking. The constant change and improvements of measuring instruments (for example from
554 the LISST-100X to the LISST-200X, and from the Mastersizer 2000 to the 3000 edition)
555 leads to the development of new protocols based on different assumptions. Those changes
556 hamper the direct comparison of PSD measurements that were taken over the course of
557 time. Especially for multimodal PSDs, such as PSDs characterising flocculated particles
558 (Lee et al., 2012, 2014), there is a need for a standard that allows for better comparison
559 between measurements with alternative devices.

560 4 Conclusion

561 Experiments were performed to acquire in situ and ex situ particle size distribution
562 (PSD) measurements with a LISST-200X. The probe was used to measure in situ during the
563 rising limb of a runoff event, when water samples were simultaneously taken. Those samples
564 were stored under hot and cold conditions for 1 – 3 weeks and subsequently measured with
565 a LISST in the laboratory (ex situ) using a measurement chamber and magnetic stirrer.
566 Additionally, a Mastersizer-3000 was used to study the impact of higher stirrer speeds.
567 From these experiments, we can conclude that:

- 568 • There was a difference between the D_{50} of in situ and ex situ PSD measurements.
569 The D_{50} of samples measured ex situ were larger, due to the formation of flocs during
570 the first week of storage.
- 571 • Values of D_{50} did not significantly change during the subsequent weeks of storage.
572 The process of flocculation did not continue after the first week. Stabilisation of the
573 material on the bottom possibly prohibited further floc growth, but may strengthen
574 the flocs. This process was more pronounced in cold-stored samples, resulting in
575 slightly larger flocs than in hot-stored samples.
- 576 • During ex situ measurements, the magnetic stirrer caused the flocs to break and/or
577 coil. This reduced the D_{50} value of the samples significantly, and had a larger ef-
578 fect than storage duration after the first week. A higher stirrer speed resulted in a
579 lower D_{50} . This was also visible in the measurements with the Mastersizer, where
580 further stirrer speed increases resulted in even lower values of D_{50} . Adding ultrasonic
581 vibrations dispersed the flocs even more, thereby decreasing the D_{50} .
- 582 • It was impossible to return ex situ samples to their original, in situ, state. Therefore,
583 we recommend in situ measurements if the effective PSD is to be acquired. Ex situ
584 measurements are only useful for obtaining the PSD of primary particles.
- 585 • The Monte Carlo bootstrap analysis showed that the PSD measurement time required
586 to obtain a consistent and accurate D_{50} primarily depended on the measurement
587 methodology (in or ex situ). Furthermore, the median grain size, the span value, and
588 the modality were important.
- 589 • The variability during in situ measurements was higher than in controlled laboratory
590 conditions, requiring a longer measuring time for a robust estimate of the median
591 grain size. The average measurement time was 45 seconds for ex situ samples, and
592 61 seconds for in situ samples, for a threshold of slope = 0.05. The other tested
593 thresholds were stricter, resulting in measurement times of up to 217 seconds.

594 Acronyms

595	D_{50}	Median particle size
596	PSD	Particle Size Distribution
597	PP	Primary Particle
598	SPM	Suspended Particulate Matter
599	SPMC	Suspended Particulate Matter Concentration
600	SV	Span Value
601	US	Ultrasonic vibrations

602 Open Research Section

603 The data used to generate the results in this study are temporarily made available
604 at our LIST institutional cloud via <https://cloud.list.lu/index.php/s/Sd4dAFR729mEQqf>
605 with access upto 31.12.2023. The data stored at our institutional cloud will be made
606 available through a public repository <https://zenodo.org/> (as requested by our funding
607 agency) on acceptance of the paper. The script for the Monte Carlo bootstrap analysis,
608 will be made available through the public repository of 4TU: DOI 10.4121/379d78a3-7370-
609 4171-ae35-a91115f80965. The data for site Everlange and Rotterdam was taken from DOI
610 10.5281/zenodo.7393129. The data for site Huncherange and tank-setup was taken from
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Figure 1. Graphical overview of this research

Figure 2. Summary of the sampling steps for in situ and ex situ PSD measurements using a LISST-200X and a Mastersizer-3000. The picture of the Mastersizer is taken from Malvern Panalytical (www.malvernpanalytical.com). Inset: Hydrograph of the rising limb of the sampled rainfall-runoff event between 16/11/2022 - 18/11/2022, indicating the four sampling times.

Figure 3. Average of in situ (black) and ex situ (green) normalised particle size distributions of 4 samples measured using a LISST-200X (ex situ: each thin line indicates a different storage condition, storage duration, and stirring speed). Mean in situ and ex situ D_{50} are indicated with vertical lines in the corresponding colours. See Supplementary Figures S7-10 for the individual PSDs.

Figure 4. Examples of primary particles and flocs as seen under a microscope. a) clay, b) silt, c) sand, d) small floc, e) medium sized floc, insert showing the interaction between a primary particle and a floc, f) composite picture of a large floc. The scale is the same for all sub-figures, except for the insert.

Figure 5. Impact of stirring speed on the D_{50} values of the 4 samples (a-d) measured ex situ using a LISST and a Mastersizer. The D_{50} values were calculated for the measurements taken for 3 consecutive weeks (week 1, 2 and 3) in both storage conditions (hot and cold) and applying different stirrer speeds using a LISST (100, 300, and 400 rpm) and a Mastersizer (1000, 2500 and 2500 rpm + US (ultrasonic vibrations)). The mean D_{50} values are averaged over the storage duration; error bars indicate the standard deviation.

Figure 6. Impact of storage on the D_{50} values of the 4 samples (a-d) measured ex situ using a LISST and a Mastersizer. The D_{50} values were calculated for the measurements performed for 3 consecutive weeks (week 1, 2, and 3) in both storage conditions (hot and cold) and at different stirrer speeds using a LISST (100, 300, and 400 rpm) and a Mastersizer (1000, 2500, and 2500 rpm + US (ultrasonic vibrations)). The mean D_{50} values are averaged over stirrer speeds; error bars indicate the standard deviation.

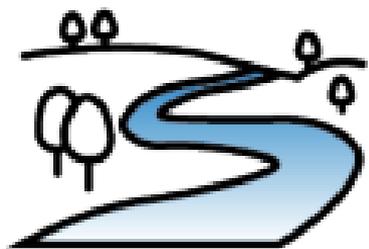
Figure 7. Example of the Monte Carlo bootstrap analysis to determine measurement time requirements. a) and c) examples of particle size distributions (PSDs) of individual measurements, with the average distribution indicated by the thicker line. The PSDs have different values of both D_{50} (a) SV (span values) (c). b) and d) the corresponding measurement time requirement (in seconds) calculated from the Monte Carlo bootstrap analysis, for the threshold slope = 0.005. The threshold is reached at the vertical line in the corresponding colour.

Figure 8. The relation between median particle size (D_{50}), span value (SV), and required measurement time (colours), for three different thresholds (a-c). Ex situ samples are indicated with a black circle. For the original data set source (Table 1), see Supplementary Figure S15.

Figure 1.

Suspended particle measurement

in situ



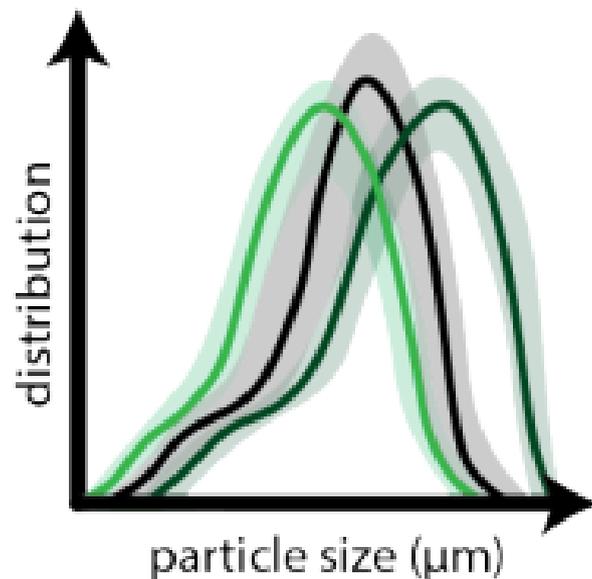
ex situ



stirring



storage



Particles and flocs

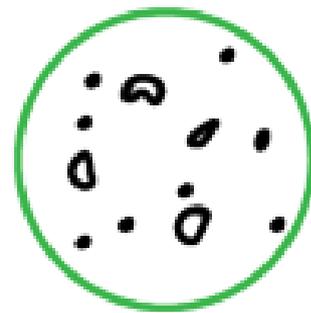
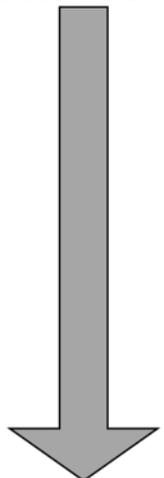
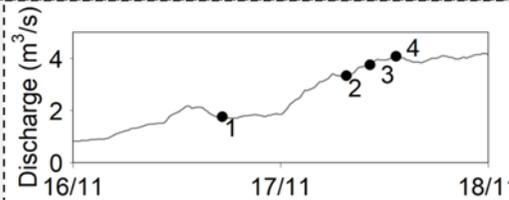


Figure 2.

SEDIMENT SAMPLING LOCATION AND TIME

River: Attert, Luxembourg
Water Depth: 60 cm
Samples / Measurement: 4 (rising limb of a rainfall event)

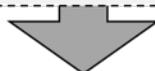


SEDIMENT SAMPLING

Volume: 12 litres
Apparatus: Bucket with a lid

SEDIMENT STORAGE

Condition: Hot: 20°C (Room) Cold: 4°C (Refrigerated)
Duration: 3 weeks



IN SITU MEASUREMENT - ONCE

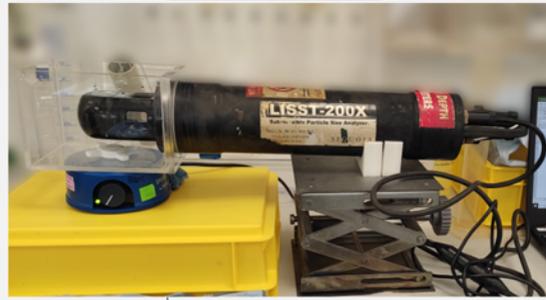
LISST-200X



Setup: 30 cm above bed

EX SITU MEASUREMENT - WEEKLY

LISST-200X



100 rpm
300 rpm
400 rpm

Stirrer speed

Mastersizer - 3000



1000 rpm
2500 rpm
2500 rpm

+ Ultrasound

Figure 3.

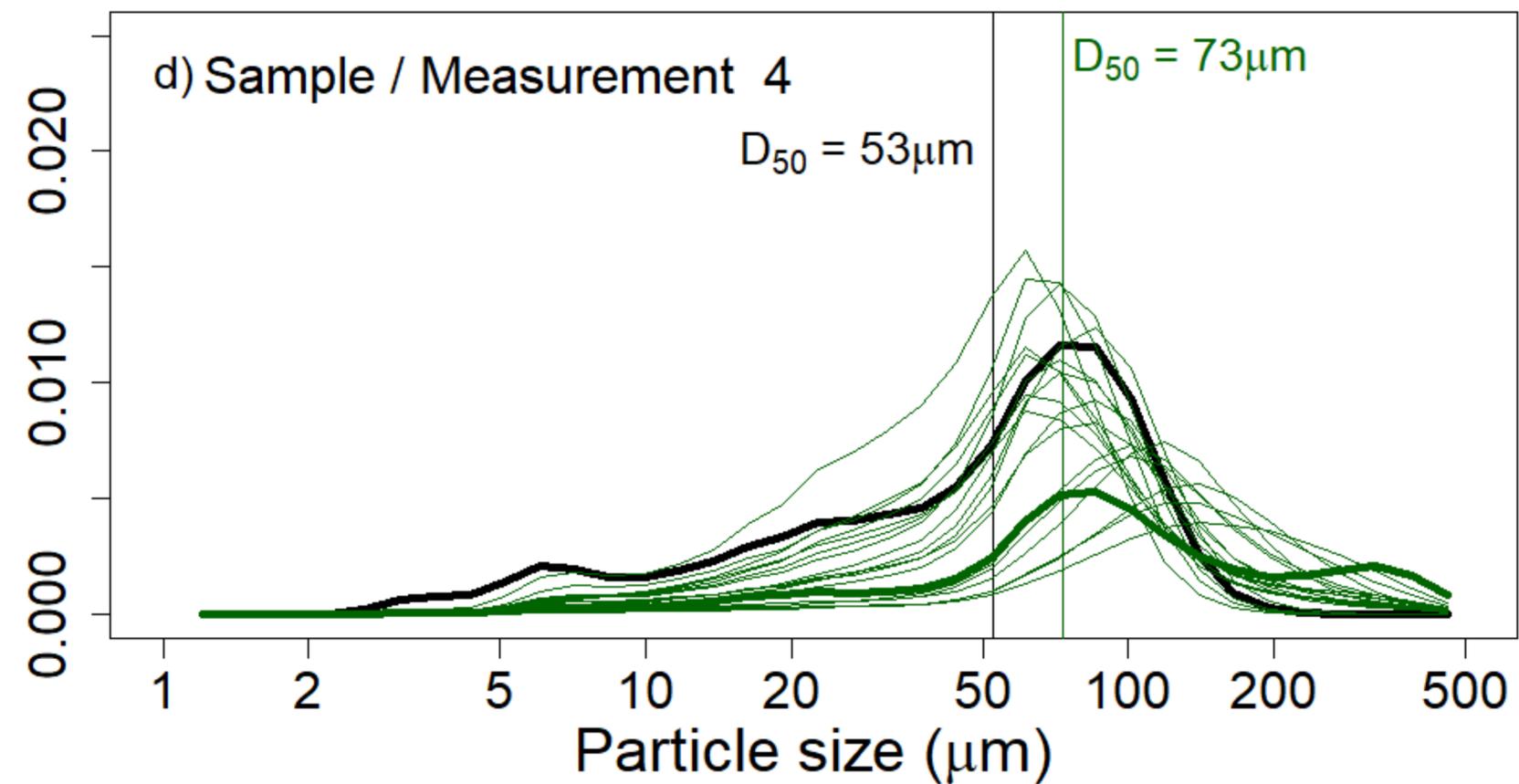
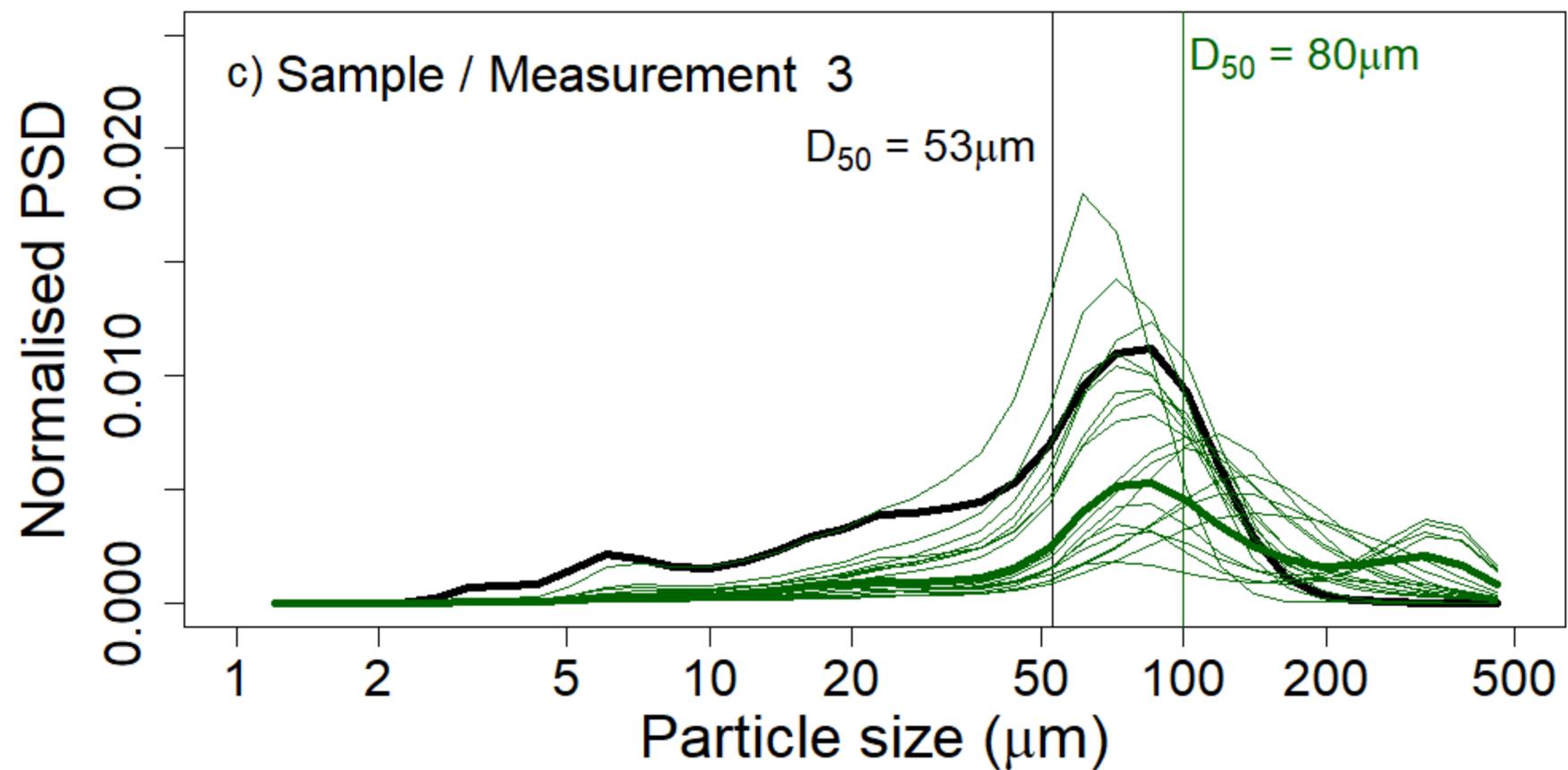
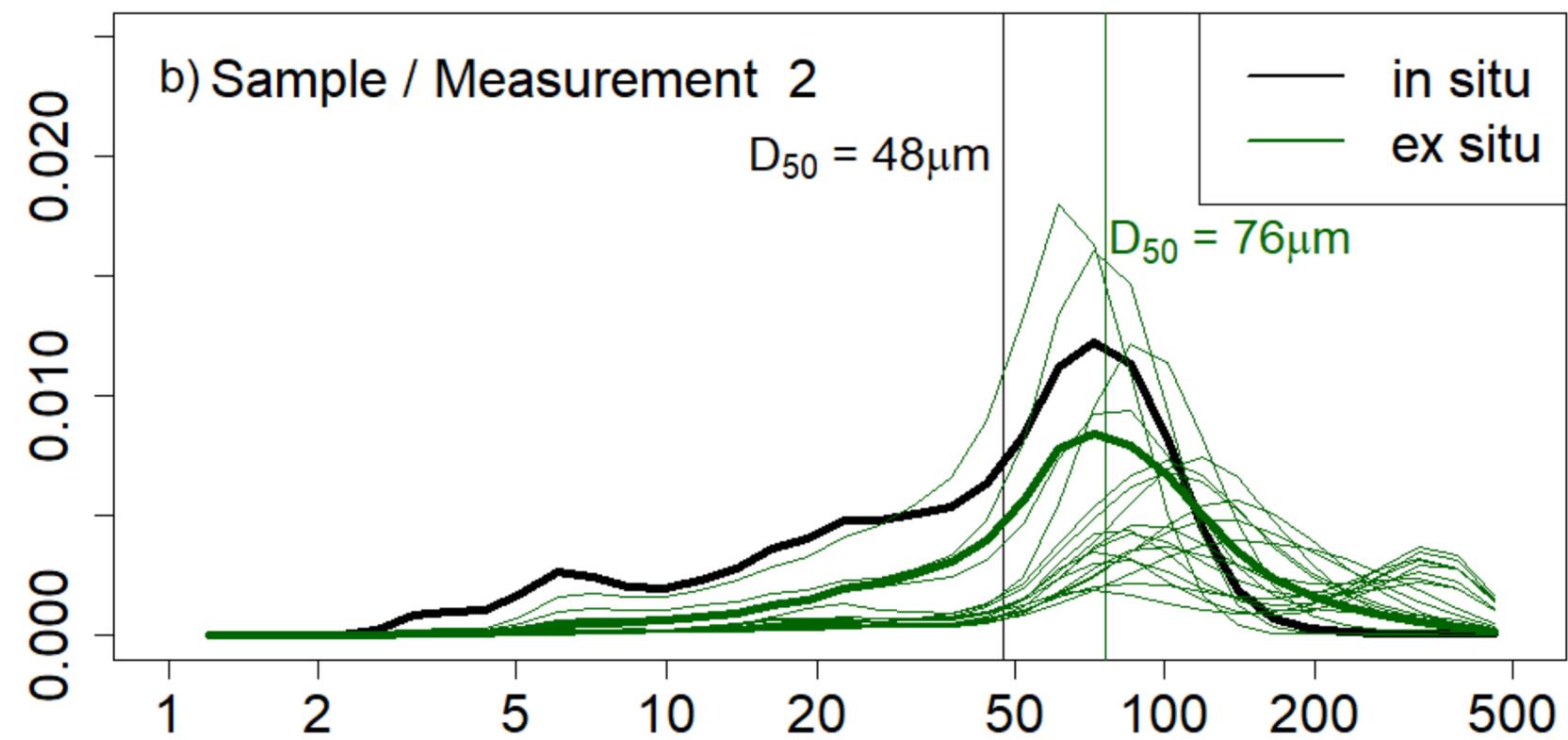
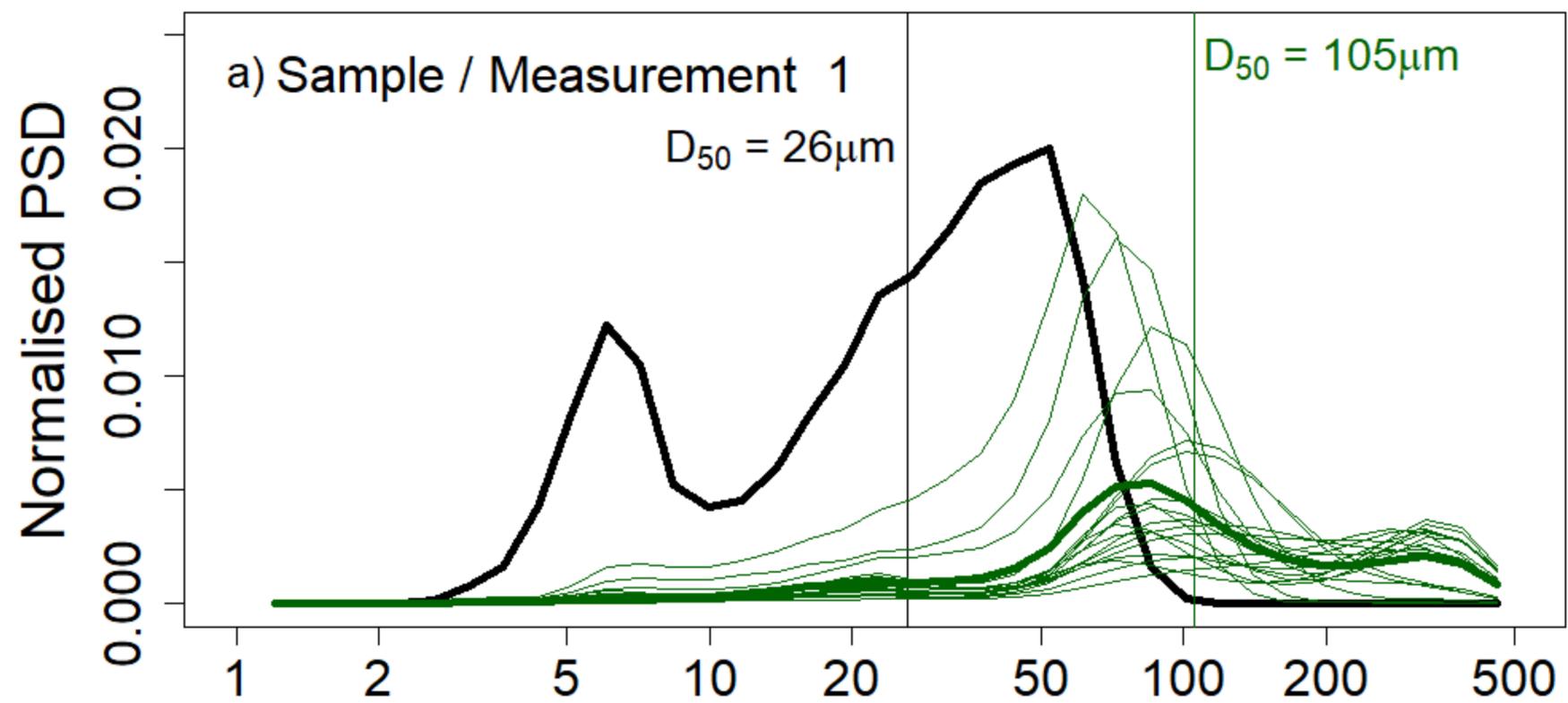
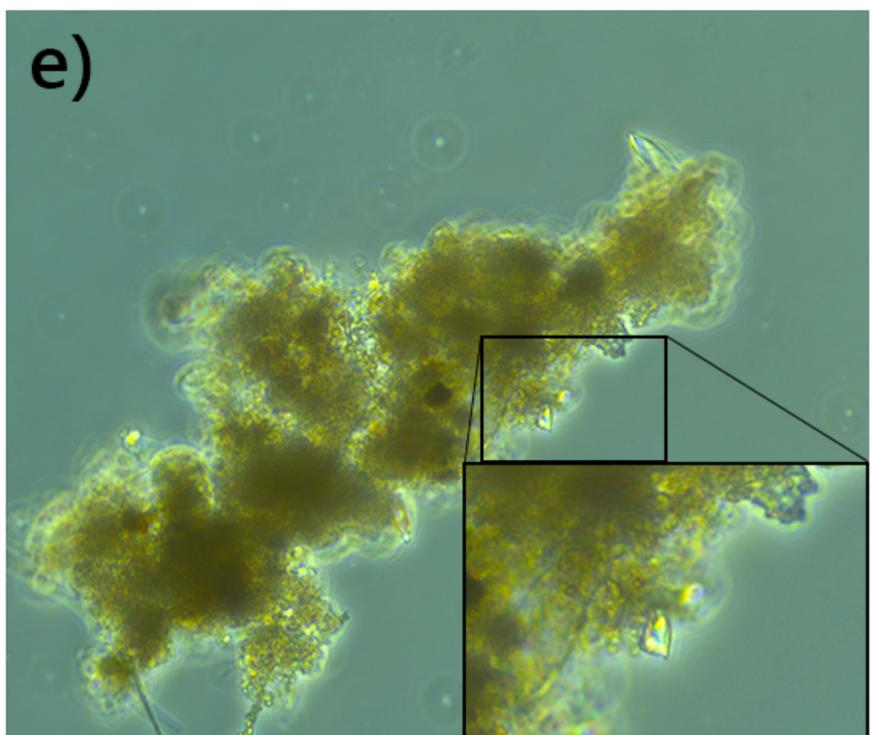
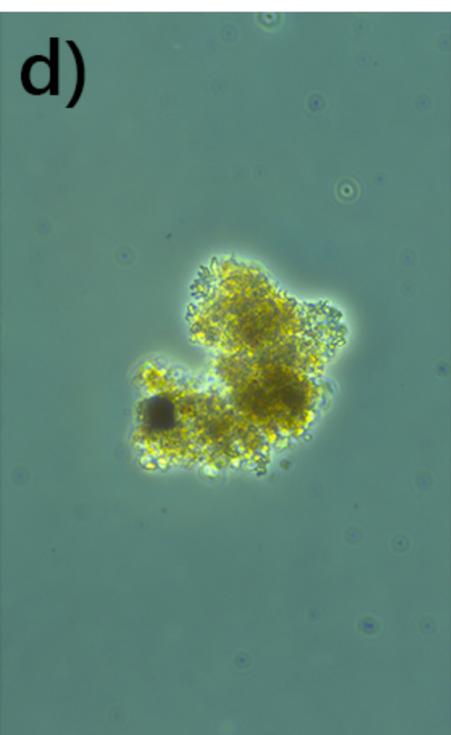
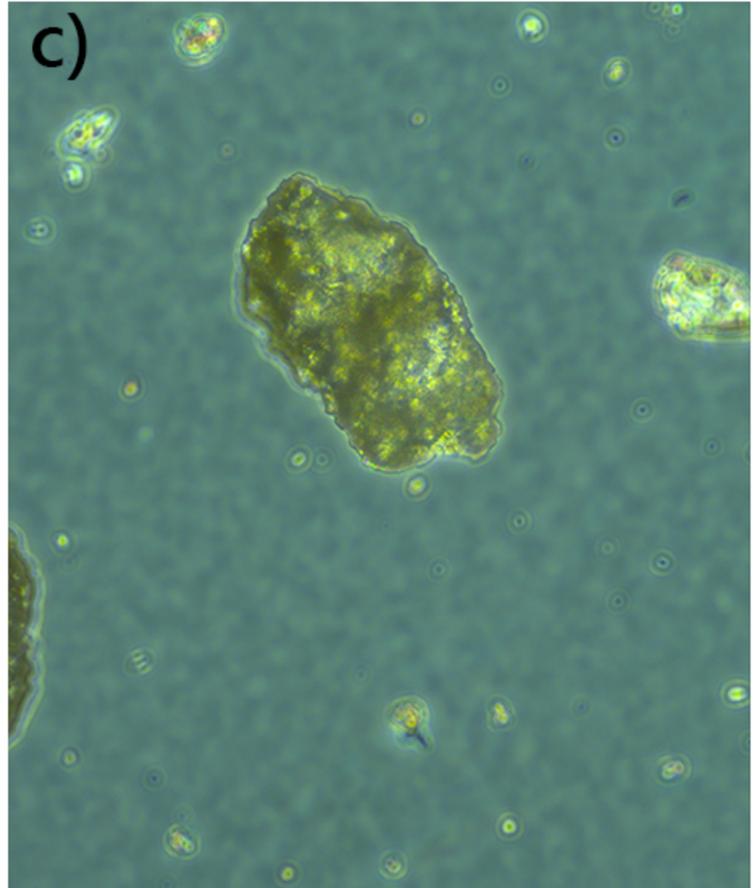
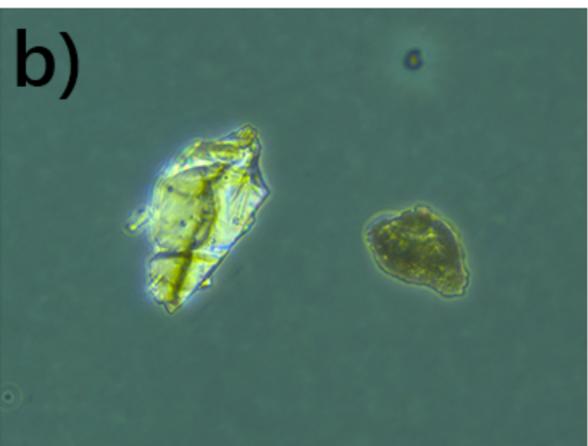
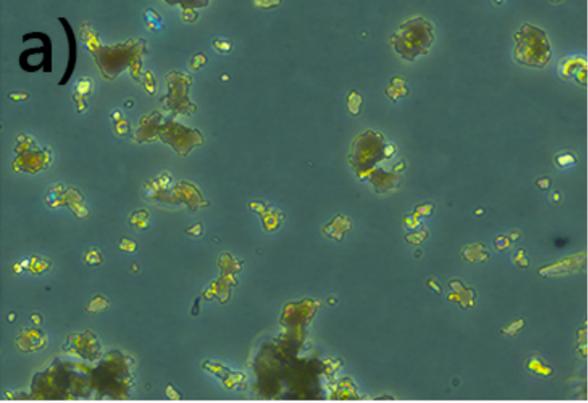


Figure 4.



100 μm

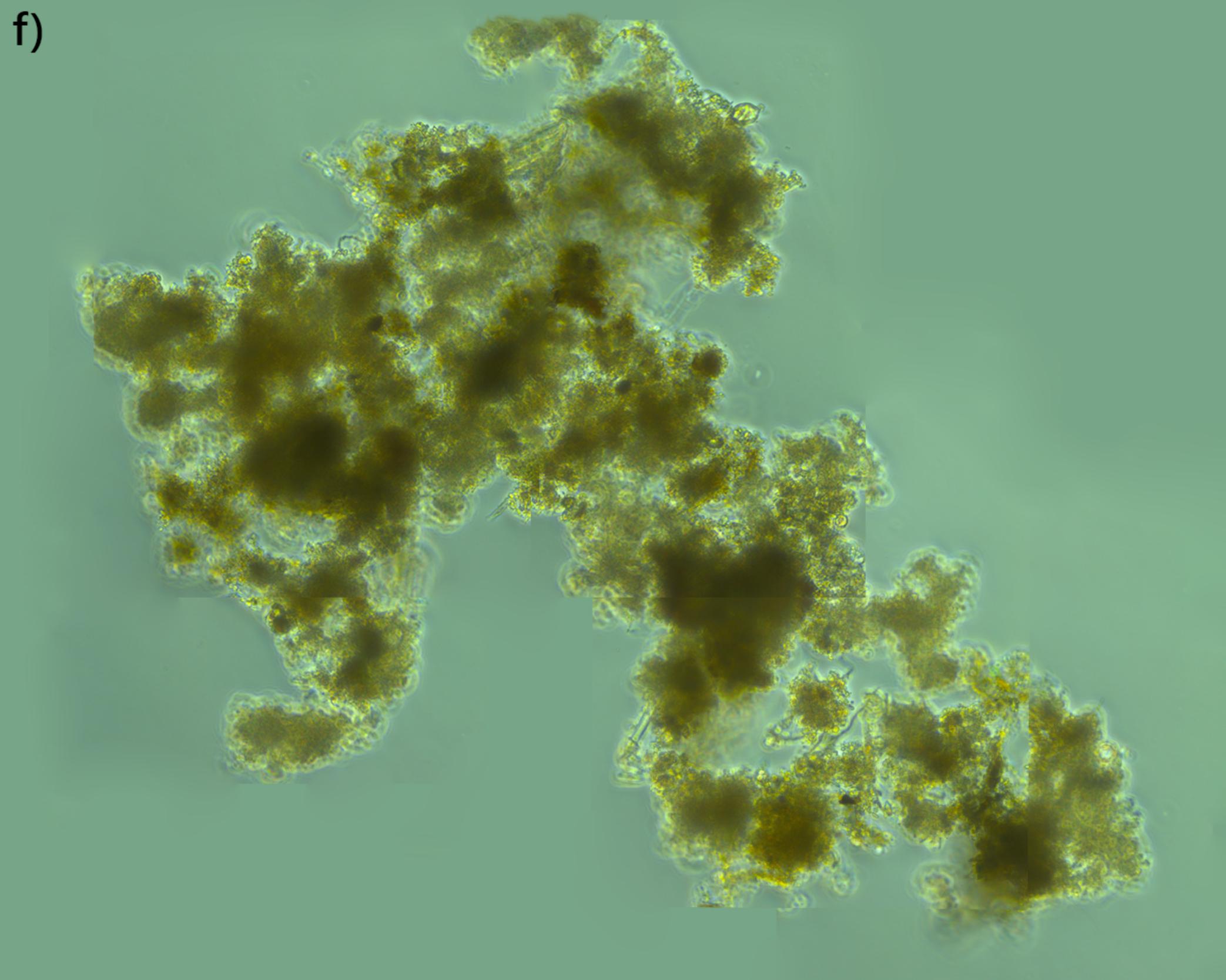


Figure 5.

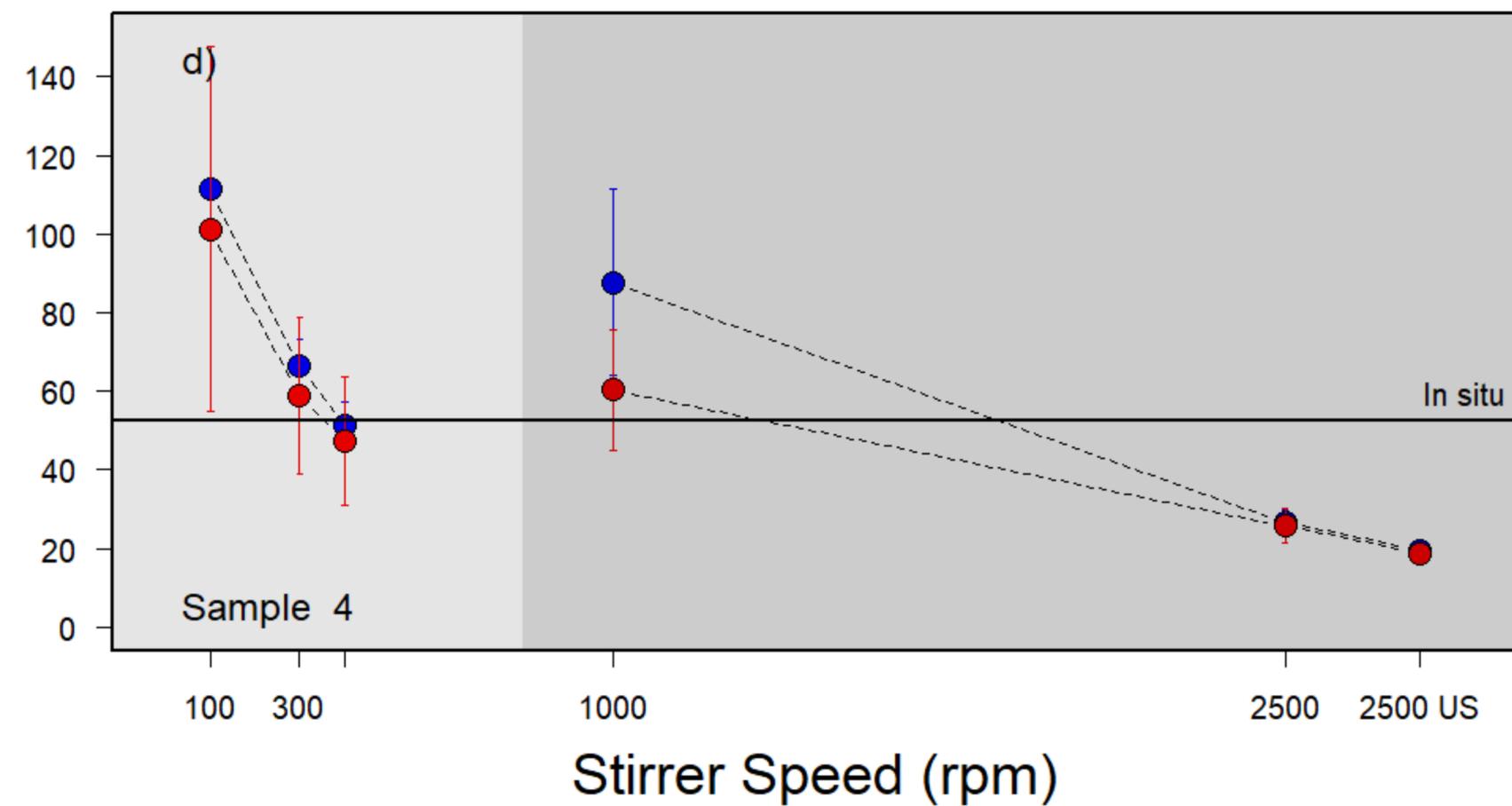
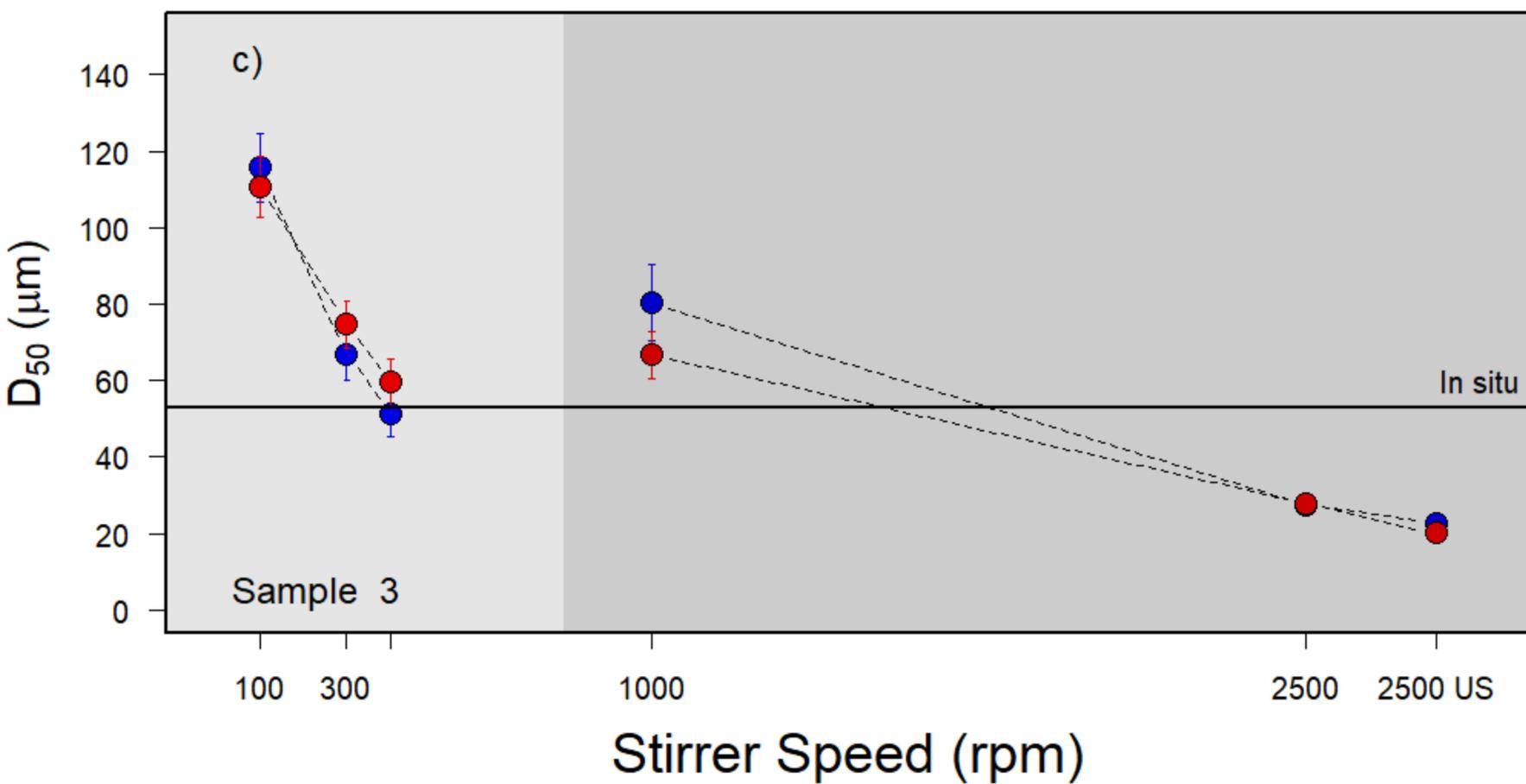
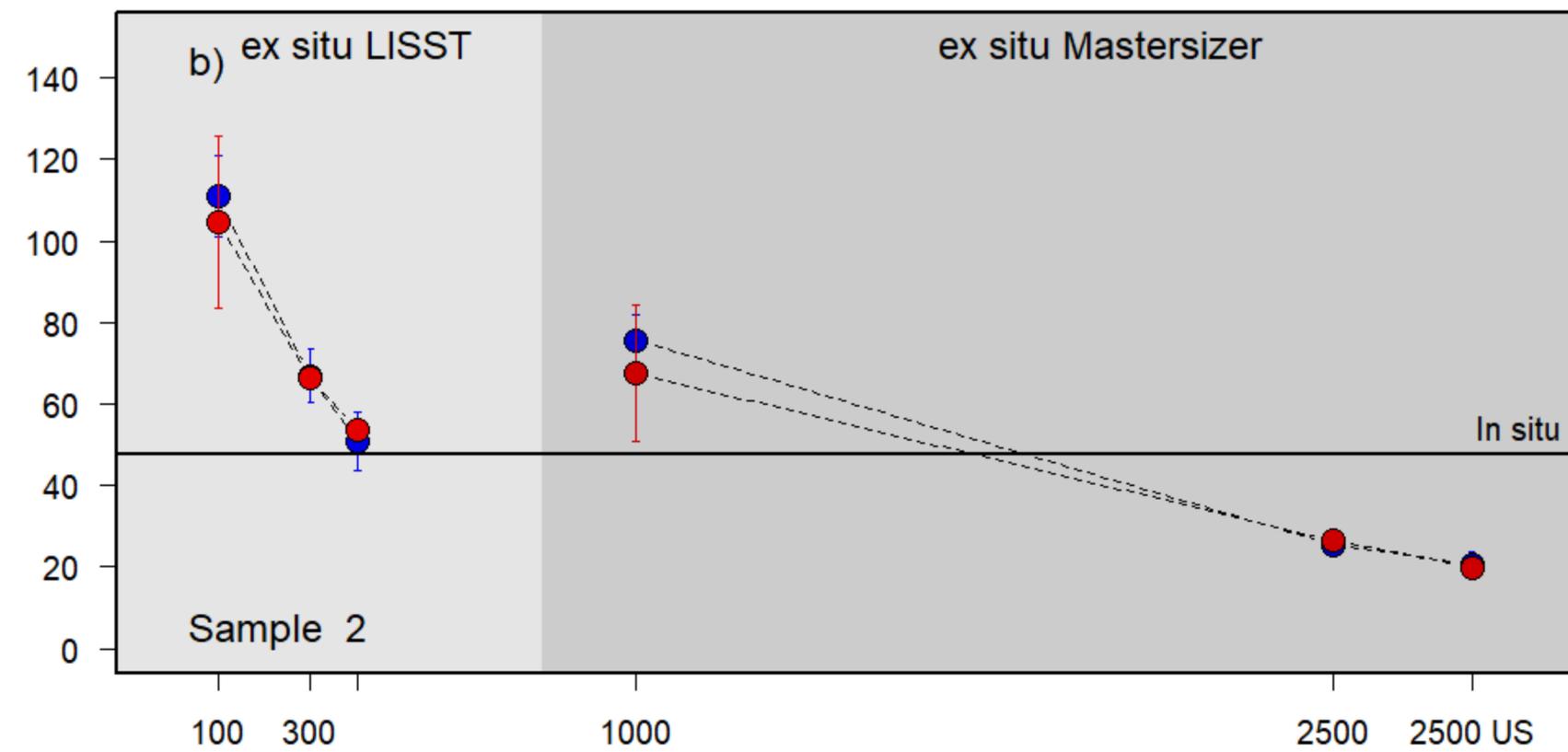
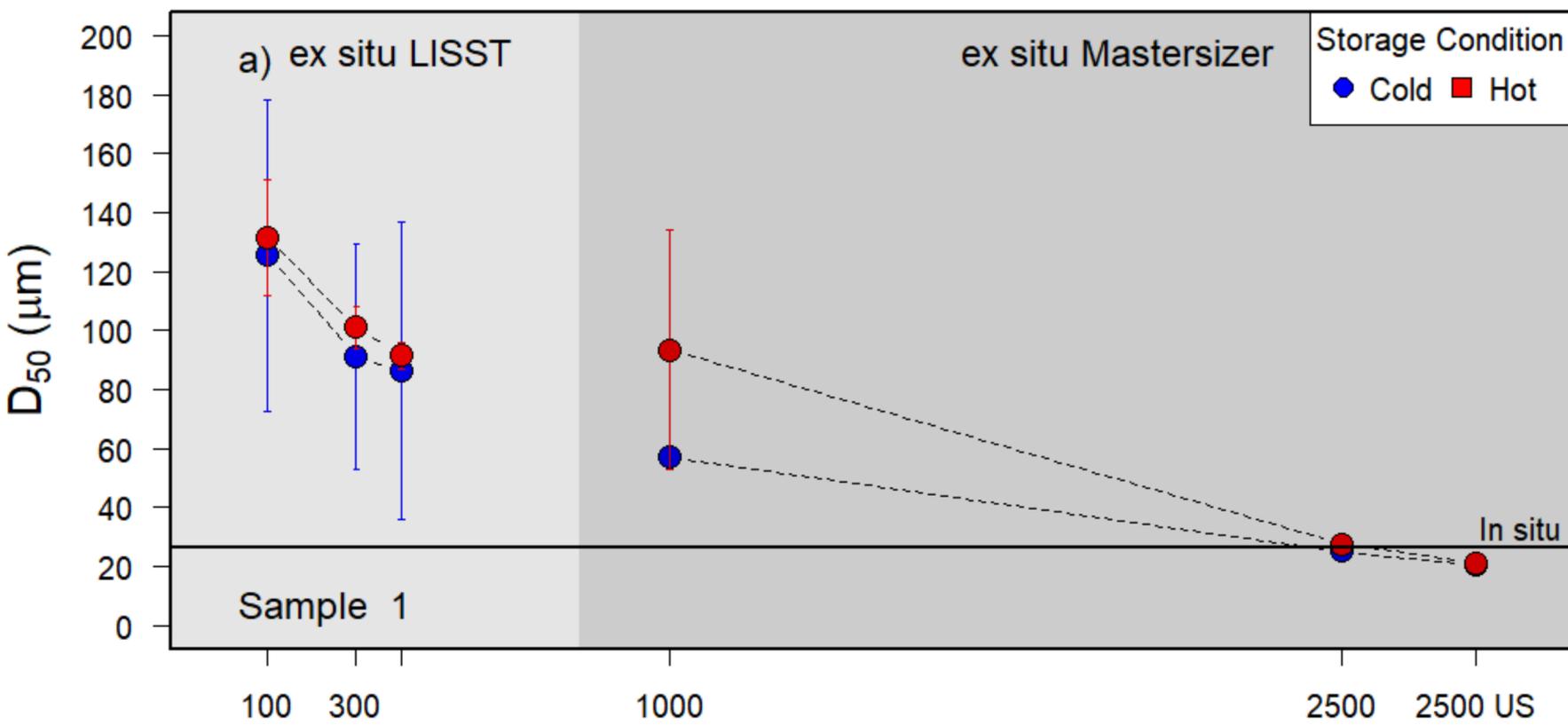


Figure 6.

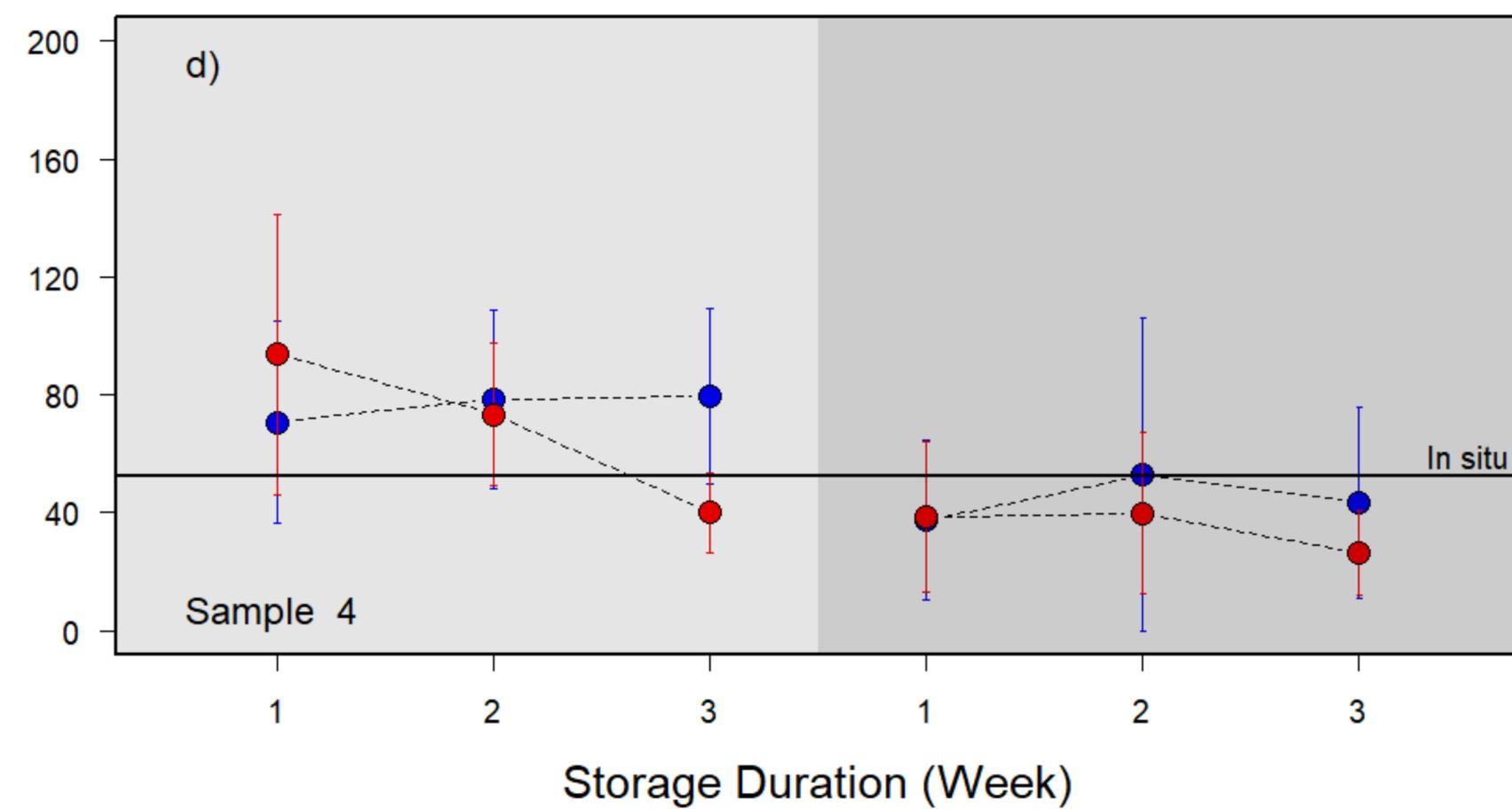
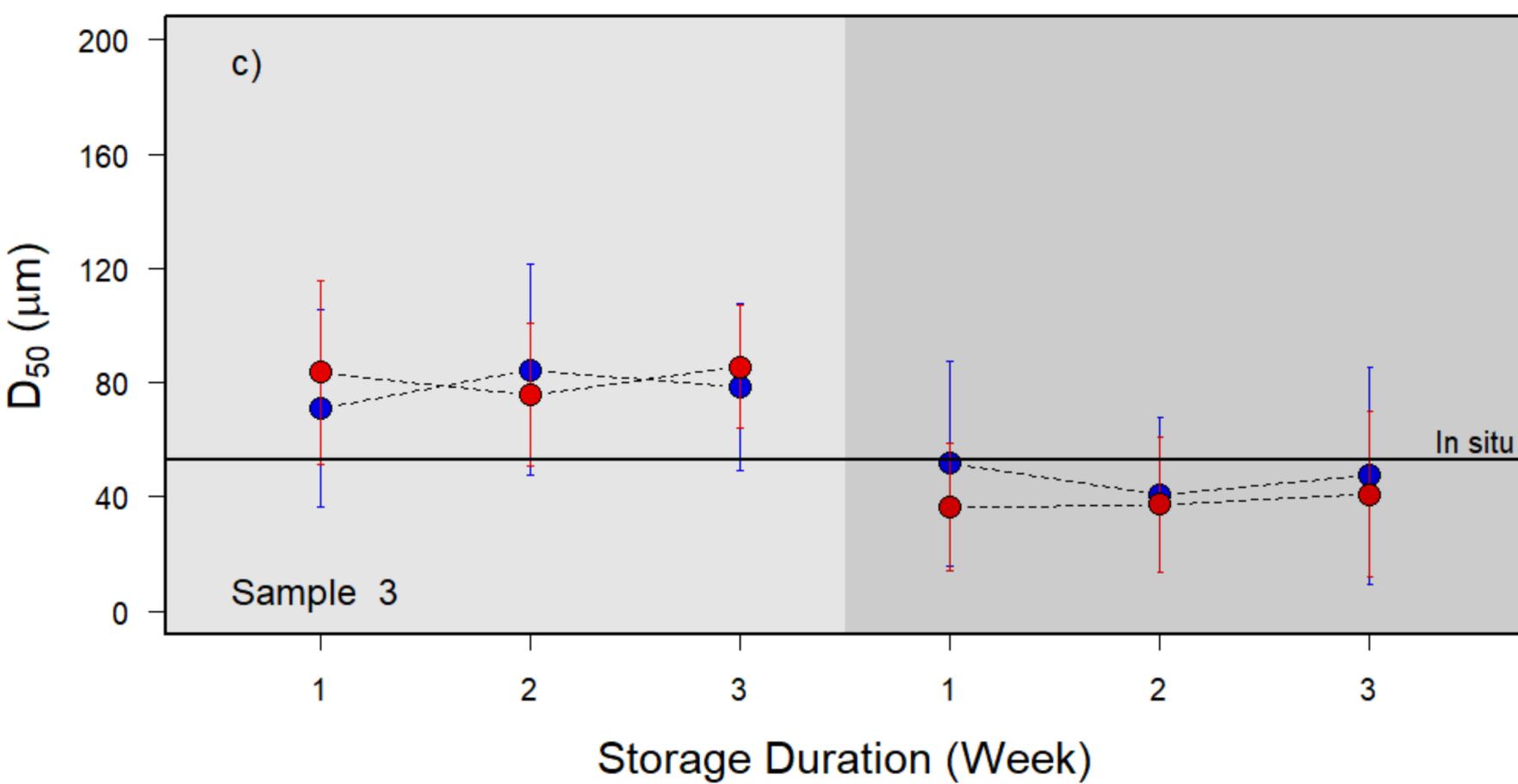
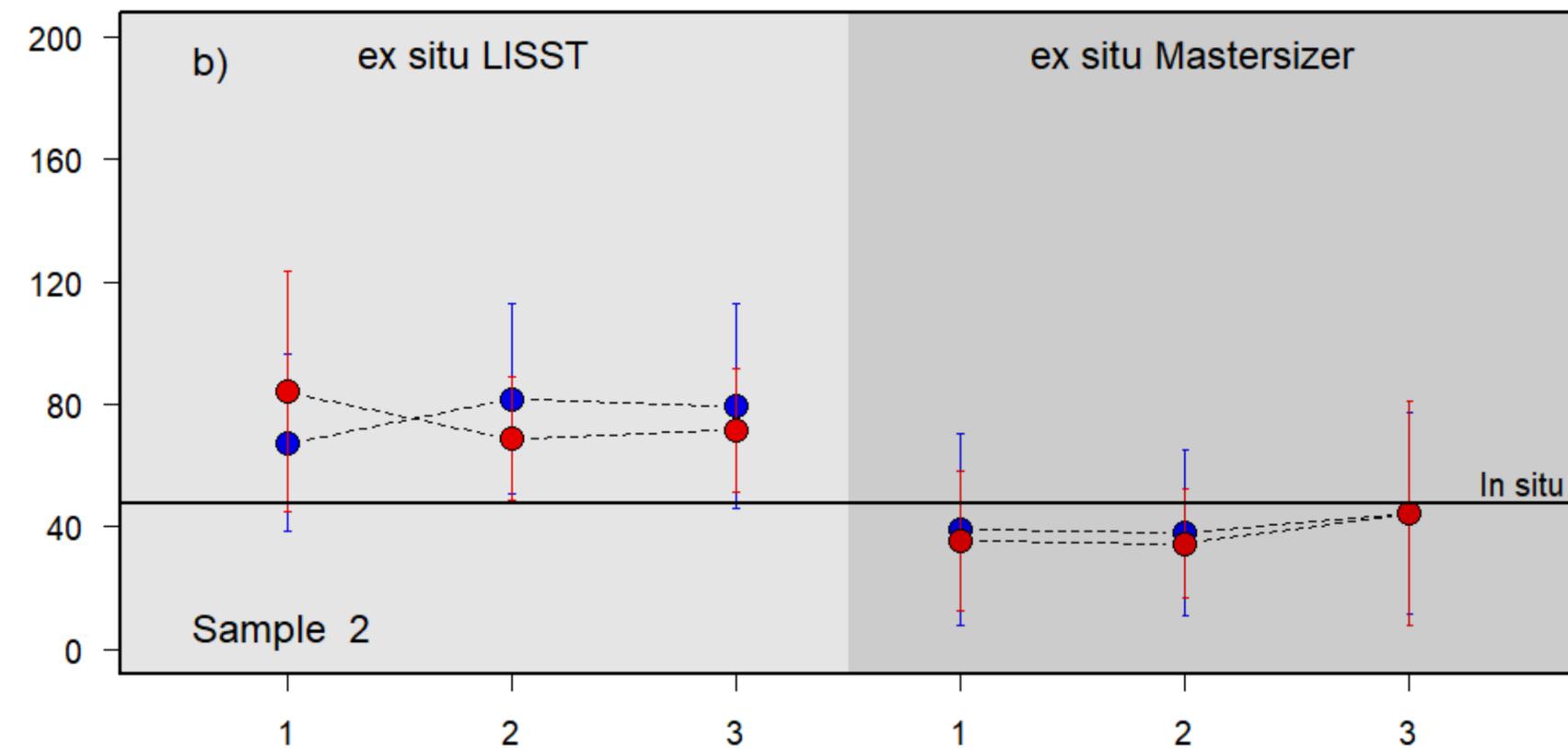
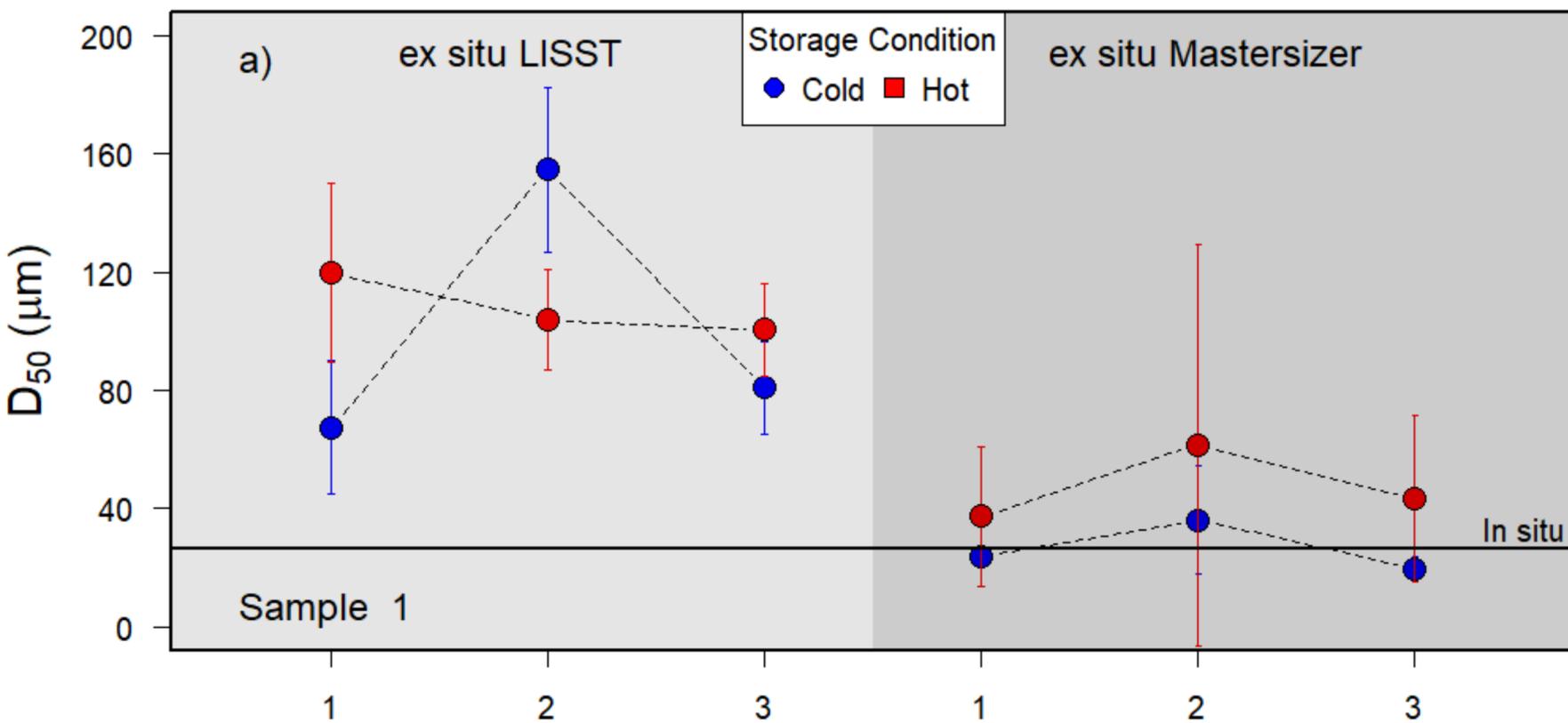


Figure 7.

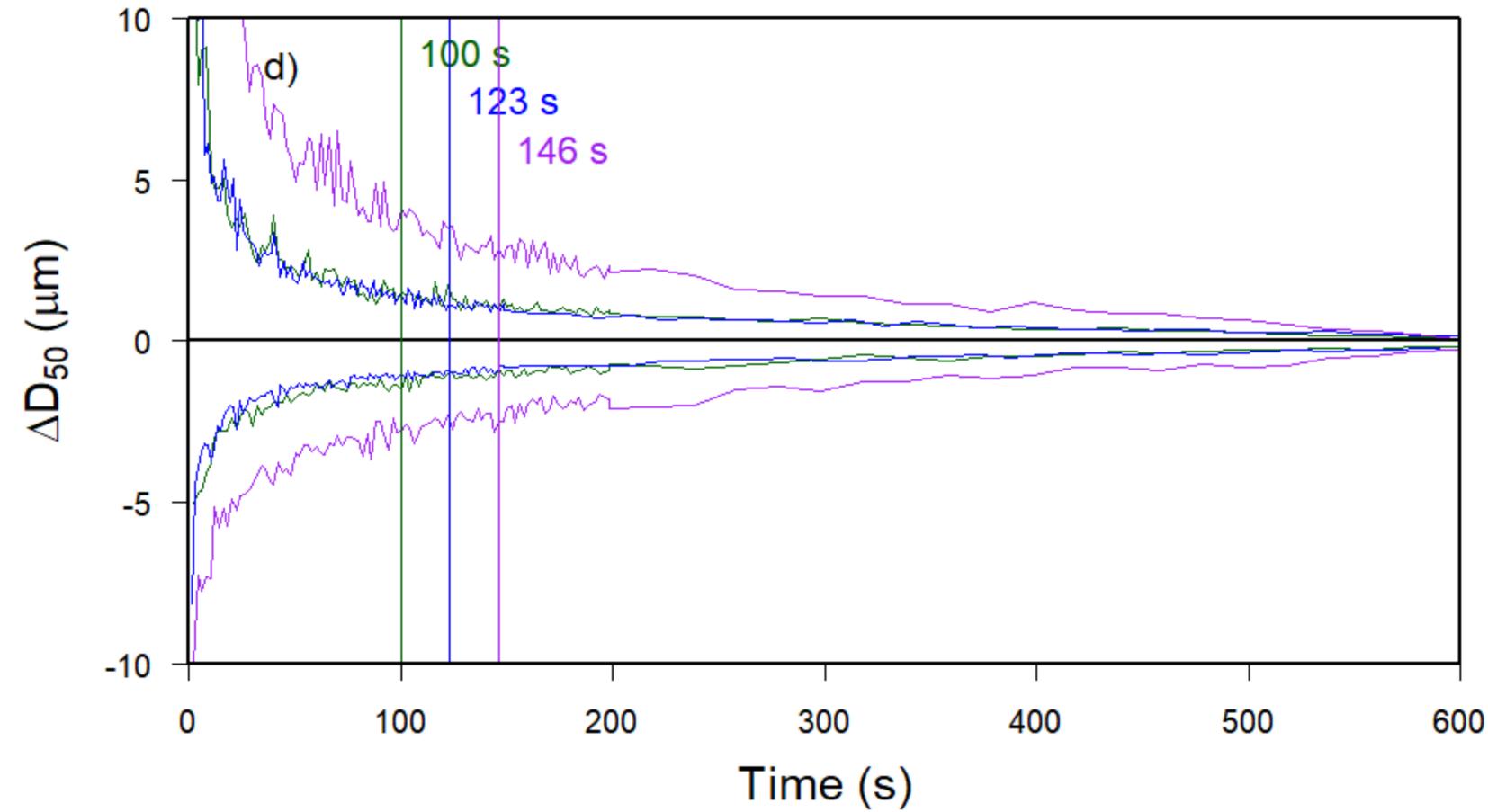
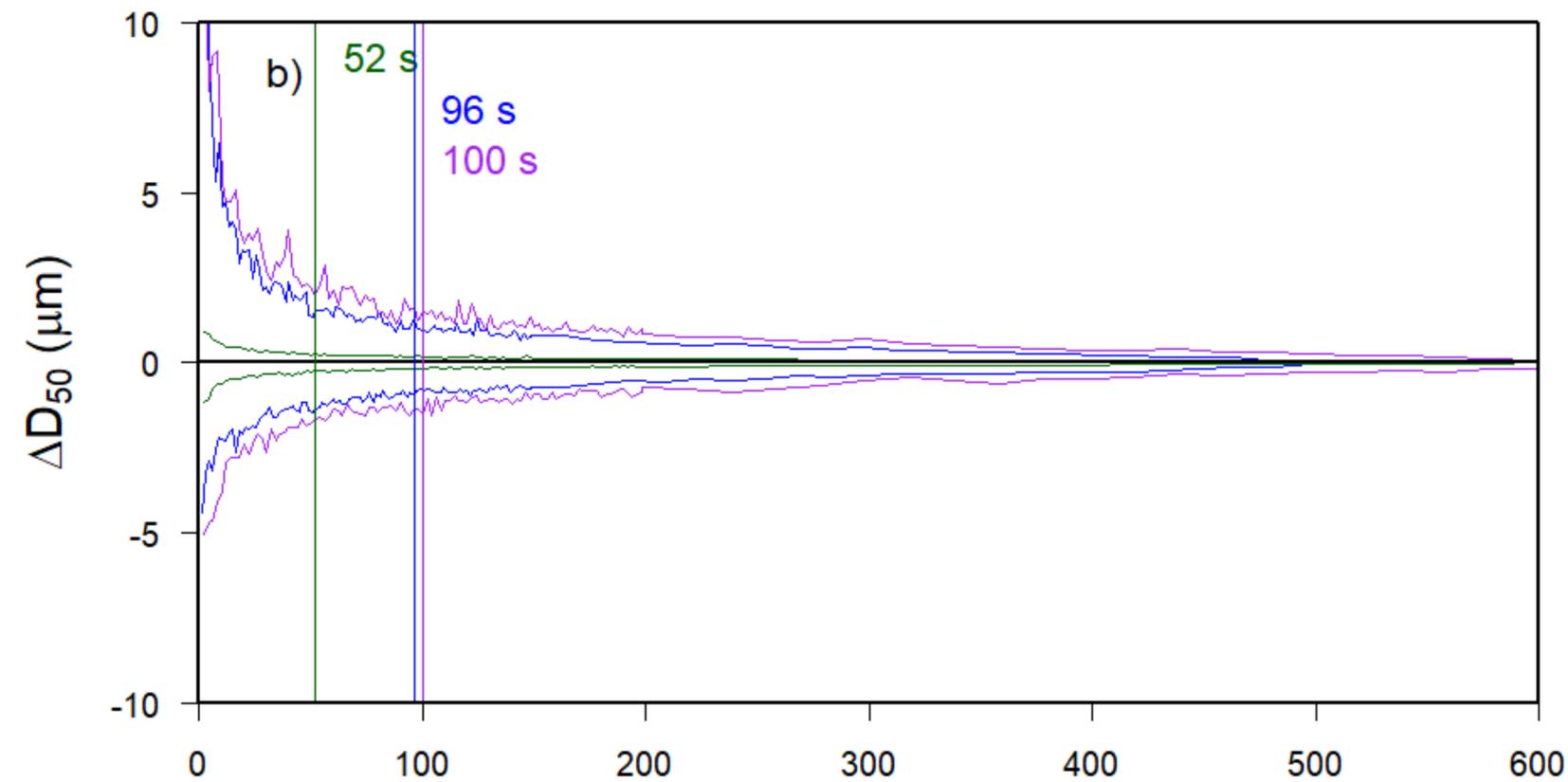
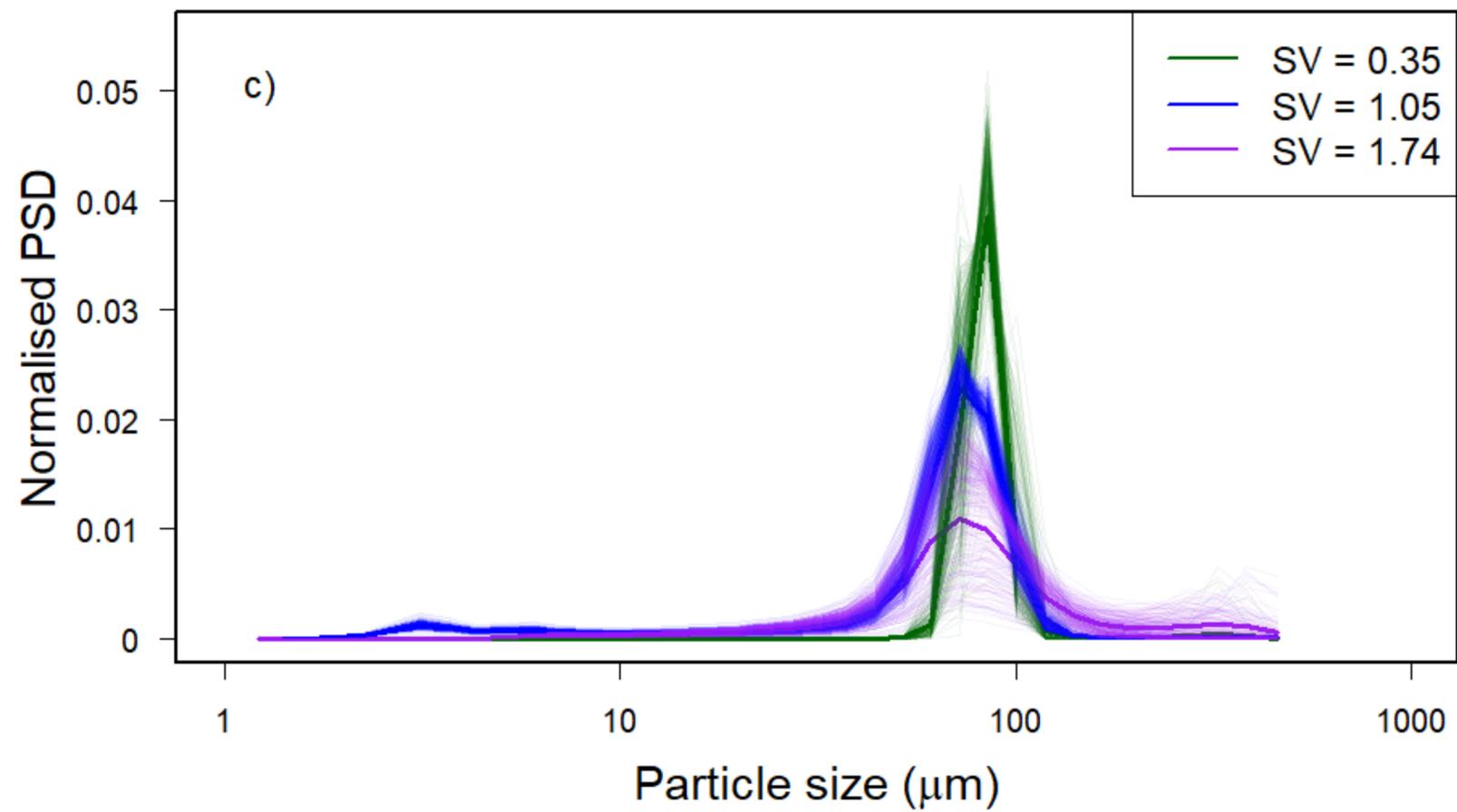
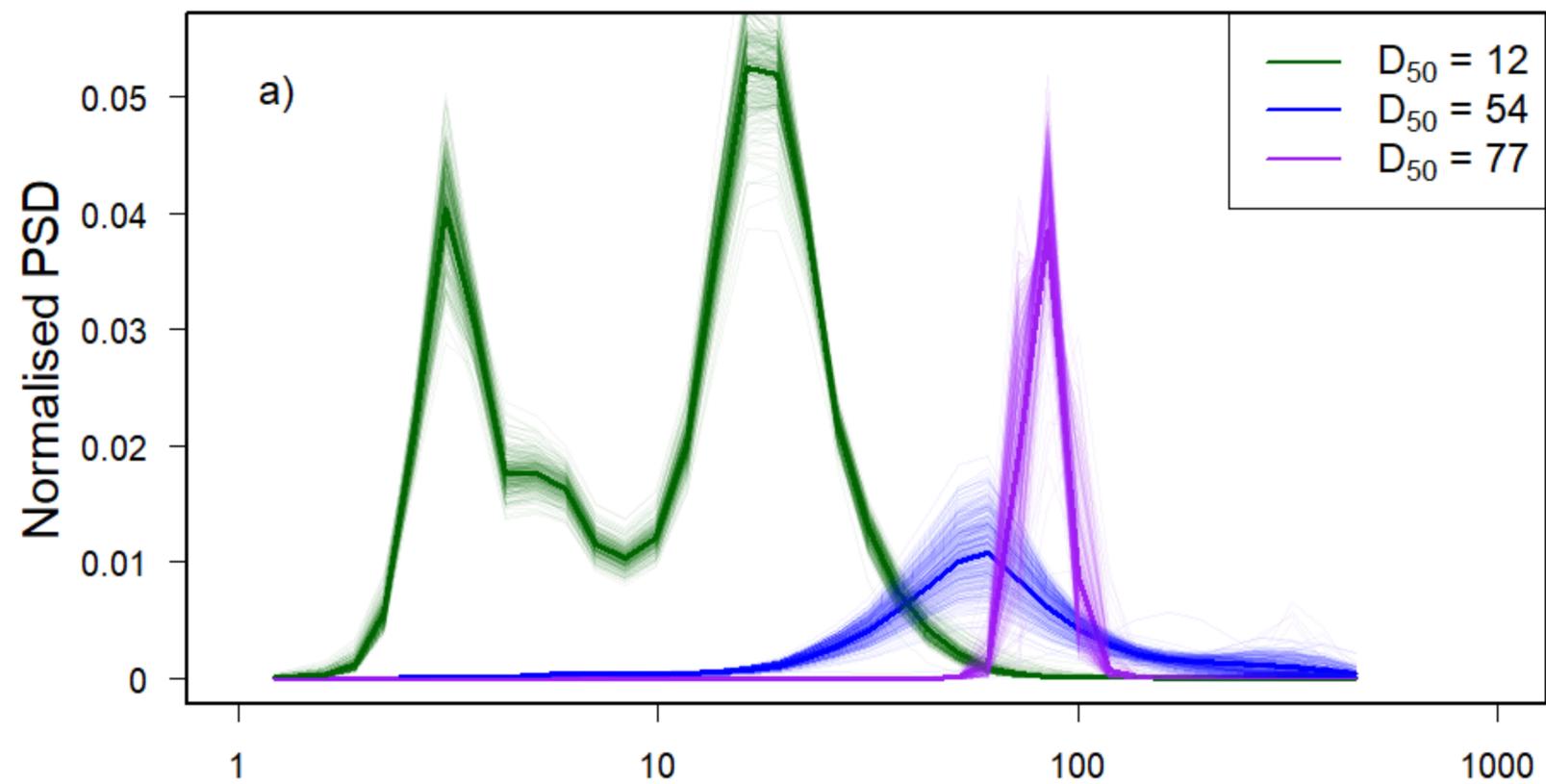
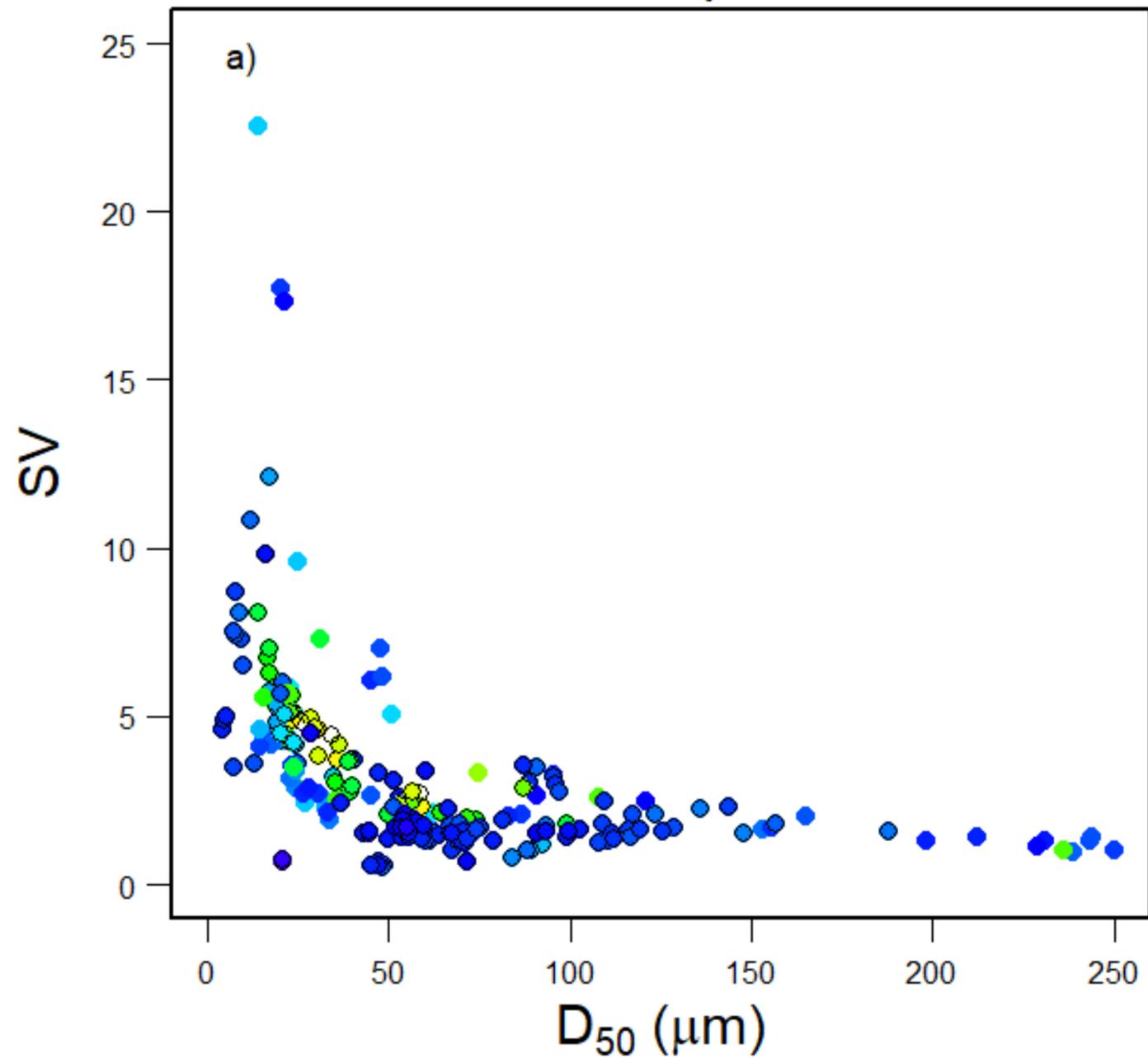
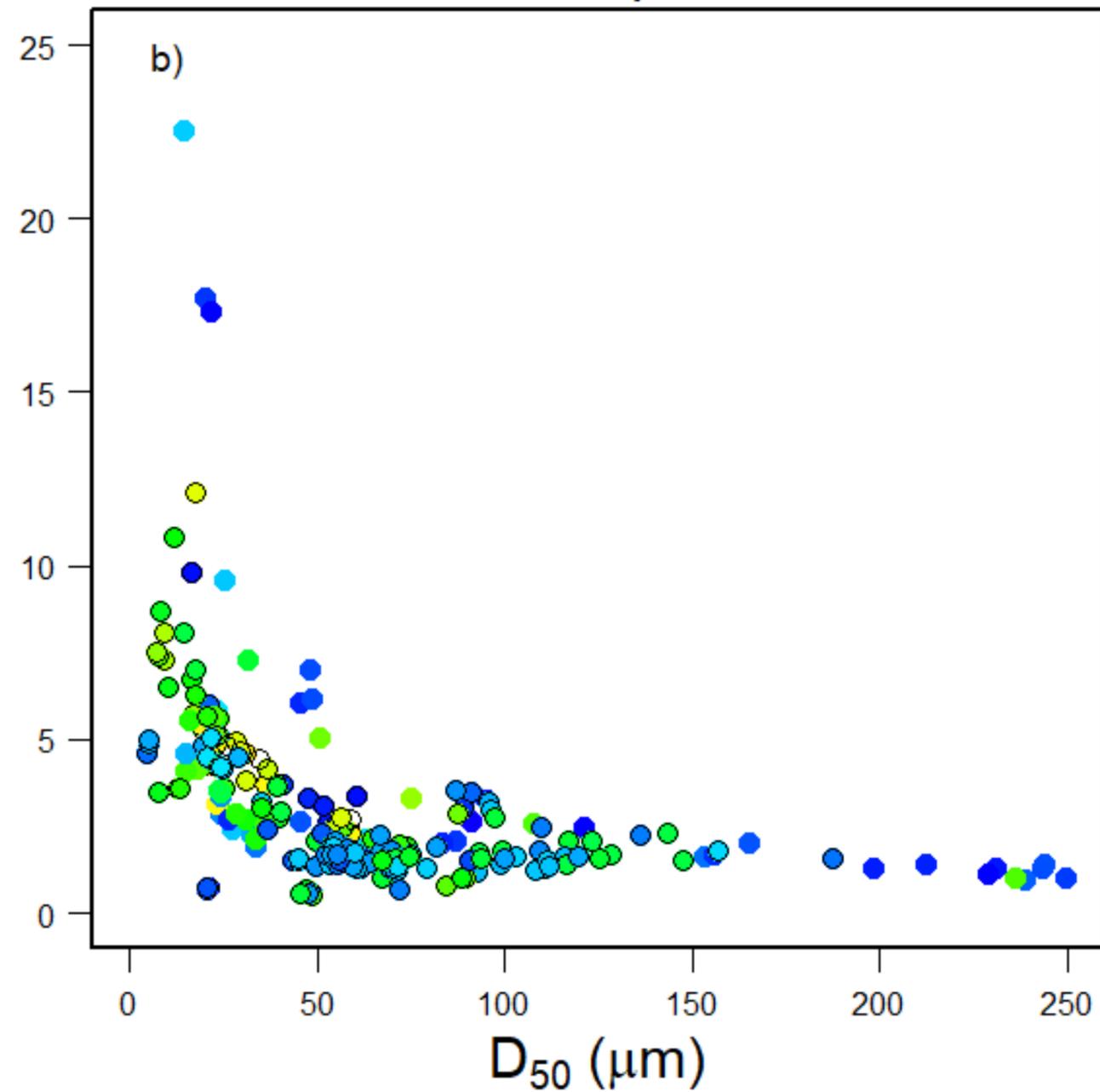


Figure 8.

threshold: slope = 0.05



threshold: slope = 0.005



threshold: 5% deviation

