A new brittle rheology and numerical framework for large-scale sea-ice models

Einar Ólason[1]**,Guillaume Boutin** [1]**Anton Korosov** [1]**Pierre Rampal** [2]**Timothy Williams** [1]**Madlen Kimmritz** [3]**Véronique Dansereau** [4]**Abdoulaye Samaké** [5]

[1]Nansen Environmental Remote Sensing Center and Bjerknes Centre for Climate Research, Bergen, Norway
[2]Institut de Géophysique de l'Environnement, CNRS, Grenoble, France
[3]Alfred Wegener Institute, Bremerhaven, Germany
[4]Institut des Sciences de la Terre, CNRS, Grenoble, France
[5]Université des Sciences, des Techniques et des Technologies de Bamako, Bamako, Mali

Key Points:

- We introduce a new rheology for large-scale sea-ice models, based on progressive damaging and the Bingham-Maxwell constitutive model.
- The new rheology constitutes a continuation in the development of existing brittle rheologies.
- The new rheology gives both an excellent representation of small scale deformation features and a realistic ice state on long time scales.

Corresponding author: Einar Ólason, `einar.olason@nersc.no`

Abstract

We present a new brittle rheology and an accompanying numerical framework for large-scale sea-ice modelling. This rheology is based on a Bingham-Maxwell constitutive model and the Maxwell-Elasto-Brittle (MEB) rheology, the latter of which has previously been used to model sea ice. The key strength of the MEB rheology is its ability to represent the scaling properties of simulated sea-ice deformation in space and time. The new rheology we propose here, which we refer to as the brittle Bingham-Maxwell rheology (BBM), represents a further evolution of the MEB rheology. It is developed to address two main shortcomings of the MEB rheology and numerical implementation we were unable to address previously: excessive thickening of the ice in model runs longer than about one winter and a relatively high computational cost. In the BBM rheology and numerical framework these shortcomings are addressed by demanding that the ice deforms under convergence in a purely elastic manner when internal stresses lie below a given compressive threshold. Numerical performance is improved by introducing an explicit scheme to solve the ice momentum equation. In this paper we introduce the new rheology and numerical framework. Using an implementation of BBM in version two of the neXtSIM sea-ice model (neXtSIMv2), we show that it gives reasonable long term evolution of the Arctic sea-ice cover and very good deformation fields and statistics compared to satellite observations.

Plain Language Summary

Sea ice movement is determined by the wind and ocean currents acting on it, and how the ice itself reacts to these forces. In a sea-ice model this reaction is simulated with equations collectively referred to as a rheology. In this paper we introduce a new rheology, called the brittle Bingham-Maxwell (BBM) rheology, and a method for solving the equations on a computer. This new rheology extends the Maxwell-Elasto-Brittle (MEB) rheology we used in previous versions of our sea-ice model, neXtSIM. We used MEB in neXtSIM because this rheology gives a very good description of how the ice reacts to winds and currents, but we found two main faults with it we couldn't fix: the ice in the model would pile up to become unrealistically thick after several model years, and the model required too much computer time to run. In the BBM rheology we add an extra term to the MEB equations to prevent the excessive piling up of ice, and we also propose a more efficient way to solve the equations. Like its predecessor, the new rheology also allows our model to simulate very well the way the ice moves on daily basis, when compared to satellite observations.

1 Introduction

The drift and deformation of sea ice is a key aspect of the over-all state of the ice cover. Large-scale drift redistributes ice, affecting where it forms, melts, and is collected, while small scale deformation opens up leads and builds ridges, which influence virtually all interactions between the atmosphere, ocean, and ice in ice-covered areas. The pan-Arctic drift and thickness distribution are relatively well observed (e.g. Colony & Thorndike, 1984; Kwok et al., 2013; D. Rothrock et al., 2008; Kwok & Rothrock, 2009; Ricker et al., 2017), while lead and ridge formation can be both directly observed at high resolution and linked to the Linear Kinematic Features (LKFs) observed from satellite (Kwok et al., 1998).

The drift and deformation of ice in a sea-ice model is determined by the solution of the momentum equation. This equation has several terms, with one of the most important ones being the internal stress term (e.g. Steele et al., 1997). The relationship between the internal stress and resulting deformation is referred to as a rheology and virtually all continuum, geophysical-scale sea-ice models used currently employ the viscous-plastic rheology (VP Hibler, 1979) or the elastic-viscous-plastic rheology (EVP Hunke

& Dukowicz, 1997), which only addresses numerical issues with the VP. The VP rheology treats the ice as a continuum and assumes it deforms in a viscous manner with a high viscosity until the internal stress reaches a plastic threshold, determined by a yield curve which usually has an elliptic shape. Several important improvements have been made to the original VP rheology (such as Hunke & Dukowicz, 1997; Lemieux et al., 2010; Bouillon et al., 2013; Kimmritz et al., 2016), but the physical principles remain the same.

The VP rheology has enjoyed tremendous success and is used for time scales from days to centuries and spatial scales from tens of kilometres to basin scales. It is, however, not without its faults, both when it comes to the underlying assumptions (see in particular Coon et al., 2007) and the results produced by models that use it. There is generally a very large spread in key prognostic variables such as thickness, concentration, and drift in model inter-comparison studies—well beyond observed variability (Chevallier et al., 2017; Tandon et al., 2018). The sharp gradients in velocities, which are known as Linear Kinematic Features (LKFs) and are related to ridge and lead formation, are also poorly reproduced in any VP-based model running at a coarser resolution than about 2 km, a resolution that is an order of magnitude higher than the observational data (Spreen et al., 2017; Hutter & Losch, 2019). While it is not clear whether these shortcomings are due to the VP physics, numerics, or other factors (e.g. Bouchat et al., submitted; Hutter et al., submitted), modifying the model physics is a plausible avenue of investigation. Several authors have, therefore, suggested alternate approaches to the VP rheology, such as Tremblay and Mysak (1997); Wilchinsky and Feltham (2004); Schreyer et al. (2006); Girard et al. (2011); Dansereau et al. (2016).

The rheology presented here is the latest realisation of a branch of rheologies that traces its origin back to investigations of satellite observations obtained with the Radarsat Geophysical Processing System (RGPS, Kwok et al., 1998) and buoys trajectories from the International Arctic Buoy Program (IABP[1]). Both data sets have proven to be a particularly rich source of information on sea-ice dynamics. For the sake of the current discussion, the most important result of the investigations of the RGPS data set is the discovery of the existence of a spatial scale invariance in the way sea ice deforms and of its associated fractal properties (e.g. Marsan et al., 2004; Weiss & Marsan, 2004; Rampal et al., 2008; Hutchings et al., 2011; Oikkonen et al., 2017). These observations indicate a possible way forward for the development of sea-ice rheological models: to be consistent with the observations the models must represent the propagation of fracturing and the associated spatial and temporal correlations in the sea-ice deformation field, and they must include a sub-grid-scale parameterisation of the fracturing.

Sea-ice models using the VP rheology have been shown to capture the grid-scale propagation of fracturing for scales that are about an order of magnitude lager than the model resolution (Girard et al., 2011; Spreen et al., 2017; Hutter & Losch, 2019; Bouchat et al., submitted). This is witnessed by the fact that the models exhibit spatial scaling at these larger scales, albeit sometimes with the wrong power law exponent. The fact that they don't exhibit scaling at, or near the model resolution strongly indicates that they lack a good sub-grid-scale parameterisation of fracturing.

It is important to consider the sub-grid-scale behaviour because the triggering of fracture formation will always occur at scales much smaller than the model scale (possibly as small as the meter scale). This unresolved process must, therefore, be properly parameterised in order for the model to be physically consistent at the grid scale and, as much as possible, not resolution dependant. Given the observed scale invariance of sea-ice deformation and related quantities (e.g. Marsan et al., 2004; Rampal et al., 2008, 2009; Ólason et al., 2021) we can also assume that correctly capturing the small scale behaviour will affect what happens at a larger scale.

---

[1] https://iabp.apl.uw.edu/index.html

Following these ideas and the work of Marsan et al. (2004), Weiss and Marsan (2004), Schulson (2004), Schulson and Hibler (2004), and Weiss et al. (2007), Girard et al. (2011) suggested the elasto-brittle (EB) rheology. This is a damage propagation model where the fracture density or damage at the sub-grid scale is parameterised using a single scalar variable which value is altered whenever the local stress exceeds the Mohr-Coulomb failure criterion. Girard et al. (2011) showed that the EB model could reproduce not only the observed spatial scaling, but also the localisation and other qualitative properties of the deformation field. Following this, Dansereau et al. (2016) then proposed a further development of the EB rheology in the form of the Maxwell-elasto-brittle (MEB) rheology. The MEB is a viscous-elastic rheology which allows the model to simulate also the large—and permanent—deformations occurring once the ice is fractured and fragmented. In parallel, Bouillon and Rampal (2015), Rampal et al. (2016), and Rampal et al. (2019) implemented and used the EB and MEB rheologies in the neXtSIM large-scale sea-ice model to evaluate these rheologies against observations over spatial and temporal scales spanning several orders of magnitudes.

Despite the very encouraging results of Dansereau et al. (2016), Dansereau et al. (2017), Rampal et al. (2019), and Ólason et al. (2021), the MEB rheology as proposed by Dansereau et al. (2016) and implemented in Rampal et al. (2019), leads to excessive convergence of highly damaged ice, causing it to pile up and become unrealistically thick. A problem not experienced by models using the VP rheology. Further, in order to achieve acceptable numerical performance for longer simulations, Rampal et al. (2019) used a much longer time step than Dansereau et al. (2016) and did not use a fixed-point iteration scheme like Dansereau et al. (2016). This causes the model not to converge to the correct solution, impacts the damage propagation, and ultimately leads to a substantial dependence of model behaviour on the time step. In this paper we present a new physical and numerical framework designed to address those issues, while retaining the main characteristics and results already obtained using MEB.

In the following we will first present the revised rheology and proposed numerical framework, discussing both the use of the Bingham-Maxwell constitutive model in a damage-propagation framework and the use of an explicit solver to improve the code's efficiency. We then evaluate this rheology and framework as implemented in the neXtSIM sea-ice model. We consider this a sufficiently substantial improvement of the model for it to now warrant the neXtSIMv2 moniker, which we will use hereafter to refer to neXtSIM with the BBM rheology. In section 3 we first evaluate model results against the RGPS observations, demonstrating the model's abilities in reproducing certain observed large-scale properties of sea-ice deformation. Thereafter, in section 4, we demonstrate that this new framework gives very reasonable results in terms of large-scale drift and thickness distribution in a decade-long simulation of the Arctic ice cover. In section 5 we then discuss the model's sensitivity to key parameters.

2 Model description

2.1 Motivation

Before describing in detail the modelling framework we discuss the rationale behind the change suggested to the MEB rheology and the new numerical implementation. These are the addition of a threshold for permanent deformation in compression and the use of an explicit solver, respectively.

Our motivation behind amending the MEB rheology is that neither the EB nor the MEB rheologies provide sufficient resistance to ice compression. This is because once damaged, the ice compresses readily allowing prevailing winds and currents to pile up very thick ice without any substantial resistance. For simulations lasting more than about a year this results in the formation of unrealistic, thick ice patches (thicker than 5 m, see
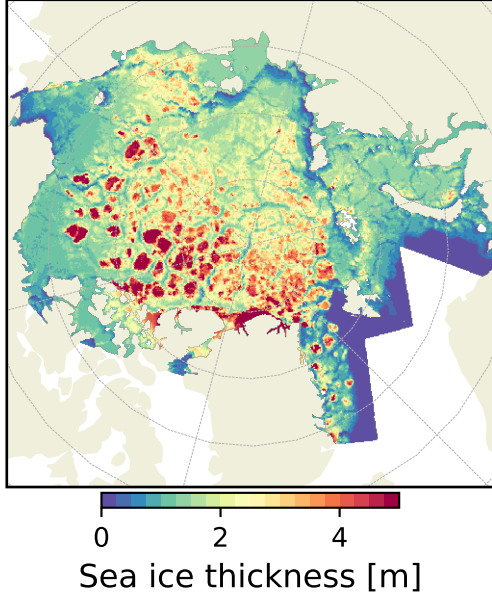
Figure 1. Snapshot of simulated sea ice thickness distribution on 1st January 1999, after 4 years of simulation using the MEB rheology in neXtSIM.

figure 1) of which the number and thickness increase over time. Our approach in addressing this is to replace the Maxwell constitutive model used in MEB with a Bingham-Maxwell constitutive model (e.g. Bingham, 1922; Saramito, 2007; Cheddadi et al., 2008; Irgens, 2008). Using this constitutive model in the context of sea ice was originally suggested by Dansereau (2016), although their suggestion was meant to better control shear rather than compressive deformation. Schematically speaking, the Bingham-Maxwell constitutive model consists of a dashpot and a friction element in parallel, connected to a spring in series (figure 2), with the friction element being the key distinguishing feature between MEB and BBM. The dashpot and spring still follow the same viscous-elastic rheology coupled to a progressive damage mechanism as in Dansereau et al. (2016), while the condition we use for the friction element is that for $-P_{\max} < \sigma_N < 0$ we have elastic behaviour without permanent deformations, while otherwise we have both elastic and stress-dissipative behaviour. Here σ_N is the mean normal stress in the ice and $P_{\max}$ is a compressive strength threshold. This setup is chosen to simulate ridging in high compression and a resistance to ridging when the compressive stress is below a threshold. Different formulations of the threshold are possible (including the one suggested by Dansereau, 2016, to represent friction between ice floes), but the one above is designed to treat compression and give the best results in both preventing excessive convergence and producing reasonable deformation results as discussed in the following sections.

The justification for using an explicit solver lies in the necessity to capture the propagation of damage while optimising simulation times. Dansereau et al. (2016) introduced the concept of a characteristic time scale for damage evolution, t_d, as the time of propagation of (shear) elastic waves and used a semi-implicit scheme with a fixed-point (Picard) iteration scheme with a time step $\Delta t >= t_d$. Such a scheme is computationally demanding and Rampal et al. (2019) eventually used a semi-implicit solver, without a fixed-point iteration scheme, and $\Delta t \gg t_d$, to reduce computational cost. As a result, their model results are dependent on the time-step length and the solution is most likely not fully converged. In opting for an explicit solver with a time-splitting scheme we update only rapidly-changing variables (velocity, stress, and damage) at a short time step, while doing advection and thermodynamics at a longer time step. This is based on the
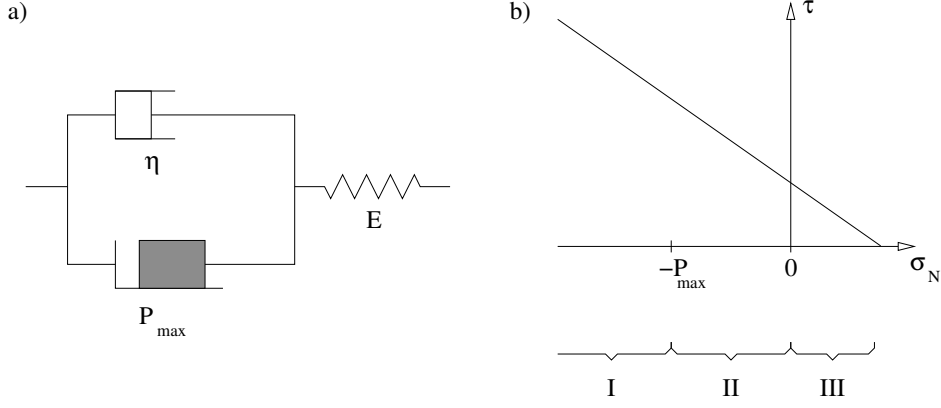
Figure 2. Panel a) A schematic of the Bingham-Maxwell constitutive model showing a dashpot and a friction element connected in parallel, with both connected to a spring in series. Panel b) The yield criterion in the stress invariant plane $\{\sigma_N, \tau\}$, as well as the elastic limit $P_{\max}$, and the ridging (I), elastic (II), and diverging (III) regimes.

fact that fracture formation happens at a speed similar to that of sound in the ice and is thus much faster than the sea ice drift speed. The use of an explicit solver is also inspired by the work of Hunke and Dukowicz (1997), who showed that in the case of the EVP model one can use a time step for the explicit solver determined by the elastic time scale and not the much shorter viscous time scale. This result also holds here (see Appendix A).

Using an explicit solver requires $\Delta t < t_d$ to explicitly resolve the damage propagation. This time-step requirement is, however, not particularly imposing, as $t_d \propto \Delta x$ (see Appendix A) and there is considerable experience within the sea-ice modelling community in solving the sea-ice momentum equation explicitly in a computationally efficient manner. This was in fact the main goal of Hunke and Dukowicz (1997) in choosing an explicit solver for the EVP rheology. Moreover, typical values of t_d are similar to, or even larger, than values typically used for the elastic time scale of the EVP rheology. It is, therefore, reasonable to assume that the same sub-time stepping approach can be used here as in the EVP rheology. It is important to note that elasticity in the EVP rheology is not intended to be physical, but is introduced for numerical expediency and elastic waves in EVP should, therefore, be damped (e.g. Bouillon et al., 2013). Elasticity in BBM is, however, physical so there is no need to damp any resulting elastic waves.

2.2 The brittle Bingham-Maxwell constitutive model

The EB and MEB rheologies are centred around the idea of damaging and damage propagation, and the BBM also relies on this concept, using the same damaging mechanism as MEB. The key difference between these rheologies lies in the constitutive model, with the EB using a damaging spring, MEB using a damaging Maxwell model, and the BBM being a damaging Bingham-Maxwell model. The Maxwell model consists of a dashpot and a spring in parallel, while the Bingham-Maxwell model consists of a dashpot and a friction element in parallel, connected in series with a spring (figure 2). The inclusion of a friction element is thus the key difference between MEB and BBM. Here we will derive the constitutive model resulting from the use of a Bingham-Maxwell constitutive model with damage, link this to the damage mechanism, and then present the appropriate temporal discretisation of the system.

2.2.1 Constitutive model

The constitutive model used here is the Bingham-Maxwell model together with a dependence of elasticity and viscosity on damage. The Bingham-Maxwell model is a set up of a dashpot and friction element in parallel, connected in series with a spring (figure 2). The condition we use for the friction element is defined in terms of the normal stress

$$\sigma_N = \frac{1}{2}(\sigma_{11} + \sigma_{22}), \tag{1}$$

as we aim to prevent excessive thickening. In divergent conditions ($\sigma_N > 0$), the stress in the friction element is 0 and only the dashpot is active. In this case the total stress is the same as the elastic stress and the viscous stress ($\sigma = \sigma_E = \sigma_v$) and the total displacement is the sum of the elastic and viscous displacements

$$\varepsilon = \varepsilon_E + \varepsilon_v. \tag{2}$$

In the range $-P_{\max} < \sigma_N < 0$, the friction element is able to prevent any permanent deformation ($\varepsilon_v = 0$ and $\varepsilon = \varepsilon_E$) and we have a pure elastic behaviour, with

$$\sigma_E = E\varepsilon_E. \tag{3}$$

For $\sigma_N < -P_{\max}$, the friction element is no longer able to prevent permanent convergent deformation. We note that $P_{\max}$ is the key quantity introduced in the BBM rheology, compared to the MEB.

In a one-dimensional Bingham-Maxwell constitutive model (as in figure 2, panel b) the friction element stress is constant (at $P_{\max}$) and the viscous stress is related to the total stress by

$$\sigma = \sigma_v - P_{\max} \tag{4}$$

which may be rewritten as

$$\sigma_v = \sigma\left(1 + \frac{P_{\max}}{\sigma}\right). \tag{5}$$

In the two dimensional case we use the normal stress σ_N as threshold to get

$$\sigma_v = \sigma\left(1 + \frac{P_{\max}}{\sigma_N}\right). \tag{6}$$

This ensures that the simulated ice retains some resistance to compression, even in a highly damaged state. Recalling figure 2, we generalise the relationship between σ and σ_v as

$$\sigma_v = (1 + \widetilde{P})\sigma, \tag{7a}$$

$$\widetilde{P} = \begin{cases} \frac{P_{\max}}{\sigma_N} & \text{for } \sigma_N < -P_{\max}, \\ -1 & \text{for } -P_{\max} < \sigma_N < 0, \\ 0 & \text{for } \sigma_N > 0. \end{cases} \tag{7b}$$

The threshold $P_{\max}$ thus separates the elastic and visco-elastic, or reversible and permanent deformation phases of the Bingham-Maxwell constitutive model. We assume that there is a relationship between the threshold $P_{\max}$ and ice thickness, which is related to the process of ridging, and so we have used the form

$$P_{\max} = P\left(\frac{h}{h_0}\right)^{3/2} e^{-C(1-A)}, \tag{8}$$

where $h_0 = 1$ m is a constant reference thickness, P a constant to parameterise $P_{\max}$, following the results of Hopkins (1998), and C is a constant similar to the compaction

parameter introduced by Hibler (1979). Different formulations for $P_{\max}$ may be considered, as briefly discussed in section 5.

Brittle behaviour is ensured by using a slightly modified version of the damaging mechanism of Dansereau et al. (2016). We write the elasticity E and viscosity η as a function of damage d and ice concentration A as

$$E = E_0(1-d)e^{-C(1-A)} \tag{9}$$

$$\eta = \eta_0(1-d)^{\alpha}e^{-\alpha C(1-A)}, \tag{10}$$

where E_0 and η_0 are the undamaged elasticity and viscosity, and $\alpha > 1$ is a constant. Undamaged ice has $d = 0$, while highly damaged ice has $d \to 1$ and $d = 1$ is never reached. We use a different dependence of η on A compared to Dansereau et al. (2016), using $e^{-C\alpha(1-A)}$, instead of $e^{-C(1-A)}$. This gives more realistic behaviour at low and medium ice concentration, as discussed further in section 5.

Following Dansereau et al. (2016), we can now apply the elastic stiffness tensor $\mathbf{K}$ to the time derivative of equation (2) and multiply with the elasticity to get

$$E\mathbf{K} : \dot{\varepsilon} = E\mathbf{K} : \dot{\varepsilon}_E + E\mathbf{K} : \dot{\varepsilon}_v. \tag{11}$$

We assume plane stress conditions, so the stiffness tensor operation $\mathbf{K} : \dot{\varepsilon}$ is

$$\begin{pmatrix} (\mathbf{K}:\dot{\varepsilon})_{11} \\ (\mathbf{K}:\dot{\varepsilon})_{22} \\ (\mathbf{K}:\dot{\varepsilon})_{12} \end{pmatrix} = \frac{1}{1-\nu^2} \begin{pmatrix} 1 & \nu & 0 \\ \nu & 1 & 0 \\ 0 & 0 & 1-\nu \end{pmatrix} \begin{pmatrix} \dot{\varepsilon}_{11} \\ \dot{\varepsilon}_{22} \\ \dot{\varepsilon}_{12} \end{pmatrix} \tag{12}$$

where ν is Poisson's ratio. As the elastic stress is, by definition of equation (3)

$$\sigma_E = E\mathbf{K} : \varepsilon_E, \tag{13}$$

its time derivative is

$$\dot{\sigma}_E = \dot{E}\mathbf{K} : \varepsilon_E + E\mathbf{K} : \dot{\varepsilon}_E. \tag{14}$$

Calculating $\dot{E}$ from equation (9) we get

$$\dot{\sigma}_E = E\mathbf{K} : \dot{\varepsilon}_E - \frac{\dot{d}}{1-d}\sigma_E, \tag{15}$$

noting that changes in concentration, A, are much slower and can be ignored (see Appendix B for details).

The viscous stress then relates to the viscous displacement as

$$\sigma_v = \eta\mathbf{K} : \dot{\varepsilon}_v, \tag{16}$$

and to the total stress by

$$\sigma_v = (1+\widetilde{P})\sigma. \tag{17}$$

The elastic stress is related to the total stress as

$$\sigma_E = \sigma, \tag{18}$$

since the stress in each serially connected element must be equal to the total stress. By using equations (7), (15), (16), (17), and (18) we can now rewrite equation (11) as

$$E\mathbf{K} : \dot{\varepsilon} = \dot{\sigma} + \frac{\dot{d}}{1-d}\sigma + (1+\widetilde{P})\frac{E}{\eta}\sigma, \tag{19}$$

or

$$\dot{\sigma} = E\mathbf{K} : \dot{\varepsilon} - \frac{\sigma}{\lambda}\left(1 + \widetilde{P} + \frac{\lambda\dot{d}}{1-d}\right), \tag{20}$$

where $\lambda = \eta/E$ is the viscous relaxation time.

For the time rate of change of damage, $\dot{d}$ we have $\dot{d} > 0$ only when damaging occurs, otherwise $\dot{d} = 0$. We will, therefore, link the $-\sigma\dot{d}/(1-d)$ term of equation (20) to the damaging process below, noting that this term of the equation is zero when the stress is inside the failure envelope. Note also, that for $\dot{d} = 0$ and $\widetilde{P} = 0$ the MEB constitutive law is recovered (equation 4 of Dansereau et al., 2016).

2.2.2 Damaging and healing

Damaging occurs in the BBM rheology whenever the simulated stress in a grid cell or element is outside the failure envelope, or yield curve. The failure envelope of the BBM rheology is the Mohr-Coulomb criterion:

$$\tau = \mu\sigma_N + c, \tag{21}$$

where τ and σ_N are the stress invariants (shear and mean normal stress, respectively), μ is the internal friction coefficient and c is the cohesion (see figure 2). Following Bouillon and Rampal (2015), we let the cohesion scale with model resolution, as

$$c \sim c_{\mathrm{ref}}\sqrt{\frac{l_{\mathrm{ref}}}{\Delta x}}, \tag{22}$$

where c is the model cohesion, Δx is the distance between model node points, and c_{ref} is the cohesion at the reference length scale, l_{ref}. We here use the lab scale, $l_{\mathrm{ref}} = 10$ cm as the reference length scale, where we know the cohesion to be of the order of 1 MPa (e.g. Schulson et al., 2006). In addition to the Mohr-Coulomb criterion we cap the yield curve at high compressive normal stress, as discussed below.

Stress states outside the failure envelope are not physical and so whenever the modelled stress states fall outside the criterion, the damage, d, is modified in order to bring the stresses back inside the yield curve. We note that equation (20) can be written as

$$\frac{d\sigma}{dt} = \frac{\partial\sigma}{\partial t} + \frac{\partial\sigma}{\partial\varepsilon}\frac{\partial\varepsilon}{\partial t} + \frac{\partial\sigma}{\partial d}\frac{\partial d}{\partial t}, \tag{23}$$

with the last term being

$$\frac{\partial\sigma}{\partial d}\frac{\partial d}{\partial t} = \frac{-\sigma}{1-d}\dot{d}. \tag{24}$$

We now consider the case of damaging changing the stress from a stress state outside the yield curve, σ', to a stress state on the failure envelope, σ, over a time t_d. We then have

$$\frac{\sigma}{\sigma'} = d_{\mathrm{crit}} \tag{25}$$

and

$$\frac{\sigma - \sigma'}{t_d} = -\sigma'\frac{1 - d_{\mathrm{crit}}}{t_d}. \tag{26}$$

Assuming that the damaging process is uniform over time t_d, we can write this as

$$\frac{\partial\sigma}{\partial d}\frac{\partial d}{\partial t} = -\sigma\frac{1 - d_{\mathrm{crit}}}{t_d}. \tag{27}$$

Combining equations (24) and (27) we get

$$\dot{d} = \frac{1 - d_{\mathrm{crit}}}{t_d}(1-d). \tag{28}$$

We can assume that for stresses inside the yield curve $d_{\text{crit}} = 0$ at all times. Following Dansereau et al. (2016), we set the characteristic time scale of the propagation of damage to be

$$t_d = \frac{\Delta x}{c_E} = \Delta x \sqrt{\frac{2(1+\nu)\rho}{E}},$$ (29)

with c_E being the propagation speed of an elastic shear wave, ν being Poisson's ratio, ρ the ice density, and E the elasticity as before. We note that equation (27) gives an equation for the change in stress due to damaging which allows us to simplify the time stepping described below.

The variable d_{crit} is the distance between the simulated stress and the yield curve. Here we use the formulation of Plante et al. (2020)[2], but limiting on the compressive stress following (Bouillon & Rampal, 2015). This upper limit is there to counteract instabilities that set in at very high σ_N (as pointed out by Plante et al., 2020). This limit is a numerical construct and is chosen high enough so that it does not influence the results. We scale the limit using the same scaling relationship as for the cohesion, as the onset of instability at high compression is related to the value of cohesion. The resulting equation for the limit is

$$N = N_{\text{ref}} \sqrt{l_{\text{ref}}/\Delta x},$$ (30)

where N_{ref} is the limit at the reference length scale l_{ref}. The resulting equation for d_{crit} then reads

$$d_{\text{crit}} = \begin{cases} -N/\sigma_N, \text{ if } \sigma_N < -N \\ c/(\tau + \mu\sigma_N) \end{cases}.$$ (31)

Since the elasticity and viscosity of the rheology depends on the damage, the damaging process as described above ensures that the stresses are always relaxed to within the yield curve over the time scale t_d.

Damaged ice must heal with time and this is done via a simple restoring term as originally introduced by Bouillon and Rampal (2015) and used in Rampal et al. (2016)

$$\dot{d} = -\frac{1}{t_h} = -\frac{\Delta T}{k_{th}}.$$ (32)

Here t_h is the characteristic time scale of healing, which we chose to depend on the temperature difference between the base of the ice and of the snow-ice interface, i.e. $t_h = k_{th}/\Delta T$, where k_{th} is a constant and ΔT is the temperature difference. This formulation is somewhat ad hoc, but it prevents melting ice from healing which improves thickness and concentration distribution in summer and has very limited effect in winter. The time scale of healing is much larger than that of damaging ($t_h \gg t_d$), and so equations (28) and (32) can be solved separately.

2.2.3 Temporal discretisation

The temporal discretisation of equation (20), using an implicit scheme, is relatively straightforward and very similar to that of Dansereau et al. (2016). Assuming no damage occurs, $\dot{d} = 0$ and we write $\dot{\sigma}$ in terms of the previous time step and the current estimate, σ^n and σ' respectively, giving

$$\frac{\sigma' - \sigma^n}{\Delta t} = E\mathbf{K} : \dot{\varepsilon} - \frac{\sigma'}{\lambda}\left(1 + \tilde{P}\right)$$ (33)

---

[2] Their equation (13). Note that they use Ψ instead of d_{crit}

where all variables are from the previous time step (n), and Δt is the time-step length. Rearranging gives

$$\sigma' = \frac{\lambda(\Delta t E \mathbf{K} : \dot{\varepsilon} + \sigma^n)}{\lambda + \Delta t(1 + \widetilde{P})}. \tag{34}$$

If the stress σ' is inside the failure envelope we set $\sigma^{n+1} = \sigma'$ and continue. If the stress is outside the envelope, however, damaging occurs. In this case, damage is updated using the damage evolution in equation (28), which should be discretised explicitly as

$$\frac{d^{n+1} - d^n}{\Delta t} = \frac{1 - d_{\text{crit}}}{t_d}(1 - d^n). \tag{35}$$

This can be rearranged as

$$d^{n+1} = d^n + (1 - d_{\text{crit}})(1 - d^n)\frac{\Delta t}{t_d}. \tag{36}$$

The super-critical stress resulting from (34) is then relaxed assuming a discretisation of equation (27) of the form

$$\frac{\sigma^{n+1} - \sigma'}{\Delta t} = \frac{\partial \sigma}{\partial d}\frac{\partial d}{\partial t} = -\sigma\frac{1 - d_{\text{crit}}}{t_d}, \tag{37}$$

which can be rewritten as

$$\sigma^{n+1} = \sigma' - (1 - d_{\text{crit}})\sigma'\frac{\Delta t}{t_d}. \tag{38}$$

This relaxation may also be replaced by a recalculation of the stress using the full equation (20) and d^{n+1}, but using equation (38) is substantially more cost-efficient and the results are virtually identical.

2.3 An explicit solver for the momentum equation

The use of an explicit solver for the sea-ice momentum equation is well known within the sea-ice modelling community, and the current implementation follows very closely that of Hunke and Dukowicz (1997) and Danilov et al. (2015). There have been various improvements made to the EVP rheology of Hunke and Dukowicz (1997) in the last years (Lemieux et al., 2012; Bouillon et al., 2013; Kimmritz et al., 2016), attempting to find the best way of using a sub-time stepping scheme to converge the EVP solution to the implicit VP solution. In our case it is more appropriate to think not of sub-time stepping, but rather time-splitting, where the dynamic processes changing velocity and internal stress are resolved at a much shorter time step than advection and thermodynamic processes. Such time-splitting is well known in ocean models (e.g. Killworth et al., 1991; Hallberg, 1997) and the original EVP of Hunke and Dukowicz (1997) can also be considered as a time-splitting approach. We base our solver very closely on that of Hunke and Dukowicz (1997), it being a good fit for our purpose, and a widely-adopted and well-understood method.

The momentum equation of sea ice is (e.g. Connolley et al., 2004; Bouillon & Rampal, 2015; Danilov et al., 2015)

$$m\frac{\partial \vec{u}}{\partial t} = \boldsymbol{\nabla} \cdot (\boldsymbol{\sigma}h) + A(\vec{\tau}_a + \vec{\tau}_w) + \vec{\tau}_b + mf\vec{k} \times \vec{u} - mg\vec{\nabla}\eta, \tag{39}$$

where $m = A\rho h$ is the ice mass per unit area, $\vec{u}$ is the ice velocity, $\boldsymbol{\sigma}$ is the internal stress tensor, h is the ice slab thickness (not volume per unit area), ρ the ice density, $\vec{\tau}_a$ and $\vec{\tau}_w$ are the atmosphere and ocean stress terms, respectively, $\vec{\tau}_b = -C_b\vec{u}$ is the basal stress term introduced in Lemieux et al. (2015), $mf\vec{k} \times \vec{u}$ is the Coriolis term, with vertical unit vector $\vec{k}$, and $mg\vec{\nabla}\eta$ is the ocean-tilt term. We write explicitly the integrated in-

ternal stress as $\boldsymbol{\sigma}h$ following Sulsky et al. (2007) and Bouillon and Rampal (2015). On staggered grids, the tracers m, A, and h are generally collocated and not collocated with the velocities, so we prefer to divide equation (39) with A to get

$$\rho h \frac{\partial \vec{u}}{\partial t} = \boldsymbol{\nabla} \cdot (\boldsymbol{\sigma}h) + \vec{\tau}_a + \vec{\tau}_w + \vec{\tau}_b + \rho h f \vec{k} \times \vec{u} - \rho h g \vec{\nabla} \eta, \tag{40}$$

ignoring a factor of $1/A$ in front of the internal and basal stress terms. Those terms disappear very quickly with decreasing concentration, so the error incurred is very small (of the order of 0.1%). The correct dependence of these terms on A is also very uncertain.

The atmosphere and ocean stress terms are assumed to be quadratic, having the forms

$$\vec{\tau}_a = \rho_a C_a |\vec{u}_a| (\vec{u}_a \cos \theta_a + \vec{k} \times \vec{u}_a \sin \theta_a) \tag{41}$$

and

$$\vec{\tau}_w = \rho_w C_w |\vec{u}_w - \vec{u}| [(\vec{u}_w - \vec{u}) \cos \theta_w + \vec{k} \times (\vec{u}_w - \vec{u}) \sin \theta_w], \tag{42}$$

respectively, where ρ_a and ρ_w are the atmosphere and ocean densities, C_a and C_w atmosphere and ocean drag coefficients, θ_a and θ_w the atmosphere and ocean turning angles, and $\vec{u}_w$ is the ocean velocity.

The momentum equation, together with the drag terms, is then discretised in time (using Cartesian coordinates with $i, j = 1, 2$ implying x and y direction) as (Hunke & Dukowicz, 1997)

$$\frac{\rho h}{\Delta t}(u_i^{k+1} - u_i^k) =$$

$$\sum_j \frac{\partial \sigma_{ij}^{k+1} h}{\partial x_j} + \tau_{ai} + c'[(u_{wi} - u_i^{k+1})\cos\theta_w - \varepsilon_{ij3}(u_{wj} - u_j^{k+1})\sin\theta_w]$$

$$- C_b u_j^{k+1} + \varepsilon_{ij3}\rho h f u_j^{k+1} - \rho h g \frac{\partial \eta}{\partial x_i}, \tag{43}$$

where ε_{ijk} is here the Levi-Civita symbol and $c' = \rho_w C_w |\vec{u}_w - \vec{u}^k|$. This then gives a set of equations that can be solved for the velocity components to give

$$(\alpha^2 + \beta^2)u_1^{k+1} = \alpha u_1^k + \beta u_2^k$$

$$+ \frac{\Delta t}{\rho h}\left[\alpha\left(\sum_j \frac{\partial \sigma_{1j}^{k+1} h}{\partial x_j} + \tau_1\right) + \beta\left(\sum_j \frac{\partial \sigma_{2j}^{k+1} h}{\partial x_j} + \tau_2\right)\right] \tag{44}$$

$$(\alpha^2 + \beta^2)u_2^{k+1} = \alpha u_2^k - \beta u_1^k$$

$$+ \frac{\Delta t}{\rho h}\left[\alpha\left(\sum_j \frac{\partial \sigma_{2j}^{k+1} h}{\partial x_j} + \tau_2\right) + \beta\left(\sum_j \frac{\partial \sigma_{1j}^{k+1} h}{\partial x_j} + \tau_1\right)\right], \tag{45}$$

with

$$\alpha = 1 + \frac{\Delta t}{\rho h}(c' \cos \theta_w + C_b) \tag{46}$$

$$\beta = \Delta t \left(f + \frac{c' \sin \theta_w}{\rho h} \right) \tag{47}$$

$$\tau_1 = \tau_{ai} + c'(u_{1,w} \cos \theta_w - u_{2,w} \sin \theta_w) - \rho h g \frac{\partial \eta}{\partial x_1} \tag{48}$$

$$\tau_2 = \tau_{aj} + c'(u_{2,w} \cos \theta_w + u_{1,w} \sin \theta_w) - \rho h g \frac{\partial \eta}{\partial y} \tag{49}$$

$$c' = \rho_w C_w |\vec{u}_w - \vec{u}^k|. \tag{50}$$

Given a form for $\boldsymbol{\sigma}^{k+1}$ and a spatial discretisation, equations (44) and (45) are easily solved to give the velocity components at each grid point or mesh node.

The spatial discretisation of equations (44) and (45) using finite differences was discussed by Hunke and Dukowicz (1997) for an Arakawa B-grid and by Bouillon et al. (2009) for both the Arakawa B and C-grids. As we have chosen to implement the new rheology in the finite element model neXtSIMv2, we have followed Danilov et al. (2015) for a discretisation using the finite elements method, but there are no apparent impediments for a finite difference implementation of the new rheology.

In their implementation of the Finite Element sea-ice model, FESIM (version 2), Danilov et al. (2015) use nodal quadratures in all terms that do not involve spatial derivatives, in order to save computational time by not computing (unnecessary) mass matrices. The authors derive a weak formulation of the momentum equation (40) by multiplying it with test functions, integrating over the domain, and integrating the internal stress term by parts to get a weak formulation. As the resulting lumped mass matrix (M_{lm}^L) is diagonal, its diagonal entries are simply one third of the sums of areas of triangles containing the vertex considered, $A_c/3$. Equations (44) and (45) can then be used directly, but with

$$\sum_m \frac{\partial \sigma_{1j} h}{\partial x_m} = -\frac{1}{\frac{1}{3} \sum_{c(l)} A_c} \sum_{c(l)} A_c h \left((\sigma_{11})_c \frac{\partial N_l}{\partial x_1} + (\sigma_{12})_c \frac{\partial N_l}{\partial x_2} \right) \tag{51}$$

$$\sum_m \frac{\partial \sigma_{2j} h}{\partial x_m} = -\frac{1}{\frac{1}{3} \sum_{c(l)} A_c} \sum_{c(l)} A_c h \left((\sigma_{12})_c \frac{\partial N_l}{\partial x_1} + (\sigma_{11})_c \frac{\partial N_l}{\partial x_2} \right) \tag{52}$$

and

$$\frac{\partial \eta}{\partial x_1} = \frac{1}{\frac{1}{3} \sum_{c(l)} A_c} \sum_{c(l)} \sum_{j(c)} \eta_m \frac{\partial N_m}{\partial x_1} \tag{53}$$

$$\frac{\partial \eta}{\partial x_2} = \frac{1}{\frac{1}{3} \sum_{c(l)} A_c} \sum_{c(l)} \sum_{j(c)} \eta_m \frac{\partial N_m}{\partial x_2}, \tag{54}$$

where $\sum_{c(l)}$ denotes the sum over all the elements adjacent to node l and $\sum_{m(c)}$ denotes the sum over all the nodes belonging to element c. Note that in neXtSIMv2 the momentum equation is solved on the polar-stereographic plane and we do not include the metric factors as present in Danilov et al. (2015).

2.4 Implementation

The implementation of BBM into neXtSIMv2 that is used hereafter uses a time-splitting method wherein all equations except those related to the velocity, stress, and damage updates are solved using a long, main time step, Δt_m. This includes damage healing, according to equation (32), thermodynamics, and advection. The velocity, stress,

and damage fields (except for healing) are then updated at a higher frequency. The higher frequency time stepping simply consists of splitting the long time step into N_{sub} short dynamical time steps, Δt. The long time step is limited by the stability of the advection scheme, while the dynamical time step is limited by the stability of the BBM rheology. In neXtSIMv2, a single dynamical time step consists of the following:

Algorithm 1 A single dynamical time step in the implementation of BBM into neXtSIMv2

---

1. Calculate σ_N and P_{max} according to equations (1) and (8), respectively

2. Calculate σ' according to equation (34)

3. Calculate d_{crit} according to equation (31)

4. **if** $d_{\text{crit}} < 1$ **then**

5.   Update damage according to equation (36)

6.   Update σ^{n+1} according to equation (38)

7. **else**

8.   Set $\sigma^{n+1} = \sigma'$

9. **end if**

10. Calculate u_1 and u_2 using equations (44) and (45)

11. Update u_1 and u_2 on ghost-nodes of the parallelisation sub-domains

---

In addition to the dynamical solver described here, thermodynamic growth is calculated using the approach of Winton (2000) and advection is done using the Lagrangian scheme of Samaké et al. (2017). We also use the two-class approach of Hibler (1979) for calculating ice growth in open water.

3 Evaluation of simulated deformation

Here we present a simplified evaluation of the simulated deformation. This evaluation was performed by qualitative visual analysis of deformation maps (see Figures 3 and 4), probability density functions, quantitative metrics including bias and root mean square error of deformation time series, and spatial scaling analysis. The goal of applying these metrics on the two model runs is to illustrate the sensitivity of the metrics to obviously different spatial patterns of deformation, rather than a comprehensive evaluation of the different rheologies.

As explained in subsections below the metrics were computed for sea ice deformation from three sources of ice drift:

- SAR-based observations of ice drift from the RADARSAT Geophysical Processor System (RGPS, Kwok et al., 1998);
- neXtSIMv2 with the new BBM rheology (BBM);
- neXtSIMv2 with the mEVP rheology (Bouillon et al., 2009);

The main goal here is to compare BBM against observations. We include the mEVP simulations as a reference for the commonly used (E)VP models and we choose not to compare to results obtained with MEB, since we have already established that it is not suitable for longer simulations.

Table 1. Key model parameters and the values used in the experiments presented here.

Parameter	symbol	value
Ice–atmosphere drag coefficient	C_a	2.0×10^{-3}
Ice–ocean drag coefficient	C_w	5.5×10^{-3}
Undamaged elasticity	E_0	5.96×10^8 Pa
Undamaged viscous relaxation time	λ_0	1×10^7 s
Scaling parameter for the riding threshold	P	10 kPa
Cohesion at the reference scale	c_{ref}	2 MPa
Poisson ratio	ν	1/3
Ice density	ρ	917 kg/m^3
Maximum compressive stress at the reference scale	N_{ref}	10 GPa
Temperature dependent healing time scale	k_{th}	15 days/20 K
Main model time step	Δt_m	900 s
Dynamical time step	Δt	7.5 s
Mean resolution	Δx	10 km
mEVP convergence parameters	α, β	500

The model setup is similar to that in Rampal et al. (2019), except that here we use the BBM where they used MEB. In the two runs (BBM, mEVP) neXtSIMv2 is initialized on 15 November 2006 and runs until 30 April 2007. Atmospheric forcing is derived from the ERA5 reanalysis (Hersbach et al., 2020) and oceanic forcing from the TOPAZ4 reanalysis (Sakov et al., 2012). Initial sea ice thickness and concentration are set from a combination of data from OSISAF (Tonboe et al., 2016), TOPAZ4, and ICESAT[3], as described in Rampal et al. (2019). Initial sea ice damage is set to zero. In all three runs the explicit solver is used and the time step and spatial resolution are the same. The difference is in the rheological part of the model: BBM uses equations from Section 2.2 as they are, in mEVP we follow the implementation of Danilov et al. (2015) with minor changes discussed in Appendix C. We use model time steps of $\Delta t_m = 900$ s and $\Delta t = 7.5$ s, which is equivalent to 120 sub-iterations, for both BBM and mEVP. For the mEVP we set the α and β parameters to 500 following Koldunov et al. (2019). We also tested running the mEVP with 500 and 1000 sub-iterations, but the differences in results are minor if the results not worse depending on the metric (see Appendix D). Table 1 lists the main model parameters and the values used here.

3.1 Details on computation of sea ice deformation rates

Sea-ice drift is computed from the RGPS data the same way as in Stern and Lindsay (2009), with "snapshots" of the sea-ice drift created from the Lagrangian displacement data. For a given target time the snapshot contains all observations of drift that start before this time, end after it and are separated by 3 days. Sea-ice drift from the model is computed similar to Rampal et al. (2019), with drifters in the model seeded at the location of the RGPS snapshot points, and these drifters then advected together with the model elements for the same duration as in the RGPS snapshot. Unlike in Rampal et al. (2019), the simulated trajectories are re-initialised every 3 days to exactly match the RGPS snapshots. The sea ice deformation components divergence (ε_{div}) and shear

---

[3] available at: https://icdc.cen.uni-hamburg.de/seaicethickness-satobs-arc.html, last access: August 2020
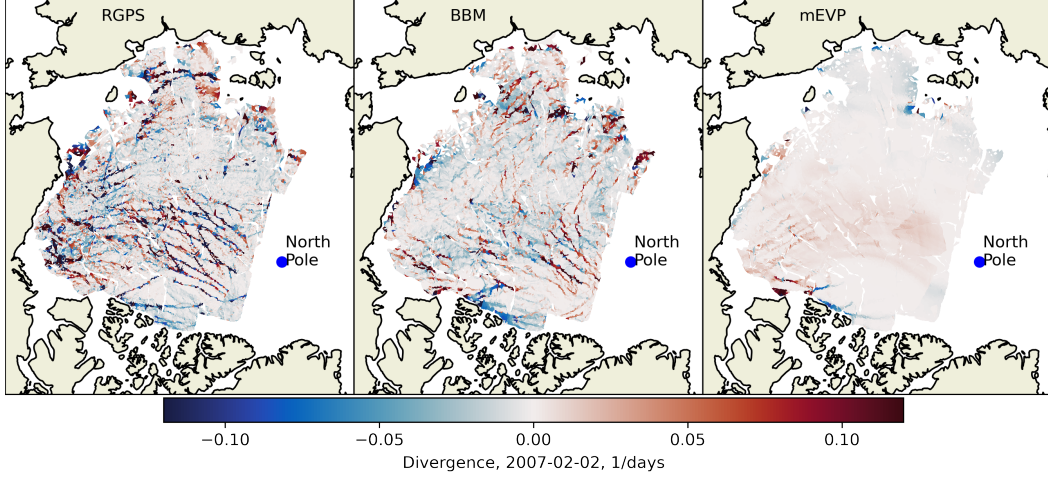
Figure 3. Maps of sea ice divergence (day^{-1}) for 2 February 2007 as observed by RGPS and simulated by neXtSIMv2 with BBM, and mEVP rheologies.

(ε_{shear}) formulation are exactly the same as in Rampal et al. (2019):

$$\varepsilon_{div} = u_x + v_y \tag{55}$$

$$\varepsilon_{shear} = \sqrt{(u_x - v_y)^2 + (u_y + v_x)^2}, \tag{56}$$

where u_x, u_y, v_x and v_y are components of the ice drift velocity gradient.

Maps of divergence and shear rate computed from an example snapshot of RGPS-data based sea-ice drift for 2nd February 2007 are compared against modelled results in figures 3 and 4. Similar to maps in Rampal et al. (2019) and Marsan et al. (2004) the RGPS maps clearly show presence of narrow and long fractures in sea ice in the central Arctic, while the deformation field closer to the coast is more homogeneous. Visually the BBM maps appear quite realistic—length, width and orientation of fractures, as well as magnitude of deformation rates is similar to the RGPS observations. The mEVP maps, on the other hand, show very smooth fields of deformation with few obvious cracks within the ice cover.

3.2 Sea ice deformation probability distribution

Probability density functions (PDFs) were computed from all snapshots of sea ice deformation components for RGPS, BBM and mEVP and plotted in figure 5. Comparison of PDFs shows that for both divergence and shear BBM fits very well with observations, yet slightly underestimating the highest shear values. High values of convergence (above 0.1 day^{-1}) (defined as negative values of divergence with opposite sign) are underestimated. mEVP, on the other hand overestimates very small deformations and significantly underestimates the main portion of the spectrum.

3.3 Sea-ice deformation time series

We have seen that both the spatial field and the PDFs are characterised by a small number of high deformation values. This is exemplified by the LKFs (figures 3 and 4) and the long tail of the PDFs (figure 5). To better analyse this, a metric sensitive to these high values should be used. The 90th percentile (denoted as P90) was selected as such a metric. P90 is the value of deformation below which 90% of deformation values in the
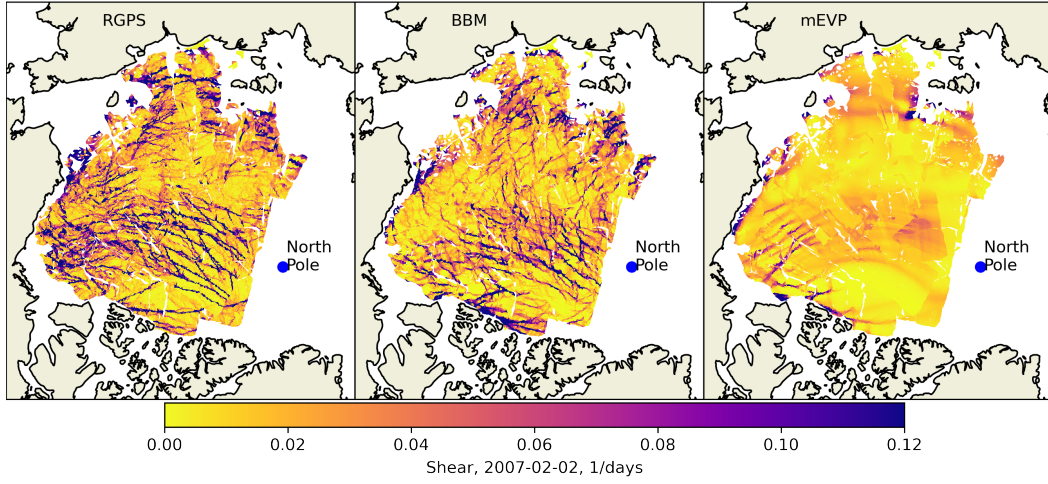
Figure 4. Maps of sea ice shear (day^{-1}) for 2 February 2007 as observed by RGPS and simulated by neXtSIMv2 with BBM and mEVP rheologies.
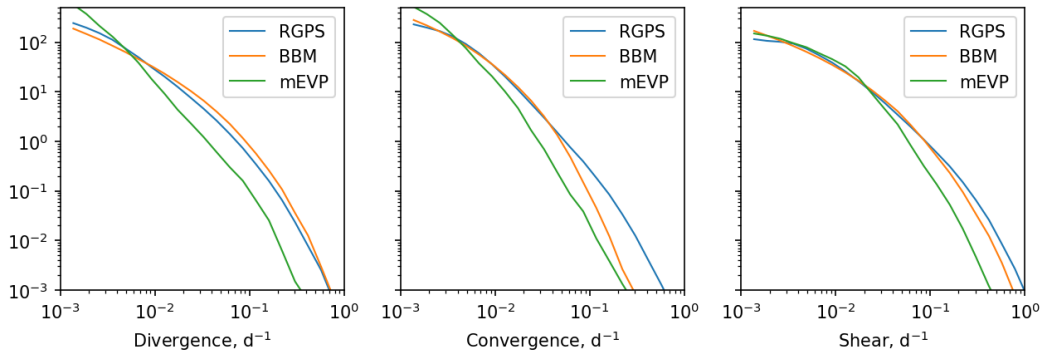

Figure 5. Probability density functions of three sea ice deformation components computed from all snapshots in 2007. Colors denote RGPS observations (blue) and nextSIM runs: BBM (orange) mEVP (green).
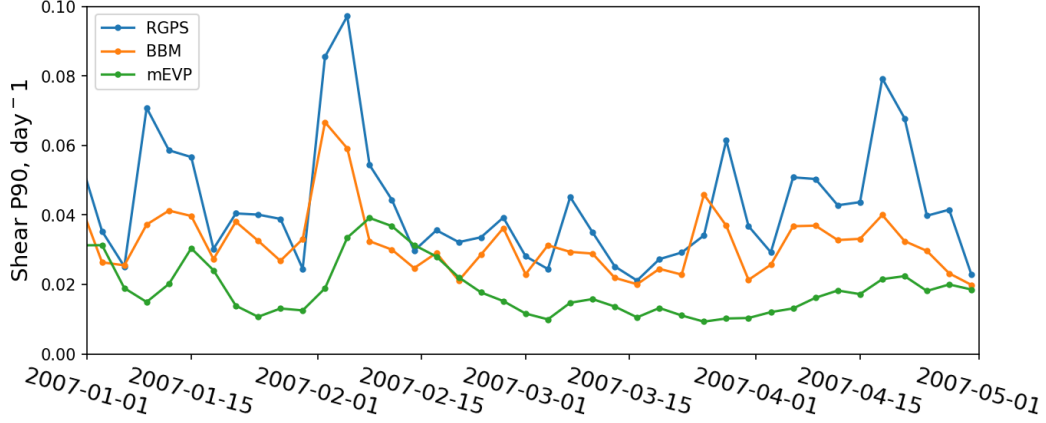
Figure 6. Time series sea ice shear P90 for 2007 as observed by RGPS (blue) and simulated by neXtSIMv2 with BBM (orange) and mEVP (green) rheologies.

frequency distribution fall. For evaluation of the temporal evolution of the deformation, P90 was computed from each snapshot of deformation in 2007. Values of P90 from RGPS and neXtSIMv2 were plotted and inter-compared using bias (b) and root mean square error (RMSE, e):

$$b = \langle \epsilon_N - \epsilon_R \rangle, \tag{57}$$

$$e = \langle (\epsilon_N - \epsilon_R - b)^2 \rangle^{0.5} \tag{58}$$

where ϵ_N and ϵ_R are ice shear P90 values from neXtSIMv2 and RGPS and $\langle \rangle$ denotes averaging. The P90 time series (see Figure 6) show that while neither rheology can capture the highest peaks in deformation rates, the BBM results are clearly closer to RGPS, with a lower bias ($b_{\text{BBM}} = 0.014$, $b_{\text{mEVP}} = 0.028$) and RMSE ($e_{\text{BBM}} = 0.012$, $e_{\text{mEVP}} = 0.016$).

It is noteworthy that the BBM rheology is able to instantaneously react to stronger forcing with rapidly increased deformation, and the timing of these periods of high deformation matches well with peaks in the observations. However, in the mEVP rheology deformation is lower, increases slower, and lags behind the observed rates. We expect both the P90 time series and the tail of the PDF presented in the following subsection to be influenced by the rheology used, but also how well the atmospheric model represents extreme storms. This later aspect is not investigated here.

3.4 Spatial scaling analysis

The spatial scaling analysis of the RGPS, BBM, and mEVP deformation distributions was performed similar to (Marsan et al., 2004). To form a distribution of the total deformation rate (ε_{tot}) at the the nominal spatial scale of 10 km the triangular elements from RGPS and corresponding elements from BBM or mEVP runes were selected with the area between 40 and 60 km^2 (corresponding to initial RGPS triangles with sides 10×10 km $\times 14$ km). The shear and divergence components were computed on these triangles as described above and total deformation was computed as their geometric mean. On larger spatial scales (namely at 20, 40, 80, 160, 320, 640 and 1000 km) the following procedure was used: the Arctic ocean was split by a grid with size equal to the analysed spatial scale; area-weighted average of velocity gradients (u_x, u_y, v_x, v_y) from elements falling in each grid cell was computed; shear, divergence and total deformation
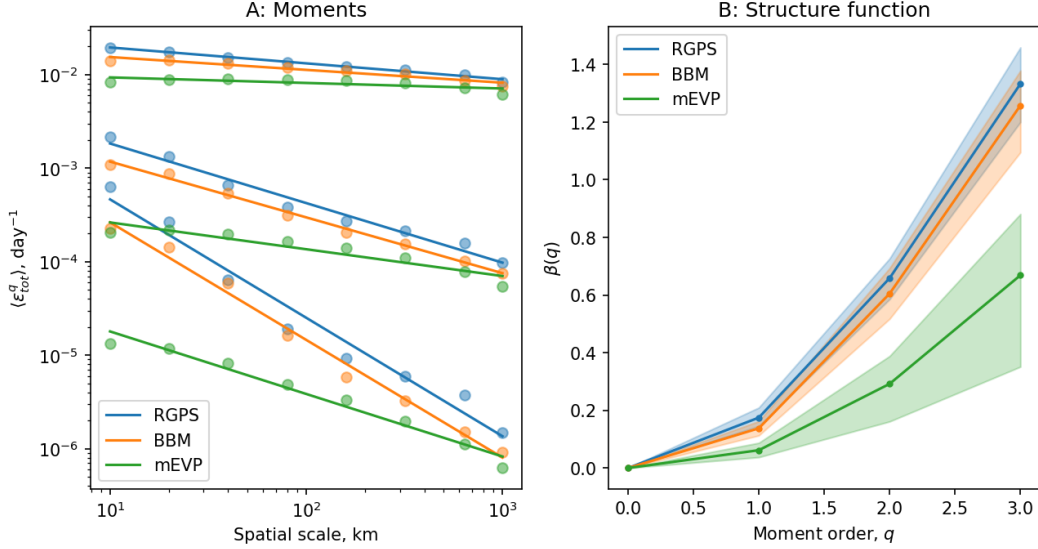
Figure 7. Spatial scaling analysis of RGPS (blue), BBM (orange) and mEVP (green) total deformation fields. A: Moments of the distributions of the total deformation rate ε_{tot} calculated at a temporal scale of 3 d and space scales varying from 10 to 1000 km. B: Structure functions, where β indicates the exponent of the power-law fits and q is the moment order.

rates were computed from the averaged velocity gradients. This procedure was repeated for 3-day fields of deformations acquired between 10 December 2006 and 10 May 2007.

The moments of distributions at each spatial scale were computed as $\langle \varepsilon_{tot}^{q} \rangle$ with order $q = 1, 2$ and 3. A power-law scaling function $\langle \varepsilon_{tot}^{q} \rangle = L^{-\beta(q)}$ was fitted for each moment using the least squares method. Moments, power-law functions and structure functions $\beta(q)$ are plotted on Figure 7, where β indicates the exponent of the power-law fits and q is the moment order. The filled area indicate standard deviation from averaging moments through December 2006 - May 20.07

4 Evaluation of simulated thickness

One of the main motivation of the development of the BBM rheology was to be able to run long-term simulation without encountering the problem of excessive thickening that occurs with the MEB rheology as implemented by Rampal et al. (2019). In this section, we evaluate sea ice thickness in long-term simulations to ensure that BBM leads to reasonable values of the sea ice thickness, just like models using viscous-plastic based rheologies do (e.g. Zampieri et al., 2021, using mEVP).

4.1 Model setup

We use a neXtSIMv2 setup very similar as the one used in section 3, but with different initialisation and simulation length. The model domain has been extended to encompass a larger part of the Eastern Greenland coast as well as the Barents and Kara seas (see Figure 8). Two simulations are run, one with the BBM rheology and one with the mEVP rheology. In the following, we refer to these two simulations as BBM and mEVP, respectively. The sea-ice rheology is the only difference between these two simulations. They are initialised on 1st January 1995 with ice conditions provided by PIOMAS (Schweiger

et al., 2011) and are run over 20 years. Atmospheric forcings are provided by the hourly dataset from the ERA5 reanalysis (Hersbach et al., 2020).

We also run 4 additional experiments using the BBM rehology to investigate the impact of the parameters P and the exponent of the thickness dependency of $P_{\max}$ in equation 8. These experiments are initialised from the reference BBM simulation on 1st January 2000 and run for 5 years. The first two of them are similar to the BBM reference simulation with the exception of the value of P, set to 6 kPa and 14 kPa. The third and fourth experiment use an exponent for the dependency of $P_{\max}$ on h equal to 1 and 2 respectively, instead of $3/2$ in the reference simulation. The values of P in these two simulation have been adjusted to obtain the same value of $P_{\max}$ for h=2m.

4.2 Sea ice thickness evaluation

For our evaluation, we compare the sea-ice thickness from the BBM and mEVP simulations to version 2.2 of the merged CS2-SMOS estimated sea thickness product (Ricker et al., 2017)[4]. This product provides a 7-day averaged estimate of the pan-Arctic sea-ice thickness distribution. It is available daily during the freezing season, from mid-October to early April, starting from November 2010.

The evolution of the domain-averaged sea-ice thickness over the whole run for the two simulations is presented in Figure 8a. We used a 7-day running mean to be consistent with the CS2-SMOS estimated thickness when it is available. Here we can see that there is no spurious thickening of the sea ice in the BBM simulation, hence confirming it can be used for more than year-long simulations. The two simulations furthermore show very similar trend and inter-annual variability. The only difference is that ice is generally thicker in the BBM simulation, resulting in a positive offset of its associated curve compared to the mEVP one. The comparison with CS2-SMOS estimated thickness after 15 years of simulations show a reasonable agreement for the BBM simulation, despite a small negative bias. This negative bias is slightly larger for the mEVP simulation but can be reduced for either of these two simulations with an appropriate tuning of thermodynamical parameters.

We also check the sea ice thickness spatial distribution (Figure 8b,c,d) for the overlapping period covered by the CS2-SMOS product and our simulations. In general, both simulations show distribution patterns similar to the observations, even though they underestimate the ice thickness. The extent of thick ice (represented by the 1.5m contour in Figure 8b,c,d) in the BBM simulation is however larger than in the mEVP simulation, showing a better agreement with the thick ice distribution in the CS2SMOS dataset. This underestimation is particularly visible in places where ice is thicker than 2 m in the CS2-SMOS product. The underestimation of the sea ice thickness for thick ice and the overestimation of sea ice thickness for thin ice are a known problem of sea ice models (Schweiger et al., 2011). Note however that the BBM simulation seems to better reproduce the decreasing gradient of ice thickness from the northern coast of Greenland towards the North Pole than the mEVP one, in which thick ice is only found in a narrow band along the Greenland coast.

Our results show that the BBM rheology yields a reasonable sea-ice thickness magnitude and distribution when compared to observations in a way that is very similar to the results obtained with mEVP. Further studies should focus on the sea ice mass balance of a model using the BBM rheology to better understand how sea ice dynamics interact with thermodynamics.

---

[4] Available at `ftp://ftp.awi.de/sea_ice/product/cryosat2_smos/v202/nh/`, last visit March 2021
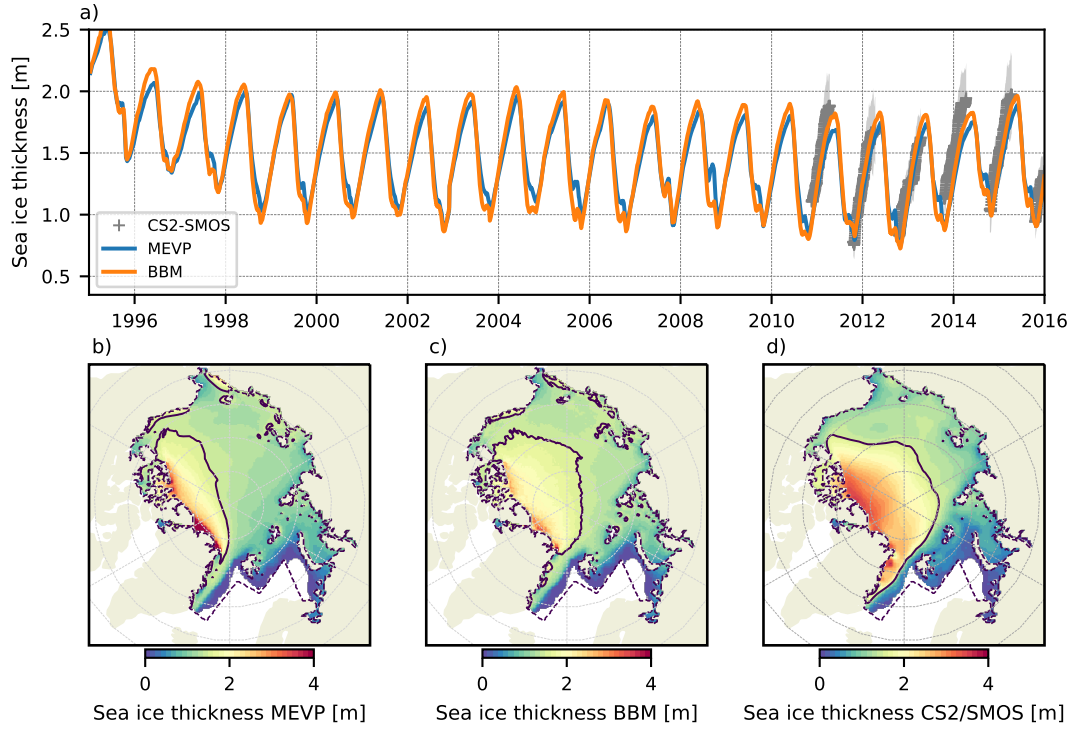
Figure 8. (a) Evolution of the 7-day running mean sea ice thickness over the domain for the mEVP and BBM simulations. Available data from the CS2-SMOS v2.2 product are also shown for comparison with their associated uncertainty in the shaded area. The corresponding spatial distribution for all the period covered by the CS2-SMOS v2.2 product between 2010 and 2016 is also presented for the mEVP (b) and BBM (c) simulations, as well as for the CS2-SMOS v2.2 product (d). The black solid line in (b,c,d) represents the 1.5m sea ice thickness contour in each dataset and the dashed contour line represents the borders of the model domain.

5 Discussion

Given the role of spatial scaling analysis in the development of the EB and MEB models we have done a spatial scaling analysis of the BBM results as well. This shows that BBM closely follows the RGPS observations, both in terms of scaling and structure function. For $P = 0$ kPa we recover the MEB equations, as stated previously, and using this to emulate MEB shows only minor differences between the two in terms of scaling (not shown). This is consistent with previously published MEB results (e.g., figure 3 in (Rampal et al., 2019)). The mEVP significantly underestimates all three moments indicating that the density distribution of deformations remain almost normal up to very small spatial scales, even if the model is run on a Lagrangian mesh. We note also that mEVP scaling results diverge significantly from the fit at the smallest scales. These results are consistent with the scaling analysis of approximately 10 km resolution (Eulerian) models performed by Bouchat et al. (submitted). This shows that the source of the heterogeneity we see in the BBM runs is the model physics and not the Lagrangian advection scheme—although the advection scheme may help preserving this heterogeneity once formed.

The BBM adds to the MEB by introducing a new parameterisation, which is that of the maximum pressure, $P_{\max}$ (see equation 8). Here $P_{\max}$ is a threshold between the regimes of reversible and permanent deformations, which we interpret as the maximum pressure the ice can withstand before ridging. In equation (8) we have chosen to use $P \propto h^{3/2}$, leaving the constant of proportionality, P as a tunable parameter and the main new parameter of the rheology. The model results are reasonably sensitive to the value of this parameter. This is true for both the deformation patterns and the large-scale thickness distribution, both of which show a qualitatively continuous and monotonous response to changes in P for $P > 0$ kPa.

We explored manually the parameter space for P, and figure 9 shows maps of shear rate for a given day and a range of values for $P \in [0, 18]$ kPa, demonstrating the effect of P on the deformation patterns. Using $P = 0$ kPa to emulate MEB we see that using BBM gives a qualitative improvement of the deformation patterns, compared to MEB. For $0 < P \lesssim 6$ kPa the features are not as straight as expected, while for $P \gtrsim 14$ kPa they start to become too localised and intense with not enough deformation occurring between them. Modifying the cohesion (c_{ref}) also affects the deformation patterns; using a small value giving a large number of small, less intense features, while larger values give a smaller number of large, more intense features (not shown). A reasonable range for c_{ref} appears to be within 1 and 3 MPa. These comparisons are at the moment very qualitative, but we find that using the current tools we have at our disposal (such as scaling analysis and LKF detection) give either inconclusive results or require further development to be used to tune this new rheology against observed deformation.

Using different values of P also affects the large-scale thickness distribution in the Arctic. Figure 10 shows how using $P = 6$ kPa and $P = 14$ kPa modifies the long term averaged thickness field, compared to $P = 10$ kPa. In it, we see a clear thickening by about 20 cm and thinning by about 10 cm for $P = 6$ kPa and $P = 14$ kPa, respectively. This is to be expected, as a lower P value allows the ice to ridge more readily and so the observed difference in thickness is due to an increase or decrease in ridging. We also don't expect the response to be symmetric around an optimal P value because $P_{\max} \propto h^{3/2}$ and not $P_{\max} \propto h$.

In addition to the sensitivity to the value of P we note that the formulation of $P_{\max}$ is not immediately obvious. Here we have chosen to relate the maximum pressure to ice thickness following Hopkins (1998). Other possible choices we explored were to use a constant, to use $P_{\max} \propto h$ (similar to Hibler, 1979) and $P_{\max} \propto h^2$ (as per D. A. Rothrock, 1975). A dependence on the ice thickness is likely to be more complicated in reality, and
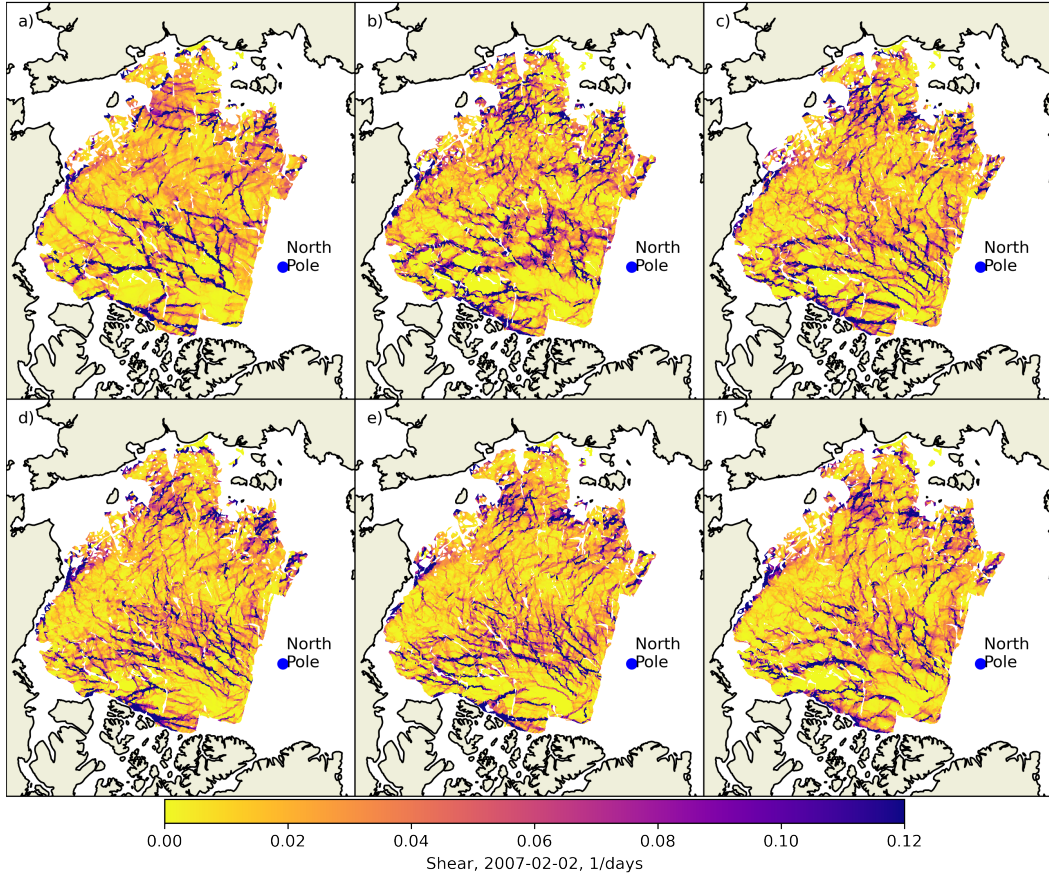
Figure 9. Maps of sea ice shear for 2 February 2007 as simulated by neXtSIMv2 with the BBM rheology and $P = 0, 2, 6, 10, 14, 18$ kPa, in panels a, b, c, d, e, and f, respectively.
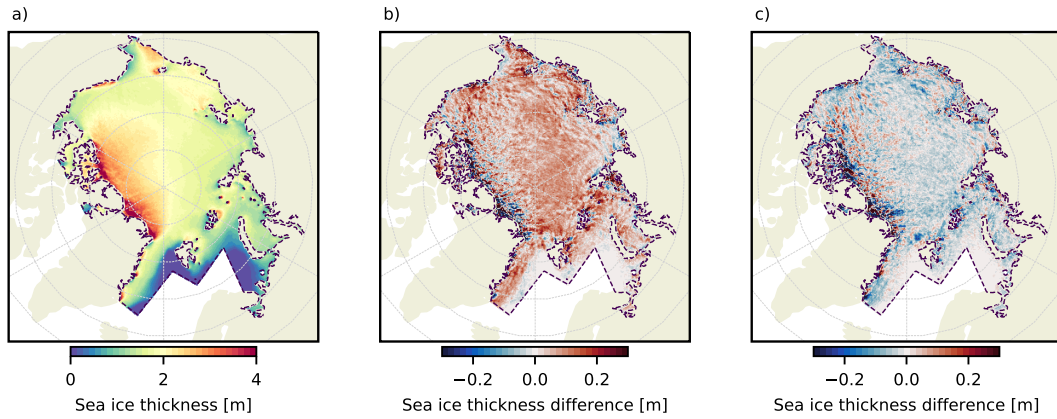

Figure 10. (a) January to March sea ice thickness climatology from 2000 to 2004 for the reference BBM simulation (P=10 kPa and $P_{\max} \propto h^{3/2}$). Panels (b) and (c) show the difference for this same quantity between simulations using with P=6 kPa (b) and P=14 kPa (c) and the reference BBM simulation.
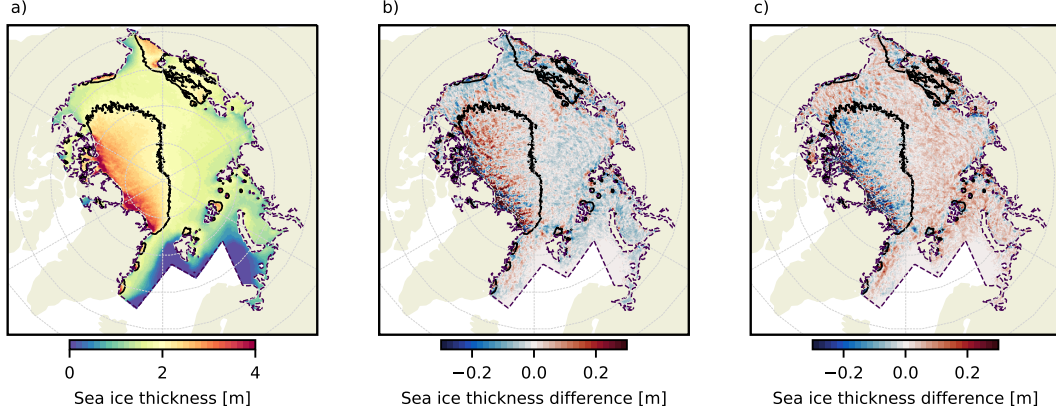
Figure 11. (a) Similar to Figure 10a. Panels (b) and (c) are also similar to Figure 10b,c but this time for two simulations with different dependencies of $P_{\max}$ on h: (b) $P_{\max} \propto h$ and (c) $P_{\max} \propto h^2$. Values of P in each simulation have been adjusted to obtain the same value of $P_{\max}$ for $h=2$m as in the reference BBM simulation. The solid black line in each panel delimits the 2-metre sea ice thickness contour in the BBM reference simulation.

other ice state parameters may have to be taken into account. Different formulations, such as relating $P_{\max}$ to the level of damage, are also possible, but were not explored here.

Using the different formulations of $P_{\max}$ listed above does not have a notable effect on the deformation patterns, but it does affect the large-scale thickness distribution. Figure 11 shows how using $P_{\max} \propto h$ and $P_{\max} \propto h^2$ compares to the reference implementation with $P_{\max} \propto h^{3/2}$. In these experiments we chose the constant of proportionality such that $P_{\max}$ is the same in all three cases for 2 m thick ice. The figure shows a clear pattern of pivoting in the thickness anomalies between the different cases. For $P_{\max} \propto h$ the ice that is thicker than 2 m in the reference experiment becomes even thicker, while for $P_{\max} \propto h^2$ it is thinner. The change in thickness is of the order of 20 cm. This behaviour is expected, based on the model response to simply changing P in the reference implementation. Even though the difference between the different formulations is clear we still cannot conclusively determine which one gives the best results because uncertainties in observed ice thickness and unrelated model parameters are most likely larger than the signal we see here.

Using the chosen set of parameters for the BBM, we see only minor differences between the thickness distribution and evolution of BBM and mEVP (figure 8). This indicates a very strong influence of the atmospheric and oceanic forcing on the ice state— as is to be expected. We note, however, that the mean ice thickness using the BBM is slightly higher, and that this behaviour can be reproduced with the mEVP by increasing the h_0 parameter of the Hibler (1979) two-category ice formation scheme. This shows that more ice is produced in leads using the BBM—which is also to be expected as that model clearly produces more openings (figure 3). A plausible mechanism for this is that more ice is produced in a lead that opens, refreezes, and then closes mechanically, than would have been produced under level ice. A lead can only open if ice is either being ridged or exported down-stream, so this will also act to increase the mean ice thickness, except in the vicinity of export gates, such as the Fram Strait.

The difference between BBM and mEVP is much greater if we use the ice thickness scheme of Rampal et al. (2019), who added a dynamically inert thin, or young ice class (not shown). The role of ice formation in leads is, therefore, most likely underes-

timated using only the two categories of Hibler (1979) in this context, but further investigation of this is outside the scope of this paper.

In addition to proposing a new constitutive model, we here also propose a new relationship between the viscosity and sea-ice concentration in equation (10). We introduced this change because with the original formulation of Dansereau et al. (2016) low-concentration ice behaved in a more rigid-like manner than what is readily observed. This was particularly evident in the Fram Strait and along the East Greenland coast where we saw arching during summer in the Fram Strait and the ice in the East Greenland Current was too loose and did not flow as close to the coast as can be seen in observations.

The original viscosity formulation of Dansereau et al. (2016) (who use $e^{-C(1-A)}$, instead of $e^{-C\alpha(1-A)}$) is only an educated first guess when it comes to the relationship between viscosity and concentration (as they themselves point out). Our reformulation is motivated by the fact that the original formulation gives too viscous ice at low concentration, as well as the idea that there should be a relationship between damage and concentration, as for instance waves are more likely to break the ice into small floes where ice concentration is low (Williams et al., 2017; Boutin et al., 2021). Our equation for η can be re-written as $\eta = \eta_0[(1-d)e^{-C(1-A)}]^\alpha$ to underline this connection.

Although our formulation gives reasonably good results, the connection between damage, floe-size distribution, and concentration should be investigated in substantially more detail still. One reason for further investigation is that the theoretical basis for the current formulation is probably weak and an in-depth study of the transition between the collisional and continuum regimes should yield a much better justified formulation. Another reason is that we have seen that the formulation of the relationship between viscosity and concentration affects the PDF of convergence (figure 5), and the convergence PDF is still not as well reproduced by our model as the shear and divergence PDFs. There is, therefore, clearly room for improvement here, from both a theoretical and practical point of view. A possible way forwards here is to build on the work of Hibler (1977); Shen et al. (1986); Feltham (2005) who derive equations for the flow of ice in the marginal ice zone that resemble those of a viscous fluid. This could lead to a more realistic formulation of equation (10) for the limits $d \to 0$ and $A \to 0$.

A final point to make is that of the numerical performance of the proposed system. In practical terms then the neXtSIMv2 implementation of mEVP and BBM differs only in the calculation of σ. The BBM routine to calculate σ is longer and more complex than the mEVP routine (about 65 lines vs. about 45 lines, with more loops) and takes about 4 times the time to execute. In the neXtSIMv2 implementation this means that solving the momentum equation using BBM takes about 25% longer than it takes using mEVP, when both use 120 sub-cycling steps in our 10 km resolution setup with a model time step of 900 s.

One way to speed up the BBM execution is to reduce the undamaged elasticity, E_0, which allows for a longer time step, or fewer sub-cycling steps (as per equation A8). Reducing E_0 to quarter of the value used so far allows us to double the dynamical time step, or halve the number of sub-cycling steps. This makes the BBM 20% faster than mEVP. Reducing E_0 even further reduces the stability of the system, but we did not attempt to pinpoint the numerically optimum value for E_0 further. Reducing E_0 this way does not reduce the quality of the results presented in here, but we have yet to fully explore the effect of reducing E_0.

6 Summary and conclusions

In this paper we present a new rheology and an accompanying numerical framework for large-scale sea-ice modelling. We refer to this rheology and framework as the brittle Bingham-Maxwell rheology (BBM). The BBM is a further development of the elasto-

brittle (EB) and Maxwell-elasto-brittle (MEB) rheologies that have been used to simulate sea ice previously in large-scale models. The main motivation behind this new development is twofold: First, to address the missing physics in the MEB rheology related to the convergence mode of deformation, and that was responsible for allowing both unrealistic local (ridges) and basin-scale thickening of the sea ice cover over time. Second, to reduce the high numerical cost associated with the semi-implicit solver used for MEB in the neXtSIM model so far.

Following the work presented in this paper we can conclude the following:

- The BBM rheology provides a good distribution of deformation magnitude and temporal variability of the highest deformation rates. The maps of deformation rates are very realistic with sharp, well localised (down to the model grid scale) features.
- Using the BBM rheology we can simulate a realistic spatial ice thickness distribution and temporal evolution.
- Using an explicit solver to solve the underlying equations delivers numerical performance similar to that of the (m)EVP rheology.

Appendix A Stability analysis

We perform a von-Neumann stability analysis for the 1D case. We presume the motion and spatial variation only to happen in the x-direction, the coefficients to be constants and all forcing to be represented by τ. In 1D, the contribution of the elastic-stiffness tensor reduces to $\mathbf{K} : \dot{\varepsilon}^n = \partial_x u^{n-1}$. Abbreviating $\sigma = \sigma_{11}$ and $D^{-1} = \dot{d}/(1 - d)$, and assuming h to be constant, the discretised equations (equation 33 including the damage term as in 20, and the sea-ice momentum equations 44 and 45) in 1D read

$$u^{n+1} \quad = \quad u^n + \frac{\Delta t}{\rho}\frac{\partial \sigma^{n+1}}{\partial x} + \frac{\Delta t \tau}{\rho h}, \tag{A1}$$

$$\frac{1}{\chi \Delta t}\sigma^{n+1} \quad = \quad \frac{1}{\Delta t}\sigma^n + E\frac{\partial u^n}{\partial x} \tag{A2}$$

with $\chi := \left(1 + \frac{\Delta t}{\lambda}(1 + \widetilde{P}) + \frac{\Delta t}{D}\right)^{-1}$. Given that $-1 \leq \widetilde{P} \leq 0$ (see equation 7b), we always have $\chi \in (0, 1]$.

Assuming χ to be constant in x-direction, we eliminate σ from (A1)-(A2). Therefore, we first take the spatial derivative of (A2) to get an explicit representation of $\partial\sigma^{n+1}/\partial x$:

$$\frac{\partial\sigma^{n+1}}{\partial x} = \chi\left(\Delta t E\frac{\partial^2 u^n}{\partial x^2} + \frac{\partial\sigma^n}{\partial x}\right), \tag{A3}$$

replace this expression in equation (A1) and use equation (A1) at the previous time step to derive at

$$u^{n+1} - u^n\left(1 + \chi - \chi\psi^2\right) + u^{n-1}\chi = (1 - \chi)\frac{\Delta t}{h\rho}\tau, \tag{A4}$$

with $\psi := k\Delta t\sqrt{E/\rho} \in (0, \pi]$ and $-k^2$ being the eigenvalue of ∂_{xx}^2 with $k^2 \leq \pi^2/\Delta x^2$. With the elastic wave speed $c_E := \sqrt{E/\rho}$ and the elastic timescale, which is equal to the damage propagation time $t_d := \Delta x/c_E$, we have $\psi = (\Delta x k)\Delta t/t_d$.

To derive a formal stability condition, we study the amplification factor $\xi = u^{n+1}/u^n$. The homogeneous equation (A4), where the forcing $\frac{\tau\Delta t}{h\rho}(1 - \chi)$ is ignored, can be reformulated as:

$$\xi^2 - \xi(1 + \chi - \chi\psi^2) + \chi = 0 \tag{A5}$$

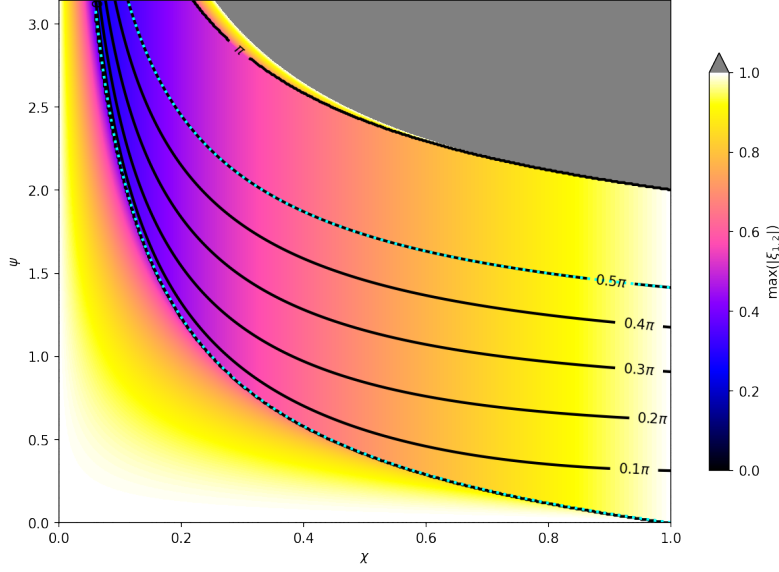**Figure A1.** Stability regions of the simplified 1D case in the $\{\chi, \psi\}$-plane. Contour lines show the maximum angle ω of $\xi_{1,2}$ between 0 and $\pi/2$ and for π. The colouring depicts $\max|\xi_{1,2}|$, with $\max|\xi_{1,2}| > 1$ shaded grey. The dotted cyan lines are the functions $\psi = \sqrt{\chi^{-1} - 1}$ (where $\max(\omega_{1,2}) = 0$) and $\psi = \sqrt{\chi^{-1} + 1}$ (where $\max(\omega_{1,2}) = \pi/2$).

which has the solutions

$$\xi_{1,2} = \frac{1}{2}(1 + \chi - \chi\psi^2) \pm \sqrt{(1 + \chi - \chi\psi^2)^2/4 - \chi}. \tag{A6}$$

The formal stability constraint reads $|\xi| \leq 1$, but bearing in mind that the underlying set of equations is highly nonlinear and in order to have a stable algorithm, the stronger constraint $|\xi| < 1$ should hold. The angle, ω, of $\xi = |\xi| \exp(i\omega)$ should also be sufficiently small to resolve oscillations that may occur during the time-stepping process (see also Kimmritz et al., 2015). For instance, $\omega = \pi/2$ would provoke a change in sign in every second time step. Thus ω should ideally satisfy $\omega \ll \pi/2$. Figure A1 shows both, the maximum magnitude, $\max|\xi_{1,2}|$, and the maximum angle, $\max(\omega_{1,2})$, in the χ, ψ space for the limits $k = \Delta x^{-1}$.

The values for $\max|\xi_{1,2}|$ and $\max(\omega_{1,2})$ fall into three main regions (see Fig. A1):

The first region (grey area) collects unstable solutions where $\max|\xi_{1,2}| > 1$. Solutions in this area occur, when a too large time step Δt fails to properly resolve the stress redistribution of undamaged or slightly damaged ice, or ice in or very near the elastic regime ($\widetilde{P} \approx -1$).

The second region (yellow lower left area) contains stable solutions with $|\xi_{1,2}|$ close to 1 and no phase $\omega_{1,2} = 0$. It is characterised by $\psi < \sqrt{\chi^{-1} - 1}$ (lower dotted cyan curve in Fig. A1). In this case, the time step is small enough to resolve the stress redistribution without any phase changes in ξ, but error damping remains very small.

Solutions in the third region, lying between these two other regions in the $\{\chi, \psi\}$ plane, are stable and show faster damping of the error compared to solutions located in the lower left corner. They are, however, oscillatory as $\omega_{1,2} > 0$. Here the angles $\omega_{1,2}$ are arranged in conjugate pairs (As in the EVP case, see Kimmritz et al., 2015), and so solutions in this third region have the real component $Re(\xi_{1,2}) = \frac{1}{2}(1 + \chi - \chi\psi^2)$ and

the imaginary components $Im(\xi_{1,2}) = \pm\sqrt{\chi - (1 + \chi - \chi\psi^2)^2/4}$, resulting in $\max|\xi_{1,2}|$ being of the order of $\sqrt{1/2}(1 + \chi - \chi\psi^2)$ as a conservative estimate. To ensure a stable solution we need $\omega < \pi/2$, which means that ψ should be smaller than $\sqrt{\chi^{-1} + 1}$ (upper dotted cyan curve in Fig. A1). This condition is the most constraining when $\chi = 1$, resulting in

$$\psi = \frac{k\Delta x\Delta t}{t_d} \leq \frac{\pi\Delta t}{t_d} < \sqrt{2}. \tag{A7}$$

This gives a global constraint on the time step Δt

$$\Delta t < \frac{\sqrt{2}}{\pi}t_d = \frac{\sqrt{2}}{\pi}\frac{\Delta x}{c_E}. \tag{A8}$$

From equation (A8) we can immediately see that the stability of the BBM framework is determined by the horizontal resolution of the model and the propagation speed of damage. For practical purposes it is important to note that the the time step scales with the horizontal resolution, i.e. $\Delta t \propto \Delta x$, and not the resolution squared, as one would expect from a purely viscous fluid. Secondly, the time step scales with the propagation time of damage, which in turn scales with the undamaged elasticity as $t_d \propto 1/\sqrt{E}$. This means that one can increase the time step of the model if the elasticity is reduced, as noted in the discussion (section 5).

Appendix B   Relevance of changes in concentration to the constitutive equation

In section 2.2.1 we derive the constitutive equations for the BBM rheology assuming that changes in concentration, A, are slow and can be ignored. This assumption can be justified by considering the full temporal derivative of E, derived from equation (9):

$$\dot{E} = EC\dot{A} - E\frac{\dot{d}}{1 - d}, \tag{B1}$$

to derive the time derivative of σ_E as

$$\dot{\sigma}_E = E\mathbf{K} : \dot{\varepsilon}_E + \left(C\dot{A} - \frac{\dot{d}}{1 - d}\right)\sigma_E. \tag{B2}$$

Now using equation (B2), together with equations (7), (16), (17), and (18), we can derive the analogue of equation (20) as

$$\dot{\sigma} = E\mathbf{K} : \dot{\varepsilon} - \frac{\sigma}{\lambda}\left(1 + \widetilde{P} - \lambda C\dot{A} + \frac{\dot{d}}{1 - d}\right). \tag{B3}$$

If we assume the ice is not damaging, i.e. $\dot{d} = 0$, we see that for $\dot{A}$ to be negligible we must have

$$\lambda C\dot{A} \ll 1. \tag{B4}$$

The largest values for divergence observed in the Arctic at 10 km resolution are about 10%/day, so for the inequality to hold for highly deforming ice (and with $C = 20$) we have

$$\lambda \ll \frac{1}{C\dot{A}} \approx 4 \times 10^4 \text{ s}. \tag{B5}$$

With $\lambda = \eta/E$ and following equations (9) and (10), the condition above holds for $d \gtrsim 0.7$ when $A = 1$ and $A \lesssim 0.7$ when $d = 0$.

Comparing model fields of λ and divergence shows that the condition above also holds in general, in particular because damage must become quite high ($\gtrsim 0.7$) before

any deformation will occur. We have also implemented equation (B2), using $\dot{A} = -\nabla \cdot (\vec{v}A)$ in neXtSIMv2 and this gives results that are not significantly different from the ones we present in the paper's main text.

Appendix C The mEVP implementation

We choose to re-arrange slightly the mEVP equations in the neXtSIMv2 implementation, in order to have a more general code which requires only small changes to switch between mEVP, EVP, and MEB. In mEVP the momentum equation is generally written as (e.g. Danilov et al., 2015)

$$\beta(\vec{u}^{n+1} - \vec{u}^n) = \vec{u}^0 - \vec{u}^{n+1} - \Delta t f \vec{k} \times \vec{u}^{n+1}$$
$$+ \frac{\Delta t}{m}[\vec{F}^{n+1} + A\vec{\tau} + AC_d\rho_w(\vec{u}_w - \vec{u}^{n+1})|\vec{u}_w - \vec{u}^{n+1}| - \rho h g \vec{\nabla}\eta] \quad \text{(C1)}$$

or

$$\frac{\rho h}{\Delta t}(\beta[\vec{u}^{n+1} - \vec{u}] + \vec{u}^{n+1} - \vec{u}^0) =$$
$$\vec{F}^{n+1} + A\vec{\tau} + AC_d\rho_w(\vec{u}_w - \vec{u}^{n+1})|\vec{u}_w - \vec{u}^{n+1}| - \rho h f \vec{k} \times \vec{u}^{n+1} - \rho h g \vec{\nabla}\eta. \quad \text{(C2)}$$

Here β is the mEVP damping parameter, n denotes the sub-time step number, u^0 is the velocity before entering the sub-cycling, $F_j = \partial\sigma_{ij}/\partial x_i$ is the internal stress terms, and other terms are as before.

The right hand side of equation (C2) can be written as

$$\frac{\rho h}{\Delta t}(\vec{u}^{n+1}[\beta + 1] - \beta\vec{u}^n - \vec{u}^0) = \frac{m}{\Delta t}([\beta + 1][\vec{u}^{n+1} - \vec{u}^n] - [\vec{u}^0 - \vec{u}^n]). \quad \text{(C3)}$$

With $b := \beta + 1$, we now have

$$\frac{\rho h b}{\Delta t}(\vec{u}^{n+1} - \vec{u}^n) = \frac{m}{\Delta t}(\vec{u}^0 - \vec{u}^n)+$$
$$\vec{F}^{n+1} + A\vec{\tau} - \rho h f \vec{k} \times \vec{u}^n + 1 + C_d A\rho_w(\vec{u}_w - \vec{u}^n + 1)|\vec{u}_w - \vec{u}^{n+1}| - \rho h g \vec{\nabla}\eta. \quad \text{(C4)}$$

This is equivalent to using a modified time step

$$(\Delta t)' = \Delta t/b \quad \text{(C5)}$$

and an extra term in the equation of

$$\frac{m}{(\Delta t)'}\frac{\vec{u}^0 - \vec{u}^n}{b}. \quad \text{(C6)}$$

With this, equations (44) and (45) become (now using β from Hunke & Dukowicz, 1997)

$$(\alpha^2 + \beta^2)u_1^{k+1} = \alpha u_1^k + \beta u_2^k + \frac{u_1^0 - u_1^n}{b}$$
$$+ \frac{(\Delta t)'}{\rho h}\left[\alpha\left(\sum_j \frac{\partial\sigma_{1j}^{k+1}h}{\partial x_j} + \tau_x\right) + \beta\left(\sum_j \frac{\partial\sigma_{2j}^{k+1}h}{\partial x_j} + \tau_y\right)\right] \quad \text{(C7)}$$
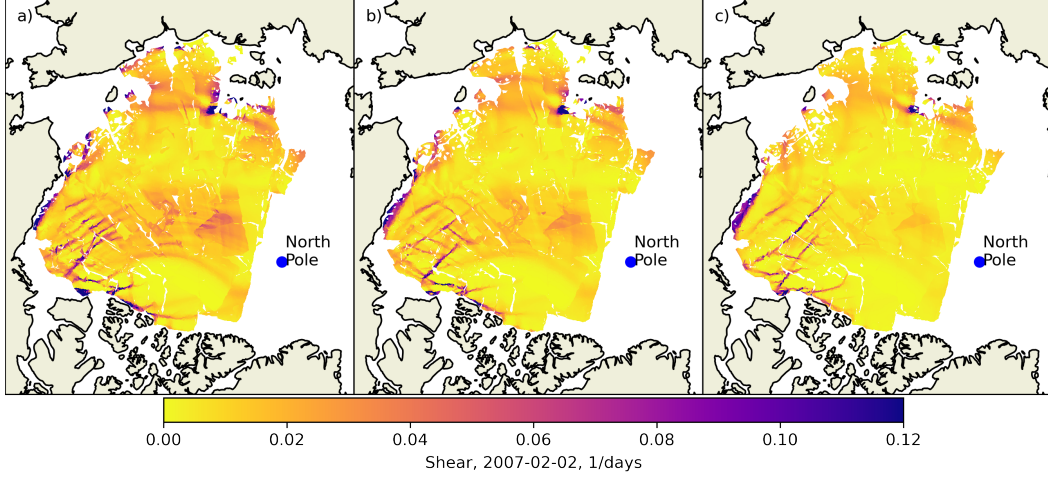
Figure D1. Maps of sea ice shear (day^{-1}) for 2 February 2007 as simulated by neXtSIMv2 with the mEVP rheology and 120, 500 and 1000 sub-iteration steps (panels a, b, and c, respectively).

$$(\alpha^2 + \beta^2)u_2^{k+1} = \alpha u_2^k - \beta u_1^k + \frac{u_2^0 - u_2^n}{b}$$
$$+ \frac{(\Delta t)'}{\rho h}\left[\alpha\left(\sum_j \frac{\partial \sigma_{2j}^{k+1}h}{\partial x_j} + \tau_y\right) + \beta\left(\sum_j \frac{\partial \sigma_{1j}^{k+1}h}{\partial x_j} + \tau_x\right)\right], \quad \text{(C8)}$$

with α, β, τ_x, τ_y, and c' as before. In the code it is trivial to switch between the normal and modified time steps and to include or not the additional term to efficiently switch between the mEVP and EVP time stepping.

Appendix D The effect of using a large number of sub-iterations with mEVP

In addition to using 120 sub-iterations we also tested running the mEVP with 500 and 1000 sub-iterations. The main impact is that with higher number of sub-iterations the deformation field becomes more localised (figure D1), but since the number of features is very small, then the P90 value is lowered (figure D2 and section 3.3) and the magnitude of the three moments of the spatial scaling analysis is reduced (figure D3 and section 3.4). The effect of using a large number of sub-iterations on the PDFs is barely noticeable (not shown).
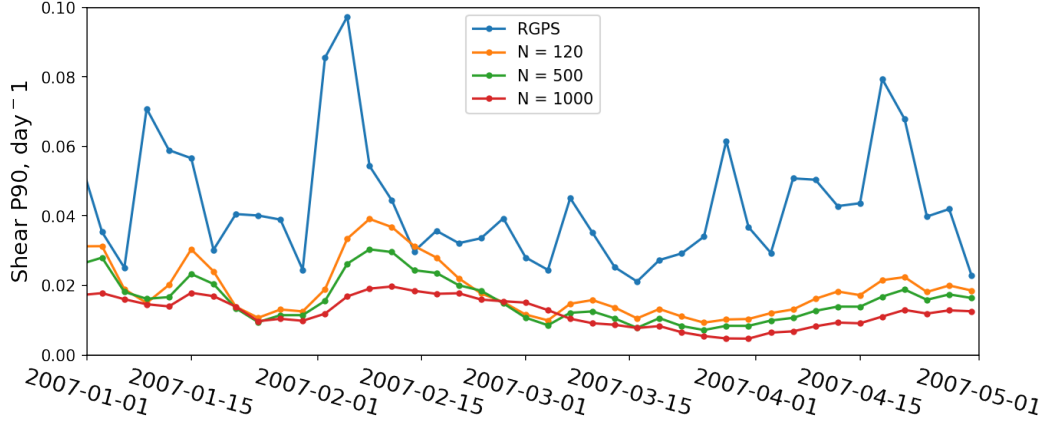
Figure D2. Time series sea ice shear P90 for 2007 as simulated by neXtSIMv2 with the mEVP rheology and 120, 500 and 1000 sub-iteration steps (N).
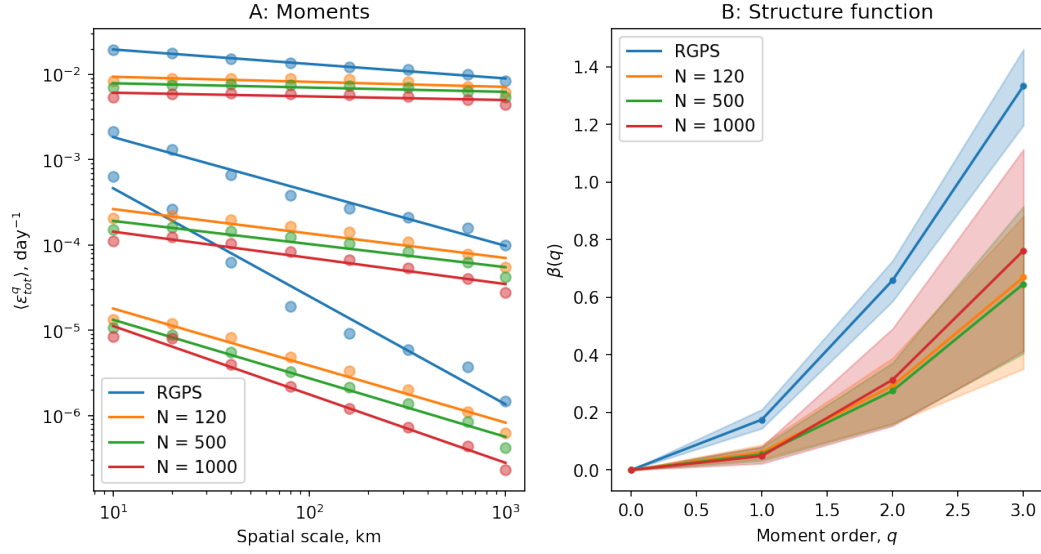

Figure D3. Spatial scaling analysis of total deformation fields as simulated by neXtSIMv2 with the mEVP rheology and 120, 500 and 1000 sub-iteration steps (N). A: Moments of the distributions of the total deformation rate ε_{tot} calculated at a temporal scale of 3 d and space scales varying from 10 to 1000 km. B: Structure functions, where β indicates the exponent of the power-law fits and q is the moment order.

References

Bingham, E. C. (1922). *Fluidity and plasticity* (Vol. 2). McGraw-Hill.

Bouchat, A., Hutter, N., Chanut, J., Dupont, F., Dukhovskoy, D., Garric, G., . . . Wang, Q. (submitted). Sea ice rheology experiment (sirex), part i: Scaling and statistical properties of sea-ice deformation fields. *Journal of Geophysical Research: Oceans*. doi: 10.1002/essoar.10507397.1

Bouillon, S., Fichefet, T., Legat, V., & Madec, G. (2013). The elastic-viscous-plastic method revisited. *Ocean Modelling*, *71*, 2–12. doi: 10.1016/j.ocemod.2013.05 .013

Bouillon, S., Maqueda, M. A. M., Legat, V., & Fichefet, T. (2009). An elastic-viscous-plastic sea ice model formulated on Arakawa B and C grids. *Ocean Mod.*, *27*, 174–184.

Bouillon, S., & Rampal, P. (2015). Presentation of the dynamical core of neXtSIM, a new sea ice model. *Ocean Modelling*, *91*(C), 23–37. doi: 10.1016/j.ocemod .2015.04.005

Boutin, G., Williams, T., Rampal, P., Olason, E., & Lique, C. (2021). Wave–sea-ice interactions in a brittle rheological framework. *The Cryosphere*, *15*(1), 431–457. doi: 10.5194/tc-15-431-2021

Cheddadi, I., Saramito, P., Raufaste, C., Marmottant, P., & Graner, F. (2008). Numerical modelling of foam couette flows. *The European Physical Journal E*, *27*, 123. doi: 10.1140/epje/i2008-10358-7

Chevallier, M., Smith, G. C., Dupont, F., Lemieux, J.-F., Forget, G., Fujii, Y., . . . Wang, X. (2017). Intercomparison of the Arctic sea ice cover in global ocean–sea ice reanalyses from the ORA-IP project. *Climate Dynamics*, *49*(3), 1107–1136. doi: 10.1007/s00382-016-2985-y

Colony, R., & Thorndike, A. S. (1984). An estimate of the mean field of Arctic sea ice motion. *J. Geophys. Res.*, *89*(C6), 10623–10629. doi: 10.1029/JC089iC06p10623

Connolley, W. M., Gregory, J. M., Hunke, E., & McLaren, a. J. (2004). On the Consistent Scaling of Terms in the Sea-Ice Dynamics Equation. *Journal of Physical Oceanography*, *34*(7), 1776–1780. doi: 10.1175/1520-0485(2004)034⟨1776: OTCSOT⟩2.0.CO;2

Coon, M., Kwok, R., Levy, G., Pruis, M., Schreyer, H., & Sulsky, D. (2007). Arctic Ice Dynamics Joint Experiment (AIDJEX) assumptions revisited and found inadequate. *J. Geophys. Res.*, *112*, C11S90.

Danilov, S., Wang, Q., Timmermann, R., Iakovlev, N., Sidorenko, D., Kimmritz, M., . . . Schröter, J. (2015). Finite-Element Sea Ice Model (FESIM), version 2. *Geoscientific Model Development*, *8*(6), 1747–1761.

Dansereau, V. (2016). *A Maxwell-Elasto-Brittle model for the drift and deformation of sea ice* (Unpublished doctoral dissertation). Université Grenoble Alpes.

Dansereau, V., Weiss, J., Saramito, P., & Lattes, P. (2016). A Maxwell elasto-brittle rheology for sea ice modelling. *Cryosphere*, *10*(3), 1339–1359. doi: 10.5194/tc -10-1339-2016

Dansereau, V., Weiss, J., Saramito, P., Lattes, P., & Coche, E. (2017). Ice bridges and ridges in the Maxwell-EB sea ice rheology. *The Cryosphere*, *11*(5), 2033–2058.

Feltham, D. (2005). Granular flow in the marginal ice zone. *Phil. Trans. R. Soc. A*, *363*, 1677.

Girard, L., Bouillon, S., Weiss, J., Amitrano, D., Fichefet, T., & Legat, V. (2011). A new modeling framework for sea-ice mechanics based on elasto-brittle rheology. *Annals of Glaciology*, *52*(57), 123–132. doi: 10.3189/172756411795931499

Hallberg, R. (1997). Stable Split Time Stepping Schemes for Large-Scale Ocean Modeling. *J. Comput. Phys.*, *135*, 54–56.

Hersbach, H., Bell, B., Berrisford, P., Hirahara, S., Horányi, A., Muñoz-Sabater, J., . . . Thépaut, J.-N. (2020). The ERA5 global reanalysis. *Quarterly*

Journal of the Royal Meteorological Society, *146*(730), 1999-2049.   doi: https://doi.org/10.1002/qj.3803

Hibler, W. D.   (1977).   A viscous sea ice law as a stochastic average of plasticity. *Journal of Geophysical Research*, *82*(27), 3932–3938.

Hibler, W. D.   (1979).   A Dynamic Thermodynamic Sea Ice Model.   *Journal of Physical Oceanography*, *9*(4), 815–846.   doi: 10.1175/1520-0485(1979)009⟨0815: adtsim⟩2.0.co;2

Hopkins, M. A. (1998). Four stages of pressure ridging. *Journal of Geophysical Research: Oceans*, *103*(C10), 21883–21891. doi: 10.1029/98JC01257

Hunke, E. C., & Dukowicz, J. K.   (1997).   An Elastic–Viscous–Plastic Model for Sea Ice Dynamics.   *Journal of Physical Oceanography*, *27*(9), 1849–1867.   doi: 10 .1175/1520-0485(1997)027⟨1849:AEVPMF⟩2.0.CO;2

Hutchings, J. K., Roberts, A., Geiger, C. A., & Richter-Menge, J.   (2011).   Spatial and temporal characterization of sea-ice deformation.   *Ann. Glaciol.*, *52*(57), 360–368.

Hutter, N., Bouchat, A., Chanut, J., Dupont, F., Dukhovskoy, D., Garric, G., . . . Wang, Q.   (submitted).   Sea ice rheology experiment (sirex), part ii: Evaluating simulated linear kinematic features in high-resolution sea-ice simulations. *Journal of Geophysical Research: Oceans*. doi: 10.1002/essoar.10507396.1

Hutter, N., & Losch, M. (2019). Feature-based comparison of sea-ice deformation in lead-resolving sea-ice simulations. *The Cryosphere Discussions*, *2019*, 1–29.

Irgens, F. (2008). *Continuum Mechanics*. Berlin, Heidelberg: Springer Berlin Heidelberg. doi: 10.1007/978-3-540-74298-2

Killworth, P. D., Webb, D. J., Stainforth, D., & Paterson, S. M. (1991). The Development of a Free-Surface Bryan–Cox–Semtner Ocean Model.   *Journal of Physical Oceanography*, *21*(9), 1333–1348.   doi: 10.1175/1520-0485(1991)021⟨1333: TDOAFS⟩2.0.CO;2

Kimmritz, M., Danilov, S., & Losch, M.   (2015).   On the convergence of the modified elastic–viscous–plastic method for solving the sea ice momentum equation.   *Journal of Computational Physics*, *296*, 90–100.   doi: 10.1016/j.jcp.2015.04.051

Kimmritz, M., Danilov, S., & Losch, M. (2016). The adaptive EVP method for solving the sea ice momentum equation.   *Ocean Modelling*, *101*, 59–67.   doi: 10 .1016/j.ocemod.2016.03.004

Koldunov, N. V., Danilov, S., Sidorenko, D., Hutter, N., Losch, M., Goessling, H., . . . Jung, T.   (2019).   Fast EVP Solutions in a High-Resolution Sea Ice Model. *Journal of Advances in Modeling Earth Systems*, *11*(5), 1269–1284.   doi: 10.1029/2018MS001485

Kwok, R., & Rothrock, D. A.   (2009).   Decline in Arctic sea ice thickness from submarine and ICESat records: 1958-2008.   *Geophysical Research Letters*, *36*(15). doi: 10.1029/2009GL039035

Kwok, R., Schweiger, A., Rothrock, D. A., Pang, S. S., & Kottmeier, C.   (1998). Sea ice motion from satellite passive microwave imagery assessed with ERS SAR and buoy motions.   *J. Geophys. Res.*, *103*(C4), 8191–8214.   doi: 10.1029/97JC03334

Kwok, R., Spreen, G., & Pang, S. S.   (2013).   Arctic sea ice circulation and drift speed: Decadal trends and ocean currents.   *J. Geophys. Res.*, *118*(5), 2408– 2425.

Lemieux, J. F., Knoll, D., Tremblay, B., Holland, D. M., & Losch, M.   (2012).   A comparison of the Jacobian-free Newton-Krylov method and the EVP model for solving the sea ice momentum equation with a viscous-plastic formulation: A serial algorithm study. *J. Comput. Phys.*.

Lemieux, J.-F., Tremblay, B., Sedláček, J., Tupper, P., Thomas, S., Huard, D., & Auclair, J.-P.   (2010).   Improving the numerical convergence of viscous-plastic sea ice models with the Jacobian-free Newton–Krylov method.   *Journal of*

Computational Physics, *229*(8), 2840–2852. doi: 10.1016/j.jcp.2009.12.011

Lemieux, J.-F., Tremblay, B. L., Dupont, F., Plante, M., Smith, G. C., Dumont, D., ... Dumont, D. (2015). A basal stress parameterization for modeling land-fast ice. *Journal of Geophysical Research: Oceans*, *120*(4), 3157–3173. doi: 10.1002/2014JC010678

Marsan, D., Stern, H. L., Lindsay, R., & Weiss, J. (2004). Scale dependence and localization of the deformation of Arctic sea ice. *Phys. Rev. Lett.*, *93*(17), 178501.

Oikkonen, A., Haapala, J., Lensu, M., Karvonen, J., & Itkin, P. (2017). Small-scale sea ice deformation during N-ICE2015: From compact pack ice to marginal ice zone. *Journal of Geophysical Research: Oceans*, *122*(6), 5105–5120. doi: 10.1002/2016JC012387

Ólason, E., Rampal, P., & Dansereau, V. (2021). On the statistical properties of sea-ice lead fraction and heat fluxes in the Arctic. *The Cryosphere*, *15*(2), 1053–1064. doi: 10.5194/tc-15-1053-2021

Plante, M., Tremblay, B., Losch, M., & Lemieux, J.-F. (2020). Landfast sea ice material properties derived from ice bridge simulations using the Maxwell elasto-brittle rheology. *The Cryosphere*, *14*(6), 2137–2157. doi: 10.5194/tc-14-2137-2020

Rampal, P., Bouillon, S., Ólason, E., & Morlighem, M. (2016). NeXtSIM: A new Lagrangian sea ice model. *Cryosphere*, *10*(3), 1055–1073. doi: 10.5194/tc-10-1055-2016

Rampal, P., Dansereau, V., Olason, E., Bouillon, S., Williams, T., Korosov, A., & Samaké, A. (2019). On the multi-fractal scaling properties of sea ice deformation. *The Cryosphere*, *13*(9), 2457–2474. doi: 10.5194/tc-13-2457-2019

Rampal, P., Weiss, J., Marsan, D., & Bourgoin, M. (2009). Arctic sea ice velocity field: General circulation and turbulent-like fluctuations. *Journal of Geophysical Research: Oceans*, *114*(C10), C10014.

Rampal, P., Weiss, J., Marsan, D., Lindsay, R., & Stern, H. (2008). Scaling properties of sea ice deformation from buoy dispersion analysis. *Journal of Geophysical Research: Oceans*, *113*(C3). doi: 10.1029/2007JC004143

Ricker, R., Hendricks, S., Kaleschke, L., Tian-Kunze, X., King, J., & Haas, C. (2017). A weekly Arctic sea-ice thickness data record from merged CryoSat-2 and SMOS satellite data. *The Cryosphere*, *11*(4), 1607–1623. doi: 10.5194/tc-11-1607-2017

Rothrock, D., Percival, D. B., & Wensnahan, M. (2008). The decline in arctic sea-ice thickness: Separating the spatial, annual, and interannual variability in a quarter century of submarine data. *J. Geophys. Res.*, *113*(C5).

Rothrock, D. A. (1975). The energetics of the plastic deformation of pack ice by ridging. *Journal of Geophysical Research*, *80*(33), 4514–4519. doi: 10.1029/jc080i033p04514

Sakov, P., Counillon, F., Bertino, L., Lisæter, K. A., Oke, P., & Korablev, A. (2012). TOPAZ4: An ocean sea ice data assimilation system for the North Atlantic and Arctic. *Ocean Sci.*, *8*, 633–662.

Samaké, A., Rampal, P., Bouillon, S., & Ólason, E. (2017). Parallel implementation of a Lagrangian-based model on an adaptive mesh in C++: Application to sea-ice. *Journal of Computational Physics*, *350*, 84–96. doi: 10.1016/j.jcp.2017.08.055

Saramito, P. (2007). A new constitutive equation for elastoviscoplastic fluid flows. *Journal of Non-Newtonian Fluid Mechanics*, *145*(1), 1-14. doi: 10.1016/j.jnnfm.2007.04.004

Schreyer, H. L., Sulsky, D. L., Munday, L. B., Coon, M. D., & Kwok, R. (2006). Elastic-decohesive constitutive model for sea ice. *Journal of Geophysical Research: Oceans*, *111*(C11). doi: 10.1029/2005JC003334

Schulson, E. M. (2004). Compressive shear faults within Arctic sea ice: Fracture on

scales large and small. *J. Geophys. Res.*, *109*(C7).

Schulson, E. M., Fortt, A. L., Iliescu, D., & Renshaw, C. E. (2006). Failure envelope of first-year Arctic sea ice: The role of friction in compressive fracture. *J. Geophys. Res.*, *111*, C11S25.

Schulson, E. M., & Hibler, W. D. (2004). Fracture of the winter sea ice cover on the Arctic ocean. *C. R. Physique*, *5*, 753–767.

Schweiger, A., Lindsay, R., Zhang, J., Steele, M., Stern, H., & Kwok, R. (2011). Uncertainty in modeled Arctic sea ice volume. *Journal of Geophysical Research*, *116*(C8), C00D06. doi: 10.1029/2011JC007084

Shen, H. H., Hibler, W. D., & Leppäranta, M. (1986). On applying granular flow theory to a deforming broken ice field. *Acta Mechanica*, *63*(1-4), 143–160. doi: 10.1007/BF01182545

Spreen, G., Kwok, R., Menemenlis, D., & Nguyen, A. T. (2017). Sea-ice deformation in a coupled ocean–sea-ice model and in satellite remote sensing data. *The Cryosphere*, *11*(4), 1553–1573.

Steele, M., Zhang, J., Rothrock, D., & Stern, H. (1997). The force balance of sea ice in a numerical model of the Arctic Ocean. *Journal of Geophysical Research: Oceans*, *102*(C9), 21061–21079. doi: 10.1029/97JC01454

Stern, H. L., & Lindsay, R. W. (2009). Spatial scaling of Arctic sea ice deformation. *Journal of Geophysical Research: Oceans*, *114*(C10). doi: 10.1029/2009JC005380

Sulsky, D., Schreyer, H., Peterson, K., Kwok, R., & Coon, M. (2007). Using the material-point method to model sea ice dynamics. *Journal of Geophysical Research: Oceans*, *112*(C2). doi: 10.1029/2005JC003329

Tandon, N. F., Kushner, P. J., Docquier, D., Wettstein, J. J., & Li, C. (2018). Reassessing sea ice drift and its relationship to long term Arctic sea ice loss in coupled climate models. *Journal of Geophysical Research: Oceans*, *TBD*(TBD), TBD. doi: 10.1029/2017JC013697

Tonboe, R. T., Eastwood, S., Lavergne, T., Sørensen, A. M., Rathmann, N., Dybkjær, G., ... Kern, S. (2016). The EUMETSAT sea ice concentration climate data record. *The Cryosphere*, *10*(5), 2275–2290.

Tremblay, L.-B. B., & Mysak, L. A. (1997). Modeling sea ice as a granular material, including the dilatancy effect. *J. Phys. Ocean.*, *27*(11), 2342–2360. doi: 10.1175/1520-0485(1997)027⟨2342:MSIAAG⟩2.0.CO;2

Weiss, J., & Marsan, D. (2004). Scale properties of sea ice deformation and fracturing. *CR Phys.*, *5*(7), 735–751.

Weiss, J., Stern, H. L., & Schulson, E. M. (2007). Sea ice rheology from in-situ, satellite and laboratory observations: Fracture and friction. *Earth Planet. Sci. Lett.*, *255*(1-2), 1–8.

Wilchinsky, A. V., & Feltham, D. L. (2004). A continuum anisotropic model of sea-ice dynamics. *Royal Society*, *460*, 2105–2140.

Williams, T. D., Rampal, P., & Bouillon, S. (2017). Wave–ice interactions in the neXtSIM sea-ice model. *The Cryosphere*, *11*(5), 2117–2135. doi: 10.5194/tc-11-2117-2017

Winton, M. (2000). A reformulated three-layer sea ice model. *J. Atm. Ocean.Tech.*, *17*, 525–531.

Zampieri, L., Kauker, F., Fröhle, J., Sumata, H., Hunke, E. C., & Goessling, H. F. (2021). Impact of sea-ice model complexity on the performance of an unstructured-mesh sea-ice/ocean model under different atmospheric forcings. *Journal of Advances in Modeling Earth Systems*, *13*(5), e2020MS002438. doi: https://doi.org/10.1029/2020MS002438