

# A Model for Positive Corona Inception from Charged Ellipsoidal Thundercloud Hydrometeors

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## Key Points:

- Corona onset through hydrometeors is modelled using the self-sustaining condition of electron avalanches.
- An optimal ellipsoidal aspect ratio of 0.1 for corona inception for representative conditions is found.
- Lightning inception via ellipsoidal hydrometeors is found to be achievable in thundercloud conditions.

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## Abstract

Lightning is observed to inception in thundercloud electric fields below the threshold value  $E_k$  for discharge initiation. To explain this, the local enhancement of the electric field by hydrometeors is considered. The conditions for the onset of positive corona discharges are studied in air for ellipsoidal geometries. A hydrometeor is simulated as an individual charged conductor in zero ambient field; there is only a field generated by the charge on the hydrometeor surface. By doing so, the feasibility of corona inception from ellipsoidal hydrometeors can be formulated based on the self-sustaining condition of electron avalanches. For representative hydrometeor volumes and typical thundercloud pressure, values between  $1.2 E_k$  and  $37 E_k$  were found for the onset electric field at the tip of the ellipsoid. From simulations the required ambient electric field for corona onset from an uncharged hydrometeor can then be derived. This results in values between  $0.07 E_k$  and  $0.8 E_k$  for semi-axis aspect ratios between 0.01 and 1. The charge required on the hydrometeor surface for corona onset is minimum for semi-axis aspect ratios between 0.04 and 0.07 depending on the considered hydrometeor volume. For the simulated hydrometeors, the values of this onset charge for typical pressures are between 1500 pC and 3200 pC. Including a size-correction for comparison to in situ measurement shows agreement with measured precipitation charges. From the results it is concluded that corona onset from ellipsoidal hydrometeors of a realistic volume can be achieved in thundercloud conditions for certain aspect ratios.

## 1 Introduction

One of the greatest unanswered questions in lightning physics is how lightning is initiated in a thunderstorm (Mazur, 2016; Petersen et al., 2008; Dwyer & Uman, 2014). From in situ measurements it is found that lightning initiates in thundercloud electric fields which are considerably lower than the breakdown electric field required for the inception of electric discharges (Stolzenburg & Marshall, 2009; Marshall et al., 1995). One of the most popular and widely corroborated theories explaining how this is possible is the hydrometeor theory (Mazur, 2016; Petersen et al., 2008). This theory states that hydrometeors - ice and water particles in thunderclouds - locally enhance the electric field, such that the breakdown field is exceeded, and lightning inception is enabled. In recent observations of narrow bipolar events in thunderstorms, which generally coincide with lightning initiation, clear evidence supporting the involvement of hydrometeors was obtained (Rison et al., 2016). The role of hydrometeors in lightning inception has been investigated in laboratory experiments (Petersen et al., 2015; Coquillat et al., 1995; R. F. Griffiths & Latham, 1974) with a main focus on corona onset, which is the initial stage of the formation of a lightning leader.

A corona discharge is the result of electrical breakdown, which occurs at the voltage where the insulating gas surrounding the electrode becomes electrically conductive. An electrical discharge is thus only possible when a critical voltage, the onset voltage  $V_0$ , on the electrode is reached. Equivalently, the electric field  $E$  in the discharge region should exceed the breakdown threshold field  $E_k$  and thus the field on the surface of the electrode should exceed the onset field  $E_0$ . The breakdown field scales linearly with pressure, and at a typical thundercloud altitude pressure of 0.4 atm this field has a value of about 10 kV/cm (Raizer, 1991a). The main mechanism of electrical breakdown is the electron avalanche. For electric fields above  $E_k$ , electrons can multiply by means of impact ionization of air molecules, thereby forming avalanches. In order to have a self-sustaining discharge, a constant source of seed electrons is required, which can be supplied by photoionization (Raizer, 1991b). The first group of electrons that collides with the gas molecules and leads to photoionization is known as the primary electron avalanche, and the subsequently formed second group of electrons that can give further photoionization is known as the secondary electron avalanche (see Figure 1). In air, the gas molecules that are dominant in emitting photons after collisions with free electrons are nitrogen molecules, and the gas molecules that are predominantly photoionized by these photons are oxygen molecules.

66 It should be noted that avalanche formation is a stochastic process, such that electron  
 67 multiplication can also take place in fields (slightly) below the breakdown field. These  
 68 contributions are briefly investigated but otherwise neglected in this work.

69 The onset of a corona discharge is typically defined by the discharge becoming self-  
 70 sustaining. The self-sustaining criterion that is often applied is the amount of photons  
 71 produced by the secondary avalanche being at least equal to those produced by the pri-  
 72 mary avalanche (Liu et al., 2012; Naidis, 2005). This condition is also adapted by the  
 73 current work, which closely follows the structure of the work by Liu et al. (2012).

74 Depending on electrode polarity, corona discharges can be positive or negative. A  
 75 popular hypothesis for lightning initiation is that the development of a positive streamer  
 76 system, developed from a seed positive streamer from the corona on a hydrometeor, pre-  
 77 ceedes and leads to negative breakdown (L. P. Babich et al., 2016; Petersen et al., 2008;  
 78 C. T. Phelps & Griffiths, 1976; C. Phelps, 1974; Loeb, 1966). Therefore, positive corona  
 79 discharges are of great interest when investigating the initial stage of lightning initiation.

80 Laboratory experiments have revealed that the onset of a corona discharge strongly  
 81 depends on the size and shape of the hydrometeor. In their study on corona initiation  
 82 from small ice crystals, Petersen et al. (2015) reported that the onset field  $E_0$  decreases  
 83 with hydrometeor length and that ice crystals with sharper tips promote glow coronae  
 84 while inhibiting positive streamer formation. Moreover, they noted that the onset field  
 85 increases linearly with the relative gas density  $\delta = N/N_0$  (where  $N$  and  $N_0$  are the ac-  
 86 tual and standard gas densities), meaning  $E_0 \sim p/T$ , with  $p$  the pressure and  $T$  the tem-  
 87 perature. The decrease of the onset field with size is also found in many point-to-plane  
 88 and rod-to-plane experiments using metal electrodes (D'Alessandro & Berger, 1999; Wa-  
 89 ters & Stark, 1975; Nasser & Heiszler, 1974; Schumann, 1923; Kip, 1938), which are ob-  
 90 served to give corona onset voltages very similar to ice electrodes (Bandel, 1951).

91 In simulations, similar conclusions were reached. Dubinova et al. (2015) investigated  
 92 discharge inception conditions for dielectric ellipsoidal hydrometeors and concluded that  
 93 an increase in hydrometeor length yields stronger field enhancement, as does a decrease  
 94 in hydrometeor tip radius. Hence, a longer, sharper hydrometeor generally requires a lower  
 95 background electric field for the initiation of a discharge. Likewise, in simulations of streamer  
 96 initiation from charged water drops L. P. Babich et al. (2016) found a lower threshold  
 97 ambient field for larger drop sizes. Dubinova et al. (2015) also observed an optimal semi-  
 98 axes aspect ratio for inception; though longer hydrometeors produce a higher electric field,  
 99 the probability of discharge initiation decreases when they become too sharp, because  
 100 the field enhancement becomes too localized at the tip. As this ratio fixes the ellipsoidal  
 101 hydrometeor's shape, an optimal shape can be determined. Simulations (Riousset et al.,  
 102 2020) also show the experimentally observed linear pressure dependence of discharge ini-  
 103 tiation. This is expected, as it follows from the pressure dependence of the breakdown  
 104 field.

105 In addition to size, shape and air density, the onset of a corona discharge has been  
 106 found to depend on the orientation, surface features and initial charge of the hydrom-  
 107 eteor. R. F. Griffiths and Latham (1974) concluded from experimental studies on ice par-  
 108 ticles that onset fields in thundercloud regions are probably in the range of 400-500 kV/cm,  
 109 which was later corrected by R. Griffiths (1975) to 350-450 kV/cm when taking into ac-  
 110 count the effect of charge on ice particles. Furthermore, R. F. Griffiths and Latham (1974)  
 111 suggested that continuous corona discharges could be generated from thundercloud ice  
 112 crystals at temperatures above  $-18^\circ\text{C}$  only. Of course, the gas density increases with de-  
 113 creasing temperature, explaining the subsequent increase of the onset field. Moreover,  
 114 the surface conductivity decreases with decreasing temperature such that corona onset  
 115 becomes less likely (R. F. Griffiths & Latham, 1974; Petersen et al., 2006), and gener-  
 116 ally smaller ice crystals are formed at lower temperatures (Petersen et al., 2006). In 2006,  
 117 Petersen et al. (2006) demonstrated that corona discharges can initiate in temperatures  
 118 down to  $-38^\circ\text{C}$ , showing that corona and streamer discharges can initiate from hydrom-  
 119 eteors at thundercloud altitudes relevant for lightning initiation. Moreover, from numer-  
 120 ical simulations L. Babich et al. (2017) observed that the required charge on hydrom-

121 ectors at these representative temperatures and altitudes agrees with measured thun-  
 122 dercloud precipitation charges, which are generally between 10 and 200 pC and for a small  
 123 fraction of hydrometeors between 200 and 400 pC (Marshall & Winn, 1982).

124 To conclude, these studies reveal that the onset of a corona discharge from a hy-  
 125 drometeor depends on its size, shape and surface charge, and on environmental condi-  
 126 tions such as pressure, temperature and the ambient electric field. Experimental results  
 127 and in situ measurements indicate the essential role of hydrometeors in lightning initi-  
 128 ation. These findings are supported by simulations of lightning inception from ice and  
 129 water particles.

130 The comparison of experimental work on corona onset from ice point electrodes to  
 131 measurements on metal point electrodes has shown the corona onset voltage to be very  
 132 comparable (Bandel, 1951). To simulate the onset of a positive corona discharge from  
 133 a metal electrode in air, Naidis (2005) introduced a model giving a corona inception cri-  
 134 terion taking into account the ambient pressure and the size and shape of the electrode.  
 135 This model was applied to spherical and cylindrical electrodes, and later revisited by Liu  
 136 et al. (2012) for the spherical case.

137 The main goal of this paper is to extend this model to include another represen-  
 138 tative shape, the prolate spheroid, as ice and water particles in a thundercloud can have  
 139 a wide variety of shapes depending on thundercloud conditions. Their sizes range from  
 140 a few micrometers to several centimeters (MacGorman et al., 1998). The size distribu-  
 141 tion of hydrometeors is little investigated within thunderclouds due to difficulties of in  
 142 situ measurements (Mazur, 2016), but it is expected that the extreme cases of several  
 143 centimeters are rare, and that a millimeter range is more representative (Weinheimer et  
 144 al., 1991; Gardiner et al., 1985). When these hydrometeors fall downwards due to gravi-  
 145 ty, they are extended along the vertical direction. The shape of the hydrometeor in the  
 146 direction perpendicular to the thundercloud electric field has a negligible contribution  
 147 to the field enhancement. More precisely, the enhancement at the tips is mainly deter-  
 148 mined by the length of the hydrometeor and the radius of curvature of the tip of the hy-  
 149 drometeor (Köhn & Ebert, 2015; Dubinova et al., 2015). Taking this into account, it can  
 150 prove fruitful to investigate ellipsoidal hydrometeors. More specifically, assuming cylin-  
 151 drically symmetric thundercloud conditions, a prolate ellipsoid of revolution, or prolate  
 152 spheroid, is considered.

153 Thus, the purpose of this study is to simulate positive corona discharges originat-  
 154 ing from a positively charged spheroidal hydrometeor tip. In doing so, the feasibility of  
 155 lightning initiation from a spheroidal hydrometeor is studied. The simulation of this con-  
 156 figuration is done using the model for the onset of positive corona discharges introduced  
 157 by Naidis (2005) and further elaborated by Liu et al. (2012). The investigated hydrom-  
 158 eteor is isolated and without ambient electric field. Thus, there is only an electric field  
 159 generated by the charge on the hydrometeor, which differs from realistic lightning oc-  
 160 currences, where there is also an external field present due to the large-scale charge dis-  
 161 tribution. However, the effects of the field induced by a charged particle can already re-  
 162 veal a lot about the role of particle shape and size in discharge inception. Hence, for the  
 163 charged hydrometeor the dependence of corona onset on its semi-axes aspect ratio and  
 164 volume is reported for various ambient pressures by varying its major and minor axes.

## 165 2 Model Description

166 As elaborated, a corona discharge is the result of electrical breakdown via direct  
 167 impact ionization within avalanches. The resulting avalanches are seeded by electrons  
 168 supplied through photoionization. Taking loss by attachment processes into account,  $\alpha =$   
 169  $\eta$  defines electrical breakdown, where  $\alpha$  is the number of ionizing collisions per unit length  
 170 and  $\eta$  the number of electron attachments per unit length. Formulating the net ioniza-  
 171 tion coefficient  $\alpha_{eff} = \alpha - \eta$ , breakdown is defined by  $\alpha_{eff} = 0$ . Of course these co-  
 172 efficients depend on the electric field  $E$ , meaning  $\alpha_{eff} = 0$  determines the breakdown  
 173 field  $E_k$ .

174 The number of photons produced by a primary avalanche is denoted by  $N_1$ , and  
 175 those produced by a secondary avalanche by  $N_2$ .  $N_2$  depends on  $N_1$  through  $N_2 = \gamma N_1$ ,  
 176 where  $\gamma$  is the mean number of photons from the secondary avalanche produced by one  
 177 of the photons from the primary avalanche (see Figure 1). In short,  $\gamma$  is the multiplica-  
 178 tion factor. Naidis (2005) formulates the criterion for corona inception as the secondary  
 179 avalanche producing at least as many photons as the primary avalanche, so  $N_2 = N_1$ ,  
 180 or equivalently  $\gamma = 1$ . Then, the discharge is self-sustaining; it can proceed without ex-  
 181 ternal ionization sources. This criterion does not take into account the stochastic nature  
 182 of discharge inception. The region around the hydrometeor where the breakdown field  
 183 is exceeded is sufficiently small such that individual electron avalanches, which have an  
 184 intrinsically random nature, should be considered. Here, the randomness, and therefore  
 185 contributions from outside this region, is neglected, as only the total amount of electrons  
 186 in the avalanche is investigated. The inclusion of stochastic effects would soften the cri-  
 187 terion, as then electrons can 'tunnel' to higher energies (Rutjes, 2018).

188 For point and wire electrodes, most of the electrons and photons are produced near  
 189 the surface of the electrodes. It is therefore a reasonable assumption that all photons that  
 190 lead to photoionization are produced at the electrode surface. This assumption overes-  
 191 timates the effect of photoionization, as the effect of photons on electron production is  
 192 now maximized. As will be further substantiated, this paper studies the minimum condi-  
 193 tions for the onset of a corona discharge, such that this assumption is acceptable. Be-  
 194 sides inducing photoionization and thereby triggering secondary electron avalanches, a  
 195 photon can also fall back to the electrode surface or leave the ionization region and con-  
 196 sequently not contribute to the secondary avalanche. Different factors, such as the pho-  
 197 ton absorption probability, affect this balance and thus play a role in satisfying the  $\gamma =$   
 198 1 criterion for positive corona onset.

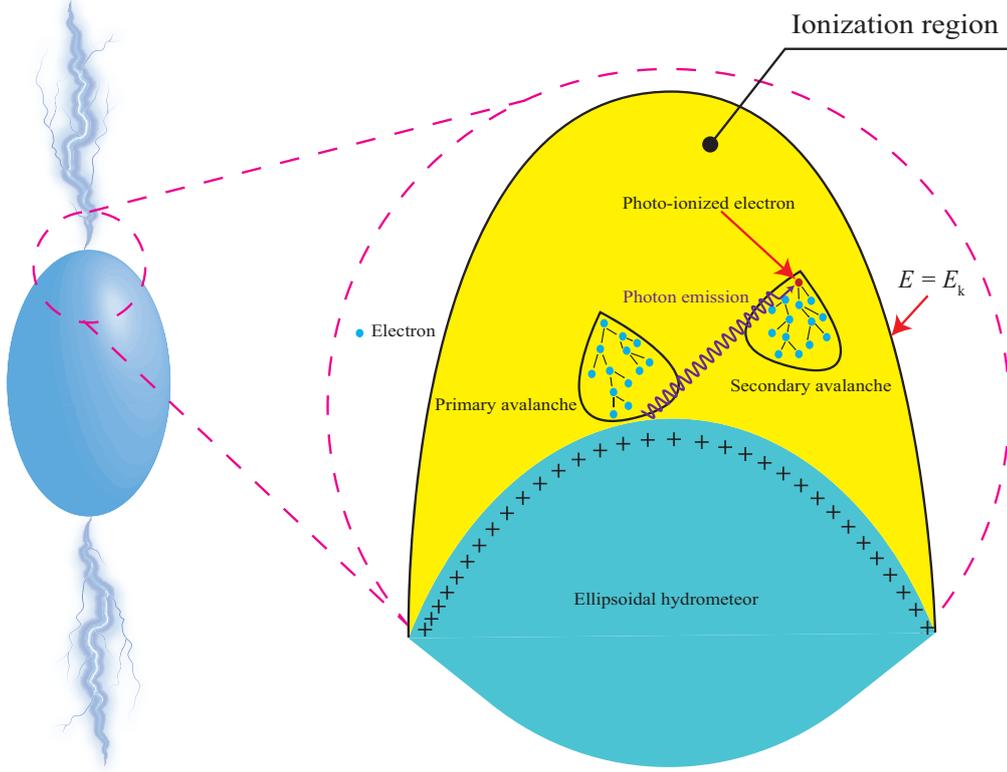
199 To formulate the  $\gamma = 1$  criterion, a spherical coordinate system  $(r, \theta, \phi)$  is intro-  
 200 duced with its origin at the surface of the electrode. This is illustrated in Figure 2 for  
 201 the ellipsoidal electrode, with major axis  $a$  and minor axis  $b$ , considered in this paper.  
 202 In the region near the electrode tip the electric field  $E$  reaches its maximum. Consequently,  
 203 the number of electrons in the primary avalanche and the probability of photon emis-  
 204 sion are also maximum near the tip. For simplicity, it is assumed that the primary pho-  
 205 ton is emitted at the origin of the spherical coordinate system. Taking into account all  
 206 possible directions in which this photon can move, the photon absorption region can be  
 207 defined as the part of the ionization region ( $E \geq E_k$ ) where  $\theta \leq \pi/2$ . In other words,  
 208 the photon absorption region is the region that can be reached by the photon and where  
 209 the field is sufficiently high such that an electron avalanche can be created. This region,  
 210 highlighted in deep yellow in Figure 2, is thus the region of interest for the initiation of  
 211 a corona discharge.

212 The corona inception criterion  $\gamma = 1$ , derived by Naidis (2005) using the above  
 213 self-sustaining criterion, is then formulated as

$$\gamma \approx \xi \beta(\rho_0) \int_0^{2\pi} d\phi \int_0^{\pi/2} \sin \theta d\theta \int_0^{r_{max}(E)} r^2 P(r) \cdot \left[ \exp \left( \int_{\rho_0(\theta, \phi)}^{\rho_{ab}(r, \theta, \phi)} \alpha_{eff}(\rho, E) d\rho \right) - 1 \right] dr = 1. \quad (1)$$

214 The coordinates  $\rho, r$  and  $\theta$  are defined in Figure 2. Because of the cylindrical sym-  
 215 metry of the prolate spheroid, there is no  $\phi$ -dependence. Besides the spherical coordi-  
 216 nate system  $(r, \theta, \phi)$  with the origin at the tip of the ellipsoid, the coordinate  $\rho$ , which  
 217 is given by the direction of the electric field and starts from the  $z$ -axis, is introduced as  
 218 well, as is the radial coordinate  $\rho'$  from the center of the ellipsoid.

219 The term  $\xi$  is the ionization probability of an oxygen molecule at photon absorp-  
 220 tion. The distance  $\rho_{ab}(r, \theta, \phi)$  is the distance between the point of photoionization (equiv-  
 221 alent to the position of photon absorption) and the symmetry axis of the ellipsoid along  
 222 the direction of the electric field in the point of photoionization. It is thus the length of



**Figure 1.** An illustrative image (not to scale) of the inception process in which the primary avalanche releases energetic photons, leading to the production of a photo-ionized electron. The secondary avalanche is formed by the multiplication of the photo-ionized electron via direct impact ionization. Inception occurs when the number of electrons in the secondary avalanche and the primary avalanche are equal. All processes occur in the photon absorption area, where the electric field is higher than the breakdown field ( $E_k$ ).

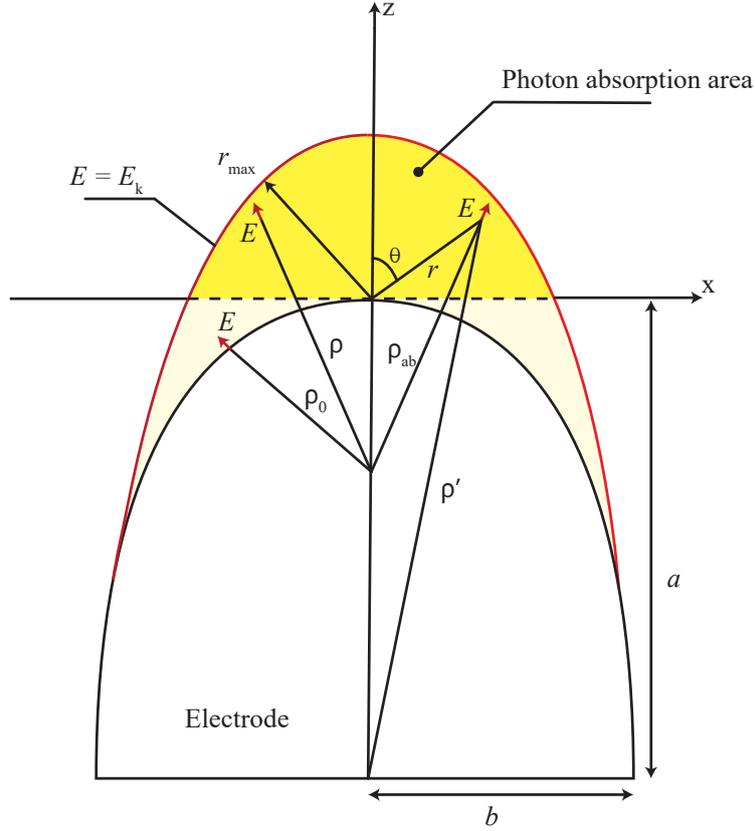
223 the line along the  $\rho$  coordinate that ends at the point of photon absorption (see Figure  
 224 2). Similarly, the distance from the symmetry axis of the ellipsoid to its surface along  
 225 the surface electric field direction is given by  $\rho_0$  (for a sphere this would be its radius).  
 226 The position where the electric field has decreased to the breakdown field  $E_k$  is given  
 227 by  $r_{max}$  in the spherical coordinate system  $(r, \theta, \phi)$ . Naidis (2005) uses the expression for  
 228 the photon absorption probability  $P(r)$  in air where photoionization of oxygen molecules  
 229 takes place at absorption of radiation of wavelengths 98 - 102.5 nm, emitted by nitro-  
 230 gen molecules (Zhelezniak et al., 1982)

$$P(r) = \frac{\exp(-\kappa_1 r \delta) - \exp(-\kappa_2 r \delta)}{4\pi r^3 \log(\kappa_2 / \kappa_1)}, \quad (2)$$

231 where  $\kappa_1 = 5.6 \text{ cm}^{-1}$  and  $\kappa_2 = 320 \text{ cm}^{-1}$ . The term  $\xi\beta(\rho_0)$  can be found from

$$\xi\beta = \left(0.03 + \frac{3.78}{E}\right) \frac{\delta_q}{\delta + \delta_q}, \quad (3)$$

232 where  $\delta_q = 0.04$  and  $E$  is the electric field (Zhelezniak et al., 1982). Here  $\beta$  is the  
 233 coefficient of production of ionizing photons scaled to the net ionization coefficient  $\alpha_{eff}$ .  
 234 Because of its weak dependence on the electric field and the high fields at the electrode  
 235 surface,  $\beta$  is approximated by its value  $\beta(\rho_0)$  at the surface. To apply the corona incep-  
 236 tion criterion  $\gamma = 1$  to a prolate spheroid, analytical expressions should be derived for  
 237 the distances  $r_{max}$ ,  $\rho_0$  and  $\rho_{ab}$ , and the electric field  $E$ , on which the ionization prob-  
 238 ability and the net ionization coefficient depend.



**Figure 2.** A schematic of the photon absorption area around a positive ellipsoidal electrode.

239 To determine  $\rho_{ab}$ , the direction of the electric field is needed. This direction is given  
 240 by the bisector of the two straight lines from the focal points of the prolate spheroid to  
 241 the observation point (Curtright et al., 2020). Using various trigonometric relations, which  
 242 are given in the supporting information, it can be derived that

$$\rho_{ab} = \frac{\sqrt{2}\rho_1^2 \sqrt{\frac{(4\sqrt{a^2-b^2}(a+r\cos(\theta))+\rho_1^2)(2ar\cos(\theta)+b^2+\rho_1\rho_2+r^2)}{\rho_1\rho_2}}}{\rho_1^2 + \rho_1\rho_2}, \quad (4)$$

243 with  $\rho_1$  and  $\rho_2$  the straight lines from the two focal points of the ellipsoid to the  
 244 observation point (see also the supporting information) given by

$$\rho_{1,2} = \sqrt{r^2 + (a \mp \sqrt{a^2 - b^2})^2 + 2(a \mp \sqrt{a^2 - b^2})r \cos \theta} \quad (5)$$

245 Using the derived expression for  $\rho_{ab}$ , the distance  $\rho_0$  can be formulated. This is done  
 246 by formulating the equation of the ellipsoid with the origin at its tip, using the coordi-  
 247 nate system  $(r, \theta, \phi)$ . By solving the ellipsoid equation  $(\frac{x^2}{b^2} + \frac{y^2}{b^2} + \frac{z^2}{a^2} = 1$  rewritten in  
 248 the considered coordinates) for  $r$  and substituting  $r$  in the expression for  $\rho_{ab}$ ,  $\rho_{ab}$  is con-  
 249 strained to the surface of the ellipse and thus  $\rho_0$  is obtained. Because the surface of an  
 250 ellipsoid and  $\mathbb{R}^3$  do not form a diffeomorphic pair, two expressions for  $r$  are obtained  
 251 and therefore two expressions for  $\rho_0$ . These expressions are valid separately for  $\theta \leq \pi/2$   
 252 and  $\theta > \pi/2$  and are given in Appendix A.

253 The electric field of a conducting ellipsoid has been derived analytically by Köhn  
 254 and Ebert (2015) for the prolate spheroid case and by Curtright et al. (2020) for arbi-  
 255 trary dimensions. The derivation of the electric field strength  $E$  yields

$$E(x, y, z) = \frac{Q}{4\pi\epsilon_0} \left( \prod_{k=1}^3 \frac{1}{\sqrt{a_k^2 + \Theta(\vec{r})}} \right) / \sqrt{\left( \sum_{m=1}^3 \frac{x_m^2}{(a_m^2 + \Theta(\vec{r}))^2} \right)}, \quad (6)$$

256 where  $Q$  is the total charge on the ellipsoid surface,  $\epsilon_0$  is the vacuum permittiv-  
 257 ity,  $\Theta(\vec{r})$  the equipotential surfaces and  $a_1 = a_x = b$ ,  $a_2 = a_y = b$  and  $a_3 = a_z = a$   
 258 are the semi-axes of the considered spheroid of Figure 2. Moreover, the  $\Theta$ -equipotentials  
 259 follow from

$$\sum_{k=1}^3 \frac{x_k^2}{a_k^2 + \Theta(\vec{r})} = 1, \text{ for } \Theta(\vec{r}) > 0. \quad (7)$$

260 The above electric field expression can be rewritten in the considered coordinates  
 261  $(\rho', r, \theta, \phi)$  as defined in Figure 2. Here  $r$  can be converted to  $\rho'$  using the trigonomet-  
 262 ric relation  $\rho' = \sqrt{a^2 + r^2 + 2ar \cos(\theta)}$ . The field is also reformulated to contain the  
 263 electric field at the ellipsoid tip ( $z = a$  in equation (6))  $E_0 = \frac{Q}{4\pi b^2 \epsilon_0}$ . To obtain the  
 264 final expression for the electric field, the  $\rho'$  coordinate is converted to the  $\rho$  coordinate  
 265 along the electric field direction as required for the  $\gamma = 1$  criterion. This is done us-  
 266 ing the derived  $\rho_{ab}$  expression. In order to have analytically solvable equations in this  
 267 derivation,  $r$  is not converted to  $\rho'$  in the conversion from  $\rho'$  to  $\rho$ . This means some am-  
 268 biguity remains in the expression of the electric field  $E = E(\rho, r, \theta)$ . As eventually the  
 269 equation  $\gamma = 1$  is solved numerically, this ambiguity is not a problem as long as the re-  
 270 sulting  $\alpha_{eff}$  (which is calculated using the electric field) behaves correctly. The used for-  
 271 mulation is

$$E(\rho, r, \theta) = \frac{2b^2 E_0 \rho'}{\sqrt{a^2 - b^2 + q + \rho'^2} (-a^2 + b^2 + q + \rho'^2) \sqrt{\frac{q}{\frac{(b^2 - a^2)(a^2 + 2ar \cos(\theta) + r^2 \cos(2\theta))}{a^2 + 2ar \cos(\theta) + r^2} + q + \rho'^2}}}, \quad (8)$$

272 with the shorthand  $q = \sqrt{\frac{2\rho'^2(b^2 - a^2)(a^2 + 2ar \cos(\theta) + r^2 \cos(2\theta))}{a^2 + 2ar \cos(\theta) + r^2}} + (a^2 - b^2)^2 + \rho'^4$  and  
 273 with

$$\rho' = \sqrt{\frac{2ar \cos(\theta) (3a^2 - 3b^2 + \rho^2) + 2a^2 \rho^2 + a^2 \rho_1 \rho_2 - b^2 \rho^2 - b^2 \rho_1 \rho_2 + \rho^2 r^2 + \rho^2 \rho_1 \rho_2 + p}{2a^2 + 2ar \cos(\theta) - b^2 + r^2 + \rho_1 \rho_2}}, \quad (9)$$

274 with  $p = 2a^4 - a^2b^2 + 2a^2r^2 \cos(2\theta) + a^2r^2 - b^4 - 2b^2r^2 \cos(2\theta) - b^2r^2$ . The  
 275 derivations of these expressions are presented in the supporting information.

276 Finally, the distance  $r_{max}$  from the tip of the prolate spheroid to the position where  
 277  $E = E_k$  can be determined. Because there is no explicit solution for  $r_{max}$  in the con-  
 278 sidered geometry, this is done by approximating the surface  $E = E_k$  as forming an el-  
 279 lipsoid surface near the tip, as is validated in simulations in the supporting information.  
 280 Then, finding  $r_{max}$  specifically for  $\theta = 0$  and  $\theta = \pi/2$  is sufficient to obtain  $r_{max}$  for  
 281 arbitrary  $\theta$ . These expressions are found by reformulating the electric field in terms of  
 282  $r$  and  $\theta$  only and solving  $E(r, \theta = 0) = E_k$  and  $E(r, \theta = \pi/2) = E_k$ , the latter leading  
 283 to a case known as 'Casus irreducibilis' (Wantzel, 1843). This yields an analytical ex-  
 284 pression for  $r_{max}$  which can be validated using the aforementioned simulations:

$$r_{max}(\theta) = \frac{r_{max}(\theta=\pi/2) \left( \sqrt{r_{max}(\theta=0)^2 (2a+r_{max}(\theta=0))^2 \sin^2(\theta) + r_{max}(\theta=\pi/2)^2 (a+r_{max}(\theta=0))^2 \cos^2(\theta)} - ar_{max}(\theta=\pi/2) \cos(\theta) \right)}{r_{max}(\theta=0)(2a+r_{max}(\theta=0)) \sin^2(\theta) + r_{max}(\theta=\pi/2)^2 \cos^2(\theta)}, \quad (10)$$

285 with the expressions for  $r_{max}(\theta = 0)$  and  $r_{max}(\theta = \pi/2)$  derived and given in  
 286 the supporting information.

287 Using the now known required expressions, the surface electric field  $E_0$  at the tip  
 288 of the ellipsoidal hydrometeor required for the onset of a positive corona discharge can  
 289 be calculated from equation (1) at the known electric field distribution  $E(\rho, r, \theta)$  for dif-  
 290 ferent values of the relative gas density  $\delta$  and major and minor axes  $a$  and  $b$ . As noted  
 291 by Liu et al. (2012), it is more convenient to, instead of using  $\gamma = 1$ , define a new quan-  
 292 tity:

$$Y \equiv \gamma - 1 = 0. \quad (11)$$

293 The onset surface electric field  $E_0$  at the tip can now be computed by finding the  
 294 zero of  $Y$ . This cannot be done analytically due to the complexity of the integrals. More-  
 295 over, since the integration limits in equation (1) also depend on the unknown  $E_0$  and the  
 296 integration variables, numerical integration by itself is also not sufficient. However, this  
 297 numerical integration can be combined with a numerical function that finds the root of  
 298 an expression, such as the MATLAB function 'fzero', as used by Liu et al. (2012) and  
 299 this work, or the Mathematica function 'FindRoot'. Substituting the numerical integra-  
 300 tion of equation (11) into the find root function means the numerical integration can be  
 301 solved even though the integration limits are not numbers. Thus, in combination with  
 302 this method the model determines the corona onset field  $E_0$  at the hydrometeor tip through  
 303 equation (11), equivalent to equation (1).

304 After applying the find root function, the found onset field  $E_0$  can be used to eval-  
 305 uate the ionization integral  $K$ , given by

$$K = \int_{\rho_0}^{\rho_c} \alpha_{eff}(\rho, E) d\rho, \quad (12)$$

306 where  $\rho_c = \rho_{ab}(r_{max}, \theta, \phi)$  gives the position of the breakdown field  $E_k$ . Expo-  
 307 nentiation of  $K$  yields the number of electrons produced by an avalanche from the edge  
 308 of the ionization region to the surface of the hydrometeor. Equation (12) is thus a cri-  
 309 terion for the onset of a positive corona discharge with  $K$  a threshold value that needs  
 310 to be reached to enable initiation. It is important to note that the above integration is  
 311 taken along the field line from the surface of the electrode to the edge of the ionization  
 312 region, because the avalanche follows the direction of the electric field.

Per the convention used by Naidis (2005), the model is set up to output the onset field  $E_0$ , from which the onset voltage  $V_0$ , onset charge  $Q$ , and ionization integral  $K$  can be derived. This order is thus kept in the following results section.

As stated, the used model gives the minimum condition for the onset of a corona discharge. Besides assuming all photons are emitted at the surface, it neglects the presence of space charge created in the discharge. Furthermore, the onset criterion is only imposed on the secondary avalanche; further avalanches are assumed to take place when this criterion is satisfied. While these factors generally increase the threshold for corona inception, including its stochastic nature would lower this threshold. The validity of the model depends on the relevant dimensions. For the model to be reliable, the largest photon absorption length ( $r_{max}$ ) should be smaller than the length of the ellipsoid. Otherwise, the equilibrium between the ionization coefficients with the local electric field cannot be guaranteed.

### 3 Results and discussions

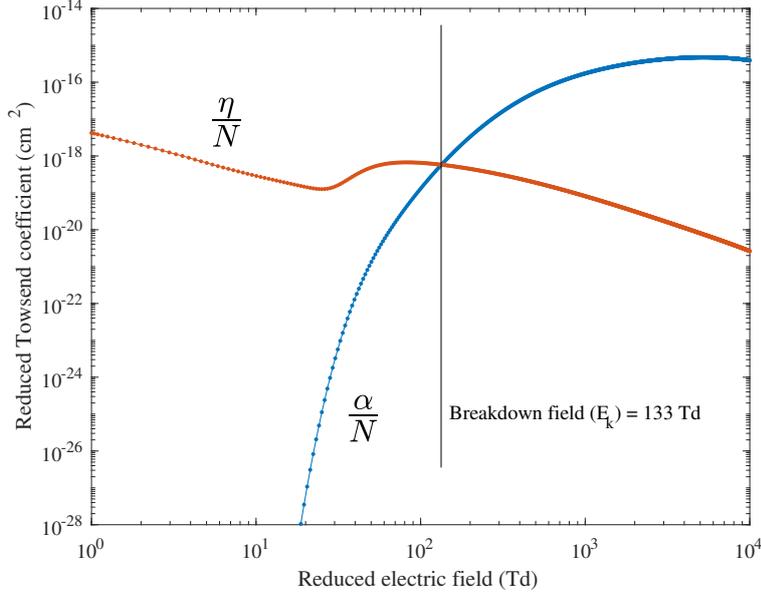
#### 3.1 The effects of varying aspect ratio and volume of spheroidal hydrometeors on the corona inception criterion

To calculate the required effective ionization coefficient  $\alpha_{eff}$  in equation (1), we need to use the air plasma-chemical reactions which are listed in Table 1. All electron impact ionization, excitation, elastic and attachment reactions (except three-body attachment) that are included in the list were taken from Itikawa database (*Itikawa database, www.lxcat.net, retrieved on Sep 15, 2020., n.d.; Itikawa, 2005, 2008*). The three-body attachment with  $O_2$  as the third body was taken from Phelps database (*Phelps database, www.lxcat.net, retrieved on Sep 15, 2020., n.d.*) and scaled to the different  $\delta$ . Next, the reactions were used as input for BOLSIG+ (Hagelaar & Pitchford, 2005; *BOLSIG+ solver ver. Windows 12/2019, n.d.*) to calculate the ionization and attachment coefficients. The results are depicted in Figure 3 and the effective ionization coefficient is defined as the subtraction of the attachment coefficient from the ionization coefficient.

**Table 1.** List of plasma-chemical reactions used for calculation the ionization and attachment coefficients.

	Reaction
Elastic	$e^- + N_2 \rightarrow e^- + N_2$ $e^- + O_2 \rightarrow e^- + O_2$
Ionization	$e^- + N_2 \rightarrow 2e^- + N_2^+$ $e^- + N_2 \rightarrow 2e^- + N^+ + N$ $e^- + N_2 \rightarrow 3e^- + N^{2+} + N$ $e^- + O_2 \rightarrow 2e^- + O_2^+$ $e^- + O_2 \rightarrow 2e^- + O^+ + O$ $e^- + O_2 \rightarrow 3e^- + O^{2+} + O$
Attachment	$e^- + O_2 + O_2 \rightarrow O_2^- + O_2$ $e^- + O_2 \rightarrow O^- + O$
Excitation	$e^- + O_2 \rightarrow e^- + O_2^*$ $e^- + N_2 \rightarrow e^- + N_2^*$

Using the corona inception criterion of equation (1), equation (11) is solved numerically in MATLAB for varying hydrometeor volume  $\frac{4}{3}\pi C$ , with  $C = ab^2$  the volume parameter, and varying aspect ratios  $b/a$ . The aspect ratio  $b/a$  is considered instead of, for



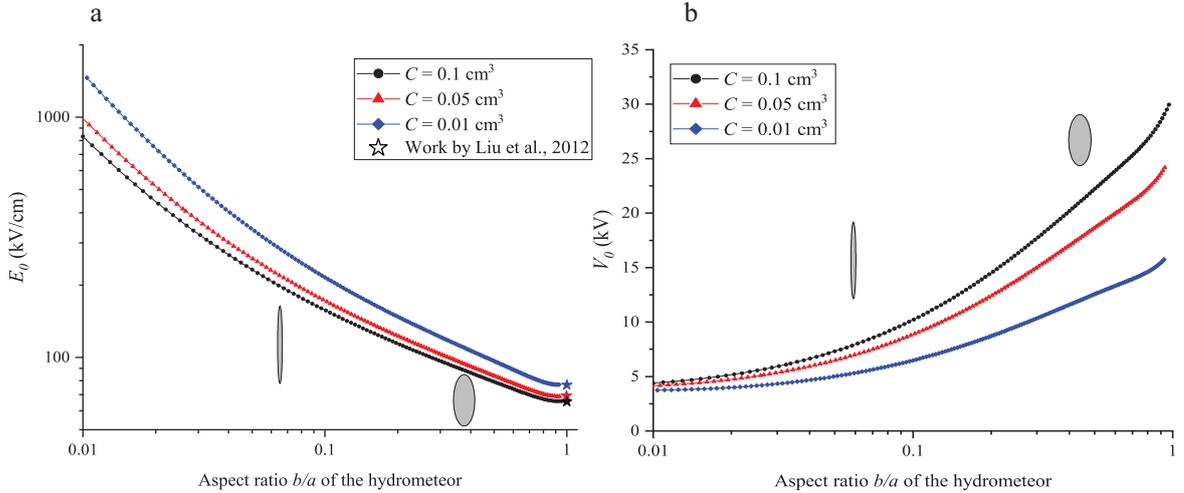
**Figure 3.** Reduced attachment ( $\eta/N$ ) and ionization ( $\alpha/N$ ) coefficients as a function of reduced electric field in an  $N_2 : O_2 = 80:20$  mixture at  $\delta=1$ . The breakdown field is determined where  $\alpha - \eta=0$ .

343 example, the major axis  $a$ , such that the effects of varying volume and shape can be in-  
 344 vestigated separately.

345 The studied hydrometeor geometries have volume parameters of  $C = 0.01, 0.05,$   
 346 and  $0.1 \text{ cm}^3$  and aspect ratios from  $b/a = 0.01$  to  $1$ , where  $b/a = 1$  represents a sphere  
 347 ( $a = b$ ). First, positive corona inception is investigated at atmospheric pressure ( $\delta =$   
 348  $1$ ). The onset field  $E_0$  at the tip of the ellipsoid, found directly from solving equation  
 349 (11), is presented in Figure 4a. It can be seen that  $E_0$  decreases with volume for a fixed  
 350 aspect ratio. For the smallest hydrometeor,  $C = 0.01 \text{ cm}^3$ , the onset field at  $b/a = 0.045$   
 351 is  $366 \text{ kV/cm}$ , while for the largest hydrometeor,  $C = 0.1 \text{ cm}^3$ , this is  $248 \text{ kV/cm}$ . The  
 352 decrease of the onset field with increasing volume is expected as a larger hydrometeor,  
 353 simulated as an electrode, provides more surface for photon emission to the photon ab-  
 354 sorption region. Here, it should be noted that in the model it was assumed that all pho-  
 355 tons that lead to photoionization are emitted at the surface. Thus, for a smaller hydrom-  
 356 eteor less photons are emitted and therefore less electrons are produced by photoioniza-  
 357 tion, such that to satisfy the corona onset criterion a larger onset field  $E_0$  is required.

358 From Figure 4a it can also be concluded that for a fixed volume, a sharper ellip-  
 359 soid has a larger onset field  $E_0$  at its tip. Because a sharper ellipsoid has less surface near  
 360 the photon absorption region, a larger  $E_0$  is needed to meet the inception criterion. In  
 361 the spherical limit,  $b/a = 1$ , the onset field for  $C = 0.01 \text{ cm}^3$  is about 17% larger than  
 362 that for  $C = 0.1 \text{ cm}^3$ , and for the much sharper tip at  $b/a \approx 0.015$  this difference has  
 363 increased to about 70%. The onset field thus increases much stronger with sharpness for  
 364 a smaller hydrometeor, which is expected as a smaller object has more surface area com-  
 365 pared to its volume. It should be noted, however, that Figure 4a does not give the whole  
 366 story. This onset field is only at the tip of the ellipsoid. Moreover, as charges on a con-  
 367 ductor tend to move away from each other as much as possible on its surface, the elec-  
 368 tric field is enhanced more strongly near a sharper tip. Hence, even though a sharper  
 369 ellipsoid has a larger  $E_0$ , this does not necessarily mean corona inception from sharper

370 hydrometeors in thunderstorms is less likely. On the contrary, Petersen et al. (2015) ob-  
 371 served sharper hydrometeors promote glow coronae. R. F. Griffiths and Latham (1974)  
 372 suggested in their paper on coronae from ice hydrometeors that the onset ambient field  
 373 decreases with increasing combined length of the liquid filament, which was confirmed  
 374 by Crabb and Latham (1974), who also found that the elongated filament resulting from  
 375 raindrop collision promotes corona onset. This seems to contradict the decrease of  $E_0$   
 376 with elongation in Figure 4a, but taking into account the mentioned effect of only con-  
 377 sidering the tip this discrepancy is explained. To draw clearer conclusions, other quan-  
 378 tities such as potential, surface charge and the ionization integral should be considered  
 379 as well when studying corona inception from an ellipsoid.

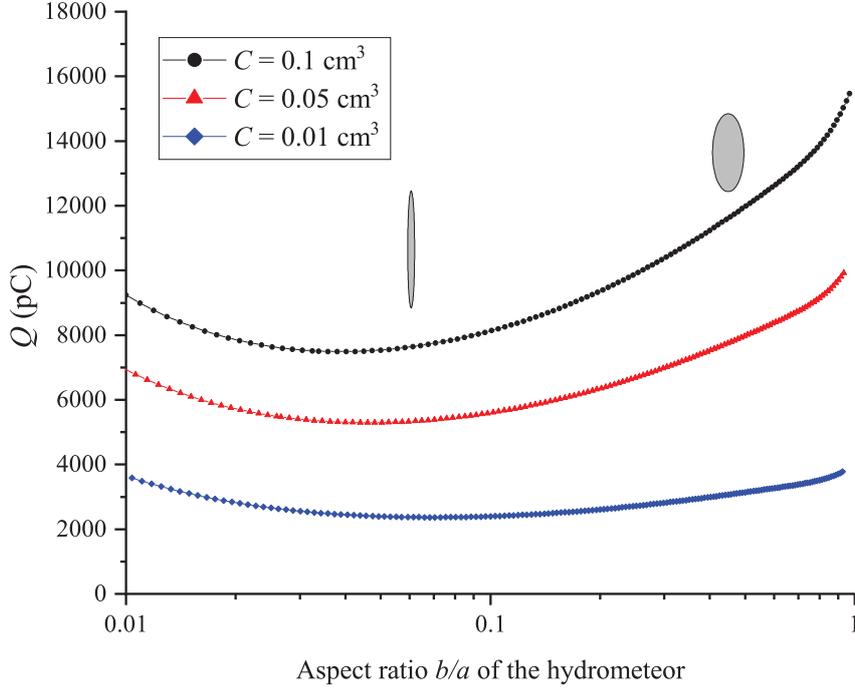


**Figure 4.** The a) onset field, and b) onset voltage for positive coronae at the tip of the ellipsoidal hydrometeor for  $C = 0.01, 0.05,$  and  $0.1 \text{ cm}^3$  for varying aspect ratio  $b/a$  at atmospheric pressure. For clarity, two ellipsoid shapes are given at different  $b/a$ . Results are compared in the spherical limit ( $b = a$ ) with Liu et al. (2012).

380 From the onset field  $E_0$  the onset voltage, or inception voltage, along the major  
 381 axis (from the tip to infinity) can be calculated by the integration of the electric field.  
 382 This onset voltage  $V_0$  is shown in Figure 4b. The inception voltage increases with hy-  
 383 drometeor volume. This is also found by Liu et al. (2012) for a spherical electrode. Note  
 384 that the onset field decreases with volume while the onset voltage increases, which can  
 385 be quickly understood by looking at the simpler configuration of a sphere, where  $V_0 =$   
 386  $E_0 \rho_0$ , with  $\rho_0$  its radius. Figure 4b also shows that the onset voltage is lower for a sharper  
 387 ellipsoid. For a very sharp tip this difference is less noticeable, and the onset voltage is  
 388 about 4 kV for the three hydrometeors. In the spherical limit, the largest hydrometeor  
 389 ( $C = 0.1 \text{ cm}^3$ ) requires 30 kV for corona onset, while the smallest hydrometeor ( $C =$   
 390  $0.01 \text{ cm}^3$ ) requires 16 kV.

391 Besides the onset voltage  $V_0$ , the onset charge  $Q$  can also be derived from the on-  
 392 set field  $E_0$  through  $E_0 = \frac{Q}{4\pi b^2 \epsilon_0}$ . Of course, the onset field is a result of the onset charge,  
 393 making this the more fundamental parameter. The onset charge, which is the total charge  
 394 on the electrode surface, is depicted in Figure 5. A size-dependent optimum aspect ra-  
 395 tio  $b/a$  is observed at which the onset charge is lowest. While a sharper ellipsoid has a  
 396 higher onset field and thus requires more charge at the tip to reach this  $E_0$ , a larger frac-  
 397 tion of the total charge is collected at its tip because of the optimization of charge sep-  
 398 aration. In simulations of corona inception from hydrometeors modelled as dielectrics  
 399 in an external electric field, Dubinova et al. (2015) also found a size-dependent aspect

400 ratio for which the onset background field is minimum. From Figure 5 the range of  
 401 onset charge for hydrometeors with volumes between  $0.042 \text{ cm}^3$  and  $0.42 \text{ cm}^3$  is found to  
 402 be 2367 pC to 15,467 pC at atmospheric pressure.



**Figure 5.** The onset charge for positive coroneae at the tip of the ellipsoidal hydrometeor for  $C = 0.01, 0.05, \text{ and } 0.1 \text{ cm}^3$  for varying aspect ratio  $b/a$  at atmospheric pressure.

403 Finally, the ionization integral  $K$  along the major axis can be calculated from the  
 404 onset field as well, through equation (12). The result is presented in Figure 6. At a fixed  
 405 volume, the ionization integral decreases with  $b/a$ , meaning that less electrons are re-  
 406 quired in an avalanche from the edge of the photon absorption region to the electrode  
 407 surface. To interpret these results the dependence of the photon absorption area and length  
 408 on the electrode dimensions are studied in COMSOL for some data points, of which the  
 409 results are given in Table 2. From this data it can be concluded that for a fixed aspect  
 410 ratio, a smaller electrode has a smaller photon absorption area and length, as does a sharper  
 411 electrode for a fixed volume. However, for a very sharp electrode the photon absorption  
 412 area and length are approximately equal, as can be seen for  $b/a = 0.014$  in Table 2.

413 As an ellipsoid with smaller  $b/a$  has a smaller photon absorption region, photons  
 414 are absorbed closer to the electrode compared to its size, such that stronger avalanches  
 415 are required to satisfy the inception criterion. A similar argument was made by Naidis  
 416 (2005) to explain the ionization integral dependence on radius for a spherical and cylin-  
 417 drical electrode. Comparing the data points for different volumes, two regions can be dis-  
 418 cerned in Figure 6, separated by a cross-over point around  $b/a = 0.55$ . At large  $b/a$ ,  
 419 where  $K$  drops below 14, the largest ellipsoid has the largest value for the ionization in-  
 420 tegral, again because photons are absorbed closer to the electrode with respect to its size.  
 421 When  $K$  increases above 14 for decreasing  $b/a$  it is observed that the smallest ellipsoid  
 422 has the largest  $K$  value. An explanation for this could be that when  $b/a$  becomes small  
 423 enough, the photon absorption region becomes so small that its absolute size instead of  
 424 its relative size determines the value of the ionization integral. Stronger avalanches are  
 425 then required for a smaller electrode. For very small  $b/a$  the data points for different vol-

**Table 2.** Photon absorption area and length for various ellipsoidal electrode aspect ratios and volumes.

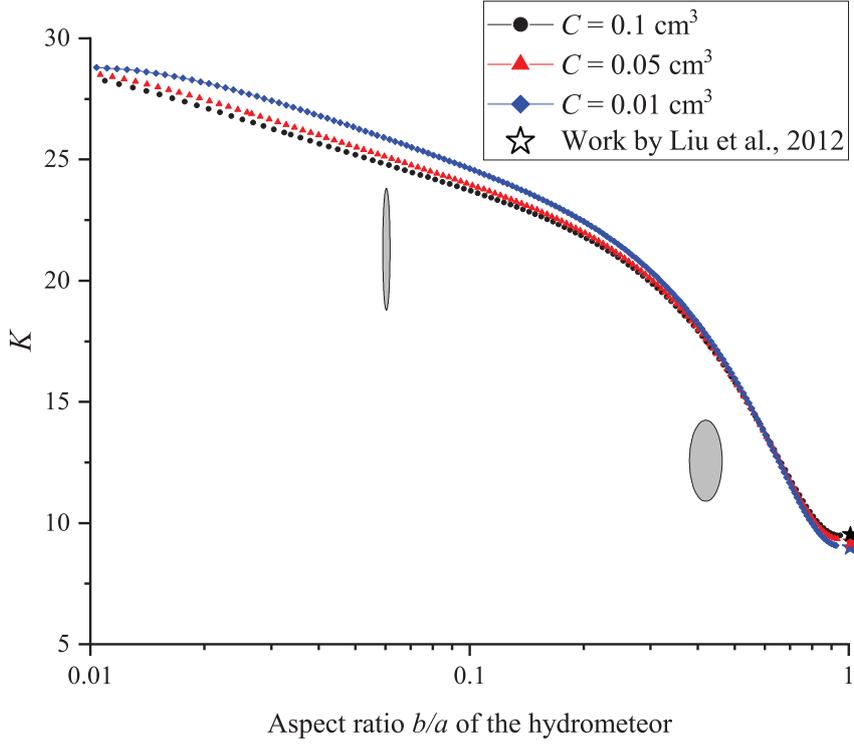
Volume parameter $C$ (cm <sup>3</sup> )	Aspect ratio $b/a$	Semi axis $a$ (cm)	Semi axis $b$ (cm)	Area (mm <sup>2</sup> )	Length $r_{max}$ (mm)
0.1	0.014	7.93	0.11	0.21	0.12
0.1	0.045	3.68	0.16	0.33	0.22
0.1	0.141	1.70	0.24	1.02	0.47
0.1	0.447	0.79	0.35	6.20	1.02
0.05	0.014	6.29	0.08	0.25	0.11
0.05	0.045	2.92	0.13	0.28	0.20
0.05	0.141	1.35	0.19	0.84	0.41
0.05	0.447	0.62	0.28	4.91	0.88
0.01	0.014	3.68	0.05	0.25	0.09
0.01	0.045	1.70	0.07	0.28	0.16
0.01	0.141	0.79	0.11	0.58	0.31
0.01	0.447	0.36	0.16	2.71	0.61

426 umes appear to converge again. A likely explanation is that when the ellipsoid becomes  
427 very sharp, a photon is absorbed so close to the tip such that the total volume of the elec-  
428 trode has no effect; only the sharpness of the tip determines the value of the ionization  
429 integral. This is supported by the photon absorption area being approximately equal for  
430 the different volumes at  $b/a = 0.014$  in Table 2.

### 431 3.2 Variation of the corona inception criterion with pressure

432 Next, the dependence of corona onset from an ellipsoidal hydrometeor on the ambien-  
433 t pressure is investigated by varying the relative gas density  $\delta$ . More specifically, the  
434 values  $\delta = 10, 1,$  and  $0.1$ , analogous to the works by Naidis (2005) and Liu et al. (2012),  
435 and  $\delta = 0.5$ , representative for thundercloud altitudes, are considered. The volume pa-  
436 rameter is fixed at  $C = 0.01$  cm<sup>3</sup> and the aspect ratio  $b/a$  varies again from  $b/a = 0.01$   
437 to 1. The results for the onset field at the hydrometeor tip are shown in Figure 7a. As  
438 expected, a higher pressure leads to a higher onset field  $E_0$ . As explained by Liu et al.  
439 (2012), at a higher pressure more of the excited nitrogen molecules responsible for emit-  
440 ting the ionizing photons are quenched, leading to a lower photon production such that  
441 a higher field is required. To briefly examine how the results are affected by the afore-  
442 mentioned photoionization outside of the ionization region, the computations are redone  
443 with an integration upper limit of  $10r_{max}$  instead of  $r_{max}$ . It follows that the difference  
444 in outcome is generally well below 1%, only rising above 5% for  $\delta = 0.5$  for the small-  
445 est volume parameter  $C = 0.01$  cm<sup>3</sup>, and only for very blunt tips, nearing  $b/a \approx 1$ .  
446 Neglecting this stochastic effect thus seems justified.

447 Similarly, the onset charge increases with pressure, as depicted in Figure 7b. For  
448  $C = 0.01$  cm<sup>3</sup> the onset charge is between 547 pC and 2400 pC for  $\delta = 0.1$  and between  
449 1500 pC and 3100 pC for  $\delta = 0.5$ . For  $\delta = 0.5$  the onset charge is minimum at an as-  
450 pect ratio of approximately 0.1. A pressure above atmospheric pressure, at  $\delta = 10$ , is  
451 not representative for thunderstorms, but is included for completeness. Again, the ion-  
452 ization integral  $K$  can be calculated from the onset field and is plotted in Figure 7c. The  
453 pressure dependence can be explained as before; due to increased quenching of excited  
454 nitrogen molecules at higher pressures the photon production is lowered. Therefore, stronger  
455 avalanches are required to satisfy the inception criterion.



**Figure 6.** The ionization integral along the major axis for positive corona onset at the tip of the ellipsoidal hydrometeor for  $C = 0.01, 0.05,$  and  $0.1 \text{ cm}^3$  for varying aspect ratio  $b/a$  at atmospheric pressure.

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### 3.3 Dependence of the derived ambient electric field on the aspect ratio for thundercloud pressure

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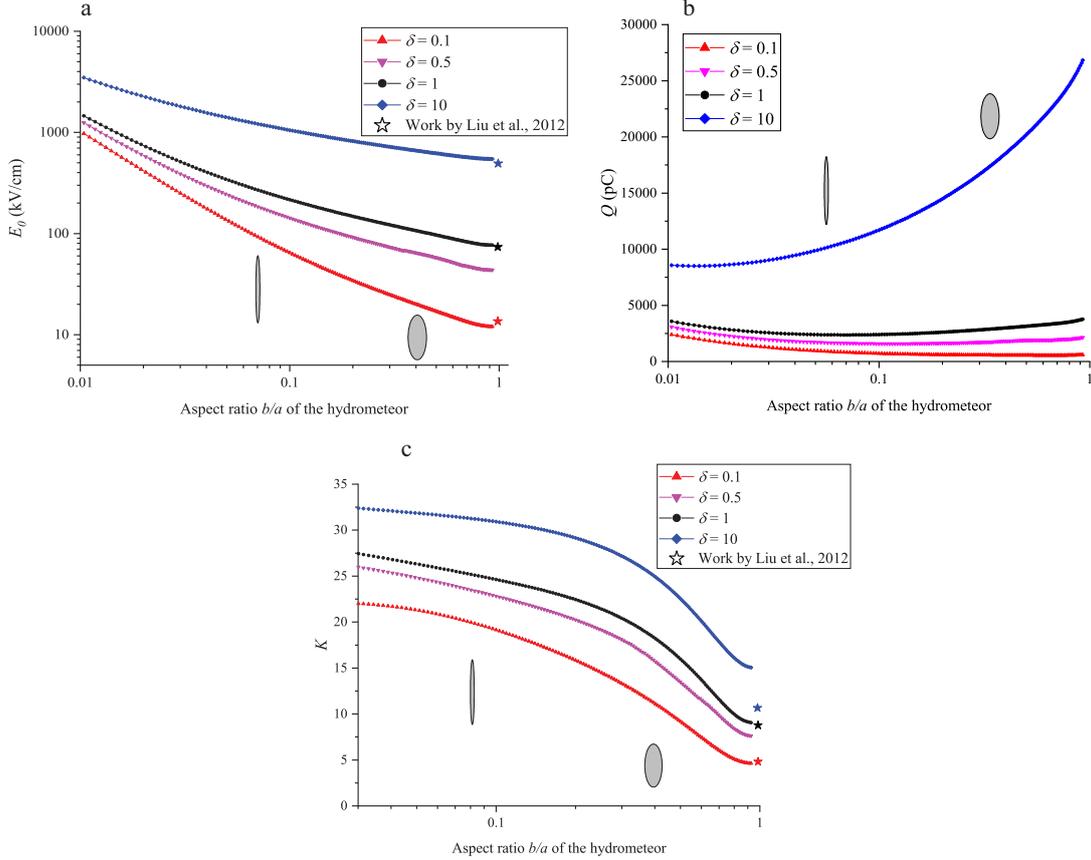
The ambient field  $E_{bg}$  required for corona onset can be derived from the onset field  $E_0$  at the hydrometeor tip. This is done by simulating the hydrometeor as a conductor without surface charge in an ambient electric field in COMSOL, and increasing this field until the determined  $E_0$  is obtained at the tip. The relative gas density of  $\delta = 0.5$  and the most representative size of  $C = 0.01 \text{ cm}^3$  (as hydrometeors are generally found in the millimeter range (Weinheimer et al., 1991; Gardiner et al., 1985)) are chosen. The results are presented in Figure 8. It is seen that the required background field  $E_{bg}$  is below the breakdown field  $E_k$ , between  $0.07 E_k$  and  $0.8 E_k$ , and is lowest for the sharpest hydrometeor tips.

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## 4 Summary, Conclusions and Outlook

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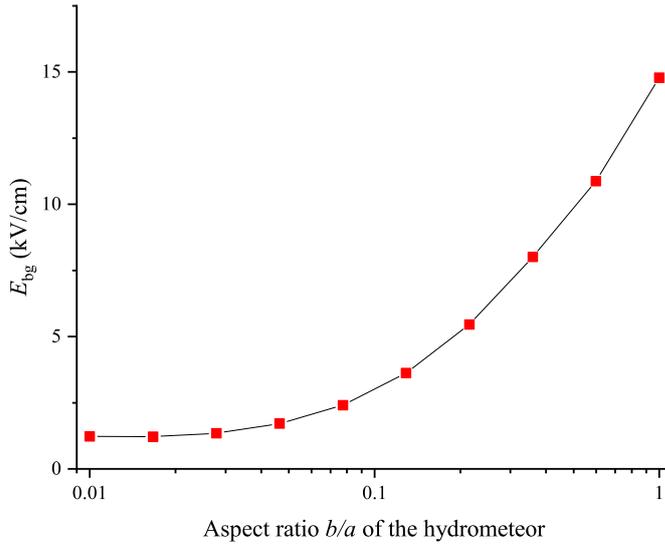
The corona inception criterion set up by Naidis (2005) is applied through numerical simulations to spheroidal electrodes of various dimensions at different pressures. By doing so, the theoretical onset of a positive corona from an ellipsoidal hydrometeor is studied. It is found that the onset electric field at the hydrometeor tip decreases with hydrometeor volume and tip bluntness, as the hydrometeor surface near the photon absorption region increases with these factors. Moreover, the onset field increases with pressure due to the quenching of excited nitrogen molecules. For a hydrometeor of  $0.042 \text{ cm}^3$  volume ( $C = ab^2 = 0.01 \text{ cm}^3$ ) and thundercloud pressure ( $\delta = 0.5$ ), the onset field at the tip varies approximately from  $2.4E_k$  (limiting case sphere) to  $70E_k$  (sharpest case considered), where  $E_k$  is the breakdown field. However, the onset field at the tip is not



**Figure 7.** The a) onset field, b) onset charge, c) ionization integral for positive corone at the tip of the ellipsoidal hydrometeor for  $\delta = 10, 1, 0.5,$  and  $0.1$  for varying aspect ratio  $b/a$  at a fixed volume parameter  $C = 0.01 \text{ cm}^3$ . Results are compared in the spherical limit ( $b = a$ ) with Liu et al. (2012).

478 deemed representative for the likeliness of corona onset as it does not provide informa-  
 479 tion on the entire surface. These values were also obtained without the inclusion of an  
 480 ambient electric field. Instead, the onset potential difference,  $V_0$ , can provide a more re-  
 481 alistic picture for corona onset, since it can be compared with experimental results. As  
 482 we can observe, sharper hydrometeors need a lower voltage to initiate a discharge.

483 Another way to better predict the feasibility of corona onset in thundercloud electric  
 484 fields is by the derivation of the required ambient electric field  $E_{bg}$  from the com-  
 485 puted onset field  $E_0$ . This yields values between  $0.07 E_k$  and  $0.8 E_k$  for semi-axes aspect  
 486 ratios between 0.01 and 1. Hence, the found ambient electric field is well below the break-  
 487 down field. It should be noted that this derived ambient field is neither an upper limit  
 488 nor lower limit on the field required for onset. While the model gives a minimum condi-  
 489 tion for corona onset, the found  $E_0$  would be lower if an ambient electric field was in-  
 490 cluded in the model in the first place. Thus, the used assumptions and simplifications  
 491 should be kept in mind when interpreting these results. However, the ambient field be-  
 492 ing significantly lower than the breakdown field for representative shapes is very promis-  
 493 ing.



**Figure 8.** The required background electric field,  $E_{bg}$ , to have an enhanced electric field of  $E_0$  at the tip of the hydrometeor ( $C = 0.01 \text{ cm}^3$ ). The values are calculated at  $\delta = 0.5$ , where  $E_k = 17.9 \text{ kV/cm}$ .

494 Whereas the onset field only provides information on the hydrometeor tip and the  
 495 onset voltage only on the major axis, the onset charge is the total charge on the hydrom-  
 496 eteor surface. This onset charge reveals, depending on hydrometeor volume, an optimal  
 497 semi-axes aspect ratio of the ellipsoidal hydrometeor for which the least amount of charge  
 498 is required for positive corona onset. The minimum in the onset charge curve is caused  
 499 by the interplay between required onset field and geometry; a sharper hydrometeor has  
 500 a larger onset field at the tip and thus requires more charge at the tip, but a larger part  
 501 of its total charge is located at the tip. As this optimum was not found for the onset field  
 502 or onset voltage, this suggests that considering only the major axis, which is often done  
 503 in models for simplification, may not be sufficient when investigating corona onset con-  
 504 ditions. Interestingly, in their study on lightning inception from hydrometeors, simulated  
 505 as dielectrics in an ambient electric field, Dubinova et al. (2015) obtain a length-dependent  
 506 optimum aspect ratio of the hydrometeor that requires the lowest ambient field for dis-  
 507 charge inception. In addition, the obtained results can be compared to measured pre-  
 508 cipitation charges. Generally the hydrometeor charge is measured below 400 pC (Marshall  
 509 & Winn, 1982). For the volume closest to the measured precipitation,  $C = 0.01 \text{ cm}^3$ ,  
 510 and a relative gas density of  $\delta = 0.5$  the onset charge is found to be between and 1500 pC  
 511 and 3100 pC. However, these charges were measured for estimated hydrometeor diam-  
 512 eters between 1 and 3 mm, whereas in the spherical limit the simulated hydrometeors  
 513 have diameters between 4 mm and 9 mm. In their simulations on spherical hydrometeors  
 514 using the same corona inception criterion as this paper, Liu et al. have shown that  
 515 the onset charge varies over several orders of magnitude in the estimated size range of  
 516 hydrometers. For spherical hydrometeors of 9 mm diameter, the simulated onset charge  
 517 was near ten times larger than for a 3 mm diameter. With this size correction (roughly  
 518 a factor 10) onset charge values are close to the hydrometeors charges obtained from in  
 519 situ measurements. Moreover, the considered configuration is an isolated hydrometeor  
 520 with zero ambient field. Interaction between hydrometeors (see for example (Rutjes et  
 521 al., 2019)) and a non-zero ambient field would lower the amount of charge required for

522 corona inception, which explains why the found onset charge is higher than expected from  
523 in-situ measurements.

524 Besides the onset charge, the ionization integral  $K$  also displays different behaviour  
525 in different  $b/a$  regions. For hydrometeors with very blunt tips, close to a spherical shape,  
526 a larger hydrometeor has a larger  $K$  value for onset, as photons are absorbed closer to  
527 the hydrometeor with respect to its size. However, for hydrometeors with sufficiently sharp  
528 tips, the absolute size of the photon absorption region seems to be more important than  
529 its relative size, such that a smaller hydrometeor has a larger value of the ionization in-  
530 tegral. For any ellipsoidal shape, the value of the ionization integral is larger at higher  
531 pressures, because of the quenching of excited nitrogen molecules, which leads to less-  
532 ened photon emission and therefore a need for stronger avalanches for corona onset.

533 To investigate the validity of the results, the approximations and assumptions of  
534 the model should be evaluated. Firstly, the distance  $r_{max}$  from the tip to the edge of the  
535 photon absorption region should be smaller than the hydrometeor length. Using the ex-  
536 pression derived in the supporting information, it is found that for all data points the  
537 maximum ratio of this distance to length is  $r_{max}/L = 0.2$ , meaning this condition for  
538 the model to hold is satisfied. Furthermore, the presence of space charges is ignored in  
539 the model, leading to an overestimation of the electric field magnitude. When the ion-  
540 ization integral  $K$ , or equivalently number of electrons in the avalanche, is large enough,  
541 the perturbation of the electric field by the space charge becomes comparable to the mag-  
542 nitude of the electric field itself, such that space charge cannot be neglected. This is ac-  
543 companied by the transformation of the avalanche into a streamer. In literature, it is of-  
544 ten taken that  $K$  should be below 14-22 (Naidis, 2005; Raizer, 1991c) for the perturba-  
545 tion of the electric field by space charge to be neglected. In the results, the value of  $K$   
546 is below this threshold for sufficiently blunt hydrometeors. Near  $b/a = 0.555$  in Fig-  
547 ure 6, which is also the cross-over point of the three curves, this value rises above 14. Hence,  
548 for sharper ellipsoids possibly more physics should be added to the model to obtain more  
549 accurate results.

550 In the model of the current work, it is assumed that there are sufficient free elec-  
551 trons present for the primary electron avalanche. To be able to draw conclusions on whether  
552 corona onset is possible in thunderclouds, it should be considered how these free elec-  
553 trons are supplied, and if this supply is large enough. The source of free electrons for light-  
554 ning initiation is a widely researched subject, see for example (Dubinova, 2016; Rutjes  
555 et al., 2019). At least one primary electron is required for discharge initiation, but more  
556 electrons lower the inception threshold. When more electrons are available, the require-  
557 ments on the other factors, such as the aspect ratio and volume of the hydrometeor or  
558 amplitude of the ambient field, will be softened.

559 From the above considerations, it can be concluded that lightning initiation from  
560 a spheroidal hydrometeor is feasible. While the onset field at the tip of the charged hy-  
561 drometeor without ambient field was not found to be below the breakdown field in the  
562 considered configuration, the derived onset ambient electric field for the uncharged hy-  
563 drometeor is lower than this threshold. Further enhancement could be provided by the  
564 interaction between hydrometeors. For representative dimensions and pressures, the amount  
565 of charge required for corona onset provided by the model is comparable to measured  
566 hydrometeor charges. Whether sharper hydrometeors promote lightning onset is a del-  
567 icate discussion, which depends on which parameters are considered. From our results,  
568 it appears that only considering the major axis is not sufficient to reach conclusions on  
569 this matter. To further investigate the corona onset from hydrometeors using this model,  
570 more physics could be included. Most importantly, the thundercloud ambient electric  
571 field could be added to the model. Furthermore, the method can be applied to a hydrom-  
572 eteor cluster. The role of humidity, which was studied by Liu et al. (2012) for spherical  
573 hydrometeors, and the low-temperature environment can also be investigated. Finally,  
574 the model could be adjusted to account for space charge effects.

## 575 Appendix A Derivation of the distance $\rho_0$

576 To find the distance  $\rho_0$  from the major axis to the surface of the ellipsoid along the  
 577 surface electric field direction, the equation defining the ellipsoid (with the origin at the  
 578 tip of the ellipsoid)

$$\frac{x^2}{b^2} + \frac{y^2}{b^2} + \frac{(z+a)^2}{a^2} = 1 \quad (\text{A1})$$

579 is reformulated in spherical coordinates, which yields

$$\frac{r^2 \sin^2(\theta)}{b^2} + \frac{(a+r\cos(\theta))^2}{a^2} = 1. \quad (\text{A2})$$

580 Solving equation (A2) for  $r$  gives two solutions, valid separately for  $\theta \leq \pi/2$  and  
 581  $\theta > \pi/2$ , namely

$$r = \begin{cases} 0 & \theta \leq \pi/2 \\ \frac{2ab^2 \cos(\theta)}{(a^2-b^2)\cos^2(\theta)-a^2} & \theta > \pi/2, \end{cases} \quad (\text{A3})$$

582 as the range  $\theta \leq \pi/2$  is the ionization region, which only encompasses the tip,  $r =$   
 583  $0$ , of the ellipsoidal surface (see also Figure 2). Substituting these solutions into the ex-  
 584 pression for  $\rho_{ab}$  (equation (4) and supporting information), thus constraining  $\rho_{ab}$  to the  
 585 surface of the ellipsoid, gives

$$\rho_0 = \begin{cases} \frac{(a-\sqrt{a^2-b^2})\sqrt{2a(\sqrt{a^2-b^2}+a)-b^2}}{a} & \theta \leq \pi/2 \\ \frac{4(2a(\sqrt{a^2-b^2}-a)+b^2+p_2)\sqrt{\frac{\left(\frac{4ab^2(2\sqrt{a^2-b^2})\cos^2(\theta)}{(a^2-b^2)\cos^2(\theta)-a^2}+2a(\sqrt{a^2-b^2}+a)-b^2-p_2\right)\left(\frac{4ab^2\sqrt{a^2-b^2}\cos^2(\theta)}{(a^2-b^2)\cos^2(\theta)-a^2}+b^2+\frac{p_1-p_2}{8}\right)}{2a(\sqrt{a^2-b^2}-a)+b^2-\frac{p_1}{8}+p_2}}{2a(\sqrt{a^2-b^2}-a)+b^2-\frac{p_1}{8}+p_2}} & \theta > \pi/2, \end{cases} \quad (\text{A4})$$

586 with

$$p_1 = 8\rho_1\rho_2 \left( r = \frac{2ab^2 \cos(\theta)}{(a^2-b^2)\cos^2(\theta)-a^2} \right)$$

587 and

$$p_2 = -\rho_1^2 \left( r = \frac{2ab^2 \cos(\theta)}{(a^2-b^2)\cos^2(\theta)-a^2} \right) - 2a(\sqrt{a^2-b^2}-a) - b^2$$

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 595 erate the data for this paper and the full derivations of the indicated expressions are in-  
 596 cluded in the supporting information.

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