

The time scale of shallow convective self-aggregation in large-eddy simulations is sensitive to numerics

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Key Points:

- In large-eddy simulations, sub-kilometre scale cumulus convection self-organises into mesoscale structures through shallow circulations
- The aggregation time-scale does not converge with model resolution for typical discretisation choices.
- Numerical representations of the tropical mesoscales may require finer model resolutions than previously thought

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Abstract

Numerical simulations of the tropical mesoscales often exhibit a self-reinforcing feedback between cumulus convection and shallow circulations, which leads to the self-aggregation of large cloud structures. We investigate whether this basic feedback can be adequately captured by large-eddy simulations (LESs). To do so, we simulate the non-precipitating, cumulus-topped boundary layer of the canonical ‘BOMEX’ case over a range of numerical settings in two models. Since the energetic convective scales underpinning the self-aggregation are only slightly larger than typical LES grid spacings, aggregation timescales do not converge even at rather high resolutions ($<100\text{m}$). Therefore, high resolutions or improved unresolved scales models may be required to faithfully represent certain forms of trade-wind mesoscale cloud patterns and self-aggregating deep convection in large-eddy and cloud-resolving models, and to understand their significance relative to other processes that organise the tropical mesoscales.

Plain Language Summary

The most detailed models of our atmosphere frequently have their clouds spontaneously organise into large clusters. Small clouds (less than a kilometre in size) seem to play an important role in such “self-aggregation”. However, even in detailed models small clouds are hard to adequately capture: Typically, they must resolve such clouds using less than 10 pixels, thus requiring additional, lower-accuracy “unresolved-scales” models for cloudy motions smaller than this resolution. Here, we show that merely varying the resolution of several state-of-the-art atmospheric models has an effect on how quickly they predict the self-aggregation of clouds to occur, even when many complex, uncertain processes are removed from the problem. We hypothesise that this is because several fundamental assumptions of our unresolved scales models are commonly violated in simulations of self-aggregating clouds. To help work out how important self-aggregation is in the real world, models of the phenomenon may therefore require higher numerical resolutions than previously thought.

1 Introduction

A striking feature of idealised simulations of the tropical atmosphere in radiative-convective equilibrium (RCE) is the spontaneous aggregation of their column-integrated moisture and convection into large clusters (Bretherton et al., 2005; Muller & Held, 2012). Many mechanisms have been proposed to explain this, including the collision and convective triggering of horizontally expanding and colliding cold pools of evaporated precipitation (Tompkins, 2001; Böing, 2016; Haerter, 2019) and gravity wave-convection interactions (Yang, 2021). Yet, perhaps the strongest consensus is on the importance of shallow circulations (Shamekh et al., 2020; Muller et al., 2022), configured to transport moisture from dry to moist columns.

These circulations can be traced to differential, radiative cooling between moist regions, which trap outgoing longwave radiation in their moisture-rich lower atmosphere and under high clouds, and dry regions, which more readily radiate their thermal energy to space (Muller & Held, 2012). Such heating anomalies give rise to ascent in moist columns and descent in dry columns, and may be framed as moisture-radiation instabilities (Emanuel et al., 2014; Beucler & Cronin, 2016) with negative moist gross stability (Bretherton et al., 2005; Raymond et al., 2009). However, the circulations may also be reinforced by turbulent mixing at cloud edges, which deposits moisture in the free troposphere and thus raises the livelihood and vigour of any subsequent convection; differential convection may then itself result in a net ascent of moist, convecting regions and descent in dry, non-convecting regions (Grabowski & Moncrieff, 2004; Tompkins & Semie, 2017). Interactions between these radiative and convective feedbacks appear important, and their relative significance is debated (Beucler et al., 2018; Kuang, 2018).

66 Rooting deep convective self-aggregation in shallow circulations implicitly under-
 67 lines the importance of shallow convection in developing and maintaining them. Bretherton
 68 et al. (2005); Muller and Held (2012) make this connection explicit; they show that shal-
 69 low convection in dry regions exports moist static energy, an appropriate energetic mea-
 70 sure of the moisture, to moist, deep convective regions. If one removes cold-pool feed-
 71 backs, the shallow circulation is even more tightly coupled to the effects of shallow, non-
 72 precipitating convection. In such situations, self-aggregation occurs also on smaller do-
 73 mains (Jeevanjee & Romps, 2013) and without requiring radiative feedbacks (Muller &
 74 Bony, 2015).

75 Interestingly, shallow cumulus convection under typical trade-wind conditions also
 76 self-organises into clusters much larger than that of individual cumuli (e.g. Narenpitak
 77 et al., 2021). Bretherton and Blossey (2017); Janssens et al. (2022) attribute such ag-
 78 gregation to the convective feedback: Shallow circulations driven by anomalous latent
 79 heating in shallow cumulus transport moisture from dry to moist regions in the absence
 80 of radiative or precipitating heterogeneity. If integrated over sufficiently long time pe-
 81 riods, simulations of this mechanism aggregate enough moisture into their moist regions
 82 to transition into deep, organised convection (see also Vogel et al., 2016). These stud-
 83 ies likely describe the confluence of shallow convective instability and the deep convec-
 84 tive instabilities described by Jeevanjee and Romps (2013); Muller and Bony (2015), and
 85 grounds the latter in the former.

86 These paragraphs serve to illustrate that an extensive body of work may rely rather
 87 strongly on how well the numerical models used to simulate convective self-aggregation
 88 represent shallow convection. To remain tractable when running on domains of $O(1000)$
 89 km, numerical simulations of self-organisation often employ rather coarse grid spacings
 90 (usually greater than 1 km). At such grid spacings, shallow convection, whose energetic
 91 scales themselves lie around 1 km, are at best barely resolved, and at worst parameterised.

92 This is relevant, since convective self-aggregation is sensitive to numerical settings
 93 and parameterisations in cloud-resolving simulations of deep convection (Muller & Held,
 94 2012; Wing et al., 2020) and large-eddy simulations (LESs) of cold pool-driven pattern
 95 formation in shallow convection (Seifert & Heus, 2013). One may therefore wonder if the
 96 self-aggregation of non-precipitating cumulus is subject to similar sensitivities, whether
 97 this matters when attempting to interpret numerical simulations of deep convective self-
 98 aggregation and ultimately how much the phenomenon bears on reality. This motivates
 99 us to ask the question: Can we consistently represent convective self-aggregation in its
 100 most basic form - shallow, non-precipitating cumulus convection - in LES?

101 Guided by this question, we revisit a classical case of non-precipitating shallow cu-
 102 mulus convection and simulate it on a mesoscale domain in several numerical configu-
 103 rations (section 2). We then summarise the feedback mechanism discussed by Bretherton
 104 and Blossey (2017); Janssens et al. (2022) that drives the self-aggregation in these sim-
 105 ulations (section 3). Next, we demonstrate the multiscale nature of the feedback: Small,
 106 cumulus-scale processes drive moisture variability at scales an order of magnitude larger
 107 (section 4). This renders it sensitive to three choices that govern the effective resolution
 108 of finite-volume-based LES: grid spacing, advection scheme and unresolved scales model
 109 (section 5). We discuss the implications of these findings for modelling studies of shal-
 110 low and deep convective self-aggregation and their potential parameterisation in section
 111 6, before summarising in section 7.

112 2 Numerical Simulations

113 2.1 Case study

114 Our study concerns a set of numerical experiments of the “undisturbed period” dur-
 115 ing the Barbados Oceanographic and Meteorological Experiment (BOMEX), as intro-

116 duced to the LES modelling community by Siebesma and Cuijpers (1995). We concen-
 117 trate on BOMEX because it represents the simplest imaginable setting of shallow cu-
 118 mulus convection, simulating only moist thermodynamics and boundary-layer turbulence.

119 Three assumptions made in the composition of our case deserve mention here. First,
 120 in lieu of representing spatial and temporal variability in i) the large-scale subsidence,
 121 ii) horizontal wind and iii) surface fluxes of heat and moisture, we parameterise such larger-
 122 scale and boundary forcings with profiles that vary only in height. Second, we do not
 123 locally calculate radiative heating rates, instead approximating them with a slab-averaged
 124 cooling. Third, we explicitly ignore the formation and impact of precipitation. We will
 125 therefore suppress aggregation that is forced on our cloud-field by vertical motions of a
 126 scale larger than our domain, such as those imposed in the simulations conducted by Narenpitak
 127 et al. (2021) and observed by George et al. (2022), by radiation heterogeneity (Klinger
 128 et al., 2017) and by cold-pool dynamics (e.g. Seifert & Heus, 2013; Seifert et al., 2015;
 129 Anurose et al., 2020; Lamaakel & Matheou, 2022) respectively, all of which appear im-
 130 portant pathways to develop the mesoscale cumulus patterns observed in nature.

131 We justify the neglect of these processes by noting that they are not necessary for
 132 large, aggregated cumulus structures to develop (Bretherton & Blossey, 2017). Instead,
 133 they accelerate and modulate an internal mechanism that also occurs without them. This
 134 feedback is intrinsic to moist, shallow convection (Janssens et al., 2022), and its sensi-
 135 tivity to resolution is most clearly exposed by only studying this aspect. We will return
 136 briefly to this discussion in section 6.

137 2.2 Numerical model

138 We perform simulations with two models: The Dutch Atmospheric Large Eddy Sim-
 139 ulation (DALES, Heus et al., 2010; Ouwersloot et al., 2017) and MicroHH (Van Heerwaar-
 140 den et al., 2017). Both models attain a numerical representation of the atmospheric state
 141 on a staggered grid by solving filtered, finite difference approximations of the conserva-
 142 tion equations of mass, momentum, and scalars in the anelastic approximation:

$$\frac{\partial}{\partial x_j} (\rho_0 u_j) = 0 \quad (1)$$

$$\frac{\partial u_i}{\partial t} = -\frac{1}{\rho_0} \frac{\partial}{\partial x_j} (\rho_0 u_i u_j) - \frac{\partial \pi'}{\partial x_i} + \frac{g}{\theta_v} (\theta_v - \bar{\theta}_v) \delta_{i3} - \frac{\partial \tau_{ij}}{\partial x_j} + S_{u_i} \quad (2)$$

$$\frac{\partial \chi_i}{\partial t} = -\frac{1}{\rho_0} \frac{\partial}{\partial x_j} (\rho_0 u_j \chi_i) - \frac{\partial R_{u_j, \chi_i}}{\partial x_j} + S_{\chi_i}, \quad (3)$$

143 In these equations, $u_i \in \{u, v, w\}$ are the three (grid-filtered) components of ve-
 144 locity, $\chi_i \in \{\theta_l, q_t\}$ is a generic scalar whose set contains at least the total specific hu-
 145 midity q_t and liquid-water potential temperature, approximated as

$$\theta_l \approx \theta - \frac{L_v}{c_p \Pi} q_l. \quad (4)$$

146 where θ is the (dry) potential temperature, L_v is the latent heat of vaporisation, c_p is
 147 the specific heat of dry air at constant pressure, q_l is the liquid water specific humidity
 148 and

$$\Pi = \left(\frac{p}{p_0} \right)^{\frac{R_d}{c_p}} \quad (5)$$

149 is the Exner function, where R_d is the gas constant of dry air and p is the reference pres-
 150 sure profile. The corresponding reference density is ρ_0 , π' are fluctuations of modified

151 pressure around p , g is gravitational acceleration, θ_v is the virtual potential temperature
 152 whose slab-mean is represented by an overbar, S_{u_i} and S_{χ_i} denote momentum and scalar
 153 sources, and τ_{ij} and R_{u_j, χ_i} are the residual fluxes of momentum and scalars that result
 154 from filtering the equations (the Sub-Filter Scale (SFS) fluxes). These fluxes are approx-
 155 imated with a traditional eddy viscosity model, which explicitly assumes the filtering to
 156 take place at a scale where diffusion of the resolved flow approximates the net dissipa-
 157 tion of homogeneous, isotropic turbulence; it must be significantly smaller than the energy-
 158 containing scales of the simulation:

$$\tau_{ij} \approx -K_m \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) \quad (6)$$

$$R_{u_j, \chi_i} \approx -K_h \frac{\partial \chi_i}{\partial x_j} \quad (7)$$

159 These approximations introduce modelling errors which can be expected to influ-
 160 ence the large, resolved scales when their requirements are not met.

161 The main differences between DALES and MicroHH reside in their model for the
 162 eddy diffusivities K_m and K_h : DALES uses a one-equation closure for the turbulent kin-
 163 etic energy e (Deardorff, 1973) subject to Deardorff (1980)'s stability correction; Mi-
 164 croHH employs a stability-corrected Lilly-Smagorinsky model (Lilly, 1968). Both mod-
 165 els estimate K_m and K_h through a mixing length λ associated with the grid-scale filter:

$$\lambda = f(\Delta), \quad (8)$$

$$\Delta = (\Delta x \Delta y \Delta z)^{\frac{1}{3}}, \quad (9)$$

166 where f subsumes the stability correction, which diminishes the eddy diffusivities in sta-
 167 bly stratified grid cells, and where Δ assumes the grid spacing is isotropic, which is an
 168 assumption we will violate. Note that Δ also sets the discretisation error in the model's
 169 spatial gradients for a finite difference scheme of a given order; these errors will inter-
 170 act non-trivially with the modelling error made by the approximations above.

171 2.3 Experiments

172 We base our analysis on 8 simulations of BOMEX that are set up in the configu-
 173 ration reported by Siebesma et al. (2003), except for their computational grid, integra-
 174 tion time and advection scheme. To support mesoscale fluctuations with little influence
 175 from the finite domain size, the cases are run on domains with horizontal length $L =$
 176 102.4 km, a height of 10 km, for 36 hours. The vertical grid spacing $\Delta z = 40$ m up to
 177 6 km, and is stretched by 1.7% per level above this height. To investigate how the de-
 178 velopment of mesoscale fluctuations is sensitive to numerics, we vary the horizontal grid
 179 spacing $\Delta x = \Delta y \in [50, 100, 200]$ m. At their coarsest spacing, our grid cells attain
 180 rather high aspect ratios. Such anisotropic grids are commonly used in large-domain LES
 181 of shallow cumulus convection (e.g. Vogel et al., 2016; Klinger et al., 2017; Bretherton
 182 & Blossey, 2017; Janssens et al., 2022), although the isotropic filter length scale λ con-
 183 sequently overestimates the vertical length scale required from the SFS model, and un-
 184 derestimates the horizontal length scale (de Roode et al., 2022). As will become clear
 185 in section 5, we will be particularly concerned with the underestimation of the horizon-
 186 tal length scale. Therefore, we also run the DALES simulations at $\Delta x = 200$ m with
 187 $\Delta = 200$ m.

188 All cases are run with a variance-preserving, second order central difference scheme
 189 to represent advective transfer, while the coarsest two DALES simulations are addition-
 190 ally run using a fifth order, nearly monotonic scheme (Wicker & Skamarock, 2002). This

Table 1. Differences in numerical configurations of BOMEX simulations. *e* refers to the one-equation turbulence kinetic energy SFS model (Deardorff, 1973); SL refers to the Smagorinsky-Lilly model (Lilly, 1968). Advection schemes are either $O(2)$ central differences (a2), or the $O(5)$ scheme by Wicker and Skamarock (2002) (a5). ‘fiso’ refers to coarsening the filter as if it were isotropically increasing with the horizontal grid spacing, while ‘nocorr’ denotes a run with Deardorff (1980)’s stability correction turned off.

Abbreviation	Model	Δx	SFS model	Advection scheme	Δ
D1	DALES	200	<i>e</i>	$O(2)$ a2	117
D2	DALES	200	<i>e</i>	$O(5)$ a5	117
D3	DALES	200	<i>e</i>	$O(2)$ a2	200, fiso
D4	DALES	100	<i>e</i>	$O(2)$ a2	73.7
D5	DALES	100	<i>e</i>	$O(5)$ a5	73.7
D6	DALES	100	<i>e</i>	$O(5)$ a2	73.7, nocorr
D7	DALES	50	<i>e</i>	$O(2)$ a2	46.4
M1	MicroHH	200	SL	$O(2)$ a2	117
M2	MicroHH	100	SL	$O(2)$ a2	73.7
M3	MicroHH	50	SL	$O(2)$ a2	46.4

191 scheme is rather diffusive, consequently dampens the (co)variance contained in the small-
 192 est, resolved scales of the simulations we run (Heinze et al., 2015), and has an effective
 193 resolution commensurate with the five grid-point stencil it requires (Bryan et al., 2003).
 194 These properties have significant consequences.

195 Finally, we test the effects of the stability correction on λ by running a single sim-
 196 ulation where it is turned off.

197 We focus our analysis of the simulations on the period before their characteristic
 198 moisture length scales approach the domain size, as we wish to eliminate the finite-domain
 199 constraints posed by our doubly-periodic boundary conditions.

200 3 Conceptual model for self-aggregation

201 We will study the numerical sensitivity of the shallow convective self-aggregation
 202 using the conceptual model described by Janssens et al. (2022), which is a closed-form
 203 version of the theory introduced by Bretherton and Blossey (2017). The model is briefly
 204 summarised in this section; readers looking for a full derivation are encouraged to ex-
 205 plore the above manuscripts.

206 3.1 Definitions

207 In the following, self-aggregation of the convection in our simulations will be in-
 208 terpreted as growth in mesoscale fluctuations of vertically integrated moisture. To make
 209 this more precise, let us define mesoscale fluctuations in a generic scalar χ by partition-
 210 ing it into its slab-average $\bar{\chi}$ and remaining fluctuation χ' , before scale-separating χ' into
 211 a mesoscale component χ'_m and sub-mesoscale component χ'_s :

$$\chi = \bar{\chi} + \chi' = \bar{\chi} + \chi'_m + \chi'_s. \quad (10)$$

212 χ'_m is defined with a spectral low-pass filter at 12.5 km, i.e. fluctuations larger than
 213 this scale are considered mesoscale fluctuations.

214 In our framework, self-aggregation is associated with the development of coherent,
 215 mesoscale moist, convecting regions, where $q'_{t_m} > 0$, and dry, non-convecting regions,
 216 where $q'_{t_m} < 0$. To identify these regions in our simulations, we use the density-weighted
 217 vertical average

$$\langle \chi \rangle = \frac{\int_0^{z_\infty} \rho_0 \chi dz}{\int_0^{z_\infty} \rho_0 dz}, \quad (11)$$

218 where $z_\infty = 10$ km, yielding the column-averaged, or bulk, moisture $\langle q_t \rangle$. Moist (dry),
 219 mesoscale regions as positions where $\langle q'_{t_m} \rangle > 0$ ($\langle q'_{t_m} \rangle < 0$).

220 With these definitions, we formulate a budget for χ'_m by subtracting the slab-average
 221 of eq. 3 from itself, mesoscale-filtering the result, and rewriting several terms:

$$\frac{\partial \chi'_m}{\partial t} = \underbrace{-w'_m \Gamma_\chi}_{\text{Grad. prod.}} - \underbrace{\frac{\partial}{\partial x_{j_h}} (u_{j_h} \chi')_m}_{\text{Horizontal transport}} - \underbrace{\frac{1}{\rho_0} \frac{\partial}{\partial z} (\rho_0 F_{\chi'_m})}_{\text{Vertical transport}} - \underbrace{\overline{w}_{ls} \frac{\partial \chi'_m}{\partial z}}_{\text{Subsidence}} + \underbrace{\frac{\partial}{\partial x_j} (R_{u_j, \chi'_m})}_{\text{SFS diffusion}} + \underbrace{S'_{\chi'_m}}_{\text{Source}} \quad (12)$$

222 In this relation, the slab-averaged vertical gradient $\partial \bar{\chi} / \partial z = \Gamma_\chi$, while $F_{\chi'_m}$ is the
 223 anomalous mesoscale vertical flux of χ' around the slab average

$$F_{\chi'_m} = (w' \chi')_m - \overline{w' \chi'}. \quad (13)$$

224 The conceptual model requires eq. 12 to be posed for measures of moisture and heat.
 225 To remain consistent with Bretherton and Blossey (2017); Janssens et al. (2022), we will
 226 use q_t as our moisture variable, and liquid-water virtual potential temperature, defined
 227 as

$$\theta_{lv} = \theta_t + 0.608 \overline{\theta}_l q_t \equiv \theta_v - 7 \overline{\theta}_l q_t, \quad (14)$$

228 as our heat variable (e.g. B. Stevens, 2007). Both q_t and θ_{lv} are conserved under non-
 229 precipitating shallow cumulus convection. Hence, in the absence of radiative heterogene-
 230 ity, we immediately recognise that $S'_{\chi'_m} = 0$. In the following, we will additionally as-
 231 sume that the direct effects of horizontal transport, subsidence and SFS diffusion on the
 232 χ'_m budget are small.

233 3.2 Model

234 The main features of the conceptual model are captured by fig. 1. Its central panel
 235 shows a vertical cross-section of simulation D1 after 16 hours of simulation time, coloured
 236 by q_t . Clouds are drawn on top of the q_t field as small, black contour lines. They form
 237 preferentially on an anomalously moist, mesoscale patch in the cloud layer (smooth, black
 238 contour line, delineating the boundary where $q'_{t_m} = 0$); convection and clouds have self-
 239 aggregated into mesoscale structures in this panel.

240 To explain why, we begin at fig. 1 a), which shows a progressing contrast in q'_{t_m} be-
 241 tween moist (blue) and dry (red) regions near the inversion base. Upon vertically aver-
 242 aging eq. 12, it can be shown that the resulting increase in $\langle q'_{t_m} \rangle$ is due primarily to the
 243 “gradient production” term, i.e.

$$\frac{\partial \langle q'_{t_m} \rangle}{\partial t} \approx -\langle w'_m \Gamma_{q_t} \rangle \quad (15)$$

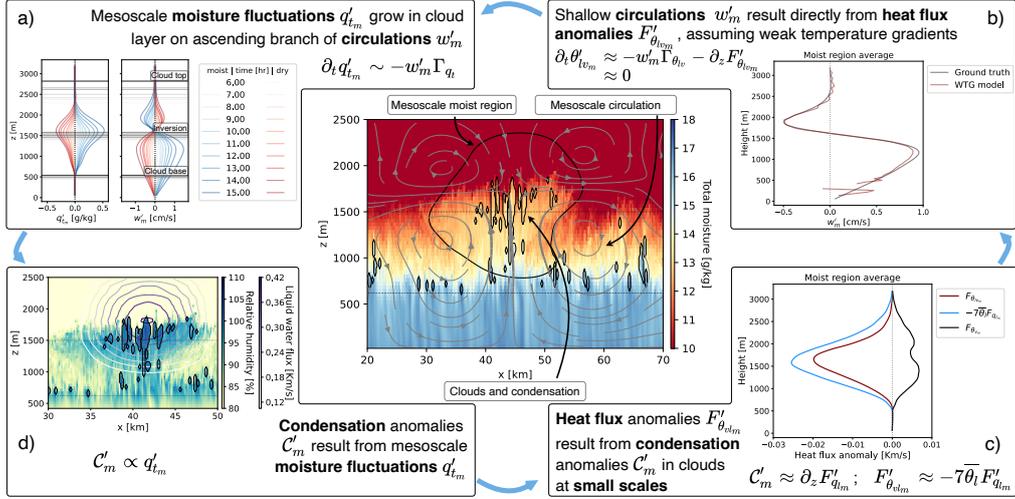


Figure 1. Overview over the circulation-driven self-aggregation mechanism in simulation D1 after 16 hours. Central panel: Example x-z cross-section depicting clouds (small, jagged black contours), which form favourably on a moist, mesoscale region (coloured contours; large, smooth, black contour), in turn driven by a mesoscale circulation (streamlines). Horizontal lines indicate the cloud and inversion bases. a) Vertical profiles of q'_{tm} and w'_m , averaged over moist (blue) and dry (red) regions, evolving in time (increasing opacity). b) WTG approximation eq. 17 (maroon) of w'_m compared to LES-diagnosed ground-truth (black). c) Mesoscale heat flux anomaly $F'_{\theta_{lv,m}}$ (maroon, using eq. 13) and its liquid water flux approximation (blue, using eq. 20). d) As in central panel, but coloured by relative humidity and overlaid by contours of $7\bar{\theta}'_l (w'_l q'_l)_m$.

244 This term expresses transport along the mean, negative moisture gradient with mesoscale
 245 vertical velocity anomalies w'_m , which in fig. 1 a) grow increasingly positive (negative)
 246 in the moist (dry), cloud layer. w'_m embodies the ascending and descending branches of
 247 a shallow circulation (drawn as in-plane streamlines in the central panel of fig. 1), which
 248 converges in the moist regions' subcloud layer, transports mixed-layer moisture into the
 249 corresponding, moist cloud layer, and diverges near the trade-inversion base into dry re-
 250 gions, where it subsides.

251 The shallow circulations (w'_m) may be understood as a direct result from heat flux
 252 differences between moist and dry mesoscale regions. To show this, consider fig. 1 b).
 253 It plots w'_m , averaged over the moist, mesoscale region as i) diagnosed by the LES model,
 254 and ii) as predicted by reducing eq. 12 for θ_{lv} to a diagnostic relation:

$$\frac{\partial \theta'_{lv_m}}{\partial t} \approx -w'_m \Gamma_{\theta_{lv}} - \frac{1}{\rho_0} \frac{\partial}{\partial z} (\rho_0 F'_{\theta_{lv_m}}) \approx 0 \quad (16)$$

$$w'_m \approx -\frac{1}{\rho_0} \frac{\partial}{\partial z} (\rho_0 F'_{\theta_{lv_m}}) / \Gamma_{\theta_{lv}}. \quad (17)$$

255 Eq. 17 essentially amounts to posing the Weak Temperature Gradient (WTG) as-
 256 sumption (e.g. Held & Hoskins, 1985; Sobel et al., 2001), as often successfully employed
 257 in models of self-aggregating deep convection (e.g. Emanuel et al., 2014; Chikira, 2014;
 258 Beucler et al., 2018; Ahmed & Neelin, 2019). Fig. 1 b) justifies making this assumption
 259 for our shallow convective self-aggregation too. Combining eqs. 15 and 17, integrating
 260 by parts and ignoring surface flux feedbacks (which are zero by definition in our config-
 261 uration with homogeneous surface fluxes) then yields a model for $\langle q'_{t_m} \rangle$ which finds its
 262 energetic support solely in the heat flux anomaly $F'_{\theta_{lv_m}}$, appropriately scaled by the ver-
 263 tical structure of the slab-averaged, thermodynamic state:

$$\frac{\partial \langle q'_{t_m} \rangle}{\partial t} \approx - \left\langle F'_{\theta_{lv_m}} \frac{\partial}{\partial z} \left(\frac{\Gamma_{q_t}}{\Gamma_{\theta_{lv}}} \right) \right\rangle \quad (18)$$

264 To discover why $F'_{\theta_{lv_m}}$ develops, let us multiply eq. 14 by w' , which decomposes
 265 the heat fluxes into flux measures of buoyancy and liquid water:

$$w' \theta'_{lv} \equiv w' \theta'_v - \overline{7\theta_l} w' q'_l. \quad (19)$$

266 Fig. 1 c) attributes the primary contribution in this decomposition to liquid wa-
 267 ter flux anomalies, i.e.

$$F'_{\theta_{lv_m}} \approx -\overline{7\theta_l} F'_{q_{l_m}}. \quad (20)$$

268 In turn, the divergence of $F'_{q_{l_m}}$ stems directly from mesoscale anomalies in the con-
 269 densation C'_m . Put differently, latent heating in clouds underpins the mesoscale cir-
 270 culation.

271 Finally, as indicated in fig. 1 d), convective plumes rising into a cloud layer that
 272 is moister than the slab mean will condense and later reevaporate more water vapour
 273 than average, closing a feedback loop in q'_{t_m} . We express this feedback mathematically
 274 by assuming $F'_{q_{l_m}}$ can be written in terms of q'_{t_m} through a poor-man's mass flux approx-
 275 imation:

$$F'_{q_{l_m}} \approx C' w^* q'_{l_m} \approx C w^* q'_{t_m} \quad (21)$$

276 In combination, eqs. 18, 20 and 21 give a linear instability model for the moisture-
 277 convection feedback with time scale $\tau_{q'_{t_m}}$:

$$\frac{\partial \langle q'_{t_m} \rangle}{\partial t} \approx \frac{\langle q'_{t_m} \rangle}{\tau_{q'_{t_m}}}, \quad (22)$$

$$\tau_{q'_{t_m}} = \frac{1}{C \bar{\theta}_l w^* \frac{\partial}{\partial z} \left(\frac{\Gamma_{q_t}}{\Gamma_{\theta_{lv}}} \right)}. \quad (23)$$

278 This minimal model is rather accurate for describing the evolution of $\langle q'_{t_m} \rangle$ in sim-
 279 ulation D1 (Janssens et al., 2022), and suffices to illustrate how the mechanism is sen-
 280 sitive to discretisation and modelling error.

281 4 Dependence on sub-mesoscale dynamics

282 If all assumptions made in deriving eq. 23 hold, it relies on only two variables: A
 283 convective velocity scale w^* and the gradient of the ratio of slab averaged lapse rates of
 284 heat and moisture, i.e. the vertical structure of the mean environment. Janssens et al.
 285 (2022) show that the development of $\partial/\partial z (\Gamma_{q_t}/\Gamma_{\theta_{lv}})$ relies only on slab-averaged heat
 286 and moisture fluxes; so does $w^* \langle q'_{t_m} \rangle$ through eqs. 20 and 21. Therefore, we pause for
 287 a moment to analyse which scales of motion control these fluxes.

288 Eq. 20 argues that $F'_{\theta_{lv_m}}$ is facilitated by cumulus clouds, whose energetic scales
 289 follow the depth of the boundary layer, of $O(1000)$ m. Hence, the fluctuations in ver-
 290 tical velocity, heat and liquid water that construct $F_{q'_{t_m}}$ and $F_{\theta'_{lv_m}}$ generally are of a scale
 291 much smaller than q'_{t_m} , which by definition is larger than 12.5 km. It is therefore not triv-
 292 ial that $F_{\theta'_{lv_m}}$ should be controlled by q'_{t_m} as directly as eqs. 20 and 21 suggest.

293 To illustrate this, consider fig. 2, which shows how the saturation excess $q_t - q_s$
 294 varies over a vertical cross-section of our domain (q_s is the specific humidity at sat-
 295 uration). The white-to-blue contour lines identify a moist, mesoscale patch, with q'_{t_m} up to
 296 0.003 kg/kg near the inversion base at 1500m, which coincides with a region of high $q_t -$
 297 q_s , and upon which most of the clouds at these levels consequently form. However, the
 298 structure of these clouds, indicated by black contour lines, still varies horizontally with
 299 *small* fluctuations in $q_t - q_s$, on a scale commensurate with the cumulus convection it-
 300 self. As a result, $F_{q'_t}$, $F_{\theta'_{lv}}$ and their mesoscale-filtered counterparts $F_{q'_{t_m}}$ and $F_{\theta'_{lv_m}}$, plot-
 301 ted over the dashed line at 1500m in the top panel, also remain dominated by sub-mesoscale
 302 variation in heat, moisture and vertical velocity. Hence, one might view the mesoscale
 303 moisture fluctuations as preconditioners that raise the relative humidity over large re-
 304 gions of the local cumulus layer, while the resulting condensation and diabatic heating
 305 in that layer remains governed by sub-mesoscale, cloudy updrafts that carry sub-mesoscale
 306 fluctuations of water vapour (q'_{t_s}) into it.

307 As a result, almost the entire basis of our mesoscale circulation is found in projec-
 308 tions of *sub*-mesoscale scalar fluxes onto the mesoscale. More formally, for $\chi' \in \{q'_t, \theta'_{lv}, q'_l\}$,
 309 one can scale-decompose a mesoscale-filtered vertical scalar flux as

$$(w' \chi')_m = (w'_m \chi'_m)_m + (w'_m \chi'_s)_m + (w'_s \chi'_m)_m + (w'_s \chi'_s)_m \quad (24)$$

310 and write the approximation

$$(w' \chi')_m \approx (w'_s \chi'_s)_m \quad (25)$$

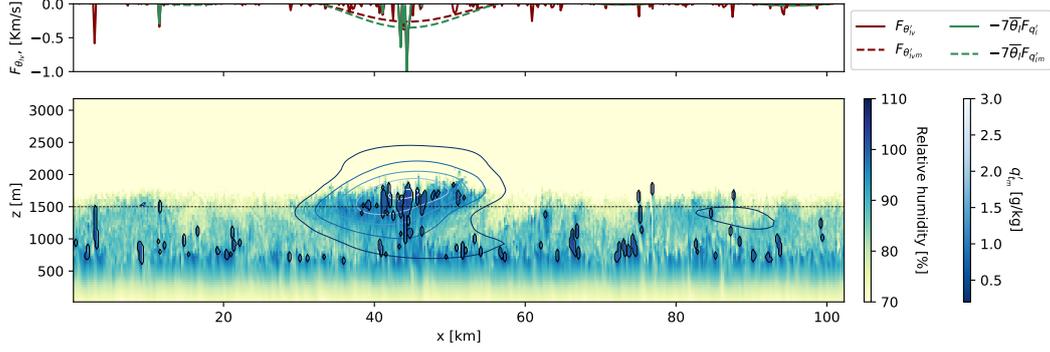


Figure 2. Bottom: Cross-section over the same x - z plane as the extraction plotted in the central panel of fig. 1, coloured by filled contours of relative humidity (yellow to blue) and overlaid by contour lines of i) $q'_{t,m}$ (blue to white lines) and ii) clouds (black lines). Top: Spatial variation of $F_{\theta'_{lv}}$, its mesoscale-filtered counterpart $F_{\theta'_{lv,m}}$, and their respective liquid-water contributions $-7\bar{\theta}_l F_{q'_l}$ and $-7\bar{\theta}_l F_{q'_{l,m}}$, over the dashed line at inversion base.

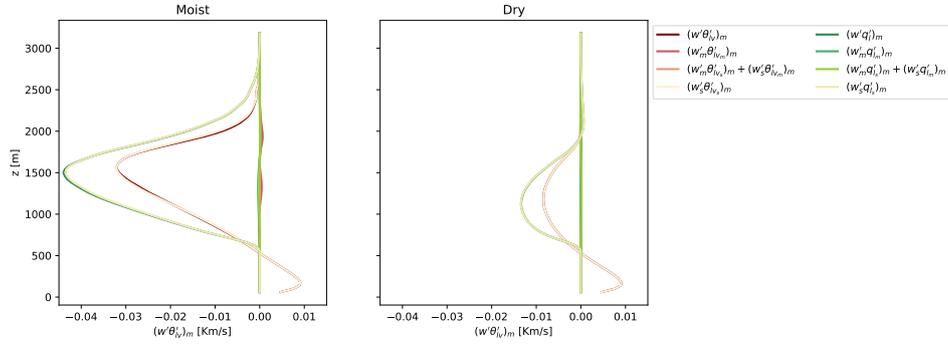


Figure 3. Grid-resolved $(w'\chi')_m$, for $\chi \in \{\theta_{lv}, q_l\}$, (q_l fluxes are scaled by $-7\bar{\theta}_l$), scale-decomposed into pure mesoscale contributions $(w'_m\chi'_m)_m$, cross-scale contributions $(w'_m\chi'_s)_m + (w'_s\chi'_m)_m$ and pure sub-mesoscale contributions $(w'_s\chi'_s)_m$, averaged over 14-16 hours in simulation D1, in moist (left) and dry (right) regions.

311 to very good accuracy, as shown for both $(w'\theta'_{lv})_m$ and $(w'q'_l)_m$ in fig. 3. Hence, for eq. 23
 312 to successfully explain the evolution of mesoscale moisture anomalies, it is crucial to get
 313 the sub-mesoscale fluctuations of w , θ_{lv} and q_l that form them right.

314 5 Sensitivity to resolution

315 At $\Delta x = 200$ m, our coarsest simulations barely resolve the energy containing scales
 316 of the shallow convection. While the impact of such assumptions may be limited in short
 317 simulations on small domains (Siebesma et al., 2003; Blossey et al., 2013), one might imag-
 318 ine simulations of mesoscale structures on large domains, at coarse resolutions and over
 319 long integration times to be more sensitive.

320 Fig. 4 presents the time evolution of vertically integrated mesoscale moisture fluctu-
 321 ations, $\langle q'_{t,m} \rangle$ and the timescale $\tau_{q'_{t,m}}$ estimated from eq. 22 for the numerical model con-
 322 figurations in tab. 1. It shows that repeated grid refinement in the horizontal dimension
 323 more than doubles $\tau_{q'_{t,m}}$ in DALES, and quadruples it in MicroHH. The models do not

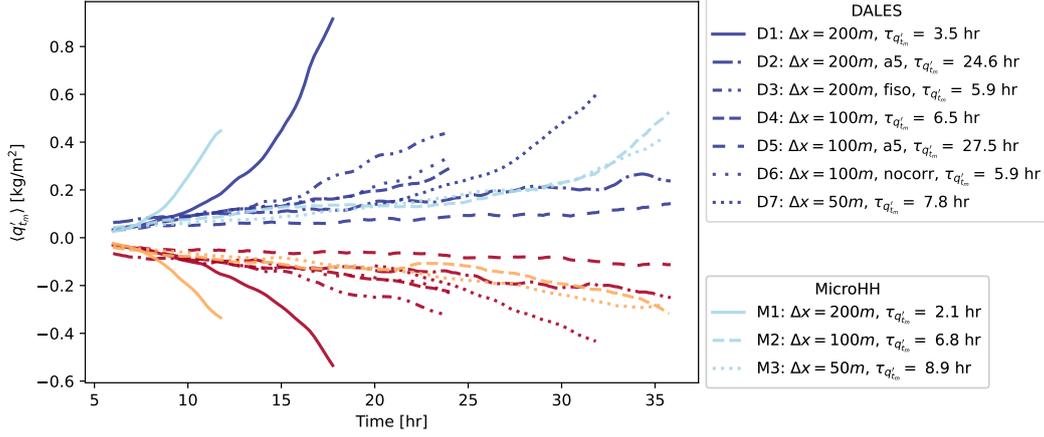


Figure 4. Time-evolution of $\langle q'_{t_m} \rangle$, averaged over moist (blue) and dry (red) mesoscale regions, for numerical configurations indicated by the line styles, in simulations run by DALES (dark colours) and MicroHH (light colours). Abbreviations “fiso”, “a5” and “nocorr” follow the definitions from tab. 1.

324 agree even at $\Delta x = 50$ m. Similar results are obtained for numerical setups that dis-
 325 sipate resolved fluctuations more strongly (simulations D2, D3 and D5). In fact, switch-
 326 ing from a second-order advection scheme to a fifth-order scheme (simulations D2 vs. D1
 327 and D5 vs. D4) slows the growth of $\langle q'_{t_m} \rangle$ to the point that it is barely perceptible. In
 328 all numerical configurations, the scale growth mechanism eq. 18 holds almost exactly (see
 329 fig. S1). Hence, while the form of the circulation-driven mechanism is rather resolution-
 330 invariant, its ingredients, w^* and $\Gamma_{q_t}/\Gamma_{\theta_{lv}}$, are not.

331 To investigate this in more detail, we will focus on how the DALES simulations run-
 332 ning at $\Delta x = 200$ m (D1 and D3) and with fifth order advection (D5) and no stabil-
 333 ity correction (D6) differ from that running at $\Delta x = 100$ m (D4). Since our length scale
 334 growth model is state-dependent, such differences are best studied by tracing the tem-
 335 poral divergence between experiments that start from an identical state after the model
 336 spinup. We choose that state to be simulation D4’s solution after 12 hours, when mesoscale
 337 fluctuations are small. For simulations D1 and D3, this solution is first coarse-grained
 338 onto a grid with $\Delta x = 200$ m using a top-hat filter. We then run the cases on for 12
 339 hours with all other settings kept identical to simulations D1, D3, D5 and D6.

340 Fig. 5 shows how profiles of the ingredients to eq. 18 evolve in these simulations
 341 in the first six hours after they have been relaunched. Their q'_{t_m} fields are initially iden-
 342 tical, as is $\Gamma_{q_t}/\Gamma_{\theta_{lv}}$. However, this state immediately elicits a response in the coarser sim-
 343 ulations’ $F_{\theta'_{lv_m}}$. It increase in strength, amplifying $w'_m \Gamma_{q_t}$. As a result, q'_{t_m} begins grow-
 344 ing more quickly in these simulations, supplying additional fuel that $F_{\theta'_{lv_m}}$ can feed on;
 345 the mechanism and divergence between the simulations intensifies over time.

346 It is worth noting that the main sinks in the q'_{t_m} and θ'_{lv_m} budgets, the horizon-
 347 tal advection terms, barely respond to the changes in grid spacing (see fig. S1 and S2).
 348 The faster growth of q'_{t_m} in our coarse simulations is then not because mesoscale fluc-
 349 tuations are horizontally redistributed or dissipated down to the sub-mesoscale less ef-
 350 ficiently, but due to an enhancement of $F_{\theta'_{lv_m}}$ -driven production at a given q'_{t_m} . Put dif-
 351 ferently, it is the proportionality in eqs. 20 and 21 that is not grid-converged.

352 Why is the development of $F_{\theta'_{lv_m}}$ resolution-sensitive? The spectra plotted in fig. 6
 353 offer a suggestion. In the first hour after the coarse-resolution simulation D1 has been

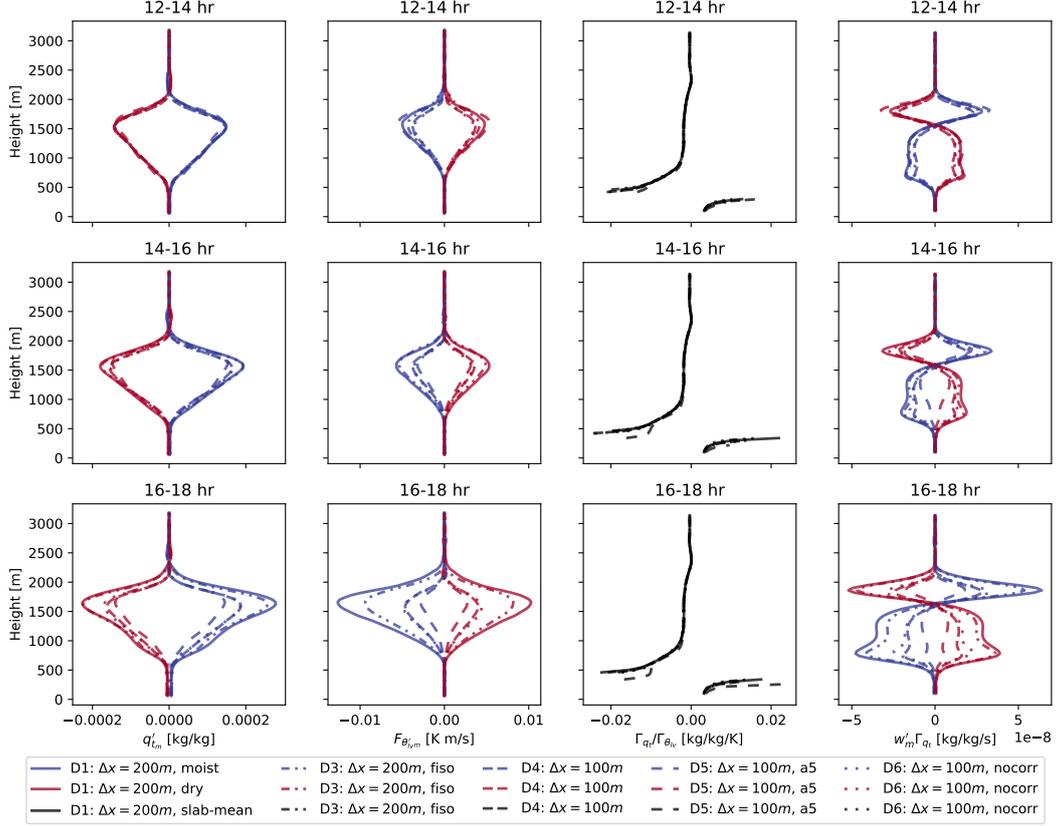


Figure 5. Vertical profiles of q'_t , $F_{\theta'_{lv}}$, $\Gamma_{qt}/\Gamma_{\theta_{lv}}$ and $w'_m \Gamma_{qt}$ (columns left to right), in moist and dry regions (blue and red lines), averaged over 2-hour intervals (top to bottom rows) after launching the cases D1, D3, D5 and D6 from the case D4 (different line styles).

354 relaunched from the finer-resolution simulation D4, it contains slightly less variance in
 355 its smallest scales of q_t , w and θ_{lv} in the sub-cloud layer (figs. 6 a-c). But in the cloud
 356 layer, where our instability resides, fluctuations in q_t , w and θ_{lv} are more energetic at
 357 their smallest, resolved scales (figs. 6 d-f) in simulation D1 than in D4. At the inversion
 358 base, where $F_{\theta'_{lv}}$ reaches its maximum, the small-scale fluctuations in the coarse simulation
 359 are more energetic still (figs. 6 g-i).

360 The excess variance in inversion-layer q_t is initially almost ephemeral: Fig. 6 g) shows
 361 that the inversion-layer moisture field is dominated by its largest scales (wavenumbers
 362 smaller than k_m), which remain unaffected by the restart. In contrast, the variance in
 363 both w and θ_{lv} peaks at wavenumbers commensurate with the boundary layer height of
 364 $O(1000)$ m, and retains a non-negligible contribution from a long range of scales smaller
 365 than that, especially in the cloud and inversion layers. In our coarse simulations, it is
 366 the excess small-scale w' and θ'_{lv} in these two layers that through eq. 25 provide the vari-
 367 ance that underpins the stronger $F_{\theta'_{lv}}$ and subsequent development of q'_t .

368 The spectral variance plateau at the smallest, resolved scales at $z = 1500$ m per-
 369 sists even when $\Delta x = 100$ m, explaining why simulations D7 and M3 ($\Delta x = 50$ m)
 370 self-aggregate over an even longer time scale than simulations D4 and M2 ($\Delta x = 100$
 371 m). In fact, the plateau even persists in the inversion layer at $\Delta x = 50$ m (see fig. S3),
 372 raising questions as to whether the self-aggregation even in those simulations would be

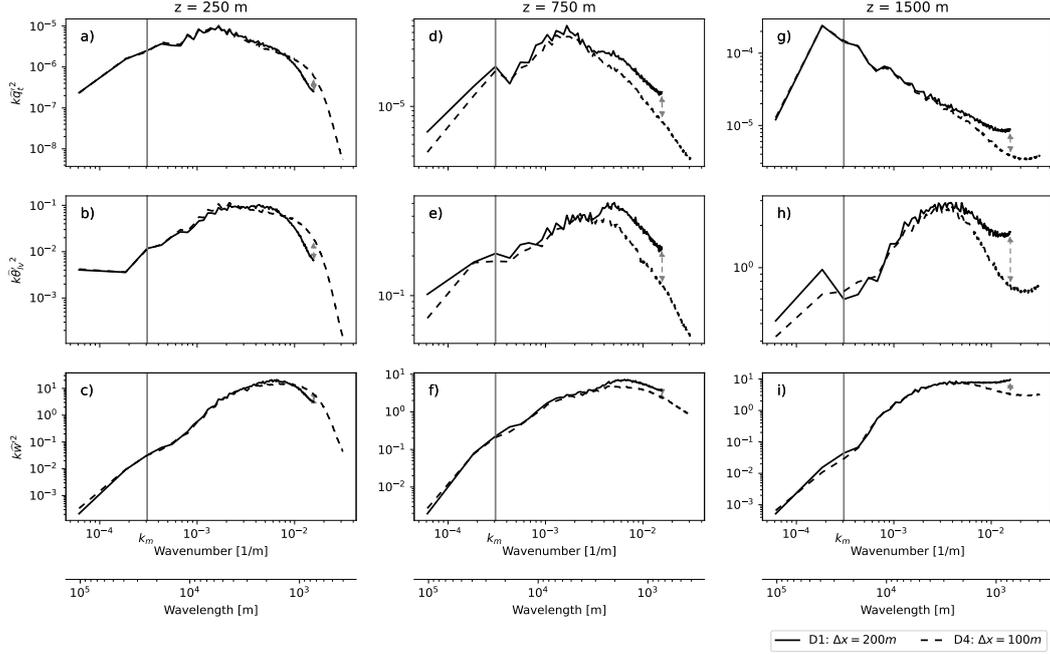


Figure 6. Radial power spectral density of q_t ($k\widehat{q}_t^2$, a, d, g), θ'_{lv} ($k\widehat{\theta}'_{lv^2}$, b, e, h) and w ($k\widehat{w}'^2$, c, f, i) for our 100m simulation (D4) and 200m simulation (D1) restarted from D4, averaged over the first hour after the restart, over x-y cross-sections at 250m (a-c, in middle of sub-cloud layer), 750m (d-f, in cloud layer) and 1500m (g-i, at inversion base). k_m indicates the wavenumber that separates the mesoscales from the sub-mesoscales, according to eq. 10.

373 grid-independent. Simulations with stronger diffusion (D3, D5 nad D6, see fig. S4) dampen
 374 the spectral plateau, and consequently reduce $F_{\theta'_{lv_m}}$ compared to simulation D1 (see fig. 5).

375 So which, if any, of the results above can we trust? It is impossible to answer this
 376 question completely in the absence of observations. However, we believe we may elim-
 377 inate some ambiguity by testing the degree to which the simulations hold up to the fun-
 378 damental LES assumption that our quantities of interest should be independent of SFS
 379 effects. The SFS models employed in DALES and MicroHH assume these effects can rea-
 380 sonably be modelled by diffusion with diffusivity $K_m \sim u''l''$, where u'' and l'' are typ-
 381 ical velocity and length scales of the unresolved motions in the flow. This approxima-
 382 tion can be rationalised if $l'' \sim \Delta$ resides in the inertial subrange of homogeneous, isotropic
 383 turbulence. In the inertial subrange, the mean rate of transfer of turbulent kinetic en-
 384 ergy ϵ from any scale to a smaller one is scale-independent, and equal to the rate at which
 385 it is eventually dissipated by molecular diffusion at much smaller scales, ϵ (e.g. Wyn-
 386 gaard, 2010). Therefore, we are satisfied with resolving the larger, energy-containing ed-
 387 dies, characterised by velocity and length scales U and L , respectively, inserting Δ in the
 388 inertial subrange, and employing a diffusive SFS model that we only ask to model ϵ cor-
 389 rectly. If it does, a necessary requirement is that ϵ is independent of Δ , and thus of our
 390 grid spacing (Sullivan & Patton, 2011). Fig. 7 shows that this is not the case; our coarse-
 391 mesh simulations underestimate ϵ with respect to our fine-mesh simulations throughout
 392 the cloud layer, and this underdissipation accelerates the observed length scale growth
 393 (fig. S5 paints the same picture for our MicroHH simulations). We are either making mis-
 394 takes within our model for ϵ at $\Delta x \in [100, 200]$ m, or must concede that these grid spac-
 395 ings are simply too coarse to reside in the inertial subrange.

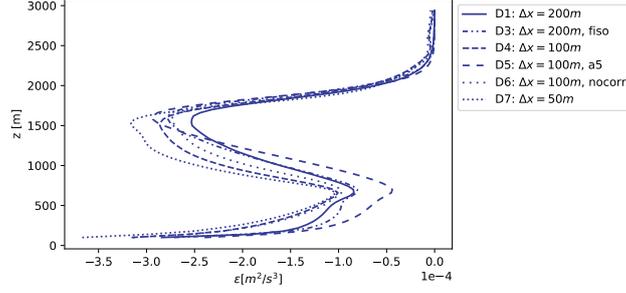


Figure 7. Profiles of dissipation ε of resolved turbulent kinetic energy e , averaged between 12-14 hr, for numerical configurations indicated by the line styles, in simulations run by DALES.

396 Several pieces of evidence assign a high likelihood to the second of these options
 397 holding some truth. First, let us attempt to account for our anisotropic grid, which makes
 398 us underestimate Δ in the horizontal direction. It is in principle possible that the insuf-
 399 ficient dissipation we observe stems from our abuse of this length scale. However, set-
 400 ting $\Delta = \Delta x$ according to Deardorff (1980)’s original proposition (simulation D3) still
 401 underestimates the dissipation with respect to higher-resolution simulations, even though
 402 it strongly overestimates the vertical component of this length scale relative to the ver-
 403 tical grid spacing. It is thus unlikely that our grid anisotropy alone is responsible for un-
 404 derestimating ε . Second, our empirical stability corrections might over-ambitiously di-
 405 minish the eddy diffusivities in stratified regions. This too could explain the excess small-
 406 scale variance, as it rises as the stratification increases through the cloud and inversion
 407 layers. Yet, switching off the stability correction entirely (simulation D6) only slightly
 408 reduces the small-scale variance, and does not measurably influence the evolution. There-
 409 fore, it is also unlikely that stability corrections are at the root of the problem. Third,
 410 the underestimation of dissipation is consistent across two independent LES codes with
 411 different thermodynamics and SFS models, and is thus unlikely related to individual model
 412 details. Finally, we remark that our resolutions may simply be too low to allow a proper
 413 turbulent flow to develop on the resolved scales. If we had such a flow, its large-eddy Reynolds
 414 number $Re_L \gg 1$. Following Wyngaard (1984),

$$Re_L = \frac{UL}{K_m} \sim \frac{UL}{u''\Delta} \sim \frac{UL}{\varepsilon^{\frac{1}{3}}\Delta^{\frac{4}{3}}} \sim \left(\frac{L}{\Delta}\right)^{\frac{4}{3}}, \quad (26)$$

415 if $\varepsilon \sim U^3/L \sim u''^3/\Delta$, which holds if Δ resides in the inertial subrange (Tennekes &
 416 Lumley, 1972). In our simulations, $L \sim 1000$ m, and we attain $Re_L \sim 10$ for $\Delta x \in$
 417 $[100, 200]$ m; this number is even lower for simulations with the $O(5)$ advection scheme,
 418 whose effective resolution is approximately $6\Delta x$ (Bryan et al., 2003). Simulations of or-
 419 ganised, deep convection indicate that $Re_L \sim 10^2$ may be necessary for the flow to en-
 420 ter a regime where its statistics no longer scale with Re_L (Bryan et al., 2003); the same
 421 seems necessary for certain shallow cumulus cases (D. E. Stevens et al., 2002). Thus, grid
 422 spacings at the lower end of what we test here, or even finer, may be required to sim-
 423 ulate organising shallow cumulus in LES, and any subsequent transition to deep, organ-
 424 ised convection, unless SFS models are employed that do not rely on Δ residing in the
 425 inertial subrange.

6 Discussion

426
 427 We find that the numerical representation of fluctuations in buoyancy and verti-
 428 cal velocity in shallow cumuli at scales smaller than 1 km have the potential to propa-

429 gate into significant differences in the moisture field at scales up to the 100 km domain
 430 sizes simulated here. We draw attention to a few implications for the modelling of trop-
 431 ical convection.

432 First, it is worthwhile to place these results in the context of early LES model inter-
 433 comparisons. In the BOMEX intercomparison (Siebesma et al., 2003), small-domain
 434 LES models agreed well with each other at the resolutions considered here. It proved much
 435 harder to achieve similar agreement for shallow cumulus under strong inversions, such
 436 as those that develop in conditions sampled during the Atlantic Tradewind Experiment
 437 (ATEX) (B. Stevens et al., 2001). It is precisely in the inversion, where the energy-containing
 438 turbulent length scales shrink below the boundary layer’s depth (e.g. Mellado et al., 2014,
 439 2017), that we find both the key to circulation-driven self-aggregation, and our SFS mod-
 440 els lacking. Given the tight coupling between the fluxes that grow the cumulus layer (B. Stevens,
 441 2007) and those that lead to its self-aggregation (Janssens et al., 2022), we wonder whether
 442 our results simply give the historical context of the ATEX intercomparison a new per-
 443 spective: It is perhaps simply too ambitious to simulate large-scale cloud structures that
 444 depend so strongly on inversion-layer dynamics at resolutions tractable for large-eddy
 445 simulations.

446 In particular, our results suggest why the structures termed “flowers” by B. Stevens
 447 et al. (2020) are inadequately captured in simulations of even coarser resolution than con-
 448 sidered here (Schulz, 2021): They may just run an overly dissipative combination of ad-
 449 vection scheme and unresolved scales model. The results also indicate that small-scales
 450 driven development of mesoscale scalar variance poses a fitting and challenging test case
 451 for the development of better parameterisations in the convective gray zone, such as those
 452 discussed in (Honnert et al., 2020), and ultimately to the development of the next gen-
 453 eration of cumulus parameterisations in global models, which are unable to adequately
 454 estimate the contribution from the trades towards the equilibrium climate sensitivity (Myers
 455 et al., 2021; Cesana & Del Genio, 2021). At minimum, our results suggest that it is pru-
 456 dent for modelling studies of the spontaneous development of mesoscale shallow cloud
 457 patterns to incorporate an assessment of their degree of grid convergence. Concretely,
 458 we recommend to always assess the resolution sensitivity of one’s quantities of interest,
 459 e.g. $\langle q'_{t_m} \rangle$, and of our indicators of mesoscale variance production, e.g. $F'_{\theta'_{t_m}}$ or $\tau_{q'_{t_m}}$. If
 460 such sensitivities are found, inversion-layer w or heat spectra may offer insight into the
 461 sensitivity’s origins.

462 We pose our recommendations on the basis of simulations with minimal physics.
 463 Therefore, it may not be immediately obvious why our results should be of interest to
 464 situations where radiation, precipitation or strong boundary forcings prevail over the moist
 465 convection. Yet, simulations of such situations often first appear to require non-precipitating
 466 cumulus to aggregate sufficient amounts of moisture into moist mesoscale regions before
 467 developing stratiform cloud layers and cold pools (Bretherton & Blossey, 2017; Naren-
 468 pitak et al., 2021), which may then modulate the mesoscale dynamics (Vogel et al., 2016;
 469 Anurose et al., 2020). Additionally, the microphysical parameterisations upon which such
 470 precipitation-driven mechanisms rely typically exhibit even larger model biases than the
 471 turbulence-parameterisations discussed here (e.g. van Zanten et al., 2011). If such pa-
 472 rameterisations are not even driven by the right model dynamics, they can also not be
 473 expected to return realistic precipitation and cold pools. Exactly how large error prop-
 474 agation from dynamics-to-physics modules is for self-organising cumulus convection re-
 475 mains largely unquantified; appraising and amending such estimates is therefore a worth-
 476 while topic of future research.

477 Finally, we return to the matter of self-aggregation in simulations of radiative-convective
 478 equilibrium discussed in the introduction. Our coarsest two simulations (D1 and M1)
 479 develop deep convective clouds on top of their mesoscale moist regions, displaying some
 480 form of radiation- and precipitation-less, deep convective self-aggregation. We do not ar-
 481 gue that these clouds are physical. Yet, their development does open a potential path

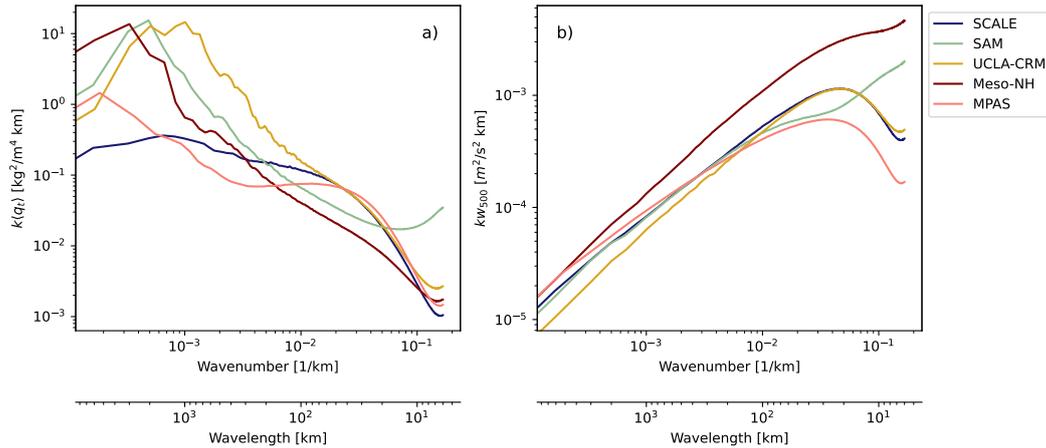


Figure 8. Power-spectral densities of $\langle q_t \rangle$ (a) and w_{500} (vertical velocity at 500 hPa, b) of five participating models in the RCE Model Intercomparison Project (RCEMIP), in the RCE-large configuration detailed by Wing et al. (2018), over a sea surface at 300K and averaged over the last 50 days of simulation. Simulations with more energetic small-scale vertical velocity fluctuations contain more variance in their largest scales of moisture.

482 between the convective feedback in the shallow convection discussed here and the shal-
 483 low circulations that underlie deep convective self-aggregation. Therefore, our results may
 484 contribute to explain why numerical models set up on the same numerical domain, but
 485 with different advection schemes and SFS models, self-aggregate so differently in RCE
 486 (Wing et al., 2020). Running with grid spacings exceeding 1 km - i.e. a factor five greater
 487 than the coarsest grids used here - these simulations may simply dissipate energy from
 488 their oft-parameterised shallow convection at different rates and thus support highly vari-
 489 able circulation strengths and self-aggregation time scales (Shamekh et al., 2020). The
 490 spectra of vertically integrated water vapour and vertical velocity of several simulations
 491 that participate in Wing et al. (2020) bear these hallmarks (fig. 8). More study of choices
 492 in discretisation and unresolved scales schemes, and the resulting interaction of numer-
 493 ical and modelling errors with the resolved dynamics in cloud-resolving models of RCE
 494 is warranted.

495 7 Summary

496 In pursuit of understanding why and when idealised models of tropical convection
 497 self-aggregate, we have studied the sensitivity to numerical settings of self-aggregating
 498 shallow cumulus convection. In idealised large-eddy simulations with a homogeneous sur-
 499 face forcing and no radiation or precipitation models, spontaneous aggregation is facil-
 500 itated by a pure, convective instability: Small fluctuations in latent heating in shallow
 501 cumulus clouds prompt mesoscale circulations which transport moisture from dry to moist
 502 columns, resulting in aggregated patches of cumulus clouds which release more latent
 503 heat and strengthen the circulations.

504 The instability represents a pathway for sub-mesoscale, turbulent fluxes of heat and
 505 moisture in kilometre-scale cumulus clouds to control the moisture variability at scales
 506 up to two orders of magnitude larger. Therefore, modellers must take great care when
 507 trying to represent the underlying, turbulent dynamics in LES or cloud-resolving mod-
 508 els: We find that the time scale of the instability is highly sensitive to differences in grid
 509 spacing and advection scheme, over a range of rather conventional choices for LES mod-

510 elling of shallow cumulus (fig. 4); even at $\Delta x = 50$ m grid spacings, we find two LES
 511 codes with different SFS models to aggregate at rather different time scales. Given the
 512 potential role played by shallow convection in developing and maintaining deep convec-
 513 tive self-aggregation, we wonder whether similar differences in how cloud-resolving mod-
 514 els represent the effects of shallow convection matter in explaining the abundance of ag-
 515 gregation varieties observed in simulations of deep convection in RCE.

516 Our results call for a thorough analysis of the degree to which models of shallow
 517 convective self-aggregation match reality, a question which has remained elusive for stud-
 518 ies of their deep-convective counterparts (Muller et al., 2022). A good start in this di-
 519 rection is offered by simulations of the EUREC⁴A field campaign (Narenpitak et al., 2021;
 520 Saffin et al., 2022), which exhibit circulation-driven moisture aggregation in more real-
 521 istic settings, and which compare favourably to the campaign’s observations. In fact, these
 522 observations include sufficiently detailed observations of mesoscale circulations (George
 523 et al., 2021) that the data required to reconcile models and nature may be in hand, bod-
 524 ing well for our understanding of self-aggregating convection.

525 8 Open Research

526 Frozen images of the versions of DALES and MicroHH used in this study have been
 527 stored at <https://doi.org/10.5281/zenodo.6545655> and <https://doi.org/10.5281/zenodo.822842> respectively. The numerical settings, routines and post-processed sim-
 528 ulation data used to generate the figures presented in the manuscript are available at <https://doi.org/10.5281/zenodo.6772483>. Living repositories for DALES, MicroHH and the
 529 postprocessing scripts are available at <https://github.com/dalessteam/dales>, <https://github.com/microhh/microhh> and <https://github.com/martinjanssens/ppagg>, re-
 530 spectively. Both DALES and MicroHH are released under the GNU General Public Li-
 531 cense v3.0. The standardized RCEMIP data is hosted by the German Climate Comput-
 532 ing Center (DKRZ) and is publicly available at https://www.wdc-climate.de/ui/info?site=RCEMIP_DS.
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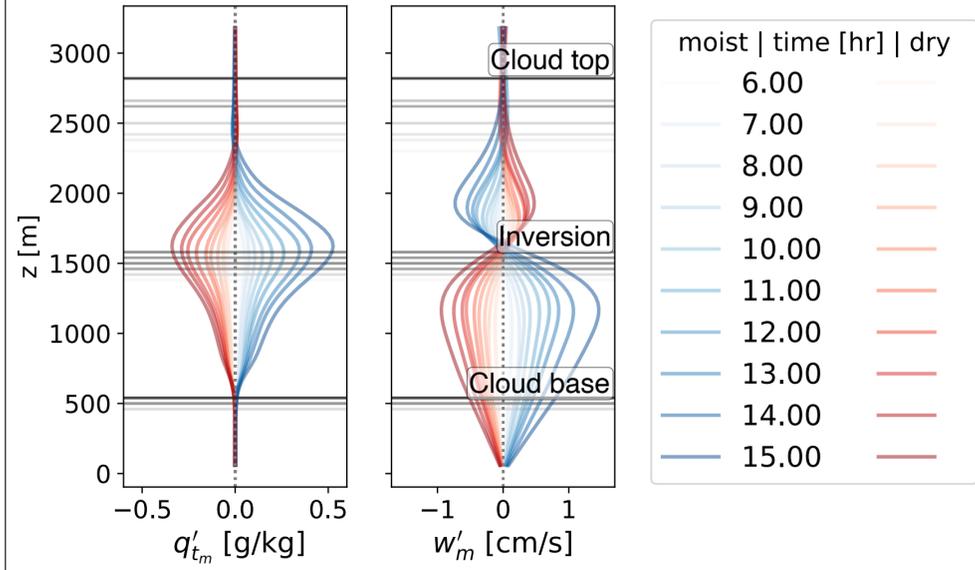
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Figure 1.

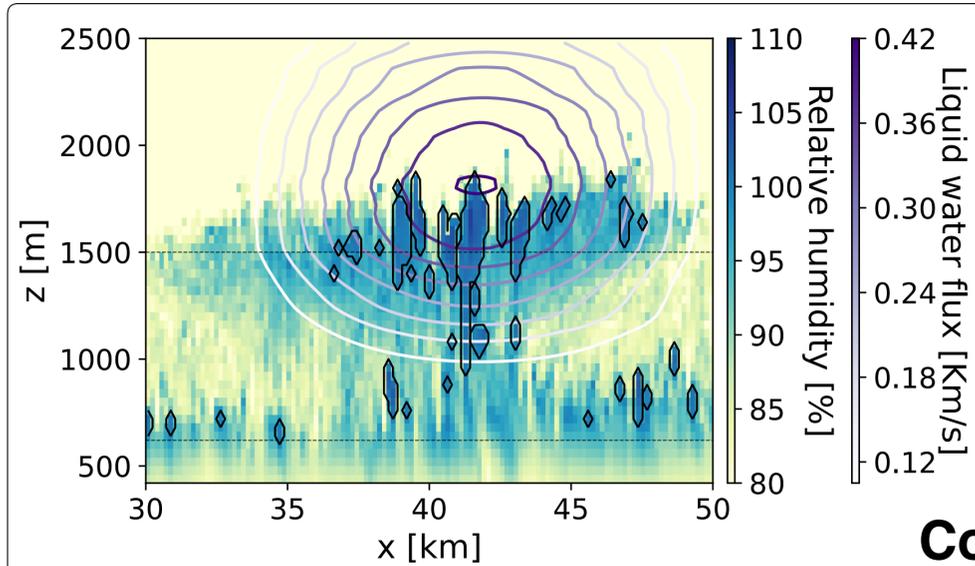
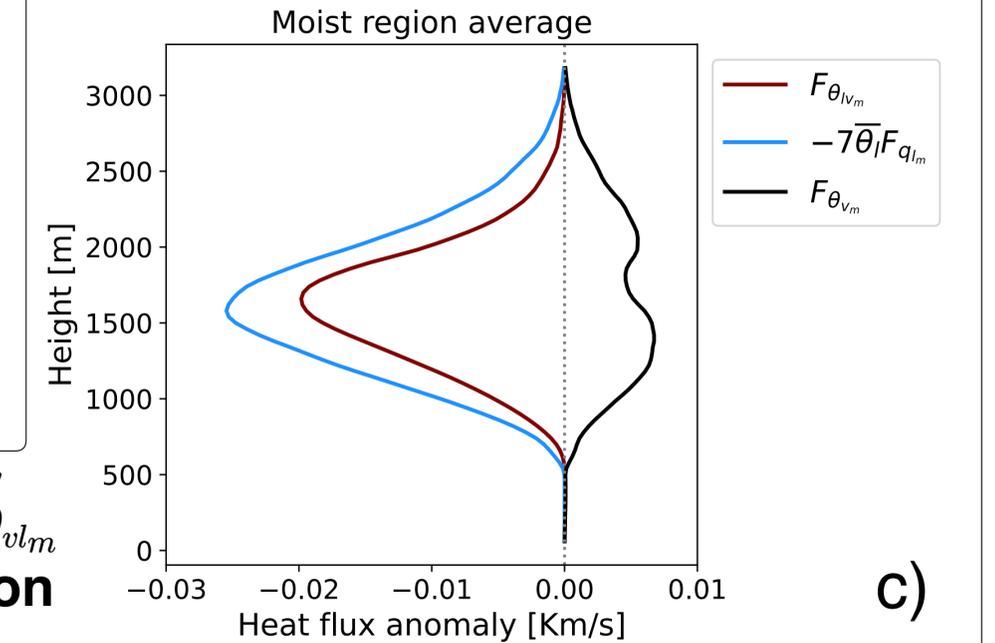
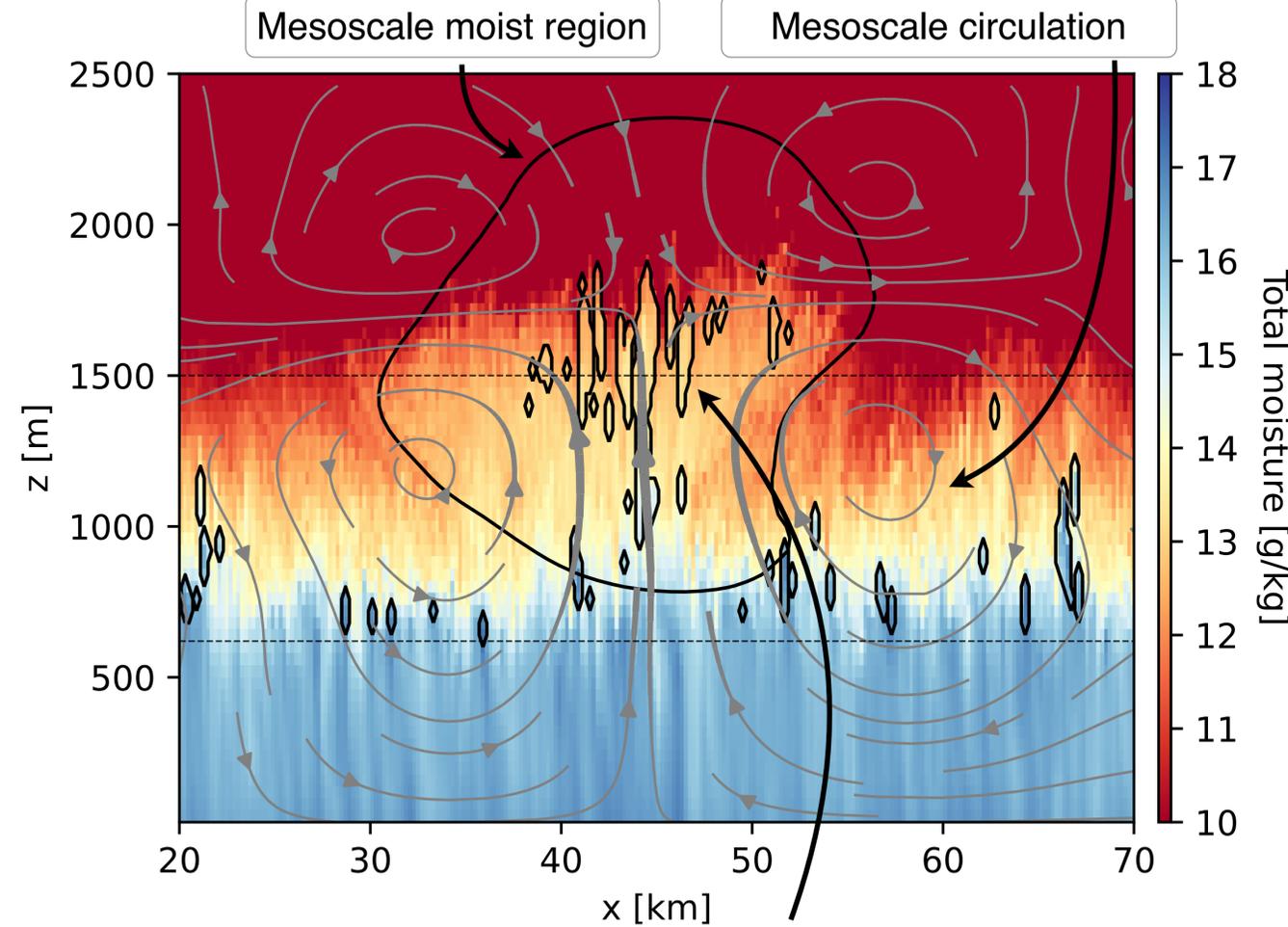
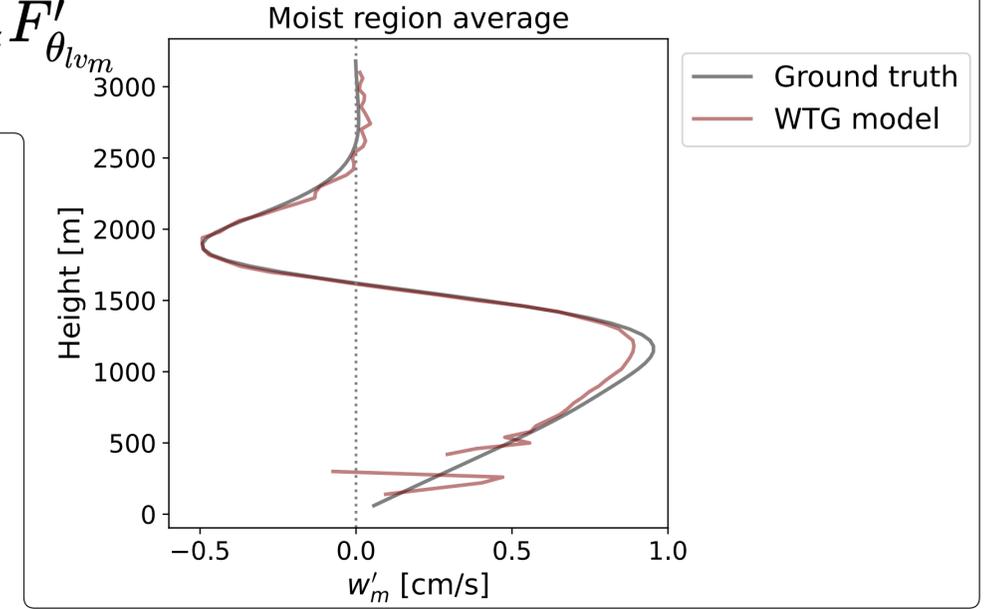
a) Mesoscale moisture fluctuations q'_{t_m} grow in cloud layer on ascending branch of circulations w'_m



$$\partial_t q'_{t_m} \sim -w'_m \Gamma_{q_t}$$

b) Shallow circulations w'_m result directly from heat flux anomalies $F'_{\theta_{lv_m}}$, assuming weak temperature gradients

$$\partial_t \theta'_{lv_m} \approx -w'_m \Gamma_{\theta_{lv}} - \partial_z F'_{\theta_{lv_m}} \approx 0$$



d) Condensation anomalies C'_m result from mesoscale moisture fluctuations q'_{t_m}

$$C'_m \propto q'_{t_m}$$

Heat flux anomalies $F'_{\theta_{vl_m}}$ result from condensation anomalies C'_m in clouds at small scales

$$C'_m \approx \partial_z F'_{q_{lm}} ; F'_{\theta_{vl_m}} \approx -7\bar{\theta}_l F'_{q_{lm}}$$

c)

Figure 2.

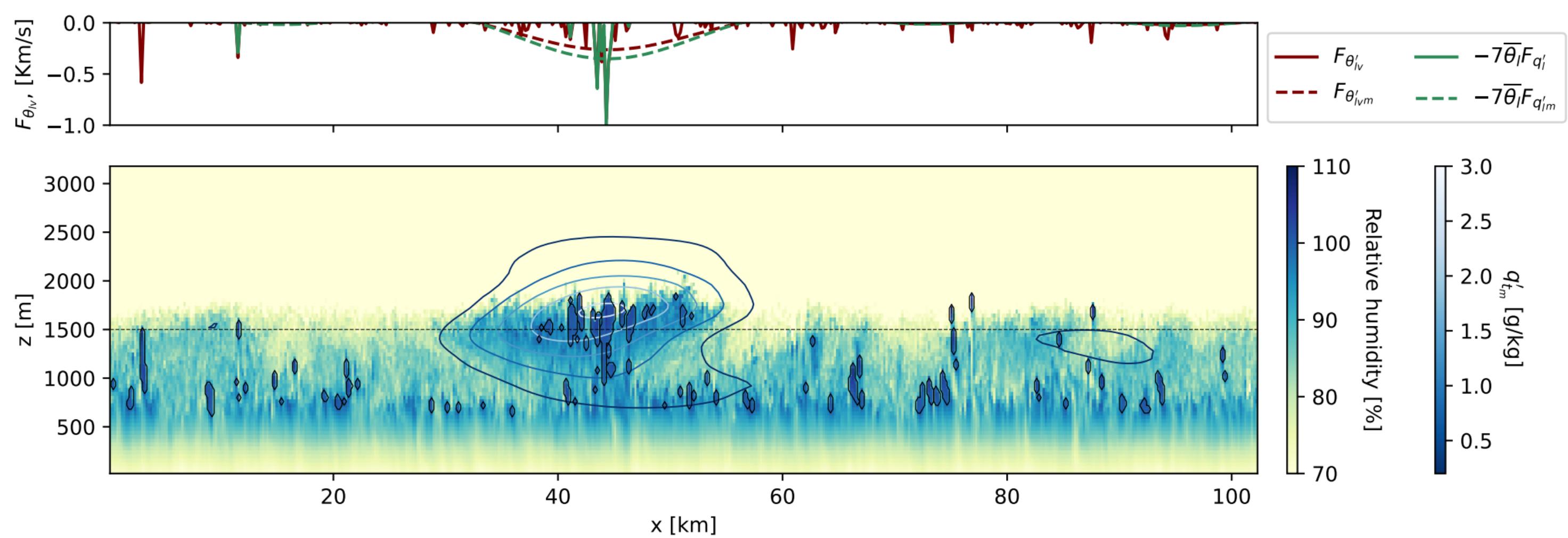
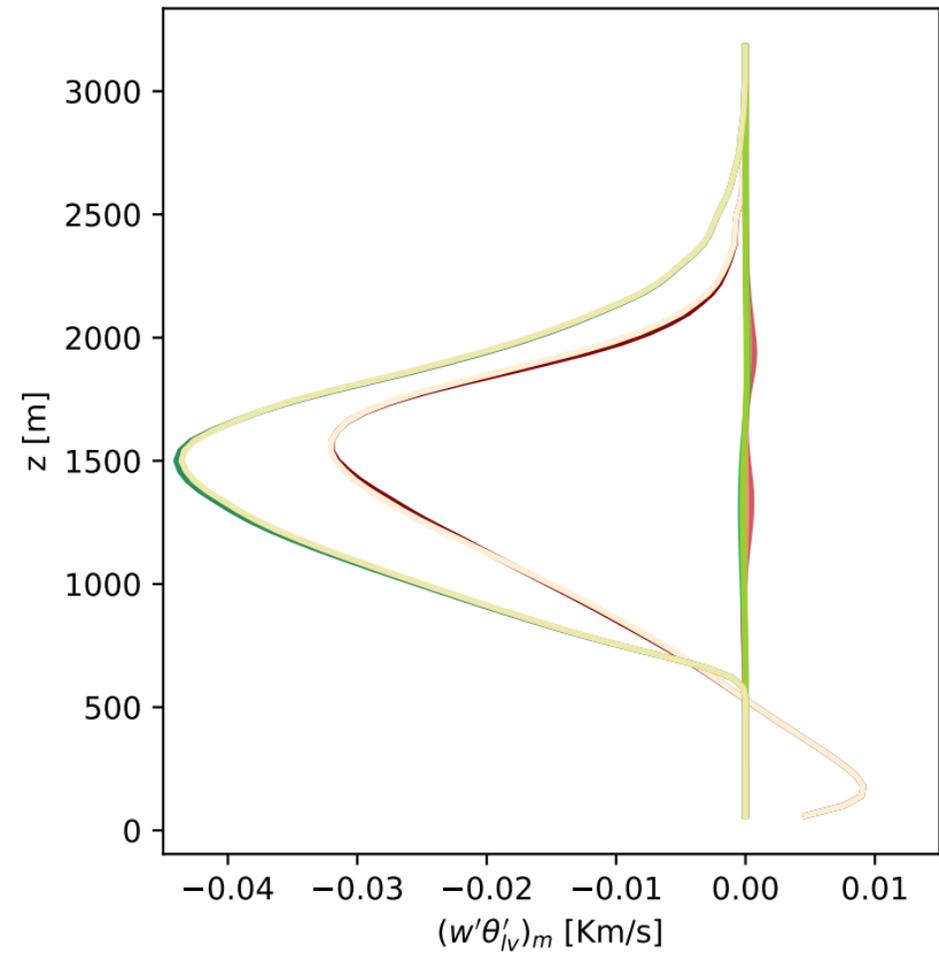


Figure 3.

Moist



Dry

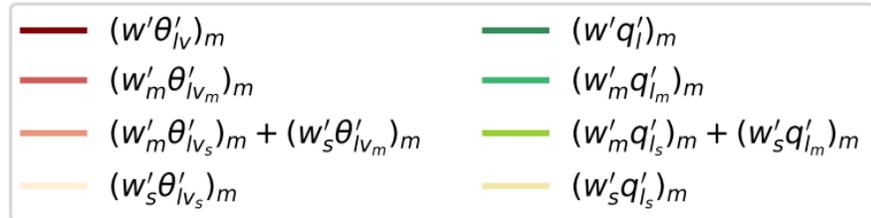
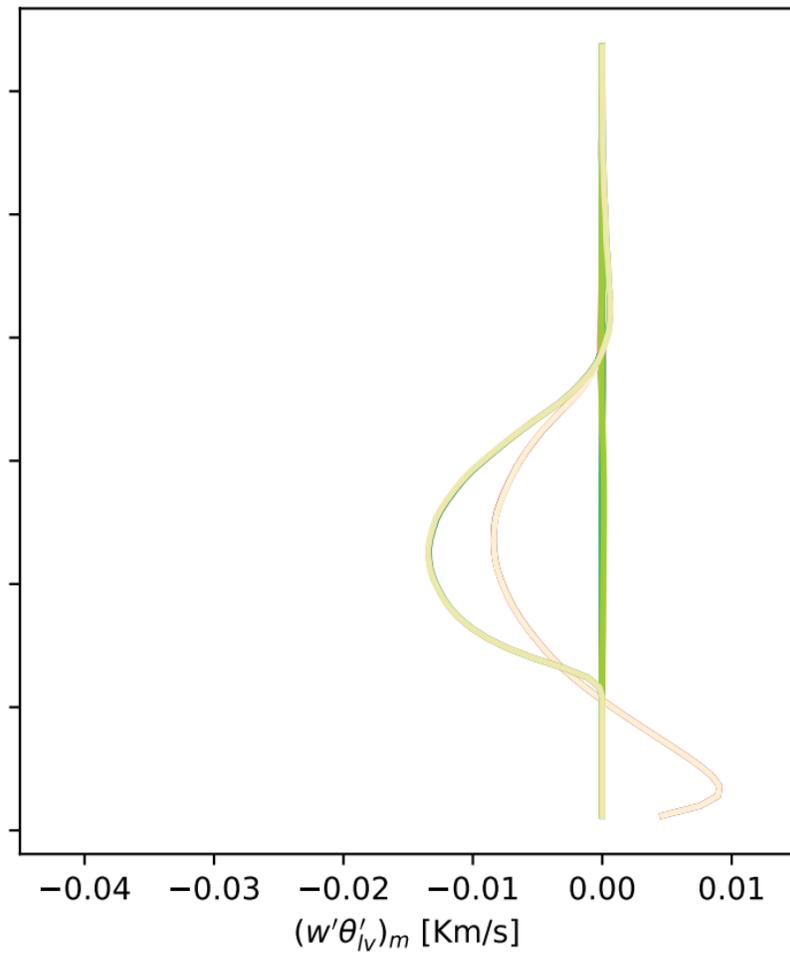


Figure 4.

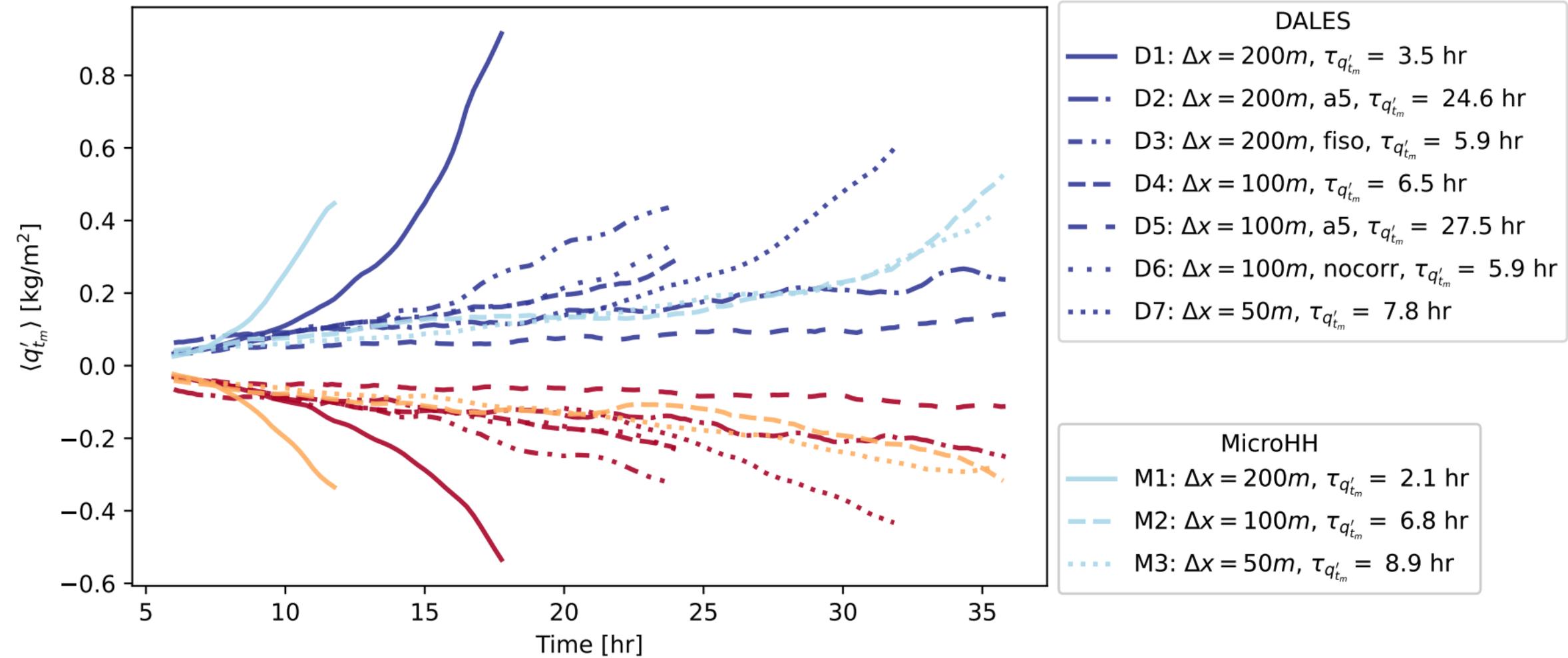


Figure 5.

Figure 6.

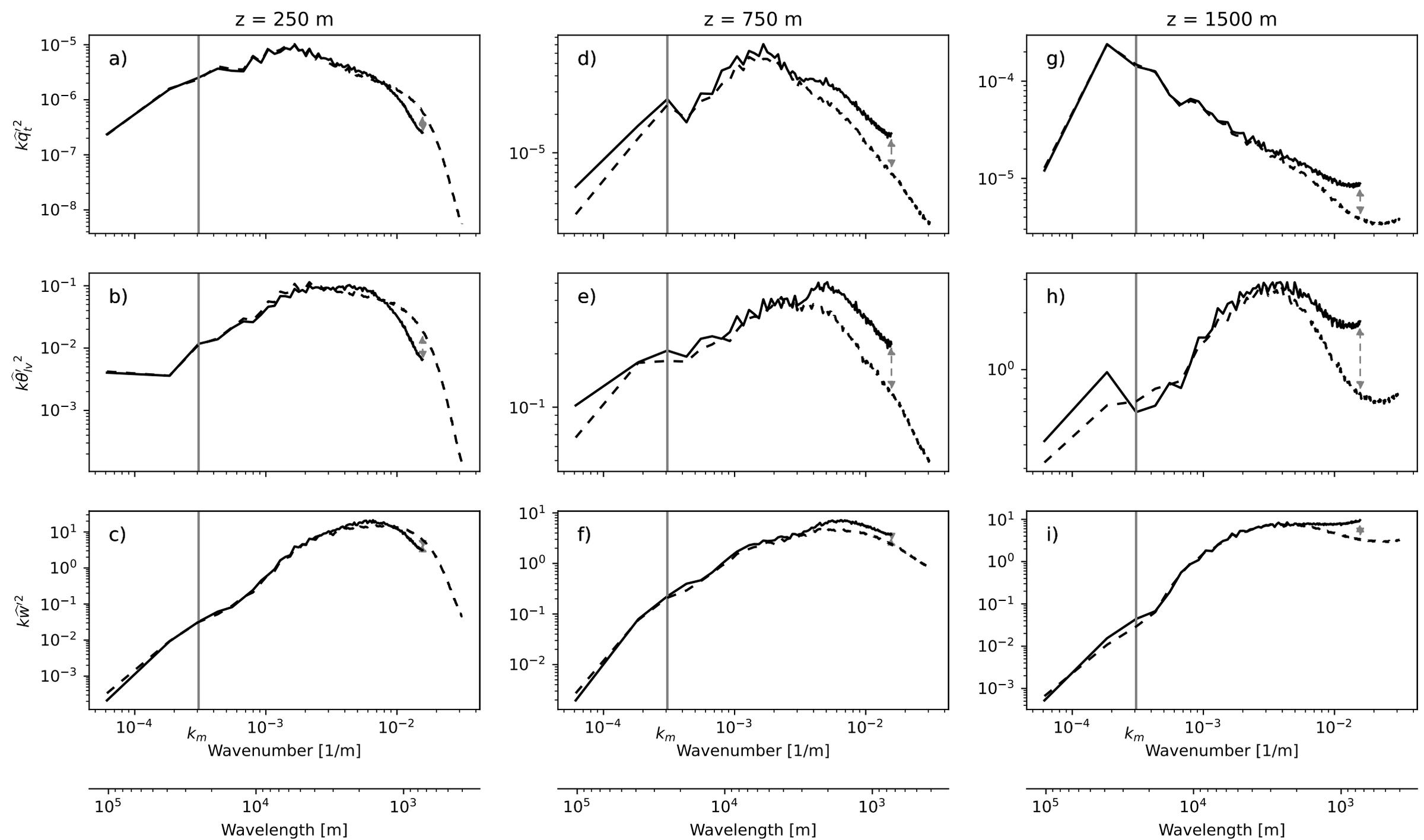


Figure 7.

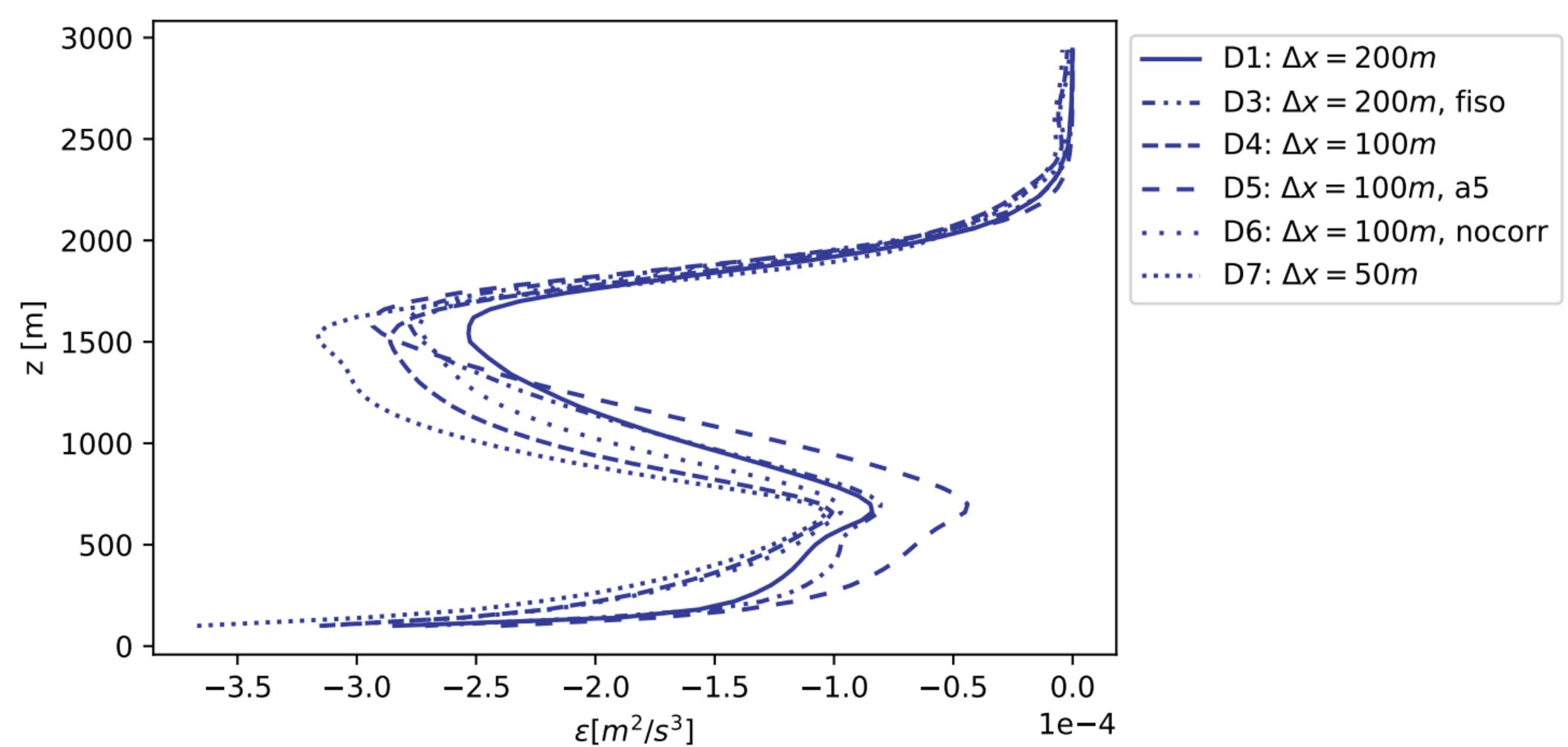


Figure 8.

