

1 A simultaneous observation of lightning by ASIM,  
2 Colombia-Lightning Mapping Array, GLM and ISS-LIS

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22  
23 Key points:

- 24  
25 1. Features of luminosity from a lightning flash detected by ASIM, GLM and LIS  
26 are related with leader development and cloud properties.  
27 2. Surges in 777.4 nm luminosity are associated with return stroke currents,  
28 continuing currents, recoil leaders and leader branching.  
29 3. Altitude of lightning leaders, cloud particles above lightning channels as well  
30 channel luminosity influence the attenuation of light.

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36 **Abstract**

38

39 The Atmosphere-Space Interactions Monitor (ASIM) on the International Space Station  
40 (ISS) provides optical radiances and images of lightning flashes in several spectral bands.  
41 This work presents a lightning flash simultaneously observed from space by ASIM, the  
42 Geostationary Lightning Mapper (GLM) and the Lightning Imaging Sensor on the  
43 International Space Station (ISS-LIS); and from ground by the Colombia Lightning  
44 Mapping Array (Colombia-LMA). Volumetric weather radar provides reflectivity data to  
45 help to interpret the effects of the cloud particles on the observed optical features. We  
46 found that surges in radiance in the band at 777.4 nm, appear to be related mostly with  
47 lightning processes involving currents as well with branching of lightning leaders with  
48 new leader development. In cloud areas with reflectivity <18 dBZ above the lightning  
49 leader channels at altitudes >7 km, these have been imaged by ASIM and GLM. But in  
50 the region with reflectivity <23 dBZ, despite its lower cloud tops and similar altitudes of  
51 lightning channels, these have been almost undetectable. The estimated relative optical  
52 depth results consistent with the observed optical features at the different locations of the  
53 flash. Despite of the effects of the cloud particles and the altitude of the lightning channels  
54 on the attenuation of the luminosity, the luminosity of the lightning channels due to  
55 different processes is fundamental for the imaging of lightning from space.

56

## 57 **1. Introduction**

58

59 The Atmosphere-Space Interactions Monitor (ASIM) on the International Space Station  
60 (ISS) consists of a suite of optical instrument (MMIA) and x- and gamma-ray detectors  
61 (MXGS) for measuring lightning, Transient Luminous Events (TLEs) and Terrestrial  
62 Gamma-ray Flashes (TGFs) (e.g. *Chanrion et al.*, 2019; *Neubert et al.*, 2019). MMIA is  
63 equipped with three photometers at 180-230 nm, 337.0 nm (4 nm bandwidth) and 777.4  
64 nm (5 nm bandwidth) spectral bands plus two one-megapixel cameras at 337.0 nm (5 nm  
65 bandwidth) and 777.4 nm (3 nm bandwidth). The objective of the 337.0 nm (blue) and  
66 777.4 nm (red) instruments is to quantify optical energy leaving out from the top of the  
67 clouds and to provide images of lightning events. The selected far UV (180-230 nm)  
68 allows to discriminate the occurrence of a TLE in the higher atmosphere since the optical  
69 emissions in this band coming from tropopause level (e.g. from lightning) would be  
70 highly attenuated.

71

72 In the near future, much of the Earth's lightning activity will be continuously monitored  
73 from space by lightning imagers placed in geostationary orbit, thereby opening a new era  
74 of weather monitoring and prediction, and of research into the role of thunderstorm  
75 processes in the dynamics of the atmosphere and in climate change. The Geostationary  
76 Lightning Mapper (GLM) on the first of the Geostationary Operational Environmental  
77 Satellite GOES-R Series (GOES-16 at 75.2W) is the first lightning detector in  
78 geostationary orbit (*Goodman et al.*, 2013; *Rudlosky et al.*, 2019ab). GLM is based on its  
79 predecessors, the Optical Transient Detector (OTD) and Lightning Imaging Sensor (LIS)  
80 (*Christian et al.*, 1989). In China, the lightning imager on the Feng-Yun4 is detecting  
81 lightning in Asia (*Yang et al.*, 2017) and in the near future, Europe and Africa will be  
82 continuously monitored by the Lightning Imager (LI) on of the Meteosat Third  
83 Generation satellite (MTG) (*Stuhlmann et al.*, 2005). All of these systems, new to the  
84 geostationary orbit, use optical imagers at the narrow spectral line at the 777.4 nm infrared  
85 emission of atomic oxygen that is associated with hot lightning channels. Because ASIM  
86 instruments provide higher temporal and spatial resolution of optical activity in the clouds  
87 with additional spectral bands, ASIM then offers an opportunity to explore in more detail  
88 the performance of the lightning imagers in geostationary orbit (e.g. *van der Velde et al.*,  
89 2020). The comparison of data from ASIM in the low-Earth-orbit of the ISS (~400 km,  
90 51.6° inclination) to the geostationary instruments is facilitated by a LIS instrument, also  
91 on the ISS (*Blakeslee et al.*, 2020).

92  
93 The optical emission from lightning that escapes from a cloud is highly affected by  
94 scattering and absorption of photons by cloud particles which reduce the signal intensity,  
95 and broadens emissions in space and time (e.g. *Thomason and Krider*, 1982; *Peterson*,  
96 2019; *Thomason and Krider*, 1982; *Koshak et al.*, 1994; *Light et al.*, 2001; *Peterson*, 2014;  
97 *Luque et al.*, 2019; *Brunner and Bitzer*, 2020). This effect has been the subject of several  
98 studies that compare detection from space with data from lightning detection systems at  
99 ground. In particular, the measurements of Lightning Mapping Array (LMA) systems  
100 (*Rison et al.*, 1999) has been useful because they provide 3D reconstructions of lightning  
101 leader development inside the clouds. The early comparisons between LIS and LMA  
102 (*Thomas et al.*, 2000) showed that most of the detected optical events were associated  
103 with lightning channels at the upper part of the storms. In the cases of cloud-to-ground  
104 (CG) flashes confined to mid and lower altitudes were less detected. In addition, the  
105 strongest light emissions were identified to be related with impulsive high current events  
106 from recoil leader activity. Most of the works comparing space-based optical detections

107 and LMA flash data focused on the evaluation of the detection efficiency (e.g. *Montanyà*  
108 *et al.* 2019; *Erdmann et al.*, 2020; *Zhang and Cummins*, 2020). In these works, only  
109 lightning is considered but not the microphysical characteristics of the clouds in which  
110 lightning flashes are immersed and affect to the propagation of light. Recently, *Rutledge*  
111 *et al.* (2020) has incorporated radar data together with the LMA to evaluate GLM. In this  
112 work it has been identified that GLM detection efficiency depends on the lightning flash  
113 geometric size, in agreement with *Zhang and Cummins* (2020), and the cloud water path.  
114 The size of the flash was found to be correlated with the optical brightness whereas the  
115 cloud water path was related to the optical extinction. The cloud water path, in turn,  
116 depends on the height of the flash that was derived from LMA clustered data and the  
117 cloud water content. Using radar, the mean precipitation-sized hydrometeor ice water  
118 paths were determined but, with S-band radars, cloud particles that cannot be detected.  
119 The authors pointed that despite the small surface areas of cloud particles compared to  
120 precipitation-sized ones, their greater concentrations can provide more attenuation of  
121 light optical energy. In this work we compliment the previously introduced works by  
122 relating lightning processes and cloud properties in a LMA flash case observed with the  
123 high resolution ASIM photometers and cameras.

124

125 This study presents the first lightning flash occurred in a location with simultaneous  
126 coverage by the optical photometers and cameras of ASIM, the Colombia-LMA, the  
127 GOES-16 Advanced Baseline Imager (ABI), weather radar data, the GLM and the ISS-  
128 LIS. The flash occurred on November 22, 2018 at 08:57:21.4413 UT. We analyze the  
129 measurements of the ASIM, GLM and ISS-LIS instruments relative to the lightning  
130 propagation detected by the LMA and the influence of cloud properties estimated from  
131 weather radar data.

132

## 133 **2. Data**

134

135 As ground support for the ASIM mission, a group at the Polytechnic University of  
136 Catalonia (UPC) installed one LMA in the Ebro river delta in North-Eastern Spain and  
137 another in Colombia (*van der Velde and Montanyà*, 2013; *López et al.*, 2019). At the time  
138 of the event, the Colombia-LMA was composed of 6 stations close to the city of  
139 Barrancabermeja ( $\sim 7^{\circ}\text{N}$ ,  $73.85^{\circ}\text{W}$ ). The LMA system detects sources of radio emissions  
140 in the very high frequency range (VHF, 30-300 MHz) that originate from the breakdown  
141 processes related to the propagation of lightning leaders. The sources are located in three

142 dimensions using the time-of-arrival technique. Detailed information about the LMA can  
143 be found in *Rison et al. (1999)* and *Thomas et al. (2004)*.

144

145 The Modular Multispectral Imaging Array (MMIA) optical sensors of ASIM are three  
146 photometers at 180-230 nm (UV), 337.0 nm (4 nm bandwidth) (hereafter the blue signal)  
147 and 777.4 nm (5 nm bandwidth) (hereafter the red signal) at 10 microsecond resolution,  
148 and two cameras with 1000x1000 pixels and 12 frames per second at 337.0 nm (5 nm  
149 bandwidth) and 777.4 nm (3 nm bandwidth) with 400 m resolution towards nadir. The  
150 field of view of the instruments is square 80° diagonal, except for the UV photometer,  
151 which is circular with a diameter at 80°. A more detailed description of the instruments is  
152 found in *Chanrion et al. (2019)*.

153

154 The Geostationary Lightning Mapper (GLM) and the Lightning Imaging Sensor (ISS-  
155 LIS) provide locations of the sources (events) of luminosity for the investigated lightning  
156 flash with 2 ms resolution (*GOES-R Algorithm Working Group and GOES-R Series*  
157 *Program, 2018*). The minimum pixel footprint of GLM and ISS-LIS imagers are 8 km  
158 and 4 km, respectively. Radiance at the measured 777.4 nm narrow band for each event  
159 is provided.

160

161 Cloud-to-ground (CG) lightning locations and peak currents are provided by the  
162 Keraunos SAS LINET type lightning network in Colombia (*Betz et al., 2009; Aranguren*  
163 *et al., 2017*) and by the World-Wide Lightning Location Network (WLLN, e.g. *Rodger*  
164 *et al., 2006*). Additionally, ELF magnetic fields (<0.01 to 300 Hz) measured by the UPC  
165 Schumann resonance station in Cape Verde (16.73°N, 22.93°W) are used to identify the  
166 presence of continuing currents for those transient events superimposed over the  
167 continuous Schumann resonance background (e.g. *Boccippio et al., 1995; Burke and*  
168 *Jones, 1996; Huang et al., 1999*).

169

170 Finally, radar reflectivity (Z) products are provided by the dual polarization C-band  
171 Doppler weather radar located in Barrancabermeja of the Colombian Instituto de  
172 Hidrología, Meteorología y Estudios Ambientales (IDEAM). It makes volumetric  
173 measurements every 8 minutes with 6 elevations and a gate resolution of 100 meters  
174 *Cáceres (2017)*. Although the radar scan strategy was configured to sample the  
175 precipitation, the highest 2 elevations were able to measure heights from 8 to 17 km for  
176 distances from 30 to 67 km where the investigated flash occurred. The derived products

177 used in this study are the maximum  $Z - Z_{\max}$ , which corresponds to the maximum  $Z$   
178 observed in the column at the gate position, and the contoured-frequency-altitude diagram  
179 (CFAD) of  $Z$  along the corresponding locations of lightning leaders during ASIM video  
180 frames. The CFADs (*Yuter and Houze, 1995*) provide information on changes in the  
181 vertical distribution of radar reflectivity that help to identify the cloud depth and  
182 hydrometeors types above the altitude of the lightning leaders. For instance, narrow  
183 distributions with height imply homogenous precipitating sized particles, while bi-modal  
184 or broad distributions mean different types or size of hydrometeors.

185

### 186 **3. Results**

187

188 On November 22<sup>nd</sup> 2018, a lightning flash occurred at 08:57:21.4413 UT near  
189 Barrancabermeja (Colombia) at  $\sim 7.4^\circ$  latitude and  $-73.85^\circ$  longitude (Figure 1). The flash  
190 was in a cell of a large nighttime thunderstorm system within the coverage of the  
191 Colombia LMA in Barrancabermeja and ranging from 36 to 67 km from the radar. The  
192 initial part of the flash was outside the field of view of the MMIA sensors (white markers  
193 in the south-east view in Figure 1a and b) in a region with cloud top temperatures between  
194  $-73^\circ\text{C}$  and  $-75^\circ\text{C}$ , corresponding to heights of  $\sim 14.5$  km according to ERA-INTERIM  
195 reanalysis (*Dee et al., 2011*). The part of the flash observed by ASIM (Figure 1c and black  
196 filled markers in Figure 1a and b) mostly developed in a less deep region with warmer  
197 cloud top temperatures of  $-66^\circ\text{C}$  to  $-68^\circ\text{C}$  ( $\sim 13.5$  km). For later analysis, the five regions  
198 (boxes) depicted in Figure 1-b and 1-c will be used to relate features of optical  
199 observations with lightning processes and cloud properties.

200

#### 201 **3.1 The lightning flash**

202

203 The flash originated at  $7.223^\circ/-73.91^\circ$  in the coldest cloud top. LMA sources in Figure 2  
204 show that the flash initiation (marker a in Figure 2) was at a height of 5 km with a negative  
205 leader propagating upwards at  $\sim 10^5$  m s<sup>-1</sup> up to 11 km. After  $\sim 50$  ms (b), new negative  
206 leaders appeared at the same location as the previous one and expanded simultaneously  
207 to southward and northward directions for 450 ms (b-c). During this period, sources  
208 associated with positive leader breakdown were identified at a lower level,  $\sim 5$  km altitude.  
209 The negative and positive leaders during this first 500 ms (a-c) period of the flash revealed

210 the existence of positive polarity electric charge between 6 to 11 km altitude and negative  
211 electric charge below 5 km.

212 At 441.85 new IC breakdown occurred at the north-end of the flash (c') at the time when  
213 a negative CG (-CG) stroke of -24 kA was detected by LINET and WWLLN. From the  
214 location of the -CG stroke, slow negative leaders ( $<10^5 \text{ m s}^{-1}$ ) expanded radially 5 km for  
215 150 ms (c'-d and LMA sources in Box 1, Figure 1). After this time (d), a fast negative  
216 leader ( $\sim 10^5 \text{ m s}^{-1}$ ) was initiated from this area and propagated towards the east into a  
217 stratiform region for about 175 ms (d-f) and progressively descending from 9 km to 7 km  
218 altitude branching 40 ms (e) before ending (sources in Box 2, Figure 1). After the end of  
219 this leader (f), a positive +CG stroke with 11 kA occurred close to the location (f') of the  
220 earlier -CG stroke. As can be deduced from the presence and characteristics of the ELF  
221 transient waveform, and using a similar optical discrimination criterion as *Bitzer et al.*  
222 (2016), we assume that this +CG produced continuing current. During this continuing  
223 current phase, a fast horizontal negative leader ( $0.5 \cdot 10^6 \text{ m s}^{-1}$ ) at  $< 7 \text{ km}$  extended the  
224 propagation of the flash further east (g-h). This leader presented two branches extending  
225 simultaneously towards the south-east (f-g and Box3, Figure 1) and to the north (f-h and  
226 Box 4, Figure 1). From the leader at the north, a branch propagated at  $0.5 \cdot 10^6 \text{ m s}^{-1}$  towards  
227 the north-east (h-j and Box 5, Figure 1). The flash ended with the end of this leader  
228 propagation followed by a short-isolated breakdown (k) at the northeast of the two CG  
229 locations.

230

231 In summary, this two-stroke bipolar CG flash started in a convective core of the storm  
232 with leaders propagating for 500 ms. A -CG stroke occurred at the north end of the  
233 previous leader activity followed by negative leader propagation into the stratiform region  
234 of the cloud for 300 ms. A +CG stroke followed at the location of the previous -CG  
235 stroke. This +CG stroke produced continuing current likely supplied by the propagation  
236 of a fast negative leader.

237

### 238 **3.3 MMIA photometer, GLM and ISS-LIS radiances**

239

240 Altitudes of the located LMA sources versus time during MMIA detections are plotted in  
241 Figure 3a. Radiances measured by MMIA in the three spectral bands (337.0 nm, 777.4  
242 nm and 180-230 nm) are depicted in Figures 3-b, c and d. Radiances of ISS-LIS (red line)  
243 and GLM (black line) have been computed by integrating radiances from all the events  
244 detected every 2 ms frame (Figure 3-c). Since both ISS-LIS and GLM observe the 777.4

245 nm spectral band, there is very high consistency with the same MMIA photometer spectral  
246 band (red line in Figure 3-b). Note that ISS-LIS (red line) radiance stops before GLM  
247 (black line) because the flash was no longer in the field of view of the sensor.

248

249 Inspecting the photometer radiances (Figure 3-b), after 442.05 s, the blue and red channels  
250 showed a progressive increase of radiance superimposed with small surges. At 442.15 s  
251 the red signal abruptly increased producing several peaks just before the +CG. This  
252 increase was also present in the GLM and ISS-LIS radiances. Just after the time of the  
253 +CG stroke, the peak was more pronounced in the red than in the blue band. The red pulse  
254 presented faster rise and decay times (2.2/12.9 ms) compared to the blue (5.4/71.1 ms).  
255 Starting right after the +CG stroke, the ELF magnetic field signal measured in Cape Verde  
256 (Figure 3-e) indicates the presence of continuing current. This continuing current is  
257 noticeable in the ELF signal for about 30 ms that corresponds to a decay to the ~25 % of  
258 the peak value in the MMIA red and GLM radiances. The presence of continuing current  
259 is also supported by the identified LMA sources of fast ( $0.5 \cdot 10^6 \text{ m s}^{-1}$ ) negative leaders  
260 progressing during this period. Note that the transients in the magnetic field occurring  
261 after 442.25 s (Figure 3-e) might belong to a different flash, according to the detection of  
262 a distant flash at that time by GLM. The small signal in the MMIA 180-230 nm  
263 photometer (Figure 3-d), seems to present a small increase at the time of the +CG, but  
264 behaves more similarly to the blue band although its amplitude is more than three orders  
265 of magnitude smaller.

266

### 267 *3.4 MMIA imaging data and weather radar*

268

269 In the last four video frames recorded by MMIA, the flash was entirely in the field of  
270 view, so the previous frames have been omitted in this study. The periods of each video  
271 frame are indicated with vertical lines in Figure 3b and displayed in Figure 4. The four  
272 camera images of ASIM are shown in Figure 4 with the blue channel (337 nm) images in  
273 the left column and the red channel (777.4 nm) images in the right column. The location  
274 of the investigated boxes on the MMIA images can be easily identified in Figure 1c.

275 In the video frame 1 (Figure 4-a) the luminosity of the flash comes from the location of  
276 the -CG stroke that occurred 125 ms before the frame start and where the LMA detected  
277 some negative leader breakdown activity (Box 1 in Figure 1). During frame 1, the LMA  
278 detected a new leader propagating out of the main luminosity core towards the east (Box  
279 2). This new leader is seen in both cameras, but remained undetected by GLM and ISS-

280 LIS. The radar cross-sections along the LMA sources during this frame indicate that the  
281 sources occurred above the altitude of the 20 dBZ reflectivity echo tops. During frame  
282 2, the leader entered into a more stratiform region (Box 2) with reflectivity <15 dBZ echo  
283 tops above the leader height (8 km) and coldest cloud top temperature of -67 °C (13.5  
284 km). The leader is clearly seen in both MMIA cameras (Figure 4-b) and GLM reports  
285 events within the high radiance region in the red MMIA image. At the beginning of frame  
286 3 (Figure 4-c), a +CG stroke located in Box 1 triggered a fast negative leader ( $0.5 \cdot 10^6 \text{ m}$   
287  $\text{s}^{-1}$ ) that propagated and branched during the frame exposure. The branch towards the  
288 southeast (Box 3) produced more LMA sources than the branch that propagated to the  
289 north (Box 4) but only the latter is well identified in the red image. Figure 4-d depicts the  
290 most remarkable difference between the blue and red channel images occurring during  
291 frame 4. The leader propagating to the north-east (Box 5) can be more easily distinguished  
292 in the red image than in the blue. It is also remarkable that the leader tip is brighter than  
293 its channel behind. The north-east branch (Box 5) is responsible for the radiance peak  
294 after 442.25 s in the MMIA red channel photometer (Figure 3-b) and GLM (Figure 3-c).  
295

296 To relate cloud properties with the optical observations, contoured-frequency-by-altitude  
297 diagrams (CFAD) of radar reflectivity are computed along the leader propagation paths  
298 and shown in Figure 5. Box 1 corresponds to the area where the CG strokes were located;  
299 and Box 2 includes the area within  $\pm 1$  radial gate (representing 750 m width) along the  
300 central leader channel mapped by the LMA during frames 1, 2 before it branches. Box 3  
301 and 4 the same as Box 2 for southeast and north branches during frames 3 and 4,  
302 respectively; and the same in Box 5 of the leader branch towards the northeast. In each  
303 CFAD, the altitude of the leader is indicated by the dash-dotted line whereas the 50<sup>th</sup> and  
304 90<sup>th</sup> percentiles of the cumulative reflectivity Z are indicated by the solid and dashed lines,  
305 respectively.  
306

307 The LMA sources within Box 1 are located at an altitude of ~9 km (Figure 2). At this  
308 altitude, the CFAD (Figure 5-a) shows a thinner region with weak Z values with 90 % of  
309 the reflectivity below 18 dBZ. In this area, the maximum radiances of the flash in both  
310 spectral channels are found in frame 3 (Figure 4-c), in particular, at the time of the +CG  
311 stroke being the measured red signal the highest. In the same region defined by Box 1,  
312 the blue signal surpasses the red after the continuing current in frame 5 (figure 4-d). In  
313 Box 2, the CFAD shows a shallow cloud above the leader height at ~8 km with 90 % of  
314 the reflectivity below ~17 dBZ. This low reflectivity and the shallow cloud above the

315 leader channel did not prevent the lightning leader at this location to be imaged in frames  
316 1 and 2. Much higher reflectivity  $<23$  dBZ is found above the south-west lightning leader  
317 channel in Box 3 that propagates at 7.5 km altitude. Although Box 3 has low cloud tops  
318 according to the radar and satellite images, and the lightning leader channel is close to the  
319 top, the high reflectivity above the channel might be an indicative of high concentration  
320 of cloud particles. The dense cloud in Box 3 could be the responsible of the almost  
321 undetectable luminosity from the lightning channels there. In Box 4 the north leader  
322 channel propagates during frame 3 at an altitude of 7 km with reflectivity of the cloud  
323 above it  $<18$  dBZ. In this area luminosity of the leader is much higher in the red than in  
324 the blue image (Figure 3-c). Finally, the cloud in Box 5 has convective characteristics as  
325 indicated by its cloud tops in Figure 1 and the reflectivity profile in Figure 5. The north-  
326 east leader in Box 5 during frame 4d is clear in the red image and well tracked by GLM,  
327 but highly attenuated and diffuse in the blue. The leader travels at 7 km and above this  
328 level we found reflexivity of  $<20$  dBZ.

329

## 330 **4. Discussion**

331

### 332 ***4.1 Radiances and radar reflectivity***

333

334 The average blue (337.0 nm) and red (777.4 nm) radiances observed by the ASIM  
335 cameras of the five selected boxes are shown in Figure 6.

336

337 At the location of the CG strokes (Box 1), lightning leader sources are identified at an  
338 altitude of  $\sim 9$  km with a shallow cloud of low reflectivity ( $< 18$  dBZ) above it. This may  
339 had produced little attenuation compared to other deeper areas. The ratio of the received  
340 red to blue starts with values from  $\sim 1.85$  during the frames 1 and 2 before the +CG stroke.  
341 At the time of the +CG stroke (frame 3), radiances peak and the ratio increases to 2.2.  
342 The ratio remains higher than 1 for the 30 ms corresponding to the continuing current  
343 phase until both radiances equalize. Later, in frame 4, the ratio of the average radiance  
344 decreases to 0.9 or 0.6 for the maximum radiances, meaning that the received blue  
345 radiance is more intense than the red. From Figures 3 and 4, the consistency of the red  
346 radiance with the continuing current suggest that the observed red channel (777.4 nm)  
347 oxygen atomic line is more related with the evolution of lightning currents than the blue  
348 band. The relation of red radiance pulses with impulsive lightning current events  
349 identified by the LMA was previously observed by *Thomas et al.* (2000). In the laboratory,

350 *Windmar et al.* (1991) found a proportional relation of the peak amplitude of the red  
351 radiance with the kiloampere current of a long spark. In Box 1 the slow decay of the blue  
352 signal different to the red may indicate the prevalence of streamer/corona discharge  
353 activity, in particular, at the region of the location of the CG strokes. This assumption is  
354 based on experimental laboratory works such as *Machala et al.* (2011) and *Janda et al.*  
355 (2016) showing the emission of the N<sub>2</sub> 2<sup>nd</sup> positive system being associated with the  
356 streamer phase of a spark discharge.

357

358 The radiance in Box 2 includes the leader channel emerging from the location of the CG  
359 strokes at frame 1. This negative leader propagated at  $10^5$  m s<sup>-1</sup> and ended at the time of  
360 the +CG (frame 3). From this central leader channel two branches occurred after the +CG  
361 corresponding to the LMA sources in Box 3 and 4. At the time of frame 3, the central  
362 leader channel presented higher red radiance than its south-east, north and north-east  
363 branches in Boxes 3, 4 and 5, respectively. As seen in Figure 5 and Figure 6, at the regions  
364 of the south-east (Box 3), north (Box 4) and north-east (Box 5), the cloud above the leader  
365 was thicker in terms of reflectivity than in Box 2. This thicker cloud mostly affected the  
366 blue band. This is reflected in the ratios of the average radiance in Figure 5-b, c, d and e  
367 at the time of frame 3 corresponding to 2.9 (18 dBZ), 3.8 (23 dBZ), 4 (18 dBZ) and 5.4  
368 (20 dBZ), respectively. The reflectivity in parenthesis correspond to the maximum above  
369 the leader. During this frame, the charge that feeds the continuing currents to ground is  
370 assumed to be provided by the south and north leader branches based on the fast  
371 propagation ( $0.5 \cdot 10^6$  m s<sup>-1</sup>) of the leaders and their higher radiances compared to the  
372 extension of the north-east leader.

373

374 One of the salient features of the observation is the imaged north-east leader branch (Box  
375 5) by the red camera at frame 4 (Figure 4-d). This leader end produced an increase in the  
376 777.4 nm radiance after 442.25 s (Figure 3b) which is not observed in the blue channel  
377 image. The level of the blue radiance in Box 5 during frame 4 corresponds almost to the  
378 background level and it is lower than the corresponding radiance at the same frame but  
379 in the leader channel behind in Box 2. For comparison, the red radiance during frame 4  
380 is ~1.2 times greater in Box 5, at the leader end, compared to its trailing channel in Box  
381 4 and Box 2 although Box 5 had the deepest cloud above the leader. This negative  
382 correlation between the radiance and radar reflectivity suggests that the detected surge in  
383 the radiation might be related with the occurrence of some intra-cloud process involving  
384 high currents (e.g. recoil leader event) at the leader end in Box 5.

385

386 In addition, taking into account that the used weather radar is more sensitive to the back-  
387 scatter of ice and water particles and these precipitating ice particles are related to icy  
388 cloud particles (*Baker et al.*, 1999), it is plausible to state that higher the ice content above  
389 8 km the higher will be the concentration of cloud ice particles. Moreover, the broader it  
390 is the CFAD distributions above the freezing level more cloud ice particles are produced  
391 reflecting higher updrafts that consequently produce more super-saturation. Therefore,  
392 the optical emissions would more attenuated depending on the concentration of ice  
393 particles and its size above the leader (*Brunner and Bitzer*, 2020, *Rutledge et al.*, 2020)  
394 as well as on the water vapour (*Thomason and Krider* 1982) fed those particles. At Box  
395 3, the higher and large sized ice cloud particles concentration attenuated both the red and  
396 blue channels, while at Boxes 4 and 5, the presence of more water vapour and smaller ice  
397 cloud particles might have contributed to attenuate more the blue channel than the red.

398

399 In summary, the red radiance is close related to the occurrence of the currents on the  
400 leader channels according to the identified CG strokes, continuing currents and the recoil  
401 leader event consistently with previous works (e.g. *Thomas et al.*, 2000). The longer time  
402 decay of the blue emissions relative to the red and their lower correlation with the  
403 evolution of the currents can be indicative that these blue emissions are related to the  
404 streamer zones of the leader channels. The detected blue radiation allowed to properly  
405 image the leader channels in the regions less intervening cloud above the lightning flash.

406

### 407 ***3.3 Surges of radiance associated with leader branching***

408

409 The small surges in red and blue emissions at 442.127 s in Figure 3b during frame 2  
410 (marker e) are related to a new branch of the developing leader. This branch continues for  
411 40 ms until the time of the +CG stroke. This leader branch can be associated with the  
412 downward positive leader approaching the ground, including the last 12 ms where both  
413 the blue and red radiances increased, oscillating in the red. Such feature is consistent with  
414 the development of the positive leader to ground accompanied by recoil leader activity  
415 (K-changes) (*Montanyà et al.* 2012; *Tomas et al.*, 2000). About 50 ms after the +CG  
416 stroke (frame 3), at 442.226 s, a new radiance surge in the red channel is associated with  
417 new negative leader branches (from g and h in Figure 2) propagating for 20 ms with  
418 speeds  $0.5 \cdot 10^6 \text{ ms}^{-1}$  (Box 3, 4). Finally, the red surge at 442.256 s in frame 4, Box 5, also  
419 occurred in association with a leader branching and further propagation (i-j in Figure 2).

420 In the blue photometer, these surges are unnoticed at the indicated times although there  
421 are some smoothed and delayed pulses that might be related. This is the case of the peak  
422 in the blue at 442.226 s occurring 7 ms after the peak in the red (Figure 3-b). If both peaks  
423 are related, then the significant delay might be due to the blue radiance originating from  
424 the region of the CG stroke (Box 1) whereas the red originates from the location of the  
425 leader branching (Box 3, 4). Possible delays due to higher scattering in the blue than in  
426 the red are possible (e.g. *Luque et al.*, 2020).

427

### 428 **3.3 Optical depth**

429

430 *Light et al.* (2001) and *Beirle et al.* (2014), among others, stated that clouds mostly affect  
431 the propagation of light causing spatial smearing via multiple scattering. Light absorption  
432 by ice and water at the 337.0 nm and 777.4 nm is small (e.g. *Warren*, 1984; *Thomason*  
433 *and Krider*, 1982; *Light et al.*, 2001) but the absorption and scattering differences can be  
434 significant between the two wavelengths (*Luque et al.*, 2020). Some previous works (e.g.  
435 *Thomason and Krider*, 1982; *Koshak et al.*, 1994; *Thomas et al.* 2000; *Light et al.* 2001,  
436 *Brunner and Bitzer*, 2020) found that the optical depth between the light source and the  
437 cloud edges highly affects the detectability of lightning. These works also indicate the  
438 need to know the location and the progression of the source within the cloud. *Rutledge et*  
439 *al.* (2020) found that optical emissions are not completely correlated with the ice water  
440 path above individual lightning flashes derived from radar data because the precipitating  
441 particles are not necessarily the main responsible of the light attenuation. Small cloud  
442 particles with can present higher concentrations and producing collectively higher light  
443 attenuation than precipitation-size particles.

444 We now attempt to evaluate the effects of the cloud on the light propagation at the  
445 investigated regions of the flash. Due to the radar limitations, we adopt the cloud particle  
446 distribution from vertical radar reflectivity and temperature profiles using the  
447 parametrization by *Heymsfield et al.* (2002 and 2013). The calculated particle  
448 distributions at each level are limited from a minimum diameter of 20  $\mu\text{m}$  up to a  
449 parametrized maximum diameter. Optical depth of the cloud sections above the lightning  
450 leader channels at each box are calculated by following *Thomason and Krider* (1982).  
451 Optical depths are limited up to an altitude of 10 km because, as pointed by *Rutledge et*  
452 *al.* (2020), we found unrealistic high particle densities for the low temperatures at higher  
453 levels. Details on the calculations of particle distribution and optical depth are provided  
454 in the appendix section of this paper. Results of the estimated optical depths are presented

455 in Table 1. The values are relative to the optical depth in Box 1. We present relative optical  
456 depths because the high sensitivity of the cloud particle distribution on the temperature  
457 (*Heymsfield et al. 2002 and 2013*). Despite this dependence, the relative optical depths  
458 between boxes remain almost independent to temperature offsets. The calculated relative  
459 optical depths are consistent with some of the characteristics of the observed radiance  
460 from each box. The lower optical depth of Box 2 relative to Box 1 is in accordance with  
461 the more stratiform nature of the cloud in this region where the red and blue radiances  
462 were less effected by cloud. The reduction of 50 % of the optical depth in Box 2 results  
463 from the decay of 3 dBZ and a shallower cloud above the leader compared to Box 1.  
464 Contrary, Box 3 presents the highest relative optical depth  $\sim 2$  coinciding with the region  
465 with the almost undetected blue and red radiances. Compared to Box 1, despite of the  
466 median reflectivity above the leader is 1 dBZ higher, the maximum reflectivity (90<sup>th</sup>  
467 percentile) is 6 dBZ greater and with lower lightning leader channel height. In between,  
468 the 0.9 relative optical depth of Box 5 allowed the detection of the red radiance but  
469 strongly attenuated the blue. The median reflectivity in Box 5 at the leader altitude is 2  
470 dBZ lower than in Box 1 whereas the reflectivity corresponding to the 90<sup>th</sup> percentile is  
471 3 dBZ higher.

472

473 In summary, to overcome the limitation of radar on the detection of cloud particles the  
474 used parametrized ice particle size distributions have provided consistent relative optical  
475 depth with the optical observations. Consistently with *Brunner and Bitzer (2020)*, the  
476 results highlight the importance on the combination of the light emission by different  
477 lightning processes and the optical depth features due to cloud ice particles surrounding  
478 the lightning channels at high cloud altitudes ( $>7$  km). The location at Box 1 where the  
479 return stroke currents produced high channel luminosity together with low optical depth  
480 due to lightning channels at high altitudes would evidence the CG features pointed by  
481 *Zhang and Cummins (2020)* to produce high detection efficiency of CG flashes by GLM.  
482 In the area of the lowest relative optical depth (Box 2) corresponding to a stratiform  
483 region, progression of negative lightning leaders has been resolved by MMIA and GLM.  
484 The detection of this leader by GLM might has been possible by the occurrence of a  
485 branching of the leader that produced a surge in the radiance (marker e in Figure 3-b) if  
486 compared to the undetected leader by GLM in frame 1 (Figure 4-a). The increase of a  
487 factor of 2 on the relative optical depth in Box 3 attenuated the optical emissions of a fast  
488 negative leader producing abundant LMA sources. The undetected leader did not present  
489 any feature such as branching or recoil leader that might had involved a surge in channel

490 luminosity. Box 5 with lower optical depth than Box 1 and a surge in radiance, allowed  
491 GLM to image the propagation of the leader (Figure 4-d) all along the channel from the  
492 tip to the location of the former CG. Our results compliment the recent study by *Rutledge*  
493 *et al.* (2020). Instead of using the ice water path derived from radar we have estimated  
494 the cloud particle size distribution from parametrization data and we have found  
495 consistency with the observations. In addition, we have investigated the features in  
496 different parts of the flash considering their cloud and lightning features.

497

## 498 **5. Conclusions**

499

500 We have presented concurrent measurements of a lightning flash from space by ASIM,  
501 GLM, ISS-LIS and from the ground by the Colombia-LMA, WWLNN, a local weather  
502 radar, and an ELF electromagnetic wave receiver. This observation has provided the  
503 means for interpretation of the optical observations with respect lightning leader  
504 processes. This flash included negative and positive strokes as well the occurrence of  
505 continuing currents. In addition, weather radar data allowed estimation of the attenuation  
506 of optical radiance by the clouds above the lightning leaders at different locations along  
507 the path of the lightning channel propagation.

508

509 The following summarizes our findings:

510

- 511 • Surges in the photometer radiance, especially in red (777.4 nm), besides return  
512 stroke and recoil leader process have been found to be associated with lightning  
513 leader branching involving new leader development. These surges associated to  
514 leader branching are not always noticed in the blue (337.0 nm) signals, so this  
515 processes appear not to involve significant emissions in this wavelength.
- 516 • The radiance at red correlate with the continuing current identified from the  
517 magnetic ELF signals and the fast leader development.
- 518 • The oscillations in the red signal photometer just before the +CG stroke are likely  
519 recoil leader events during the downward propagation of the leader to ground  
520 prior to the return stroke.
- 521 • Based on the above, the detected red signals are likely from the highly conducting  
522 leader channel and associated with high luminosity of the channel involving  
523 impulsive and continuing current processes.

- 524 • The camera images showed long persistence of blue radiation at the location of  
525 the +CG stroke after the decay of the continuing current and even surpassing the  
526 detected red radiance.
- 527 • The blue emission has been shown to not be closely related to lightning current  
528 processes but able to image the flash development especially in the stratiform  
529 areas with low cloud tops. The nature of the N<sub>2</sub> optical emission in this  
530 wavelength suggest the origin from non-thermal discharges (streamers) on the  
531 leader channels and probably in the thundercloud.
- 532 • Cloud depth or thickness appears to affect more the blue than the red.
- 533 • Relative optical depths at different parts of the cloud where lightning leaders  
534 propagated have been estimated from radar and temperature data using  
535 parametrized models of particle size distribution.
- 536 • Besides the position of the lightning leaders and the properties of the cloud above  
537 and around them, detection of optical emissions of lightning depends on the  
538 different lightning processes, which can be inferred from their temporal and  
539 spectral properties.

540

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542

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559 **Data availability**

560 Data associated with this work will be made available in the Zenodo scientific repository.  
561 Data access to ASIM is available by registering at <https://asdc.space.dtu.dk/>. GLM data  
562 are available from NOAA (GOES-R Series Program, 2019,  
563 <https://data.nodc.noaa.gov/cgi-bin/iso?id=gov.noaa.ncdc:C01527#>) and ISS-LIS from  
564 NASA Global Hydrology Resource Center (*Blakeslee et al. 2019*,  
565 <https://ghrc.nsstc.nasa.gov/pub/lis/iss/data/science/nqc/hdf/>). The ABI imagery can be  
566 accessed from the NOAA's Comprehensive Large Array Storage Service (CLASS) or the  
567 Google (gcp-public-data-goes-16) or Amazon cloud.

568

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761 **Figure Captions and Tables**

762

763 **Figure 1. a)** GOES-16 infrared satellite image overlaid with LMA sources. **b)** Maximum  
764 reflectivity  $Z_{\max}$  and LMA sources. White filled circles correspond to LMA sources before  
765 ASIM video frame 1 and after frame 4. Black filled squares are LMA sources in the field  
766 of view of MMIA. The white squares and the numbers indicate the five analyzed areas of  
767 interest (boxes). **c)** Composition of 777.4 nm (red) MMIA camera stacked frames with  
768 the indication of the five considered areas, LMA sources and the markers (letters) used to  
769 identify the leaders. LINET Cloud-to-ground strokes: negative ( $\times$ ), positive (+).

770

771 **Figure 2.** LMA data of the flash on 22 November 2018 at 08:57:21.4413 UT. The top  
772 panel is altitude of LMA sources versus time (seconds); the left panel is a plan view map;  
773 the panels at the right show altitude (km) by latitude and longitude. LMA sources are  
774 colored by time. Markers *a* to *k* are used as reference in the text. LINET cloud-to-ground  
775 strokes (symbols:  $\times$  negative, + positive). The exposure times of the four MMIA video  
776 frames are indicated as well the part of the field of view (FOV) of MMIA.

777

778 **Figure 3. a)** LMA; **b)** ASIM 337/4 nm (blue) and 777.3/5 nm (red) including the  
779 reference markers (letters); **c)** 2 ms-integrated radiances of GLM (red) and ISS-LIS  
780 (black); **d)** MMIA 180-230 nm (UV) photometer; **e)** Magnetic field measured at the  
781 UPC's Cape Verde ELF station. Vertical lines indicate the times of the MMIA video  
782 frames 1-4.

783

784 **Figure 4.** Consecutive MMIA camera frames in blue (337.0/4 nm) (left column) and red  
785 (777.4/5 nm) (right column) channels. LMA sources (white dots) are overlaid in each  
786 image. Locations of GLM events are plotted in the MMIA red images. GLM radiances  
787 (grey) at each location are integrated for the time of the frame.

788

789 **Figure 5.** Reflectivity contoured-frequency-by-altitude diagram (CFAD). **a)** Box 1:  
790 location of the CG strokes; **b)** Box 2: central leader channel emerging from the location  
791 of the CG towards east; **c)** Box 3: southeast leader branch; **d)** Box 4 north leader branch;  
792 **e)** Box 5: northeast leader branch. Dash-dotted line indicates the altitude of the leader  
793 sources in each box. Solid line marks the 50<sup>th</sup> percentile of the reflectivity  $Z$  and the  
794 dashed line the 90<sup>th</sup> percentile

795

796 **Figure 6.** Average radiance of the blue (337.0 nm) and red (777.4 nm) frames for  
 797 the boxes 1 to 5. Dot-dash line indicates the altitude of the leader in each box. Solid  
 798 line corresponds to the 20 dBZ radar echo top.

799

800 **Table 1.** Relative optical depth of the cloud section above the lightning leader channels  
 801 up to 10 km. Optical depths are relative to Box 1.

Relative optical depth	
Box 1	1
Box 2	0.5
Box 3	2
Box 4	0.9
Box 5	0.9

802

803

804

## 805 **Appendix**

806

807 *Heymsfield et al.* (2002 and 2013) have presented assimilation of experimental data to  
 808 provide ice particle size distributions. One of the common functional forms of the particle  
 809 size distribution  $N(D)$  is the gamma function:

810

$$811 \quad N(D) = N_{o\Gamma} D^\mu e^{-\lambda_\Gamma D} \quad (cm^{-4})$$

812

813 Where  $D$  is the particle diameter,  $N_{o\Gamma}$  is the intercept,  $\lambda_\Gamma$  is the slope, and  $\mu$  is the shape.

814 The last three parameters are derived from radar and temperature variables as presented

815 by *Heymsfield et al.* (2002). The simplified equation for the intercept:

816

$$817 \quad N_{o\Gamma} = \frac{Z \lambda_\Gamma^{(5.5+\mu)}}{1.2 \cdot 10^8 \Gamma(5.5 + \mu)}$$

818

819 Where  $Z$  is the radar reflectivity factor ( $mm^6 m^{-3}$ ) converted from observed weather

820 radar measuring in dBZ. Taking into account the use of a C-Band weather radar and

821 measurements above the 0 °C,  $Z$  can be expressed as (*Heymsfield et al.*, 2002):

822

823 
$$Z = 10^{\left(\frac{dBZ+7.2}{10}\right)}$$

824

825 where, 7.2 dBZ is to correct the ice/water dielectric constant effect. To simplify, we adopt  
 826 the median dBZ reflectivity at each level shown in Figure 5. The slope  $\lambda_{\Gamma}$  and the shape  
 827  $\mu$  are estimated from the median fitted functions in *Heymsfield et al.*, (2002), respectively:

828

829 
$$\lambda_{\Gamma} = 24 e^{-0.049T} \quad (cm^{-1})$$

830 
$$\mu = 0.13 \lambda_{\Gamma}^{0.64} - 2$$

831

832 Where T is the temperature in °C. The maximum diameter for each distribution is  
 833 calculated according to the region of the cloud. For Box 1 and 5 the maximum diameter  
 834 is selected for convective type (*Heymsfield et al.*, 2013):

835

836 
$$D_{max} = 2.1 e^{0.070T} \quad (cm)$$

837

838 Whereas for the stratiform areas in Box 2, Box 3 and Box 5:

839

840 
$$D_{max} = 1.1 e^{0.069T} \quad (cm)$$

841

842 In the lower part of the distribution  $D$  has been limited to 20  $\mu m$ . Once the fitted gamma  
 843 functions  $N(D)$  are obtained, photon mean free paths are calculated according to  
 844 *Thomason and Krider* (1982):

845

846 
$$\Lambda \approx \frac{1}{\int_{D_{min}}^{D_{max}} \pi D^2 N(D) dD}$$

847

848 Finally, the optical depth  $\tau$  is calculated according to the corresponding geometric  
 849 deepness  $L$  of the given reflectivity region above the lightning channel:

850

851 
$$\tau = \frac{L}{\Lambda}$$

852

853

854 With the available data and the presented calculations, we cannot provide a precise  
855 estimation of the optical depth. From our experience some of the aspects to consider in  
856 the future are:

857

858 1) Better radar vertical resolution is convenient. Here we cannot resolve levels higher  
859 than 10 km for all the regions of the flash, because the radar has been configured  
860 to monitor rain mainly.

861 2) By increasing the number of elevations or scanning continuous vertical cross  
862 sections (like sector range height indicator – RHI) with a polarimetric radar, like  
863 this one, polarimetric variables like differential radar reflectivity (ZDR), specific  
864 differential phase ( $K_{DP}$ ) and correlation coefficient among horizontal and vertical  
865 polarization can be augmented to estimate the type of ice particles and its size (*Liu*  
866 *and Chandrasekar, 2000*);

867 3) C-band radars detect mostly precipitation size particles. The referenced works  
868 allow to extend to lower size particles, even to the few  $\mu m$  size. However, we  
869 have found that at temperatures lower than  $-40\text{ }^{\circ}\text{C}$  ( $\sim 11\text{ km}$ ) the density of low  
870 sized particles increases several orders of magnitude providing very dense clouds  
871 that result in an increase of the optical depth by an order of magnitude. A similar  
872 unrealistic effect is found by *Rutledge et al. (2020)* in relation of the temperature  
873 dependence of the intercept parameter.

874

875 Despite the assumptions and limitations, the relative optical depths presented in Table 1  
876 present a reasonable agreement with the observed luminosity features in each box.

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