

# 1 Simultaneous UV Images and Particle Measurements of an Auroral Dawn Storm at 2 Jupiter

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## 26 27 Key Points

28  
29 1. Juno observed transient UV brightening in Jupiter's dawn auroral region that rotated  
30 with the planet and had high color ratios.

31  
32 2. The electrons mapping to the transient UV emissions are field-aligned and bi-  
33 directional, with energies from ~10 to 1000 keV.

34  
35 3. These dawn UV emissions result from magnetospheric processes that trigger the  
36 generation of 100s of keV electrons observed at high latitude.

## 37 38 39 Abstract

40  
41 We present Juno observations between 03:00 to 06:00 UT on day-of-year 86, 2017 that  
42 link electrons in Jupiter's polar magnetosphere to images of transient, enhanced UV  
43 emissions in Jupiter's dawn auroral region known as a dawn storm. Juno ranged between  
44 42°N - 51°N in magnetic latitude and 7.8 – 5.8 jovian radii during this period. The UV  
45 enhancements consist of two separate, elongated structures which extend into the  
46 nightside, rotate with the planet, move to lower latitudes over time, and have high color

47 ratios. The electrons mapping to these emissions exhibit sudden intensity depletions  
48 below  $\sim 10$  keV coincident with intensity enhancements up to energies of  $\sim 1000$  keV,  
49 consistent with the high color ratio observations. Electron pitch angle distributions are  
50 magnetic field aligned and bidirectional. These high latitude observations are a result of  
51 magnetospheric processes, likely plasma injections, that trigger the generation of 100s of  
52 keV electrons to produce these dawn emissions.

53

## 54 1. Introduction

55

56 The primary components of Jupiter's ultraviolet (UV) aurora are the main, outer, polar,  
57 and satellite emissions [see review by Grodent et al., 2015 for details]. These emissions  
58 are produced by precipitating electrons that interact with  $H_2$  molecules in Jupiter's upper  
59 atmosphere [e.g. Broadfoot et al., 1979] and can be used as a diagnostic of dynamics and  
60 structure in Jupiter's magnetosphere. A number of secondary, transient UV auroral  
61 emissions have also been identified, many occurring in the dawn sector of Jupiter's  
62 auroral region [e.g. Prangé et al., 1993; Gérard et al., 1994; Ballester et al., 1996; Clarke  
63 et al., 1998; Gustin et al. 2006; Radioti et al., 2008; Kimura et al., 2015; 2017; Bonfond  
64 et al., 2020].

65

66 Clarke et al., [1998] identified bright, transient UV emissions in the local dawn region  
67 near the expected location of Jupiter's main emission. These emissions, coined 'dawn  
68 storms', showed significant spreading in longitude and remained near local dawn while  
69 other, dimmer, emissions co-rotated with the planet. Their proximity to the main  
70 emission suggested that these emissions were produced in Jupiter's middle  
71 magnetosphere. Kimura et al., [2015] interpreted these dawn storms as being driven by  
72 tail reconnection, bringing energetic particles from the outer and middle magnetosphere  
73 to the inner magnetosphere within a timeframe of  $< 1 - 2$  planetary rotations. Gustin et al.,  
74 [2006] reported on dawn UV auroral brightenings of up to  $\sim 1.8$  Megarayleigh (MR),  
75 approximately 4 times brighter than the nominal main emission [Grodent et al., 2003].  
76 These features had a leading edge that was fixed in system III longitude whereas the  
77 trailing edge seemed to be organized by local time, with an extension into the nightside of  
78 Jupiter's auroral region. UV spectral observations were used to infer the characteristic  
79 energies (up to  $\sim 50 - 500$  keV), energy fluxes ( $5 - 90$  mW  $m^{-2}$ ) and current densities  
80 ( $\sim 0.1 - 0.5$   $\mu A$   $m^{-2}$ ) of the precipitating electrons responsible for producing these  
81 emissions. These electrons were interpreted as being accelerated by electric fields in  
82 regions of upward field-aligned currents, although direct measurements of these electrons  
83 have yet to be achieved.

84

85 In July 2016, NASA's Juno mission [Bolton et al., 2017] was inserted into a 53-day polar  
86 orbit around Jupiter. Juno is a spinning spacecraft with a  $\sim 30$  s spin period. Its orbit and  
87 suite of instruments provide an excellent platform to remotely image Jupiter's aurora [e.g.  
88 Connerney et al., 2017a] while simultaneously measuring *in situ* the high-latitude  
89 magnetospheric environment that map to unique auroral emissions [e.g. Ebert et al., 2019;  
90 Gérard et al., 2019]. In this letter, we present electron and UV observations associated  
91 with a transient dawn UV brightening in Jupiter's northern auroral region on day-of-year  
92 (DOY) 86, 2017, prior to Juno's 5<sup>th</sup> perijove (PJ5). We focus on the period from 03:00 -

93 05:00 UT when Juno ranged from 7.8  $R_J$  to 5.8  $R_J$  in jovicentric radial distance (1  $R_J$  =  
94 71,492 km) and 41.6°N to 50.9°N in magnetic latitude, including an interval when the  
95 spacecraft magnetically mapped to the UV emissions under consideration here. These  
96 observations provide an opportunity to study the high latitude electron distributions that  
97 map to transient UV emissions observed in Jupiter's dawn auroral region.

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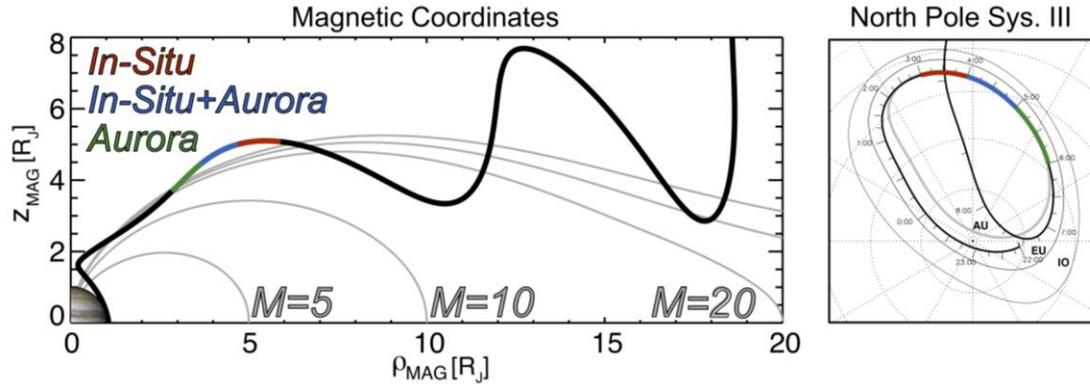
## 99 **2. Data Sets and Observing Geometry**

100

101 We examine electron observations from Juno's Jovian Auroral Distributions Experiment  
102 Electron (JADE-E) sensors [McComas et al., 2017] and Jupiter Energetic particle  
103 Detector Instrument (JEDI) [Mauk et al., 2017a], magnetic field observations from the  
104 Magnetic Field Investigation (MAG) [Connerney et al., 2017b] and UV emissions from  
105 the Ultraviolet Spectrograph (UVS) [Gladstone et al., 2017]. JADE-E and JEDI measure  
106 electron fluxes in the energy range, 0.1 – 100 keV and 30 – 1000 keV, respectively, along  
107 with their pitch angle distributions, with a time resolution down to 1 s. MAG consists of  
108 two independent sensor suites, each containing a tri-axial fluxgate magnetometer (FGM)  
109 and a pair of imaging sensors. The FGMs simultaneously measure the magnetic field at a  
110 rate of 64 vector samples per second. We utilize 1 s magnetic field vector observations  
111 from MAG to calculate the electron pitch angle distributions. UVS is an imaging  
112 spectrograph sensitive to wavelengths between 68 and 210 nm. It observes Jupiter's  
113 northern and southern auroras for several hours bounding each Juno perijove pass from  
114 jovicentric distances of  $\sim 1.3 - 7 R_J$ . A scan mirror allows the field-of-view (FOV) to be  
115 directed  $\pm 30^\circ$  relative to Juno's spin plane giving UVS access to half the sky at any given  
116 spacecraft orientation. The UV brightness is determined by integrating the emissions  
117 between 115 – 118 nm and 125 – 165 nm and then multiplying by 1.84 to estimate the  
118 total  $H_2$  emission between 75 – 198 nm. See the instrument papers cited above for more  
119 details.

120

121 Figure 1 shows Juno's trajectory on approach to PJ5 and projected onto Jupiter's  
122 atmosphere in a magnetic coordinate system based on the JRM09 internal magnetic field  
123 [Connerney et al., 2018] and the magnetodisc model of Connerney et al., [1981], where  
124  $Z_{MAG}$  is along Jupiter's magnetic dipole axis. M, or M-shell, corresponds to a distance  
125 based on the predicted magnetic equator crossing distance for any given magnetic field  
126 line. Juno's magnetic footpoint maps along Jupiter's northern main auroral oval from  
127  $\sim 2:00 - 6:30$  UT on DOY 086, 2017. The periods of interest are highlighted by the thick  
128 red, blue, and green lines. The blue line corresponds to an interval when Juno made *in*  
129 *situ* measurements of plasma, energetic particles, and fields while simultaneously  
130 observing bright UV features near Jupiter's main emission.



131 **Figure 1:** (Left) Juno's inbound trajectory (black line) in Jupiter's northern hemisphere  
 132 prior to perijove 5 on days-of-year 85 – 86, 2017. The trajectory is shown in a magnetic  
 133 coordinate system [Connerney et al., 1981; 2018]. Magnetic field lines, and the M-shells  
 134 that they map to, are shown in grey. (Right) Magnetic projection of Juno's trajectory  
 135 onto Jupiter's upper atmosphere. Black dashed circles and lines are contours of constant  
 136 jovicentric latitude and system III longitude, respectively. Thick grey oval (AU) denotes  
 137 the statistical average position of Jupiter's main ultraviolet (UV) aurora [Bonfond et al.,  
 138 2012]. Thin grey ovals identify the location of the Io and Europa auroral footprints.  
 139 Thick red, blue, and green lines highlight the periods of interest.  
 140

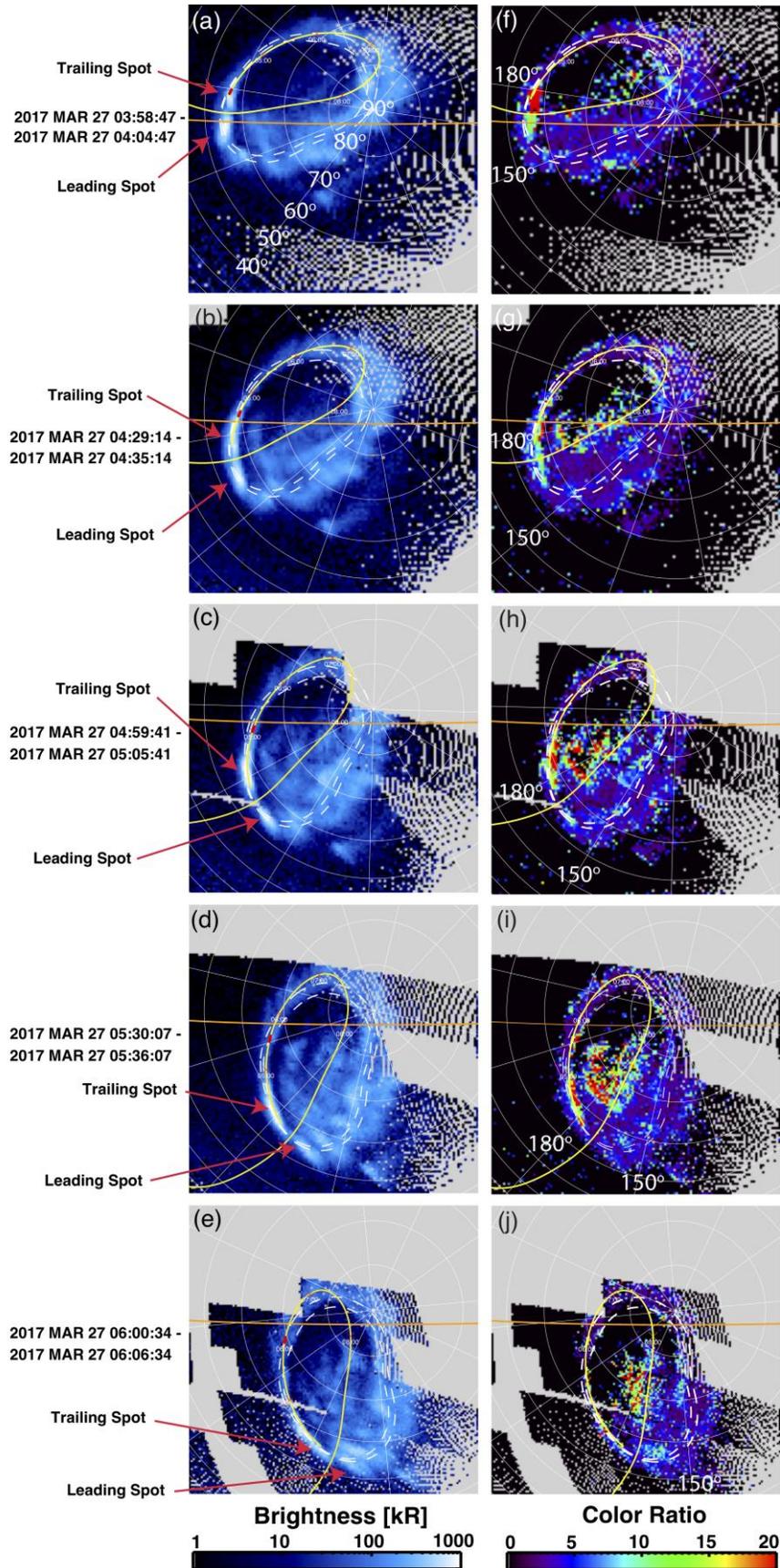
### 142 3. UV Aurora and High-Latitude Magnetosphere Observations

143  
 144 Figure 2 presents UV brightness (left column) and color ratio (right column) maps of  
 145 Jupiter's northern auroral region covering the period from 3:58 – 6:06 UT on DOY 86,  
 146 2017. Each map is a composite of 12 different images obtained over a ~6-minute interval,  
 147 each image having ~17 ms of integration time. The color ratio, defined as the ratio  
 148 between the integrated brightness from 158 to 162 nm and 126 to 130 nm, is used to  
 149 estimate the depth from which the UV emissions are generated and the characteristic  
 150 energy of the precipitating electrons that produce them. A larger color ratio is interpreted  
 151 as representing more energetic electrons that produce the UV emissions [Gérard and  
 152 Singh, 1982].  
 153

154 This study focuses on the bright UV emissions observed on the left side of Figure 2(a),  
 155 near the statistical average latitude of the main aurora, denoted by the white dashed ovals  
 156 [Bonfond et al., 2012]. These UV emissions consist of two bright, elongated features on  
 157 the dawn side of the auroral region, a leading spot near the terminator, and a trailing spot  
 158 on the nightside. Figures 2(b) – 2(e) are a time series of the auroral images and show that  
 159 these features rotate with the planet. The emissions also show a slight displacement to  
 160 lower latitude over time, an indication that the driver of the feature is moving radially  
 161 inward toward Jupiter. The leading and trailing emissions have a mean brightness of  $600 \pm 210$   
 162 and  $730 \pm 280$  kilorayleighs (kR), respectively, throughout the interval considered  
 163 here. The brightness and extent of these features vary with time, the brightness of the  
 164 leading spot dimming to ~500 and 450 kR, respectively, in Figures 2(d) and 2(e).  
 165

166 The image in Figure 2(f) indicates that the UV features under consideration have  
 167 relatively high color ratios, between ~ 10 – 20, compared to the surrounding emissions.

168 The color ratio for the nightside emission is greater than for the emission near the  
169 terminator, suggesting that the nightside emissions are produced by more energetic  
170 electrons. Figures 2(g) – 2(i) show that the color ratio for the leading emission feature is  
171 reduced as it rotates towards the dayside while the color ratio for the trailing emission  
172 feature remains relatively higher throughout the interval.



174

175 **Figure 2:** (a) – (e) Ultraviolet (UV) brightness images in Jupiter’s northern auroral  
 176 region on day-of-year 086, 2017 from 03:58 to 06:06 UT. (f) – (j) Color ratio images for  
 177 the same intervals as shown for the UV brightness. These images are shown in  
 178 chronological order. Red arrows in (a) – (e) point to the emissions studied here. Yellow  
 179 lines identify Juno’s trajectory. Orange lines denote the day-night terminator, the Sun  
 180 direction being the bottom of each image. The two white dashed lines represent the  
 181 statistical average compressed and expanded position of Jupiter’s main ultraviolet (UV)  
 182 aurora [Bonfond et al., 2012].

183

184 Figure 3 presents UV aurora and polar magnetosphere electron observations from DOY  
 185 086, 2017. The UV brightness (Figure 3a) and color ratio (Figure 3b) images were  
 186 obtained from observations collected between 03:58 and 04:04 UT, when Juno’s  
 187 magnetic footprint mapped to the auroral features highlighted in the fuchsia box. The  
 188 magnetospheric observations (Figures 3c – 3e) cover the time range from 03:00 – 05:00  
 189 UT. During this timeframe, Juno moved inward toward Jupiter from 7.8 to 5.8  $R_J$  and to  
 190 higher northern magnetic latitudes, 42°N to 51°N. The vertical fuchsia rectangular box  
 191 highlights electron observations that coincide with the auroral observations in Figures 3a  
 192 and 3b.

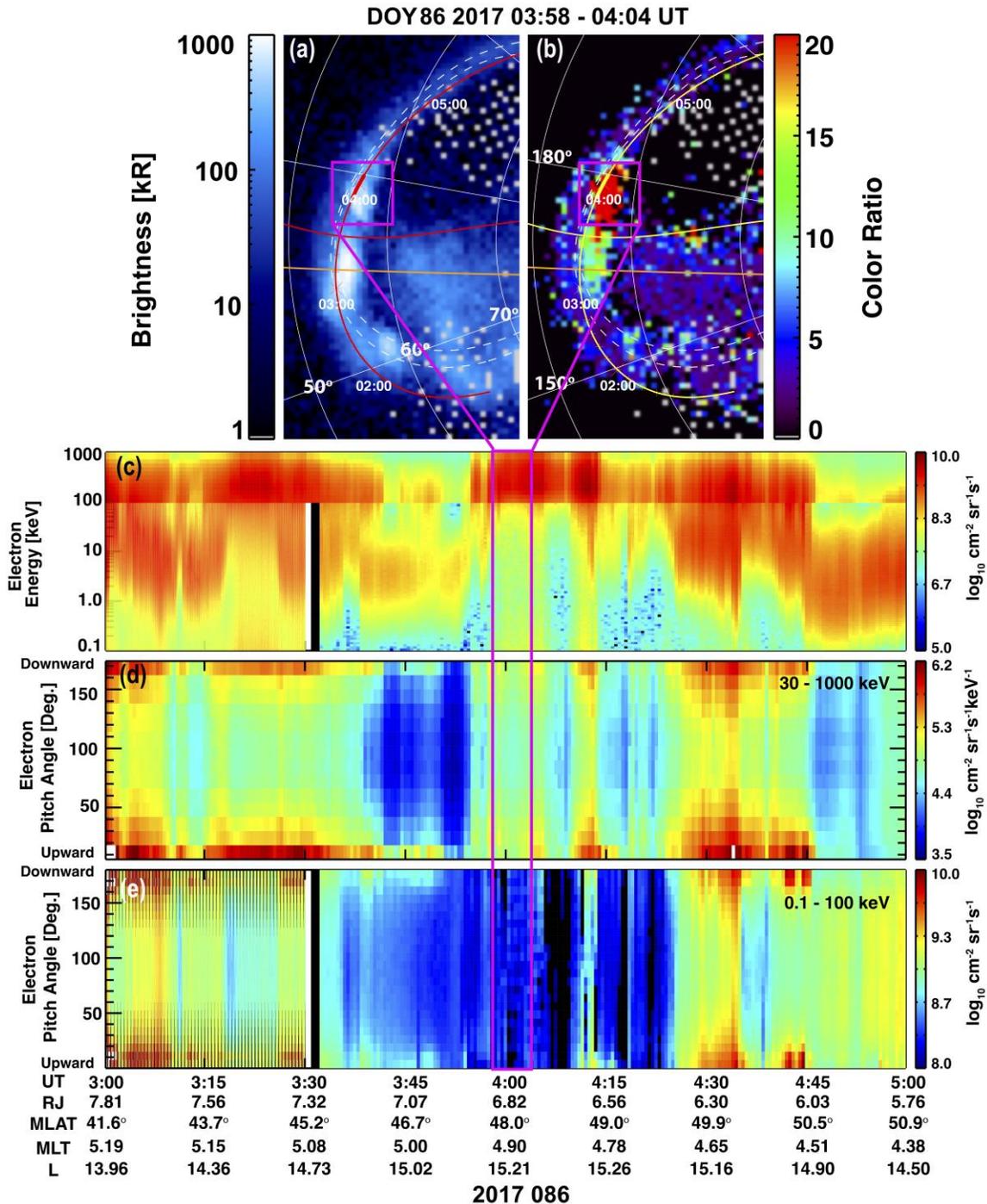
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194 Figures 3(c) shows an energy versus time spectrogram of differential energy flux for 0.1  
 195 – 1000 keV electrons based on combined JEDI and JADE-E observations. The JEDI  
 196 observations ( $> 100$  keV) have a 1 s time resolution throughout this period while the  
 197 JADE-E observations have a resolution of 1 s from 03:00 – 03:30 UT and a 30 s  
 198 resolution from 03:30 – 05:00 UT. The transition between the JADE and JEDI data  
 199 presented here is at 100 keV. At times, the differential energy fluxes show a notable  
 200 discontinuity when transitioning from JADE to JEDI energies. This could be due to a  
 201 difference in calibration factors between these instruments or to their different fields-of-  
 202 view, angular resolution and/or energy resolution [Allegrini et al., 2020]. The electron  
 203 distributions show variations in both differential energy flux and energy. The most  
 204 notable features are the depletions in low-energy electrons observed between ~03:11 –  
 205 03:12, 3:18 – 03:26, and 03:53 – 04:25 UT. During these times, the minimum energy of  
 206 the electrons increases from  $< \sim 200$  eV to  $\geq 10$  keV, the bulk of their differential energy  
 207 flux distributions being at energies  $> 100$  keV, with their maximum energy exceeding  
 208 500 keV. A closer inspection of the JEDI data from ~03:18 – 03:26 and 04:00 – 04:15  
 209 UT shows the presence of penetrating electrons ( $> 1$  MeV), identified by an enhanced  
 210 horizontal band just above 160 keV in the electron energy spectrogram. The interval  
 211 between 03:53 – 04:25 UT contains the period where the electrons map to the dawn UV  
 212 emissions highlighted in Figures 3(a) and 3(b), the increase in electron energy being  
 213 consistent with the high UV color ratio observations.

214

215 The pitch angle distributions for the ~30 keV – 1 MeV electrons in Figure 3(d) are  
 216 primarily between 0 - 30° and 150 - 180°, indicating that the electrons are field-aligned  
 217 and bi-directional, traveling both towards (downward) and away (upward) from Jupiter.  
 218 The pitch angles for the 0.1 – 100 keV electrons between 03:00 – 03:30 UT in Figure 3(e)  
 219 are also primarily field-aligned and bi-directional, both during times when the low-energy

220 electrons are prominent and when they are depleted. The pixels colored in black denote  
 221 pitch angles that are not sampled. After 03:30 UT, JADE-E is in 30 s low rate science  
 222 mode and the < 100 keV electrons observed during this period also show field-aligned  
 223 and bi-directional distributions. The loss cone at Juno's radial distance during this  
 224 timeframe ranged from ~4° - 2.5°, which is below the pitch angle resolution for JEDI  
 225 and JADE.

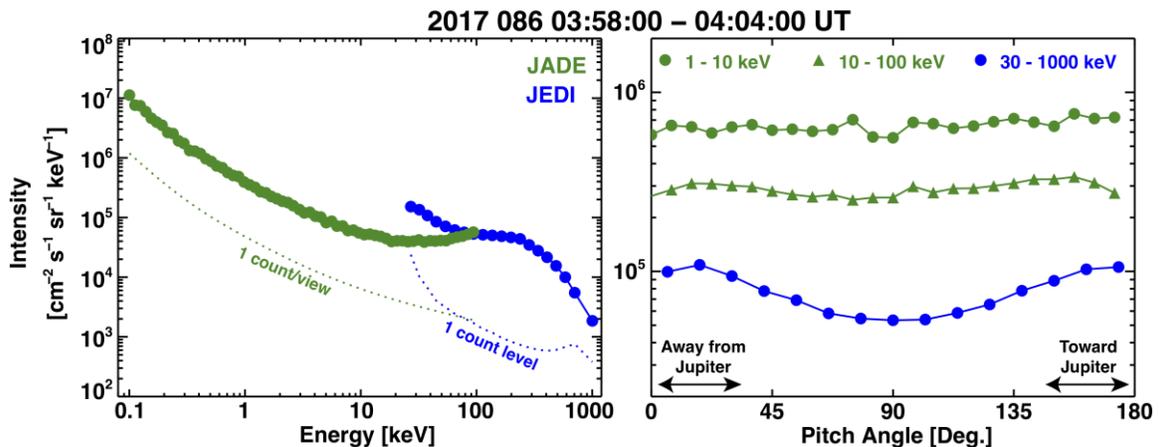


227 **Figure 3:** (a) and (b) UV brightness and color ratio images from 03:58 – 04:04 UT on  
 228 DOY 86, 2017. Data gaps are denoted by grey pixels. (c) Energy versus time differential  
 229 energy flux spectrograms for 0.1– 1000 keV electrons. (d) and (e) Electron pitch angle  
 230 distributions for 30 – 1000 keV and 0.1 – 100 keV electrons, respectively. The fuchsia  
 231 boxes identify the UV emission and color ratio features that Juno is mapping to and the  
 232 corresponding magnetospheric observations.

233

234 Figure 4 combines JADE-E and JEDI observations to examine the differential intensity  
 235 versus energy and pitch angle distributions of 0.1 – 1000 keV electrons from 03:58 –  
 236 04:04 UT on DOY 086, 2017. The electron intensities are averaged over this interval.  
 237 These electron distributions are expected to map and contribute to the simultaneously  
 238 observed UV emissions highlighted by the fuchsia boxes in Figures 3(a) and 3(b). The  
 239 other intervals mentioned above which contain enhancements in 100s of keV electron  
 240 intensities are likely also contributing to the two bright UV features described in this  
 241 study. The intensity versus energy distribution in Figure 4 (left) shows a decreasing  
 242 power law profile to at least  $\sim 20$  keV and a slight increase between  $\sim 20$  – 100 keV,  
 243 which may be due to residual background signal incompletely removed from the  
 244 observations. Potential causes for disagreement between JADE and JEDI intensities at  
 245 overlapping energies are described above and detailed in Allegrini et al., [2020]. The  
 246 distinct bump in intensities between  $\sim 150$  – 500 keV is likely due to a JEDI instrument  
 247 artifact where energetic electrons ( $> \sim 750$  keV) pass through the solid state detectors  
 248 and produce a signal in this energy range. It is a signature that high energy ( $> \sim 750$  keV)  
 249 electrons are present in the distribution [see Mauk et al., 2018 for details]. The electron  
 250 intensity enhancements extend up to at least 1000 keV. Due to these different instrument  
 251 effects, caution should be exercised when interpreting these intensity values. The pitch  
 252 angle distributions for the 1 – 10 keV and 10 – 100 keV electrons are relatively flat,  
 253 while the 30 – 1000 keV electrons have intensity enhancements between pitch angles of  $\sim 0^\circ$  –  
 254  $30^\circ$  and  $150$  –  $180^\circ$  and a depression centered on  $90^\circ$ . Electrons with pitch angles near  $0^\circ$   
 255 and  $180^\circ$  are moving away from (upward) and toward (downward) Jupiter, respectively,  
 256 along the magnetic field.

257



258

259 **Figure 4:** (Left) Intensity versus energy for  $\sim 0.1$  – 1000 keV electrons mapping to the  
 260 dawn UV emissions highlighted in Figure 3(a) and 3(b). The green dotted line represents  
 261 the intensity generated by 1-count per view in the JADE-E level-2 data. The blue dotted

262 line identifies the 1-count level intensity in the JEDI data (Right) Intensity versus pitch  
263 angle for  $\sim 1 - 1000$  keV electrons from the same interval. Pitch angles associated with  
264 electrons moving away from (upward) and toward (downward) Jupiter are identified.  
265

266

267

### 267 3. Discussion

268

269 We present *in situ* and remote sensing observations from Juno that connect electrons in  
270 Jupiter's polar magnetosphere to transient UV auroral brightenings near Jupiter's  
271 northern main auroral oval. The transient UV emissions, consisting of two separate,  
272 elongated structures with high color ratios, were observed in the dawn auroral region,  
273 extended into the nightside, rotated to the dayside, and showed a displacement to lower  
274 latitude, suggesting that the process initiating the generation of these UV emissions was  
275 in the middle magnetosphere [e.g. Clarke et al., 1998], moving in the direction of  
276 Jupiter's rotation and towards the planet.  
277

278

278 These UV emissions have similar characteristics as the UV brightenings described by  
279 Gustin et al., [2006] (high color ratios, leading edge corotating with the planet, trailing  
280 edge extending into the nightside) and have features that are often attributed to dawn  
281 storms. According to Bonfond et al., [2020], dawn storms originate near midnight and are  
282 initially fixed in magnetic local time. The UV emissions then brighten, their color ratios  
283 increasing, as they move towards dawn and are observed to corotate with the planet.  
284 Kimura et al., [2017] noted that after onset, dawn storms expand in latitude and longitude,  
285 have a rapid increase in total UV power, and produce emissions equatorward of the main  
286 auroral oval, during the peak phase of the storm. The UV emissions presented here are  
287 consistent with several of these dawn storm features. The UV brightness of this dawn  
288 storm was below 1 MR and showed considerable dimming as it rotated to Jupiter's  
289 dayside, suggesting that it was a comparatively weak event.  
290

291

291 The electrons mapping to these transient UV features range from  $\sim 10$  to 1000 keV and  
292 provide further evidence that dawn storms are produced by energetic, 100s of keV,  
293 electrons. This is consistent with the high color ratio observations for this event and the  
294 long-standing interpretation based on electron energy estimates derived from color ratios  
295 of remotely sensed UV emissions from Jupiter's auroral region [e.g. Gustin et al., 2006].  
296 Though, since neither JADE or JEDI can resolve the loss cone at the radial distance of  
297 the observations, we cannot make definitive statements about the electron distributions  
298 that are precipitating into the atmosphere to produce these dawn storm emissions at this  
299 time.  
300

301

301 The electron differential energy flux distributions measured *in situ* show several distinct  
302 characteristics. At times, the bulk of the electrons reside between  $\sim 1 - 100$  keV and  
303 extend to as low as  $\sim 100 - 200$  eV. We interpret these observations as plasma sheet  
304 electrons that have migrated along magnetic field lines to higher latitudes, but have not  
305 been subjected to additional acceleration. During other times, the electron distributions  
306 are depleted at energies below  $< 10$  keV and enhanced at energies of 100s keV. These  
307 distributions reflect a hot population of electrons that have been energized. That these

308 hotter and colder electron populations are interspersed likely reflect a large-scale  
309 dynamic process in Jupiter's magnetosphere at this time.

310

311 One candidate is plasma injections [e.g. Mauk et al., 1997], where hot, tenuous plasma is  
312 radially transported planetward while cold, dense plasma is transported outward [e.g.  
313 Dumont et al., 2014]. Plasma injections are thought to be produced by processes related  
314 to interchange instability [Thorne et al., 1997; Mauk et al., 1999] and/or tail reconnection  
315 [e.g. Krupp et al., 1998; Louarn et al., 2014; Gray et al., 2016; Kimura et al., 2017].  
316 Mauk et al., [2002] proposed two mechanisms for how plasma injections can produce  
317 auroral emissions at Jupiter. The first is electron scattering by magnetospheric waves that  
318 modifies the electron pitch angle distribution into a more field-aligned configuration.  
319 Recent simulations by Dumont et al., [2018] have suggested that electron pitch angle  
320 scattering by whistler mode waves could reproduce the auroral signatures associated with  
321 plasma injections. The second mechanism involves current flows along the boundary of  
322 the high-pressure region of injected plasma, where field-aligned currents travel towards  
323 and away from Jupiter. These currents interact with plasma near the planet to produce  
324 downward accelerated electrons and auroral emissions that map to the trailing edge of the  
325 injected hot plasma distribution that's rotating with Jupiter. The electron distributions  
326 associated with the dawn storm emissions studied here were energetic (100s of keV),  
327 field-aligned, and bi-directional at Juno's location (6 – 8  $R_J$  from Jupiter, 40 – 50°N  
328 magnetic latitude,  $L = 14 - 15$ ), meaning that currents were flowing both towards and  
329 away from Jupiter. These observations are more consistent with the second mechanism  
330 being the driver of these emissions. It also provides important constraints for the first  
331 mechanism: that the wave-particle interactions must generate bi-directional, field-aligned  
332 beams and that these beams are generated between the equatorial and polar  
333 magnetosphere, at a significant distance (at least 6 – 8  $R_J$ ) from the planet.

334

335 The intensity-energy distributions of the electrons mapping to the dawn storm emissions  
336 had a power-law profile up to at least 20 keV, and extended up to 1000 keV. Broad  
337 energy distributions are a signature of stochastic particle acceleration (as opposed to  
338 acceleration by electrostatic potentials which show a mono-energetic peak in the  
339 intensity-energy distributions). Stochastic acceleration appears to be significant in  
340 energizing electrons that produce UV emissions associated with Jupiter's diffuse [Li et al.  
341 2017], main [Mauk et al. 2017, 2020, Allegrini et al. 2017, 2020], polar [Ebert et al. 2017,  
342 2019], and satellite footprint [Szalay et al. 2018, 2020] aurora. Proposed mechanisms  
343 include dissipation of turbulent Alfvénic fluctuations [Saur et al. 2003], resonance with  
344 whistler waves [Kurth et al. 2018, Elliott et al. 2018], electron Landau damping of kinetic  
345 Alfvén waves [Saur et al. 2018], and incompressible magnetic [Alfvénic] turbulence  
346 [Gershman et al. 2019]. Electron observations mapping to dawn storm emissions at radial  
347 distances where the loss cone can be resolved by JADE and JEDI are required to  
348 determine whether stochastic processes are responsible for accelerating the electrons that  
349 produce dawn storms.

350

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352

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359 CALIBRATED-V1.0, JNO-J-UVS-3-RDR-V1.0, JNO-J-JED-3-CDR-V1.0, and JNO-J-  
360 3-FGM-CAL-V1.0 data sets used in this study can be obtained from the Planetary Data  
361 System (PDS) at this site (<https://pds.nasa.gov/>).

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