Simultaneous UV Images and High-latitude Particle and Field Measurements During an Auroral Dawn Storm at Jupiter

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Key Points

1. Juno concurrently observed UV emissions from a Jupiter dawn storm and high-latitude plasma mapping to them at radial distances of ~6 – 8 R_J.

2. Electron distributions with energies from ~10–1000 keV carried a significant fraction of the energy flux needed to produce the UV emissions.

3. Energetic ions, magnetic perturbations, whistler mode waves and bKOM radio emissions were observed on field lines mapping to the dawn storm.

Abstract

We present multi-instrument Juno observations on day-of-year 86, 2017 that link particles and fields in Jupiter's polar magnetosphere to transient UV emissions in Jupiter's northern auroral region known as *dawn storms*. Juno ranged from 42ºN - 51ºN in magnetic latitude and 5.8 – 7.8 jovian radii (1 R_J = 71,492 km) during this period. These dawn storm emissions consisted of two separate, elongated structures which extended into the nightside, rotated with the planet, had enhanced brightness (up to at least 1.4 megaRayleigh) and high

47 color ratios. The color ratio is a proxy for the atmospheric penetration depth and therefore
48 the energy of the electrons that produce the UV emissions. Juno observed electrons and
49 ions on magnetic field lines mapping to these emissions. The electrons were primarily
50 field-aligned, bi-directional, and, at times, exhibited sudden intensity decreases below ~10
51 keV coincident with intensity enhancements up to energies of ~1000 keV, consistent with
52 the high color ratio observations. The more energetic electron distributions had
53 characteristic energies of ~160 – 280 keV and downward energy fluxes (~70 – 135 mW/m^2)
54 that were a significant fraction needed to produce the UV emissions for this event.
55 Magnetic field perturbations up to ~0.7% of the local magnetic field showing evidence of
56 upward and downward field-aligned currents, whistler mode waves, and broadband
57 kilometric radio emissions were also observed along Juno's trajectory during this
58 timeframe. These high latitude observations show similarities to those in the equatorial
59 magnetosphere associated with dynamics processes such as interchange events, plasma
60 injections, and/or tail reconnection.
61
62 **1. Introduction**
63
64 The primary components of Jupiter's ultraviolet (UV) aurora are the main, outer, polar,
65 and satellite emissions [see review by Grodent et al., 2015 for details]. These emissions are
66 produced primarily by precipitating electrons interacting with H$_2$ molecules in Jupiter's
67 upper atmosphere [e.g. Broadfoot et al., 1979] and can be used as a diagnostic of dynamics
68 and structure in Jupiter's magnetosphere. A number of secondary, transient UV auroral
69 emissions have also been identified, many occurring in the dawn sector of Jupiter's auroral
70 region [e.g. Prangé et al., 1993; Gérard et al., 1994; Ballester et al., 1996; Clarke et al.,
71 1998; Gustin et al. 2006; Radioti et al., 2008; Kimura et al., 2015; 2017; Yao et al. 2020;
72 Bonfond et al., 2021].
73
74 Using UV images from the Faint Object Camera on the Hubble Space Telescope (HST),
75 Gérard et al., [1994] identified a bright, arc-like UV feature of ~6 megarayleighs (MR) in
76 Jupiter's northern auroral region that was in quasi-corotation with the planet. This feature
77 dimmed by more than an order of magnitude when it was observed again ~20 hours later,
78 suggesting a transient phenomenon that was attributed to large-scale variations in Jupiter's
79 magnetospheric current system. Clarke et al., [1998] identified bright, transient UV
80 emissions in the local dawn region near the expected location of Jupiter's main emission.
81 These emissions, coined 'dawn storms', showed significant spreading in longitude and
82 remained near local dawn while other, dimmer, emissions co-rotated with the planet. Their
83 proximity to the main aurora suggested that these emissions were produced in Jupiter's
84 middle magnetosphere. Kimura et al., [2015] interpreted these dawn storms as being driven
85 by tail reconnection, bringing energetic particles from the outer and middle magnetosphere
86 to the inner magnetosphere within a timeframe of up to 2 planetary rotations. Gustin et al.,
87 [2006] reported on dawn UV auroral brightenings of up to ~1.8 MR, approximately 4 times
88 brighter than the nominal main emission [Grodent et al., 2003]. These features had a
89 leading edge that was fixed in system III longitude (a system fixed with the corotationg
90 planet) whereas the trailing edge seemed to be organized by local time, with an extension
91 into the nightside of Jupiter's auroral region. UV spectral observations were used to infer
92 the characteristic energies (up to ~50 – 500 keV), energy fluxes (5 – 90 mW m^{-2}) and

93   current densities (~0.1 – 0.5 µA m^{-2}) of the precipitating electrons responsible for
94   producing these emissions [Gustin et al., 2006]. These electrons were interpreted as being
95   accelerated by electric fields in regions of upward field-aligned currents.
96
97   In July 2016, NASA's Juno mission [Bolton et al., 2017] was inserted into a 53-day polar
98   orbit around Jupiter. Juno is a spinning spacecraft with a ~30 s spin period. Its orbit and
99   suite of instruments provide an excellent platform to remotely image Jupiter's aurora [e.g.
100 Connerney et al., 2017a] while simultaneously measuring in situ the polar magnetospheric
101 environment that map to unique auroral emissions [e.g. Ebert et al., 2019; Gérard et al.,
102 2019]. The Juno observations present a new opportunity to study the physics of dawn
103 storms at Jupiter. For instance, Bonfond et al. [2021] examined the complete process of
104 dawn storm evolution using global images of these events provided by Juno along with
105 their frequency. They found that dawn storms originate as small, short-lived emissions
106 followed a couple of hours later by the evolution from a linear to a more irregular arc in
107 the main emission in the midnight region which then rotates toward dawn. After
108 broadening and then splitting, these emissions are separated by a region absent of emissions
109 that fills in as the event progresses. The final stage produces equatorward-moving auroral
110 emissions associated with plasma injection signatures. Considered as a sequence, these
111 auroral features were interpreted as the signature of magnetotail reconfigurations, including
112 reconnection, plasma instabilities, dipolarization, and plasma injections. They also
113 determined that dawn storms are present in approximately half of the 8-hour long perijove
114 sequences during Juno's first 20 perijoves.
115
116 Yao et al. [2020] combined in situ particle and field measurements in Jupiter's equatorial
117 magnetosphere from Juno with auroral observations from the HST. They found that auroral
118 dawn storm emissions were often observed in conjunction with auroral signatures
119 attributed to particle injections in the equatorial magnetosphere. They suggested that the
120 drivers of these emissions may be physically connected, with magnetic reconnection in the
121 dawn side magnetosphere being responsible for the auroral dawn storms and the
122 subsequent magnetic dipolarization producing the auroral injection signatures.
123 Swithenbank-Harris et al. [2021] also examined in-situ measurements from Juno in
124 Jupiter's equatorial dawn magnetosphere concurrent with an auroral dawn storm observed
125 by HST. Their analysis revealed a source region located at ~60 R$_J$ with a component
126 rotating towards local noon and proton velocities to near corotation speeds. The source
127 region was characterized by enhanced densities of hot plasma, field-aligned energetic
128 protons and heavy ions, and a reversal in the azimuthal component of Jupiter's magnetic
129 field. They suggested that dawn storms result from the acceleration and heating of
130 magnetospheric plasma following reconnection at earlier local times.
131
132 In this study, we present UV images and in situ particle and field observations in Jupiter's
133 polar magnetosphere associated with a dawn storm in Jupiter's northern auroral region on
134 day-of-year (DOY) 86, 2017, prior to Juno's 5th perijove (PJ5). We focus on the period
135 from 03:00 – 05:00 UT when Juno ranged from 7.8 R$_J$ to 5.8 R$_J$ in jovicentric radial distance
136 and 41.6°N to 50.9°N in magnetic latitude. This period is of high interest because the
137 spacecraft simultaneously imaged the UV emissions it was magnetically connected to. This
138 was the first time that Juno was at high latitude and on magnetic field lines connecting to

3

139   a dawn storm. Section 2 provides an overview of the Juno data sets and observing geometry
140   used in this study. UV brightness and color ratio images and polar magnetosphere particle
141   and field observations are presented in section 3. Section 4 provides an interpretation of
142   the observations. A summary and conclusions are presented in Section 5. These Juno
143   observations provide a unique opportunity to study particle and field features at high
144   latitude that directly map to transient UV emissions observed in Jupiter's dawn auroral
145   region.
146
147   **2. Data Sets and Observing Geometry**
148
149   We examine electron and ion observations from Juno's Jovian Auroral Distributions
150   Experiment Electron (JADE-E) and Ion (JADE-I) sensors [McComas et al., 2017] and
151   Jupiter Energetic particle Detector Instrument (JEDI) [Mauk et al. 2017a], UV emissions
152   from Juno's Ultraviolet Spectrograph (UVS) [Gladstone et al., 2017], radio and plasma
153   wave observations from the Waves instrument [Kurth et al. 2017], and magnetic field
154   observations from the Magnetic Field Investigation (MAG) [Connerney et al. 2017b].
155   JADE-E and JEDI measure electron fluxes in the energy range of $0.1 - 100$ keV and $30 -$
156   1000 keV, respectively, along with their pitch angle distributions, with a time resolution
157   up to 1 s. JADE-I measures ions from $0.01 - 46$ keV/charge (keV/q) over a mass per charge
158   (m/q) range of $\sim 1 - 64$ amu at a time resolution as high as 2 s. JEDI measures ions with
159   total energy from ~ 50 keV to well above 1 MeV (upper limit is species dependent), along
160   with their pitch angle distributions and can resolve oxygen and sulfur above ~ 400 keV.
161   UVS is an imaging spectrograph sensitive to wavelengths between 68 and 210 nm. It
162   observes Jupiter's northern and southern auroras for several hours bounding each Juno
163   perijove pass from jovicentric distances of $\sim 1.3 - 7$ R_J. A scan mirror allows shifting the
164   field-of-view (FOV) of UVS by up to $\pm 30°$ above or below the spacecraft spin plane. The
165   combination of Juno's spinning nature and UVS's scan mirror gives this instrument access
166   to half the sky for any given spacecraft orientation. The UV brightness is determined by
167   integrating the emissions between $155 - 162$ nm and then multiplying by 8.1 to estimate
168   the total H_2 emission between $75 - 198$ nm [Gérard et al., 2019]. Waves measures the wave
169   magnetic and electric fields covering frequency ranges of 50 Hz $- 20$ kHz and 50 Hz $- 40$
170   MHz, respectively, at a time resolution of up to 1 s near Juno's perijove. MAG consists of
171   two independent sensor suites, each containing a tri-axial fluxgate magnetometer (FGM)
172   and a pair of imaging sensors. The FGMs simultaneously measure the magnetic field at a
173   rate of 64 vector samples per second. We utilize 1 s magnetic field vector observations
174   from MAG to calculate the electron pitch angle distributions. See the instrument papers
175   cited above for more details.
176
177   Figure 1 shows Juno's trajectory on approach to PJ5 and its projection onto the planet using
178   the JRM09 internal magnetic field model [Connerney et al., 2018] and the magnetodisc
179   model of Connerney et al., [1981], where Z_{MAG} is along Jupiter's magnetic dipole axis and
180   ρ_{MAG} is the perpendicular distance from the magnetic dipole axis. M, or M-shell,
181   corresponds to a distance based on the predicted magnetic equator crossing distance for
182   any given magnetic field line. Juno's magnetic footpoint maps along Jupiter's northern
183   main auroral oval from $\sim 02:00 - 06:30$ UT on DOY 086, 2017. The periods of interest are
184   highlighted by the thick red, blue, and green lines. The blue line corresponds to an interval

185 when Juno made in situ measurements of particles, and fields while simultaneously
186 observing bright UV features near Jupiter's main emission. The red line identifies a period
187 when Juno made in-situ measurements on field lines mapping to the expected location of
188 the bright UV features under consideration here. The green line highlights when Juno was
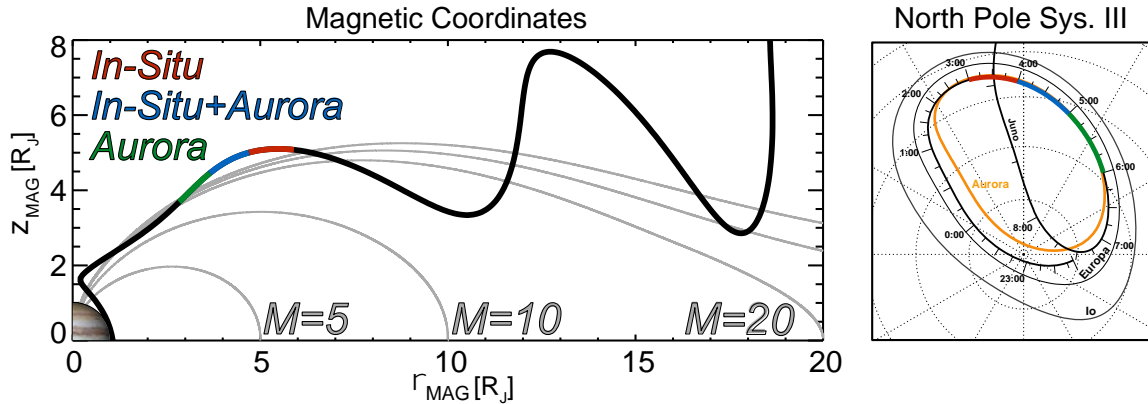189 only remotely observing the bright UV auroral features.
190

191
192 **Figure 1**: *(Left) Juno's inbound trajectory (black line) in Jupiter's northern hemisphere*
193 *prior to perijove 5 on days-of-year 85 – 86, 2017. The trajectory is shown in a magnetic*
194 *coordinate system [Connerney et al., 1981; 2018]. Magnetic field lines, and the M-shells*
195 *that they map to, are shown in grey. (Right) Magnetic projection of Juno's trajectory onto*
196 *the 1-bar level of Jupiter's upper atmosphere in black with times indicated. Orange oval*
197 *denotes the statistical average position of Jupiter's main ultraviolet aurora [Bonfond et*
198 *al., 2012]. Thick red, blue, and green lines highlight the periods of interest along Juno's*
199 *trajectory. Dashed circles and lines are contours of constant jovicentric latitude and*
200 *system III longitude, respectively. Black ovals identify the location of the Io (outer) and*
201 *Europa (inner) auroral footprint paths.*
202

203 **3. UV Aurora and High-Latitude Magnetosphere Observations**
204

205 Figure 2 presents UV brightness (left column) and color ratio (right column) maps of
206 Jupiter's northern auroral region covering the period from 03:58 – 06:06 UT on DOY 86,
207 2017. Each map is a composite of 12 different images obtained over a ~6-minute interval,
208 each image having ~17 ms of integration time. The color ratio, defined as the ratio between
209 the integrated brightness from 158 to 162 nm and 126 to 130 nm, is used to estimate the
210 depth from which the UV emissions are generated and are a proxy for the characteristic
211 energy of the precipitating electrons that produce them. A larger color ratio is interpreted
212 as representing more energetic electrons that produce the UV emissions [e.g. Gérard and
213 Singh, 1982], with more energetic electrons penetrating deeper into the atmosphere [e.g.
214 Gérard et al., 2014].
215

216 This study focuses on the bright UV emissions observed on the left side of Figure 2(a),
217 near the statistical average latitude of the main aurora, denoted by the white dashed ovals
218 [Bonfond et al., 2012]. These UV emissions consist of two bright, elongated features on
219 the dawn side of the auroral region, a leading spot near the terminator, and a trailing spot
220 on the nightside. Figures 2(a) – 2(e) are a time series of the auroral images and show that
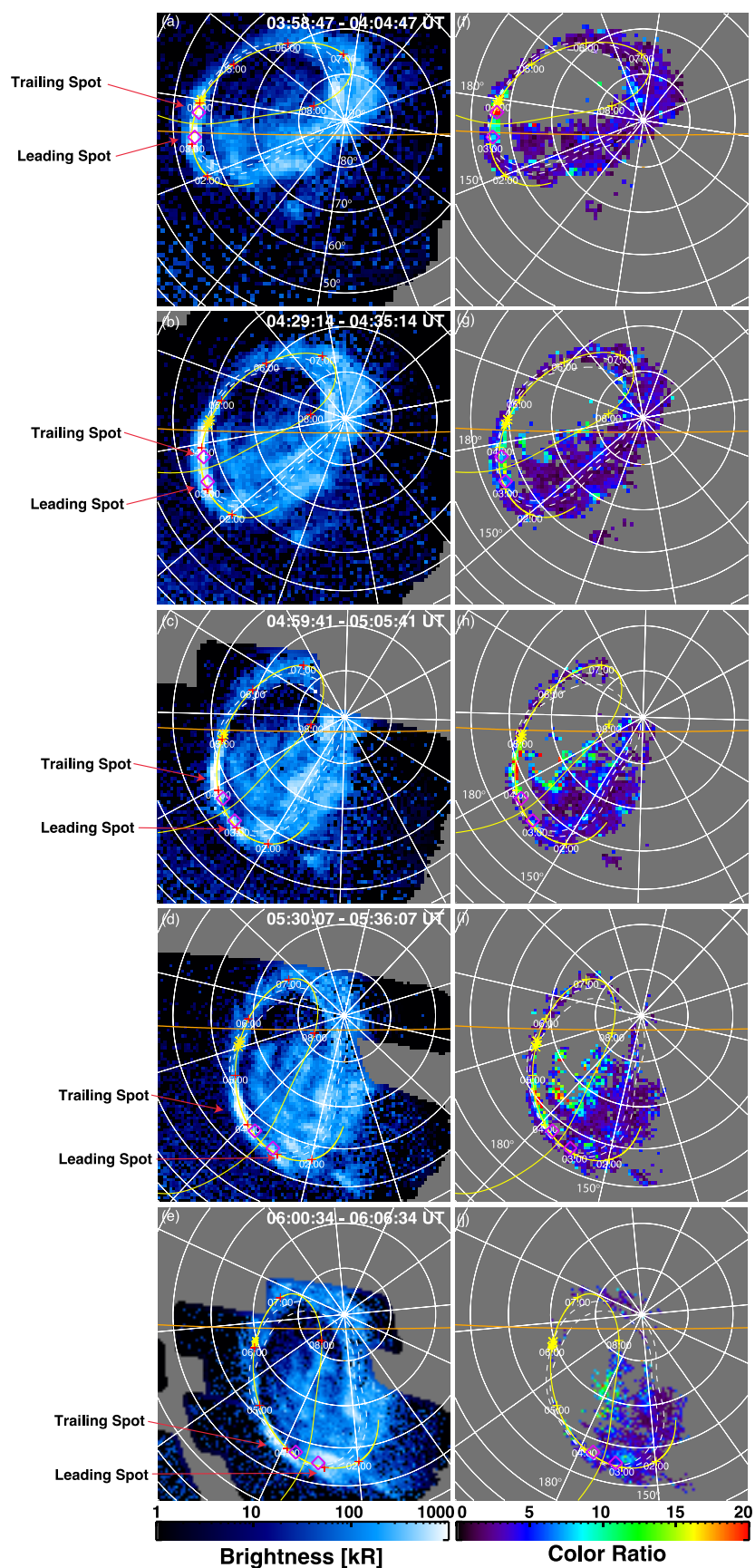
221

6

222   **Figure 2**: *(a) – (e) Polar projections of ultraviolet (UV) brightness images in Jupiter's*
223   *northern auroral region on day-of-year 086, 2017 from 03:58 to 06:06 UT. (f) – (j) Color*
224   *ratio images for the same intervals as shown for the UV brightness. These images are in*
225   *chronological order. Red arrows in (a) – (e) point to the emissions studied here. Yellow*
226   *lines identify Juno's trajectory, the yellow stars identify Juno's footprint at the time when*
227   *the UV images were collected. The purple diamonds in each panel bound the latitude and*
228   *longitude for the UV emissions whose brightness and color ratio estimates are shown in*
229   *Figure 3. Orange lines denote the day-night terminator, the Sun direction being the bottom*
230   *of each image. The two white dashed lines represent the statistical average compressed*
231   *and expanded position of Jupiter's main ultraviolet (UV) aurora [Bonfond et al., 2012].*
232
233   these features travel in the direction of planetary rotation. The purple diamond symbols in
234   Figure 2 identify emissions that are tracked throughout this interval, at a System-III latitude
235   and longitude of 55.6° and 165.3°, respectively, for the leading spot and 56.8° and 174.8°,
236   respectively, for the trailing spot (see Figure 3).
237
238   The image in Figure 2(f) indicates that the UV features under consideration have relatively
239   high color ratios compared to the surrounding emissions. The color ratio for the nightside
240   emission is greater than that for the emission near the terminator, suggesting that the
241   nightside emissions are produced by more energetic electrons. Figures 2(f) – 2(i) show that
242   the color ratio for the trailing spot remains relatively higher than the leading spot
243   throughout most of the interval.
244
245   Figure 3 shows a time series of the maximum brightness and color ratio for the UV
246   emissions at the latitudes and longitudes specified within the purple diamond symbols in
247   Figure 2, along with their uncertainties. We present a value from each image shown in
248   Figure 2. The maximum brightness at the locations specified for the leading and trailing
249   spots were 1170 ± 290 kilorayleighs (kR) and 1380 ± 300 kR, respectively, at the beginning
250   of the interval and 660 ± 240 kR and 100 ± 100 kR, respectively, at the end of the interval.
251   The maximum color ratio for the leading spot ranged between $5.7 – 8.5$ within the region
252   of interest. The maximum color ratio within the trailing spot at the beginning of the interval
253   was significantly higher compared to the leading spot and remained relatively enhanced
254   except for the last image. This indicates that electrons producing the trailing spot were
255   more energetic than those producing the leading spot. The brightness and color ratio within
256   both the leading and trailing spots showed a reduction in magnitude as the dawn storm
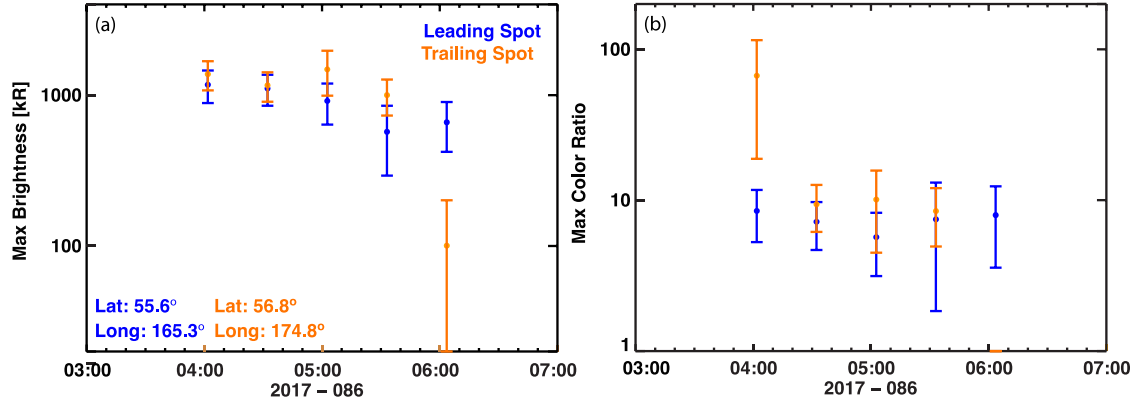257   progressed.

Figure 3: *(a) Maximum (max) UV brightness and (b) max color ratio within the latitudes and longitudes within the region bound by the purple diamond symbols in Figure 2..*

Figure 4 presents UV aurora and polar magnetosphere electron, ion, and magnetic field observations from DOY 086, 2017. The UV brightness (Figure 4a) and color ratio (Figure 4b) images were obtained from observations collected between 03:58 and 04:04 UT, a period when Juno's magnetic footprint mapped to near the trailing spot auroral features highlighted in the purple boxes. The magnetospheric observations (Figures 4c – 4i) cover the time range from 03:00 – 05:00 UT. During this timeframe, Juno moved toward Jupiter from 7.8 to 5.8 R_J and to higher northern magnetic latitudes from 42°N to 51°N, and its magnetic footprint mapped near the predicted location of the main emission and the bright UV emissions highlighted in Figures 2. The vertical purple rectangular box highlights particle and field observations that coincide with the timing of the auroral observations in Figures 4a and 4b.

Figure 4(c) shows an energy versus time spectrogram of differential energy flux for 0.1 – 1000 keV electrons based on combined JEDI and JADE-E observations. The JEDI observations (> 100 keV) have a 30 s time resolution throughout this period while the JADE-E observations have a resolution of 1 s from 03:00 –03:30 UT and a 30 s resolution from 03:30 – 05:00 UT. The transition between the JADE and JEDI data presented here is at 100 keV.

The electron distributions show variations in both differential energy flux and energy. The most notable features are the depletions in low-energy electrons observed between ~03:11 – 03:12, 03:18 – 03:26, and 03:53 – 04:25 UT. During these times, the minimum energy of the electrons increases from < ~200 eV to ≥ 10 keV, the bulk of their differential energy flux distributions being at energies > 100 keV, with their maximum energy exceeding 500 keV. A closer inspection of the JEDI data from ~03:18 – 03:26 and 04:00 – 04:15 UT shows an enhanced electron flux just above 160 keV in the electron energy spectrogram. This signature is consistent with the presence of penetrating (> ~1 MeV) electrons. The interval between 03:53 – 04:25 UT contains the period where the electrons map to near the dawn UV emissions highlighted in Figures 4(a) and 4(b), the increase in electron energy being consistent with the high UV color ratio observations.

8

293   The pitch angle distributions for the ~30 keV – 1 MeV electrons in Figure 4(d) are primarily
294   between 0 - 30° and 150 - 180°, indicating that the electrons are mostly field-aligned and
295   bi-directional at Juno's location, traveling both towards (downward) and away (upward)
296   from Jupiter. The pitch angles for the 0.1 – 100 keV electrons in Figure 4(e) are also
297   primarily field-aligned and bi-directional, both during times when the low-energy electrons
298   are prominent and when they are depleted. The loss cone at Juno's radial distance during
299   this timeframe ranged from ~2.5° - 4°, which is below the pitch angle resolution for JEDI
300   and JADE.
301
302   The 45 – 1000 keV proton and 0.01 – 46 keV/q ion differential energy flux distributions in
303   Figure 4(f) and 4(g), respectively, display similar features as the electrons. Depletions in
304   the low energy ions are observed during similar times as the low energy electrons. At times,
305   the bulk of the ion distributions are at energies above 50 keV. The pitch angle distributions
306   for the protons in Figure 4(f) (not shown) provide evidence for field-aligned, downgoing
307   beams between ~03:20 – 03:30 UT and 04:12 – 04:14 UT.
308
309   Figures 4(h) and 4(i) show magnetic field perturbations and magnitudes, respectively, for
310   the radial (B_r), meridional (B_θ), and azimuthal (B_φ) components of Jupiter's magnetic
311   field in a spherical coordinate system. The magnetic field perturbations are calculated by
312   taking the 1 s magnetic field observations and subtracting the ambient field predicted by
313   JRM09 [Connerney et al. 2018] with the latest Jupiter magnetodisc model [Connerney et
314   al. 2020]. During this timeframe, MAG was operating in a range which corresponds to a
315   quantization step size of 0.128nT, indicating that the perturbations recorded here (max
316   ~27 nT) are well resolved. The periods with the largest perturbations occur at ~03:28 –
317   03:30 UT and ~04:13 – 04:15 UT. Based on the perturbations in B_φ and assuming that all
318   large scale perturbations are associated with a source region, it seems like Juno first
319   crossed a downward field-aligned current region (decreasing δB_φ) followed by an upward
320   field-aligned current region (increasing δB_φ) in both periods mentioned above. The
321   magnetic field magnitude during this interval ranged from ~1500 nT to ~3700 nT. The
322   maximum perturbations recorded here are up to ~0.7% of the ambient field.
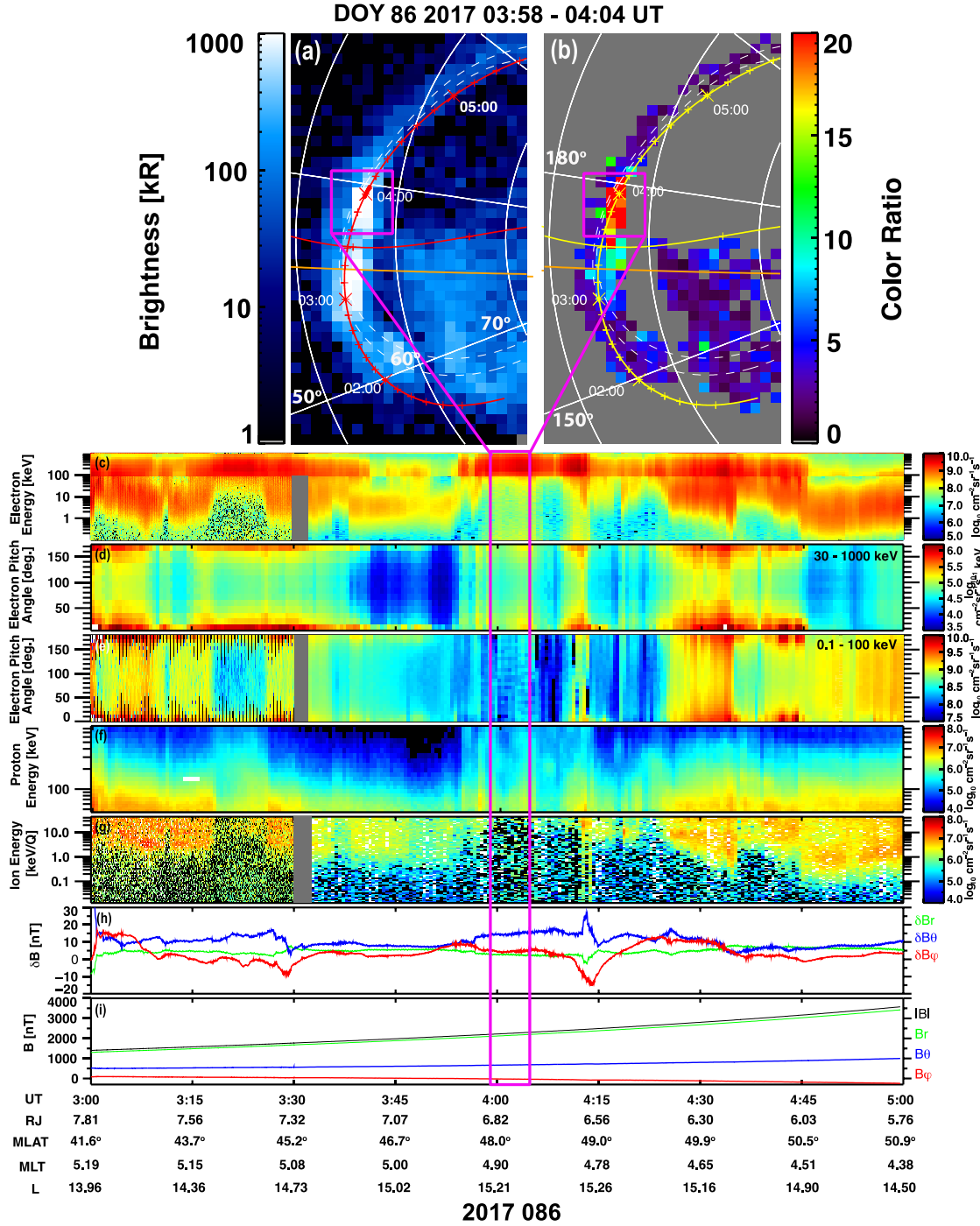323
324

Figure 4: *(a) UV brightness and (b) color ratio images from 03:58 – 04:04 UT on DOY 86, 2017. (c) Energy versus time differential energy flux spectrograms for 0.1– 1000 keV electrons. Electron pitch angle distributions for (d) 30 – 1000 keV and (e) 0.1 – 100 keV electrons, respectively. Electrons with pitch angles of 0° and 180° are moving toward (downward) and away from (upward) Jupiter, respectively. (f) 45 – 1000 keV proton and (g) ~0.01 – 46 keV/q ion differential energy flux spectrograms, respectively. (h) magnetic field perturbations and (i) magnitudes, respectively. The purple boxes identify the UV emission and color ratio features that Juno is mapping to and the corresponding*

10

334   *magnetospheric observations. Data gaps are denoted by grey pixels. Juno's jovicentric*
335   *distance (R_J), magnetic latitude (MLAT), magnetic local time (MLT), and M-shell (M), are*
336   *provided.*
337
338   Figure 5 displays the plasma wave electric and magnetic spectral density for the time range
339   shown in Figure 4. The electric field frequencies ranged from ~50 – 200,000 Hz and the
340   magnetic field frequencies from 50 – 20000 Hz. The emissions just above the electron
341   cyclotron frequency (f_{ce}) (white line) in the top panel are broadband kilometric radio
342   emissions (bKOM). The bKOM is a free-space mode wave generated by the cyclotron
343   maser instability (CMI) at frequencies above f_{ce}. The bKOM appear to be more intense
344   when electrons having keV energies are present. Multi-instrument studies with JADE and
345   Waves when Juno crossed hectometric (HOM) radio sources show that 5-10 keV electrons
346   are able to produce this radiation via CMI [e.g. Louarn et al., 2017, 2018]. Studies of non-
347   Io-related decametric (non-Io-DAM) emissions estimated the energy of resonant electrons
348   to be in the range of several keV [Imai et al., 2017]. Analogous to HOM and non-Io-DAM
349   radiation, the bKOM resonant energy may also be several keV, which is consistent with
350   the enhanced electron energy observations in Figure 4. More details of these emissions
351   during PJ5 can be found in Imai et al. [2019].
352
353   The emission below the f_{ce} are whistler mode auroral hiss with the bulk of the wave energy
354   below 1 kHz. These waves typically propagate along magnetic field lines. Intensification
355   near 03:30 and 04:15 UT correspond to the regions of currents identified in Figure 4(h).
356   When their direction of propagation can be determined (between ~03:23 – 03:25 UT), these
357   whistler mode waves appear to be upward propagating and are likely generated at altitudes
358   below the spacecraft. This technique uses orthogonally oriented B and E sensors (as they
359   are on Juno) to measure the phase between signals in these two sensors. This can provide
360   information on whether the propagation is parallel or anti-parallel to the magnetic
361   field. Details of this technique can be found in Kolmasova et al. [2018].
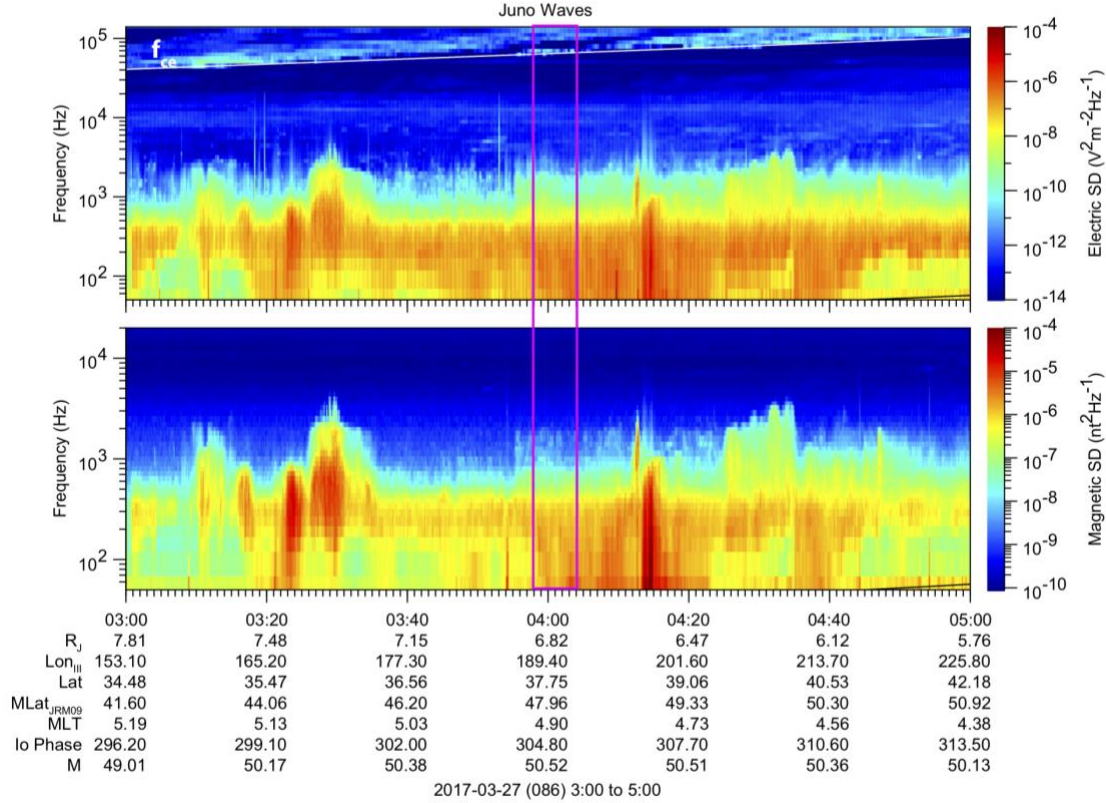362

Figure 5: *Time series of plasma wave electric (top) and magnetic (bottom) field spectral density from 03:00 – 05:00 UT on day of year 086, 2017. The white line in the top panel denotes the electron cyclotron frequency (f_{ce}). Juno's jovicentric distance (R_J), system III longitude (LON_{III}), jovigraphic latitude (Lat), magnetic latitude ($MLat_{JRM09}$), magnetic local time (MLT), phase angle relative to Io, and M-shell (M) are provided. The purple box identifies the same interval as highlighted in Figure 4.*

Figure 6 combines JADE-E and JEDI observations to examine the differential intensity versus energy distributions, or energy spectra, of 0.1 – 1000 keV electrons for selected intervals between 03:00 – 05:00 UT on DOY 086, 2017. We highlight periods where the low energy electrons are and are not depleted and when the magnetic field perturbations are largest. The electron energy spectra are separated into field-aligned distributions with pitch angles between 0 – 15º (downward – electrons moving towards Jupiter) and between 165 – 180º (upward – electrons moving towards Jupiter). We only select JADE intervals for times when JADE-E has full pitch angle coverage.

Figure 6a shows the energy spectra during a time when the electron intensities peak at ~ 1 – 2 keV, have a power-law distribution above those energies, and show little difference between the upward and downward intensities. Figures 6(b) – (d) highlight intervals where the low energy electron intensities are depleted and the high energy electrons are enhanced. Note the 1 – 2 order of magnitude increase in the 100 – 1000 keV electron intensities compared to Figure 6(a). Figure 6(c) shows energy spectra within the time interval when Juno was mapping to near the dawn storm UV emissions in Figure 4(a) – (b). Figure 6(d) shows energy spectra during the time when Juno observes the largest magnetic field

388   perturbations during this interval, noting the possible upward versus downward intensity
389   asymmetry between $1 - 50$ keV and $100 - 1000$ keV. The upward electrons above 100 keV
390   have higher intensities compared to the downward electrons, indicating that further
391   acceleration may be occurring below Juno's altitude.
392
393   The scatter in the JADE intensities in Figures 6(b) – (c) are due to the low energy plasma
394   being tenuous and variable and the measured signal being at or near the one-count level of
395   the instrument. The JADE and JEDI electron spectra show agreement within up to a factor
396   of 2 at overlapping energies. The intensity increases in the JADE-E spectra between ~20 –
397   100 keV is likely due to residual background signal not being completely removed from
398   the observations [see Allegrini et al. 2020a for details]. Additional factors may include the
399   different temporal resolution for the JADE and JEDI observations presented here and
400   differences in field-of-view and angular resolution between the two instruments as
401   described in Allegrini et al. [2020a]. The distinct bump in intensities observed in all plots
402   between ~150 – 500 keV is due to a JEDI instrument artifact where energetic electrons can
403   begin passing completely through the solid state detectors (SSDs) at ~420 keV, meaning
404   all their energy is not properly captured and instead these particles give false counts around
405   and above about 160 keV. These local peaks can be thought about as the integrated count
406   rate of electrons above 420 keV that escaped detection of their full energy by JEDI [see
407   Mauk et al., 2017c for details]. This feature was partially corrected by redistributing the
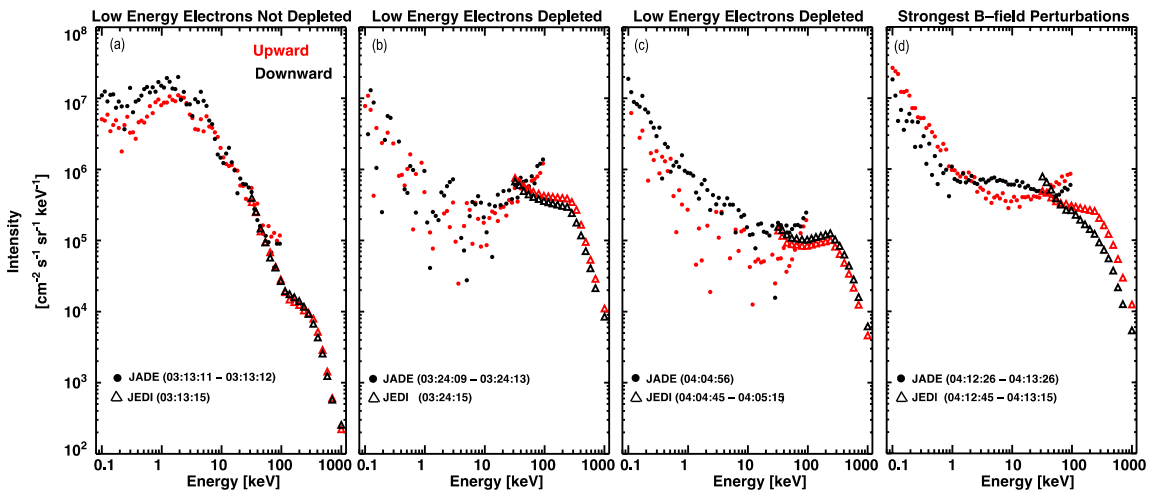408   intensities to their expected energy following the approach outlined in Mauk et al. 2017c.
409

411   **Figure 6**: *(a) – (d) Intensity versus energy for 0.1 – 1000 keV electrons in Jupiter's polar*
412   *magnetosphere. Measurements are from the JADE-E and JEDI instruments on Juno. Black*
413   *and red symbols represent electron intensities with pitch angles of $0 - 15°$ (downward) and*
414   *$165 - 180°$ (upward), respectively. The JADE observations in (a) – (b) and (c) – (d) have 1*
415   *second and 30 second resolution, respectively, while the JEDI observations have 30 second*
416   *resolution throughout. The intensities from each instrument are averaged over the time*
417   *interval specified in each plot.*
418
419   Table 1 provides estimates for the characteristic energy and energy flux of the electron
420   distributions in Figure 6. Both quantities are calculated using methods described in several
421   recent Juno studies [e.g. Mauk et al. 2017b, Clark et al. 2018, Allegrini et al. 2020a]. The

13

422 characteristic energy reflects the average energy of the electron distributions while the
423 energy flux is a measure of the electron power per unit area. We use JADE observations
424 from 0.1 – 50 keV and JEDI observations from 50 – 1000 keV in the calculation. The
425 characteristic energy for the upward and downward electron distributions in Figure 6(a)
426 were 24 and 19 keV, respectively, while their energy flux was 9 – 10 mW m^{-2}. The
427 characteristic energy and energy flux associated with the distributions in Figures 6(b) – (d),
428 where the low energy electrons are depleted and high energy electron intensities are
429 enhanced, were a factor of 5 – 20 larger. In particular, the downward energy fluxes in these
430 intervals contain significant fraction of the values required to produce the dawn storm UV
431 emissions identified in Figures 2 – 4, assuming a factor of 10 conversion between energy
432 flux and UV brightness (1 mW m^{-2} ~ 10 kR) [e.g. Grodent et al., 2001], though we note
433 that neither JADE or JEDI are able to resolve the loss cone at the altitude of these
434 observations.
435
436 **Table 1**: Characteristic Energy and Energy Flux for the Electron Distributions in Figure 6.

Time Interval on DOY 86, 2017 (UT)	Characteristic Energy [keV]		Energy Flux [mW m^{-2}]	
	Upward	Downward	Upward	Downward
JADE: 03:11:11 – 03:11:12 JEDI: 03:11:15	24	19	9	10
JADE: 03:24:09 – 03:24:13 JEDI: 03:24:15	235	212	179	134
JADE: 04:04:56 JEDI: 04:04:45 – 04:05:15	283	247	51	66
JADE: 04:12:26 – 04:13:26 JEDI: 04:12:45 – 04:13:15	221	163	137	69

437
438 **4. Discussion**
439
440 We present in situ and remote sensing observations from Juno that connect electrons, ions,
441 magnetic field, and plasma waves in Jupiter's northern polar magnetosphere to transient
442 UV emissions near Jupiter's northern main aurora. The transient UV emissions, consisting
443 of two separate, elongated structures with high color ratios, were observed in the dawn
444 region near the main aurora, extended into the nightside, and rotated to the dayside,
445 suggesting that the generation process of these UV emissions was located in the middle
446 magnetosphere [e.g. Clarke et al., 1998], and moving in the direction of Jupiter's rotation.
447
448 These UV emissions have similar characteristics as the UV brightenings described by
449 Gustin et al., [2006] (high color ratios, leading edge traveling in the direction of planetary
450 rotation, trailing edge extending into the nightside), features that are often attributed to
451 dawn storms. According to Bonfond et al. [2021], dawn storms originate near midnight
452 and are initially fixed in magnetic local time. The UV emissions then brighten, their color
453 ratios increasing, as they move towards dawn and are observed to corotate with the planet.
454 Kimura et al., [2017] noted that after onset, dawn storms expand in latitude and longitude,
455 have a rapid increase in total UV power, and produce emissions equatorward of the main
456 auroral oval, during the peak phase of the storm. The UV emissions presented here are
457 consistent with several of these dawn storm features. It was indeed identified as such in

458   Bonfond et al. [2021] and was followed by another dawn storm starting at 07:33 UT on the
459   same day. These dawn storm emissions had a peak UV brightness of at least 1.4 MR and
460   the emissions dimmed as they rotated to Jupiter's dayside. The color ratios show a similar
461   trend, peaking between ~30 and ~70 for the leading and trailing emissions, respectively,
462   and reducing significantly as the UV emissions rotated towards the dayside. This suggests
463   that both the energy flux and energy of the electrons producing these emissions were also
464   decreasing. Both the UV brightness and color ratio remained enhanced compared to the
465   surrounding UV emissions for the period examined here.
466
467   The electron distributions presented here show several distinct characteristics. At times,
468   the bulk of the electron intensities reside between ~1 – 100 keV, extend to as low as ~100
469   – 200 eV and are field-aligned. We interpret these observations as plasma sheet electrons
470   with high latitude mirror points. During other times, the electron intensities are depleted at
471   energies below < 10 keV, are enhanced at energies of 100s keV, and are also field-aligned.
472   The signature of energetic populations measured simultaneously with depleted lower
473   energies is often associated with the interchange process, whereby flux tube bundles of
474   energized particles (perhaps moving inward and gaining energy by the conservation of the
475   first adiabatic invariant, μ) displace colder plasma in the region. The ions have similar
476   energy distributions as the electrons and at concurrent times. These cold and hot electron
477   and ion populations are interspersed and likely reflect a large-scale dynamic process in
478   Jupiter's equatorial magnetosphere at this time, where ambient plasma in Jupiter's middle
479   magnetosphere is accelerated, heated, and transported to high latitude. For example,
480   Swithenbank-Harris et al. [2021] reported enhanced hot plasma densities at ~ 60 R_J,
481   including field-aligned protons and heavy ions, along with reversals of the azimuthal
482   magnetic field, in the equatorial dawn magnetosphere during the dawn storm observed by
483   HST on July 13, 2016. They attribute the heating and acceleration of the equatorial plasma
484   to processes associated with magnetic reconnection and/or disruption of the azimuthal
485   current. Similar processes may be responsible for accelerating the electrons and ions for
486   the dawn storm reported here, prior to them being transported to high latitude.
487
488   The characteristic energy and energy flux of the hot electrons reported here are between a
489   factor of 5 – 20 larger than the cold distributions. The characteristic hot downward electron
490   energies of ~160 – 250 keV provide further evidence that dawn storms are produced by
491   energetic, 100s of keV, electrons. This is consistent with the high color ratio observations
492   for this event and the long-standing interpretation based on electron energy estimates
493   derived from color ratios of remotely sensed UV emissions from Jupiter's auroral region
494   [e.g., Gustin et al., 2006]. The hot electron distributions contain a significant fraction of
495   the energy flux required to produce the UV brightness of at least ~0.5 – 1.4 MR for this
496   dawn storm, even at Juno's radial distance of 6 – 8 R_J, with further enhancements possible
497   closer to the planet. Since neither JADE nor JEDI can resolve the loss cone at the radial
498   distance of these observations, however, we cannot make a definitive statement about the
499   electron distributions that are precipitating into the atmosphere to produce these dawn
500   storm emissions.
501
502   The upward to downward asymmetry in intensity, characteristic energy, and energy flux
503   observed in some of the electron energy spectra presented above indicate that further

504 acceleration is occurring below Juno's altitude during these events. The wave observations
505 during this period suggest that whistler mode auroral hiss and broadband kilometric
506 (bKOM) radio emissions are present at and/or below Juno's altitude. Previous studies have
507 shown whistler mode waves to be associated with electron beams and which could further
508 energize and pitch-angle scatter the electrons [e.g., Elliott et al. 2018; Sulaiman et al., 2020].
509 According to Imai et al. [2019], the intensity of bKOM radio emissions for this northern
510 pass is positively correlated with the UV brightness and color ratio within radio source
511 footprints. These positive correlations imply the existence of particles to wave energy
512 transport (i.e. some of the weakly relativistic electron energy converts into the bKOM wave
513 energy) along the common magnetic field lines between the bKOM radio sources and the
514 UV emissions.
515
516 The significant magnetic field perturbations observed at ~03:28 – 03:30 UT, and especially
517 at ~04:13 – 04:15 UT, coupled with the field-aligned and bi-directional nature of the
518 electron pitch angle distributions, provide evidence that field aligned currents are also
519 connected with these events. These perturbations are up to 0.7% of the ambient field at
520 Juno's location, a similar percentage as the magnetic perturbations measured closer to the
521 planet driven by field-aligned currents associated with Jupiter's main aurora [Kotsiaros et
522 al., 2019].
523
524 One candidate for producing these auroral emissions is plasma injections [e.g., Mauk et al.,
525 1997], where hot, tenuous plasma is radially transported planetward while cold, dense
526 plasma is transported outward [e.g., Dumont et al., 2014]. Plasma injections are thought to
527 be produced by processes related to interchange instability [Thorne et al., 1997; Mauk et
528 al., 1999] and/or tail reconnection [e.g., Krupp et al., 1998; Louarn et al., 2014; Gray et al.,
529 2016; Kimura et al., 2017]. Mauk et al., [2002] proposed two mechanisms for how plasma
530 injections can produce auroral emissions at Jupiter. The first is electron scattering by
531 magnetospheric waves that modify the electron pitch angle distribution by scattering
532 electrons into the loss cone. Simulations by Dumont et al., [2018] suggested that electron
533 pitch angle scattering by whistler mode waves could reproduce the auroral signatures
534 associated with plasma injections. The second mechanism involves a current driven along
535 the pressure gradient between the injected plasma and the surrounding plasma, which must
536 close at the planet. These currents interact with plasma near the planet to produce
537 downward accelerated electrons and auroral emissions that map to the trailing edge of the
538 injected hot plasma distribution that's rotating with Jupiter. The electron distributions
539 associated with the dawn storm emissions studied here were energetic (100s of keV), field-
540 aligned, and bi-directional at Juno's location (6 – 8 R_J jovicentric distance, 40 – 50°N
541 magnetic latitude), providing evidence that currents were flowing both towards and away
542 from Jupiter or electrons were accelerated toward Jupiter and mirrored back. These
543 observations are more consistent with the second mechanism being the driver of these
544 emissions, even though these are not necessarily mutually exclusive. The presence of
545 whistler mode auroral hiss at and below Juno's altitude may provide a mechanism for
546 electrons being further energized and scattered into the loss cone as they travel from Juno's
547 location to Jupiter atmosphere.
548

16

549 More recently, Yao et al. [2020] analyzed HST observations of Jupiter's auroral region and
550 found several instances where auroral emissions associated with dawn storms and with
551 injection events were observed at the same time. Examination of in-situ measurements
552 from Juno during one of these intervals revealed evidence of magnetic reconnection in the
553 pre-dawn sector of the equatorial magnetosphere, followed by signatures of magnetic
554 dipolarization a few hours later. The interpretation of these combined observations was
555 that magnetic reconnection and the resulting reconfiguration of the field lines inward of the
556 X-line in the dawn magnetosphere was responsible for the dawn storms and the regions of
557 magnetic dipolarization that co-rotated with the planet were associated with plasma
558 injections that eventually produced the auroral injection emissions. They suggested a
559 physical connection for these two types of transient auroral emissions with magnetic
560 perturbations being a common feature of both processes. We note that magnetic
561 perturbations were also observed at high latitude during times when Juno was mapping to
562 near the dawn storm studied here, providing further evidence that field line reconfiguration
563 may be associated with these events.
564
565 The processes that accelerate the plasma associated with these dawn storms, both in the
566 equatorial magnetosphere and at high latitude near the planet, are not fully understood. The
567 observed electron distributions mapping to the dawn storm emissions that carry the largest
568 energy fluxes are broad in energy, ranging from 1 – 10s of keV up to 1000 keV, with
569 significant intensity depletions below ~1 keV. Broad energy distributions are often
570 attributed to stochastic particle acceleration (as opposed to acceleration by electrostatic
571 potentials which show a mono-energetic peak in the intensity-energy distributions).
572 Stochastic acceleration appears to be significant in energizing electrons that produce UV
573 emissions associated with Jupiter's diffuse [Li et al. 2017], main [Mauk et al. 2017a, 2020,
574 Allegrini et al. 2017, 2020a], polar [Ebert et al. 2017, 2019], and satellite footprint
575 [Allegrini et al. 2020b, Szalay et al. 2018, 2020] aurora. Proposed mechanisms include
576 dissipation of turbulent Alfvénic fluctuations [Saur et al. 2003], resonance with whistler
577 mode waves [Kurth et al. 2018, Elliott et al. 2018], electron Landau damping of kinetic
578 Alfvén waves [Saur et al. 2018], incompressible magnetic (Alfvénic) turbulence
579 [Gershman et al. 2019; Sulaiman et al., 2020] and magnetospheric ultra-low frequency (1
580 – 60 min) Alfvénic waves [Pan et al. 2021]. It is recommended that follow-up work focus
581 on whether stochastic or other processes are responsible for accelerating the electrons that
582 produce these dawn storm emissions.
583
584 **5. Summary and Conclusions**
585
586 We presented a multi-instrument analysis of a UV dawn storm observed in Jupiter's
587 northern auroral region prior to Juno perijove 5, sampling magnetic field lines at high-
588 latitude while remotely observing UV auroral emissions. We combined in situ plasma,
589 energetic particle, magnetic field, and wave observations between 6 – 8 R_J in jovicentric
590 distance and 40 – 50°N in magnetic latitude with images of UV brightness and color ratio.
591 The dawn storm UV emissions had brightness up to at least 1.4 MR and high color ratios
592 that indicated a deeper atmospheric penetration depth for the auroral-producing electrons
593 compared to those producing the surrounding UV emissions. Both the brightness and color
594 ratio of these emissions decreased with time as they rotated towards the dayside, indicating

595   that the energy flux and energy of the electrons producing them was also decreasing. The
596   electron distributions were field-aligned, bi-directional and variable in energy, providing
597   evidence for field aligned-currents. The electrons mostly likely producing the dawn storm
598   emissions had broad energy distributions between ~10 – 1000 keV, significant intensity
599   depletions below 10 keV, characteristic energies from ~160 – 250 keV and downward
600   energy fluxes from ~70 – 130 mW m^{-2} at Juno's altitude. The ion distributions had similar
601   energy distributions at similar times as the electrons, suggesting that they are being
602   accelerated by similar processes. Magnetic perturbations as large as 27 nT, or ~0.7% of the
603   local magnetic field, were observed on field lines that mapped to the dawn storm with
604   variations in B_φ and B_θ providing evidence of upward and downward currents. Whistler
605   mode waves and broadband kilometric radio emissions were also observed.
606
607   Based on these observations, we conclude the following:
608
609   1. These dawn storm emissions were generated by processes that mapped to Jupiter's
610   nightside and then traveled in the direction of planetary rotation.
611
612   2. The high latitude energetic electron and ion populations measured simultaneously
613   with depleted lower energies are similar to particle observations in the equatorial
614   magnetosphere associated with dynamic processes driven by interchange events,
615   plasma injections, and/or tail reconnection.
616
617   3. The electron distributions associated with these dawn storm emissions were field-
618   aligned and had characteristic energies in the 100s of keV range and energies up to
619   at least 1 MeV.
620
621   4. The particles were energized prior to arriving at Juno's location, the electron
622   distributions already containing a significant fraction of the energy flux needed to
623   produce the dawn storm UV emissions.
624
625   5. The magnetic field perturbations suggestive of upward and downward currents,
626   combined with the field-aligned, bi-directional electrons, indicate that field-aligned
627   currents are associated the processes driving these dawn storm emissions.
628
629   6. Whistler mode waves may play a role in enhancing these dawn storm UV emissions
630   while the enhanced broadband kilometric radiation may be a result of one-way
631   energy transport from these dawn storm particles into waves.
632
633
634   **Acknowledgements**
635

655 **References**
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