

1 **Barrier Layers Observed in a High-resolution Model in the Eastern Tropical Pacific**

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10 **Key Points:**

- 11 • Salinity barrier layers (BLs) in the eastern tropical North Pacific are characterized using a  
12 high-resolution numerical model
- 13 • BLs are associated with surface salinity fronts which tilt towards the fresh side at their  
14 base
- 15 • Vertical circulation combined with surface divergence and convergence are proposed as  
16 an additional formation mechanism for BLs in this region.  
17

## 18 **Abstract**

19 Salinity barrier layers (BLs) are common in the eastern tropical North Pacific (ETP) and may  
20 play an important role in regulating the transfer of heat, momentum and freshwater across the  
21 ocean surface. This study examines BLs in the ETP in the region of the SPURS-2 (Salinity  
22 Processes in the Upper ocean Regional Studies – 2) field campaign. We utilize a high-resolution  
23 numerical model to study BLs and their relationship to frontal features and small-scale ocean  
24 variability, focusing on two specific events. One is associated with a large outbreak of BL  
25 presence near 7°N along 125°W. The other is a relatively isolated but persistent BL that forms  
26 near 13°N, again along 125°W. In both cases we find that the BL is proximate to a salinity  
27 frontal feature in which isohalines tilt toward the fresh side of the front at its base. The BLs  
28 studied are associated with divergent flow at the surface on the fresh side of the front and  
29 convergent flow on the salty side. Tilting of the front is invoked to explain this, with an  
30 additional mechanism involving a vertical circulation which causes the base of the front to tilt  
31 preferentially.

## 32 **Plain Language Summary**

33 Salinity barrier layers (BLs) are common in the eastern tropical North Pacific (ETP) and may  
34 play an important role in regulating the transfer of heat, momentum and freshwater across the  
35 ocean surface. This study examines BLs in the ETP in the region of the SPURS-2 (Salinity  
36 Processes in the Upper ocean Regional Studies – 2) field campaign. We utilize a high-resolution  
37 numerical model to study BLs and their relationship to small-scale ocean variability, focusing on  
38 two specific events. One is associated with a large outbreak of BL presence near 7°N along  
39 125°W. The other is a relatively isolated but persistent BL that forms near 13°N, again along  
40 125°W. In both cases we find that the BL is proximate to a horizontal salinity front which tilts  
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42 surface on the fresh side of the front and convergent flow on the salty side. Tilting of the front is  
43 invoked to explain this, with an additional mechanism involving a vertical circulation which  
44 causes the base of the front to tilt preferentially.

## 45 **1 Introduction**

46 Barrier layers (BLs) are areas in the upper ocean where the surface mixed layer as  
47 defined by temperature is greater than that as defined by density due to an intrusion of salty  
48 water at the base of the mixed layer. They have been observed in the ocean since their discovery  
49 about 30 years ago (Godfrey and Lindstrom, 1989; Lukas and Lindstrom, 1991). BLs have been  
50 found in a diverse set of contexts (Sprintall and Tomczak, 1992; de Boyer Montegut et al., 2007),  
51 most especially in the tropics and at high latitudes, and are an important part of upper ocean  
52 dynamics in these areas (Roemmich et al., 1994; Vialard and Delecluse, 1998a). In the tropics in  
53 particular, they are thought to modulate air-sea interaction by creating a layer of insulating  
54 stratification, which can magnify the impact of heat and/or freshwater flux at the surface (Maes  
55 et al., 2002; Maes et al., 2005). They also act to suppress entrainment cooling at the base of the  
56 mixed layer, since water in the mixed layer is at the same temperature as water below it (Godfrey  
57 and Lindstrom, 1989; Vialard and Delecluse, 1998b).

58 Katsura and Sprintall (2020; henceforth “KS20”) have shown that BLs are ubiquitous in  
59 the eastern tropical Pacific (ETP) under the intertropical convergence zone (ITCZ). Their  
60 presence is highly seasonal, with maximum likelihood and thickness in the summer and fall.

61 KS20 attribute the common presence of BLs in this region to convergent Ekman transport driven  
62 by wind stress curl. The Ekman convergence creates mixed layer salinity fronts, which then tilt  
63 due to instability and shear between the surface and the base of the mixed layer. This process of  
64 tilting was first proposed by Cronin and McPhaden (2002; henceforth “CM02”) as one potential  
65 mechanism for BL formation. KS20’s conclusion is congruent with Sato et al., (2004), Sato et al.  
66 (2006), and Katsura et al. (2015), who all emphasized the role of sharp small-scale salinity fronts  
67 and subduction of high salinity water in the tropical and subtropical ocean. However, the  
68 conclusions of KS20 and those of Sato et al. (2006) are based on Argo data which are relatively  
69 sparse in space and time. In particular, KS20 cannot co-locate the BLs relative to the concurrent  
70 position of the North Equatorial Counter Current (NECC) or any property front associated with  
71 the circulation system, nor do they shed any light on what specific dynamics may be occurring at  
72 the front associated with BL formation. Indeed, it is difficult to obtain the direct measurements  
73 of the 3-dimensional circulation and air-sea interaction that are needed to determine the  
74 mechanisms responsible for BL formation using an *in situ* dataset.

75 Katsura et al. (2020) looked in more detail at BL and temperature inversion formation  
76 using the higher resolution SPURS-2 (Salinity Processes in the Upper ocean Regional Studies –  
77 2) *in situ* dataset. They reached a similar conclusion as KS20, that BLs form as a result of tilting  
78 of mixed layer fronts. Additionally, they surmise that BLs form in a patchy and intermittent  
79 manner on fast time scales and that surface geostrophic flow and Ekman flow play important  
80 roles in causing the tilting of frontal isohalines - where BL formation occurs.

81 The ETP is the location of the seasonal extension of the ETP fresh pool (Alory et al.,  
82 2012; Melnichenko et al., 2019), a low surface salinity feature which extends westward from the  
83 coast of Central America. It reaches its maximum extent in the October/November period,  
84 reaching out to  $\sim 160^\circ\text{W}$ , as defined by the 34 isohaline, with minimum extent in  
85 January/February at  $\sim 125^\circ\text{W}$ . Its meridional extent, again defined by the 34 isohaline, is about  
86  $10^\circ$  in the mean between about  $5$  and  $15^\circ\text{N}$  (Guimbard et al., 2017; Fiedler and Talley, 2006). At  
87 the southern edge of this fresh pool extension is a surface front separating the relatively fresh  
88 eastern Pacific water from higher salinity surface water found at the equator. This front forms in  
89 January near  $\sim 3^\circ\text{N}$ , migrates to the north over the course of the year, and dissipates in the months  
90 of January-February after reaching a maximum latitude of about  $12^\circ\text{N}$  (Yu, 2015). In the  
91 October-November period it is generally found around  $6$ - $7^\circ\text{N}$ , which is one location where the  
92 present study will focus.

93 The ETP was the location, in 2016-2017, of the SPURS-2 field campaign (Lindstrom et  
94 al. (2019) and references therein). SPURS-2 was carried out to study the impact of rainfall on the  
95 upper ocean salinity field, and the creation of the Pacific basin-wide low sea surface salinity  
96 (SSS) feature (e.g. Schanze et al., 2010). Associated with the field campaign, there was an effort  
97 to model the dynamics in a regional ocean model based on the Regional Ocean Modeling System  
98 (ROMS; Li et al., 2019, henceforth “Li19”; Shchepetkin and McWilliams 2011). Using this  
99 numerical model, we can focus in on the mechanisms responsible for BL formation, and examine  
100 how or whether the BL formation is related to 3-dimensional frontal structures and their temporal  
101 evolution. As stated by Tanguy et al. (2010), a complete understanding of BL formation requires  
102 information “on the 3-dimensional structure of the upper ocean, T, S, velocity, vertical shear,  
103 local surface forcing and turbulent mixing”. BL formation in numerical models has been studied  
104 by Veneziani et al. (2014) in the South Atlantic, also using ROMS, though configured  
105 specifically for that different region. They emphasized the importance of advection, and,

106 importantly, of the influence from submesoscale processes and their impact on vertical transport  
107 of heat and salt.

108 In this paper, we study BL formation in a couple of individual events within the high-  
109 resolution ROMS daily output. The ROMS output displays BLs in the ETP, which can be related  
110 to surface circulation, surface convergence, salinity, winds and freshwater forcing from the  
111 atmosphere. By studying a couple of individual instances in detail, we can infer more broadly  
112 about how BLs relate to the dynamics of the ETP fresh pool and its seasonal extension across the  
113 Pacific.

114

## 115 **2 Data and Methods**

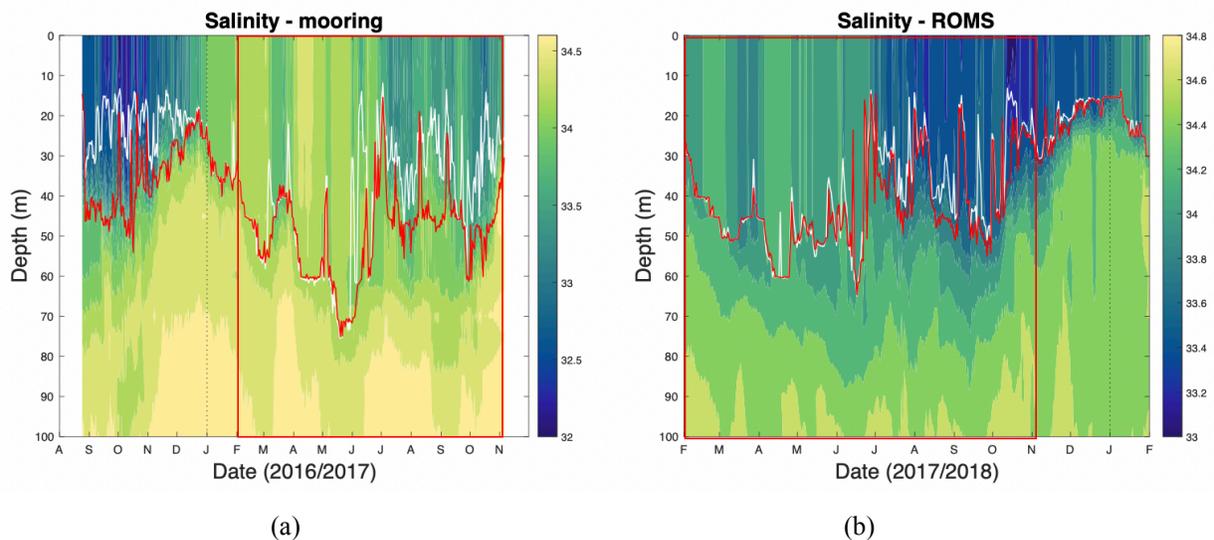
### 116 **2.1 ROMS Simulation**

117 The ROMS simulation uses data assimilation to constrain the large-scale and large  
118 mesoscale circulations as a method for more realistically reproducing BL formation. Li19  
119 provide a description of the model, so the reader is referred there for more in depth details on the  
120 setup, forcing, validation, etc. The model is nested in three levels, 9 km, 3 km and 1 km (see  
121 Figure 2 in Li19). We use the 3 km, middle level, with daily average output. In the vertical, the  
122 model has 52 levels. To resolve effectively dynamical processes associated with the BL  
123 formation, there are 22 levels within top 100 m, with 2 m resolution at the surface, 5 m  
124 resolution at 50 m, and 10 m resolution at 100 m. The model covers the time period 1 February  
125 2017 – 31 January 2018, whereas the SPURS-2 field campaign went from late August 2016 to  
126 early November 2017. Thus, the model and field campaign overlap for about 9 months of 2017  
127 (Figure 1).

128 The atmospheric forcing for ROMS is derived from the 18 km resolution NCEP GFS  
129 (National Centers for Environmental Prediction Global Forecast System) operational  
130 atmospheric model output. A bulk flux formula (Fairall et al., 2003) is used to calculate the  
131 forcing. The inputs include 3-hourly atmospheric fields of 10-m wind speed and direction, net  
132 shortwave radiation, downward longwave radiation, 2 m air temperature, 2 m relative humidity,  
133 and precipitation. The 18 km resolution does not allow one to distinguish individual rain events  
134 and thus changes to SSS due to patchy and heavy rainfall associated with convective activity are  
135 not represented in the ROMS simulation.

136 The model assimilates a number of datasets. One of these is the monthly-average data  
137 from version 4 of the Met Office Hadley Centre ‘‘EN’’ series (Good et al., 2013). This monthly  
138 dataset constrains three-dimensional T/S fields on spatial scales larger than 400 km and thus is  
139 used to reduce model bias. Another assimilated dataset is the gridded AVISO (Archiving,  
140 Validation and Interpretation of Satellite Oceanographic data) sea surface height product. This  
141 product has a grid of  $0.25^\circ$ , but the effective resolution is about 300 km (Pujol et al., 2016).  
142 Satellite SST measurements from the Advanced Microwave Scanning Radiometer-2 (AMSR-2),  
143 a passive microwave radiometer flying on NASA's Aqua satellite, are also assimilated. The  
144 AMSR-2 SST has a resolution of  $0.25^\circ$ . Using the multi-scale scheme as described in Li et al  
145 (2015, 2019), the data assimilation is configured to primarily constrain eddies and circulation  
146 with spatial scales larger than 300 km. There are no SPURS-2 in situ observations assimilated in  
147 this 3 km model domain.

148 From the model output, we computed such quantities as divergence and vorticity.  
 149 Isothermal layer depth (ILD) and mixed-layer depth (MLD) were determined using the method  
 150 of deBoyer Montegut et al. (2007) with a potential temperature criterion of  $0.2^{\circ}\text{C}$ . BL thickness  
 151 (BLT) is the difference between ILD and MLD when that difference is greater than zero. In the  
 152 supporting information we include an animation of BLT within the model during the SPURS-2  
 153 period along with SSS (Animation S1). Thick BLs are often generated within eddies (e.g. 8-Feb  
 154 – 20-Feb-2017 at  $12.5^{\circ}\text{N}, 124^{\circ}\text{W}$ ) or across strong fronts (e.g. 12-May – 22-May-2017 at  
 155  $13^{\circ}\text{N}, 125^{\circ}\text{W}$ ). These BLs can persist for days or weeks, or appear and disappear in a day or two  
 156 (e.g. 31-July – 1-August-2017). BLs appear in the model output, but they are not generally as  
 157 thick or persistent as those in the *in situ* data. This is evident through a comparison (Figure 1)  
 158 between model output and observed T/S data from the SPURS-2 central mooring at  
 159 ( $10^{\circ}\text{N}, 125^{\circ}\text{W}$ ) (Farrar, 2020; Farrar and Plueddemann, 2019). Note the ubiquitous presence of  
 160 20-30 m thick BLs in late summer and fall in the mooring data which are either absent or more  
 161 sporadic in the ROMS simulation.



162

163

164 Figure 1. Time series of salinity vs. depth observed at the SPURS-2 central mooring (Farrar,  
 165 2020) (a) and from the corresponding grid cell in the ROMS simulation (b). ILD is in white and  
 166 MLD in red. The difference between them is the BLT. Color scales are at right for each panel,  
 167 note different limits. Also note these two panels do not cover the same periods. Mooring salinity  
 168 covers the period August 2016 – November 2017, whereas the ROMS salinity is computed over  
 169 February 2017 – January 2018. A red box in each panel outlines the overlapping time period,  
 170 February-November 2017.

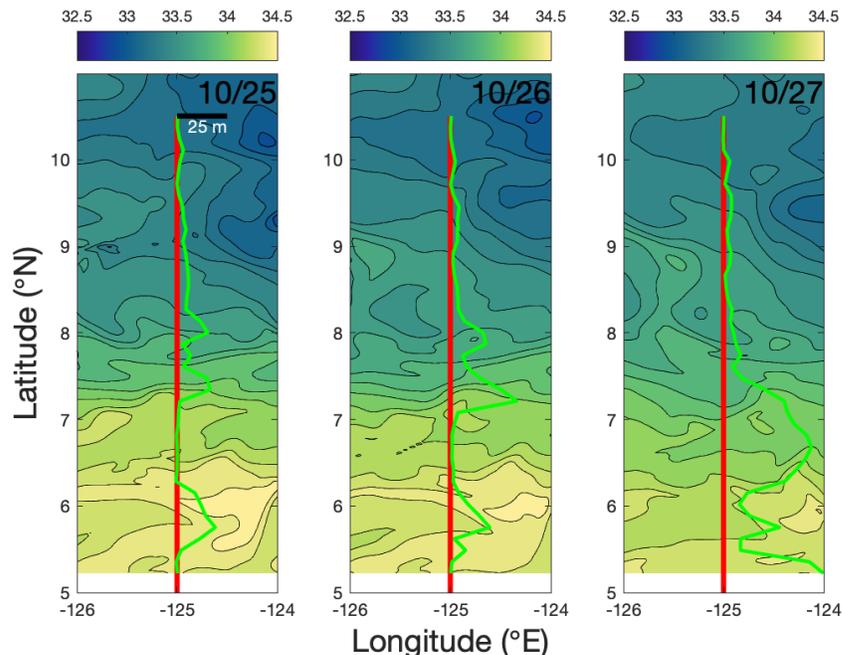
### 171 3 Results

172 Animation S1 shows BLs appearing commonly throughout the model domain. They are  
 173 often associated with frontal features (e.g. 11 January 2018 near  $14.5^{\circ}\text{N}, 127^{\circ}\text{W}$ ), or found in the  
 174 centers of low SSS-core eddies (e.g. 10-20 February 2017 near  $12.5^{\circ}\text{N}, 123.5^{\circ}\text{W}$ ). They can  
 175 persist for days, or pop up and disappear quickly (e.g. 31 July 2017). They can be isolated in  
 176 time and space, or be ubiquitous throughout a large part of the domain shown (e.g. 29 June  
 177 2017).

178 Here we analyze two instances where thick BLs were observed in the ROMS simulation.  
 179 One is associated with the presence of BLs in an extensive region of the ETP, whereas the other  
 180 is a relatively isolated event in time and space. We use these events to illustrate the  
 181 characteristics of BL formation in the model domain.

### 182 3.1 27-October-2017

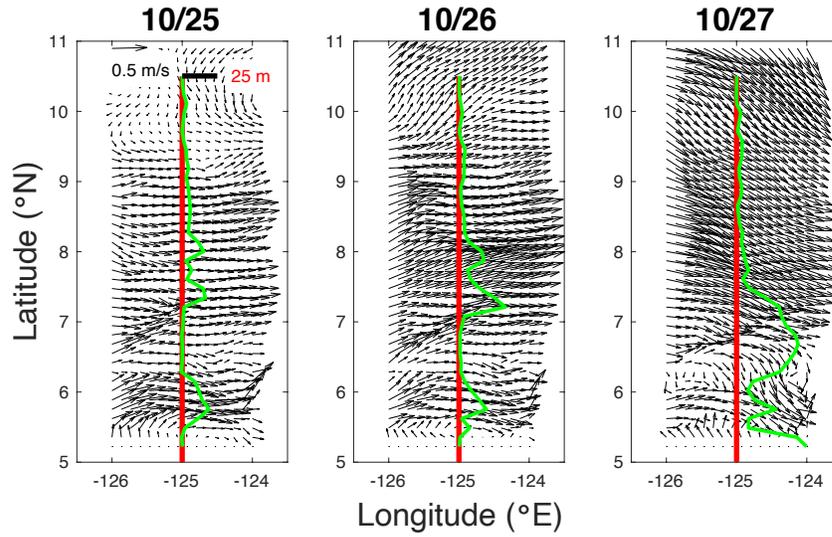
183 On 27-October-2017 there is a very thick BL around 6-7°N from 126°W to 120°W  
 184 (Animation S1). Indeed, much of the model domain south of 8°N on this date shows BLs that are  
 185 >25 m thick. These thick BLs are associated with a SSS front that snakes through the region  
 186 from (6°N,120°W) to (8°N,131°W). On 27-October the BL is centered at about 6.75°N, but is  
 187 relatively thick from 6°N to 7.5°N along 125°W (Figure 2 right panel). What is clear from the  
 188 SSS is the low salinity water spreading to the south during the prior two days. Water in the  
 189 vicinity of the thick BL is much fresher on 27-October than the two previous days when no or  
 190 minimal BLs existed.



191  
 192 Figure 2. SSS from the ROMS domain on (left panel) 25, (center panel) 26 and (right panel) 27  
 193 October 2017. Color scale is at the top. Red line is along 125°W. The thick green line represents  
 194 the BLT at 125°W at a given latitude, where zero BLT is the red line and 25 m BLT is indicated  
 195 by the scale bar at 10.5°N in the left panel.

196 The ocean current vectors (Figure 3) on 27-Oct show water flowing southward  
 197 throughout the entire domain. Clearly the thick BL of 27-Oct is associated with the sharply  
 198 decreased SSS brought about by advection and the southward motion of a SSS front. A  
 199 convergent feature is evident in the northern part of the region of thick BLs around 7°N (Figure 3  
 200 right panel). Just to the south of this convergent feature is an area of divergence near the center  
 201 of the area of thick BL. This same convergent feature has migrated over the previous two days

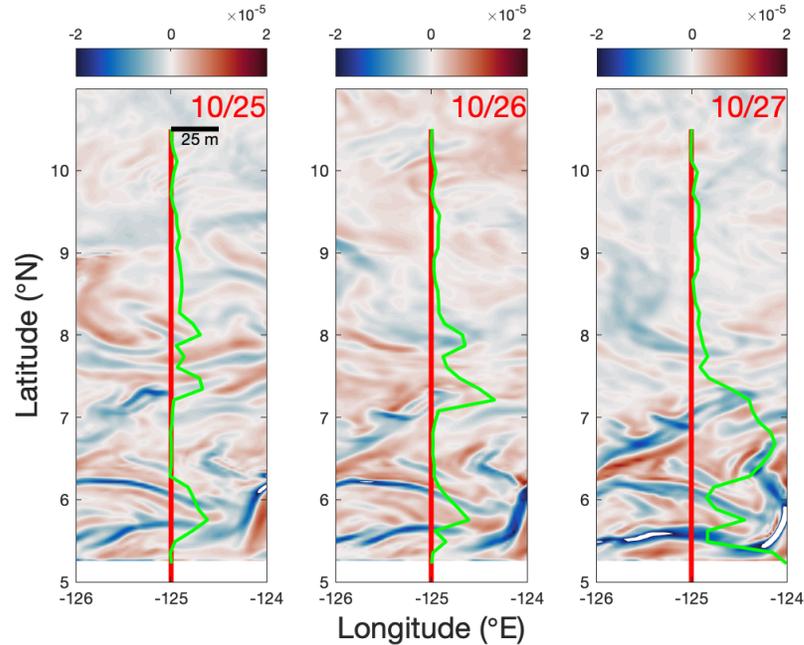
202 when it is also associated with thick BLs, although not as thick as on 27 October (e.g. 7.2°N on  
203 26 October). So thick BL formation appears to be associated with surface convergence and  
204 divergence.



205

206 Figure 3. As in Figure 2, but for current velocity vectors. A scale arrow is at the top left in the  
 207 left panel.

208



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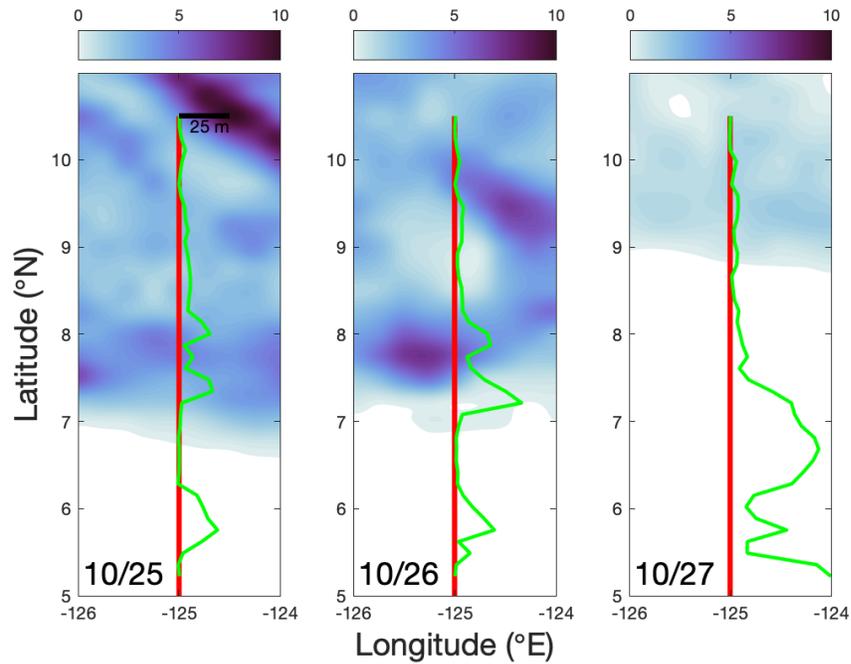
210 Figure 4. As in Figure 2, but for horizontal divergence. Color scale is at the top of each panel in  
 211 units of  $s^{-1}$ .

212

213 The thick BLs on all three days are associated with areas of intense surface divergence  
 214 and convergence culminating on 27 October (Figure 4 right panel). The thickest BLs are  
 215 associated with surface divergence and there is some indication that surface convergence is  
 216 associated with reduced BLT (e.g.,  $5.5^{\circ}N$  and  $6^{\circ}N$  on 27 October). There are also places where  
 217 thick BLs are associated with alternating surface convergence and divergence, for example  
 218 around  $6^{\circ}N$  on 25 October and  $7.25^{\circ}N$  on 26 October.

219 The precipitation forcing the model is very small on 27 October and far removed from  
 220 where the thick BLs are formed (Figure 5). An area of heavy precipitation is located at  
 221 ( $7.75^{\circ}N, 125.5^{\circ}W$ ) on 26 October which may have had an influence on the thick BLs at  $6.75^{\circ}N$   
 222 the following day, though this is not obvious from the SSS shown in Figure 2.

223



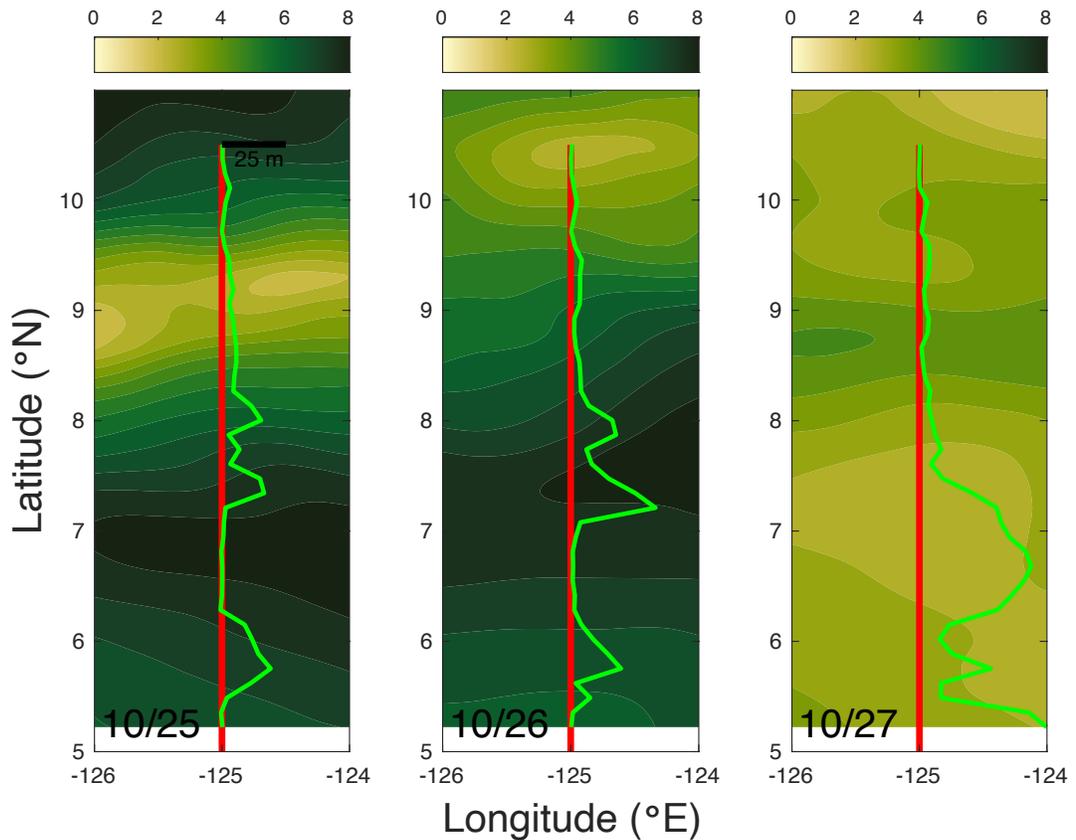
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225 Figure 5. As in Figure 2, but for daily average precipitation rate. Color scale is at the top for each  
 226 panel in units of  $\text{mm hr}^{-1}$ .

227 Wind speed undergoes a rapid change from 25-26 October to 27 October (Figure 6). On  
 228 27 October, the winds were nearly calm, whereas in the region of thick BL the winds were up to  
 229  $8 \text{ m s}^{-1}$  on the previous two days. The winds on 25-26 October were mainly out of the south (not  
 230 shown). Perhaps the sudden change in the winds allowed low SSS water at the surface to drift  
 231 southward after the change?

232

233



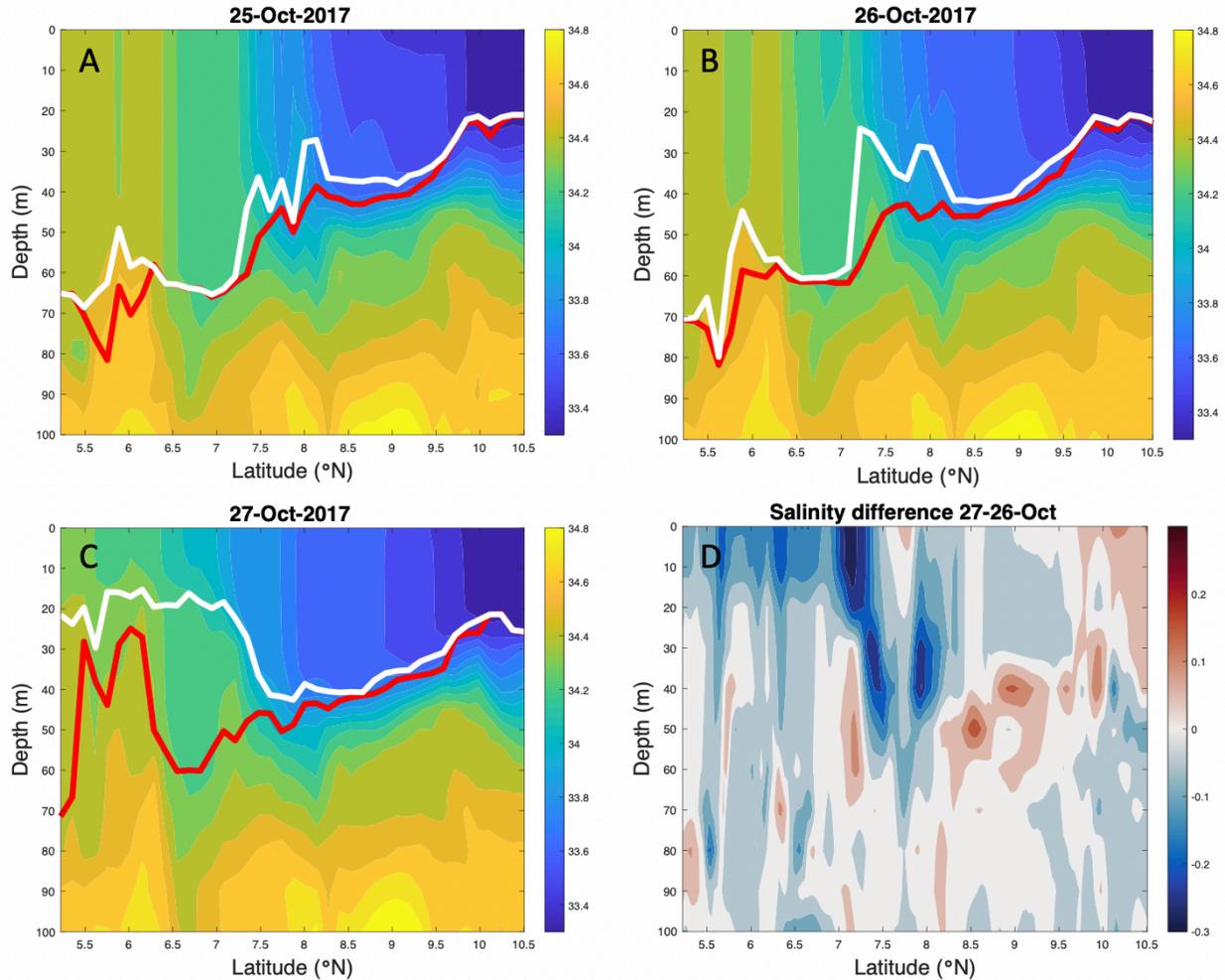
234

235 Figure 6. As in Figure 2, but for surface wind speed. Color scale is at the top for each panel in  
 236 units of  $\text{m s}^{-1}$ .

237

238 A meridional section along  $125^{\circ}\text{W}$  (Figure 7) shows fresh surface water spreading across  
 239 the area between  $6$  and  $7^{\circ}\text{N}$  and the generation of a thick BL. On 25 and 26 October, there is a  
 240 thin BL between  $7$  and  $8^{\circ}\text{N}$ . By 27-October, relatively fresh surface water had spread southward  
 241 to around  $6^{\circ}\text{N}$ . This spreading occurred in the upper 20 m as evident in the large salinity  
 242 difference shown in Figure 7d. The spreading left a thick BL between  $6.25$  and  $7^{\circ}\text{N}$ . South of  
 243 there, between 26 and 27 October, the ILD shoaled from 60 to 30 m. What we see here is  
 244 somewhat like the tilting of isohalines posited by CM02, but it is not exactly the same. In the  
 245 main, the isohalines tilt during the days shown, but the tilting goes on mostly below 20 m depth.  
 246 That is, the base of the isohalines tilts, but the upper part remains vertical. The salinity front at  
 247  $7.5^{\circ}\text{N}$  relaxes and spreads southward. CM02 implied that BLs are generated by current shear  
 248 which causes the tilting (see their Figure 1). A complicating fact is that the zonal flow is much  
 249 stronger than meridional. Perhaps in this case the tilting is not caused by cross-front vertical  
 250 shear, but by some other mechanism.

251



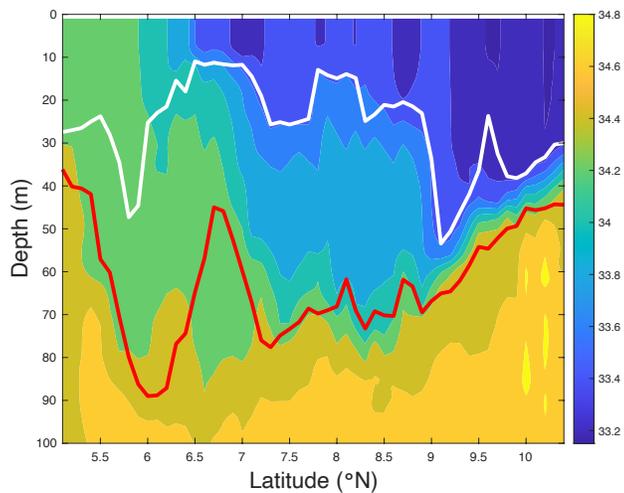
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253

254 Figure 7. Salinity sections along 125°W for (a) 25 October, (b) 26 October and (c) 27 October.  
 255 Color scales are at right. Thick red line is the ILLD, while thick white line is the MLD. (d)  
 256 Difference between panels (c) and (b).

257

258 October 2017 coincided with one of the two main SPURS-2 cruises. A uCTD section to  
 259 ~250 m was obtained along 125°W from 10.5 to 5°N on 26-28 October (Figure 8). This uCTD  
 260 section also showed that a thick BL existed, but it was much thicker (~50 m) and more extensive  
 261 (from 9° to 6°N) than that produced in the ROMS output (Figure 7). There is a salinity front at  
 262 the surface near 6.5°N. We also see the same configuration of the front, vertical isohalines at the  
 263 surface and tilted ones below it as was observed for the model. The difference here is that the BL  
 264 is much thicker in the uCTD data and the part of the water column with vertical isohalines much  
 265 thinner. Katsura et al. (2020) show a similar section from a few days later, 3-5 November.

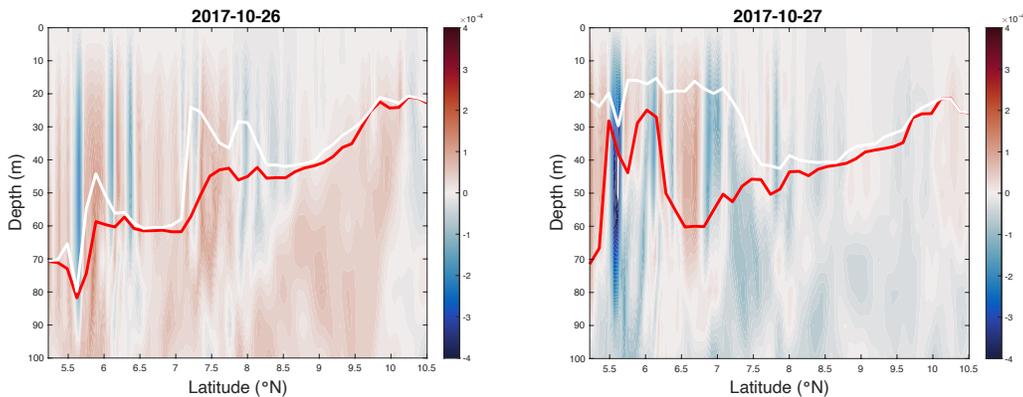


266

267 Figure 8. Salinity section from uCTD data (Sprintall, 2019a,b) along 125°W collected on the  
 268 R/V Roger Revelle, 26-28 October 2017. Color scale is shown at right. Thick red line is the ILD,  
 269 while thick white line is the MLD.

270 It is clear from Figures 3 and 4 that the presence of BLs is strongly related to surface  
 271 horizontal divergence/convergence. Thus, because divergence and convergence are usually  
 272 accompanied by vertical motion, it is likely related to vertical processes such as vertical flows,  
 273 mixing and entrainment. The vertical velocity along 125°W (Figure 9) shows alternating bands  
 274 of strong upward and downward flow in the mixed layer south of 7.5°N on 27 October. As  
 275 expected, these bands are directly related to the maps of surface divergence (Figure 3), upward  
 276 flow occurs where there is surface divergence and downward flow where there is convergence.  
 277 The strong flow ceases at the base of the mixed layer, except for one band of downward flow at  
 278 5.6°N. For the most part, where the BL is thickest, the flow is upward. Where vertical velocity is  
 279 minimal, north of 8°N, BLs are thin to non-existent. This pattern is repeated at 5.5-6°N and 7.5-  
 280 8°N on the previous day (Figure 9a).

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282

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(a)

(b)

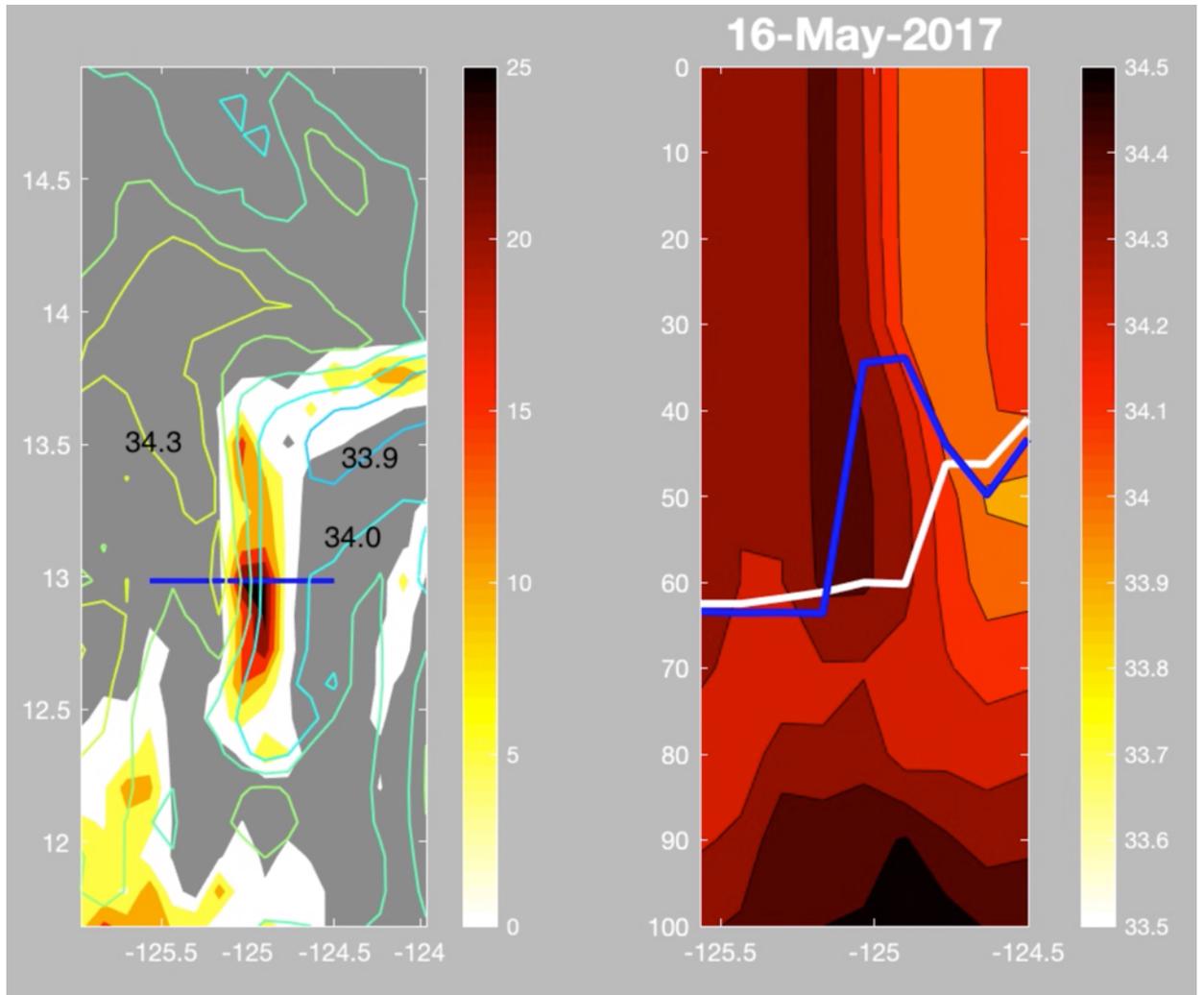
284 Figure 9. Vertical velocity sections along 125°W for (a) 26 and (b) 27 October. Color scales (in  
285 m/s) are at right. Red colors are upward and blue downward. Thick red line is the ILD, while  
286 thick white line is the MLD.

287

### 288 3.2 7-26 May 2017

289 Between 7 and 26 May 2017, especially starting around 9 May, a BL developed across a  
290 north-south oriented front between 12 and 13°N near 125°W (Animation S1). This BL reached a  
291 maximum BLT of more than 25 m and persisted for almost three weeks within the front,  
292 eventually migrating northward to turn to an east-west orientation further north before  
293 dissipating by 30-May. The BL is clearly evident in the horizontal plan view (Figure 10a, see  
294 also Animation S2). Similar to 25-27 October 2017, there is tilting of isohalines (Figure 10b).  
295 The BL is situated on the low salinity side of the front at 125°W, with fresher water to the east.  
296 The front is tilted in the vertical, but not uniformly. All of the tilting occurs at the base of the  
297 front between 30 and 60 m depth, similar to that found in October 2017 (Figure 7b). The velocity  
298 field is highly convergent at the front (Figure 11 left panel), with the strongest convergence  
299 being at the front base at a depth of 60-70 m. Figures 10 strongly supports the CM02 tilting  
300 mechanism of BL formation.

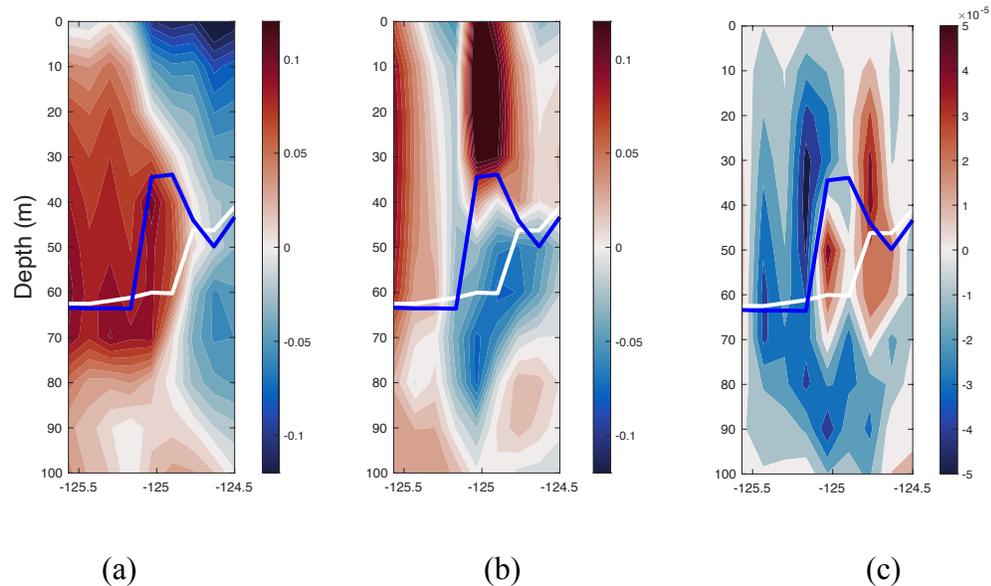
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303 Figure 10. BLT and salinity on 16 May 2017 extracted from Animation S2. Left panel: Colored  
 304 contours: SSS with contour interval 0.1. High SSS in yellow and low SSS in blue. Colors: BLT  
 305 with color scale at right. Grey color indicates zero BLT. Blue line is the location of the vertical  
 306 section displayed in the right panel. x-axis is longitude (°E), y-axis latitude (°N). Right panel:

307 Vertical salinity section across the front in the left panel, with depth in meters and longitude in  
 308 °E. Blue line is the MLD. White line is the ILL. The difference between them is the BLT.



309

310

311 Figure 11. a) Zonal velocity (m/s) along the same section as in Figure 10 right panel for 16 May  
 312 2017. White line is the ILL and blue line is the MLD. Red (blue) colors indicate positive  
 313 (negative) velocity, or to the left (right) in the section. b) Same as panel a), but for meridional  
 314 velocity. Red (blue) colors indicate flow northward or into the page (southward or out of the  
 315 page) c) Same as panel a) but for vertical velocity. Upward flow in red colors, downward in blue.

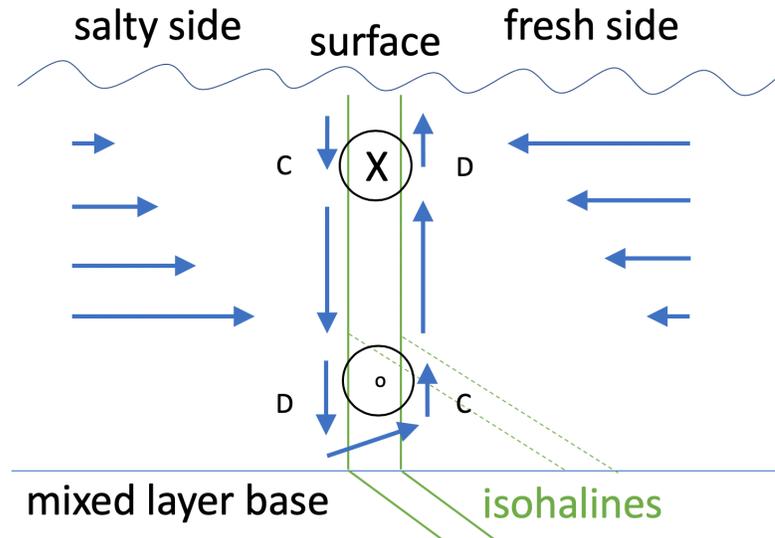
316

#### 317 4 Summary and Discussion

318 Using a version of ROMS set up for the SPURS-2 region in the eastern tropical Pacific  
 319 Ocean, we have studied two events when BLs appeared in the model. Both events were  
 320 associated with sharp mixed layer salinity fronts. The first occurred at 6-7°N in October 2017,  
 321 the other occurred at 12-13°N in May 2017, at the leading edge of the extension of the EPFP. In  
 322 each case, a thick BL was created when the bottom part of a salinity front tilted towards the fresh  
 323 side of the front. In the October event, the formation of the BL was associated with spreading of  
 324 fresh water over the salty water and motion of the salinity front. In the May 2017 event, the front  
 325 remained relatively stationary, and persisted for more than two weeks.

326 The hypothesis of KS20 and CM02 is that BLs can be formed by tilting of vertically-  
 327 oriented isohalines by shear flow at a mixed layer salinity front. The analysis of the May and  
 328 October 2017 events suggests a modified version of this mechanism (Figure 11) that the full  
 329 concurrent property and velocity fields from the model simulation enable us to better resolve  
 330 compared to the *in situ* observations. This starts with a vertical front of salinity in the mixed  
 331 layer. On the fresh side of the front the flow is horizontally divergent at the surface, and pulls  
 332 water up from the mixed-layer base. On the salty side of the front, the flow is convergent at the  
 333 surface, and pushes water down into the mixed layer. The opposite is the case at the base of the  
 334 mixed layer, with divergence on the salty side and convergence on the fresh side. Thus, a small

335 vertical circulation cell is set up with water flowing across the front at the mixed layer base from  
 336 the salty to the fresh side. At the base of the mixed layer, the isohalines then tilt generating the  
 337 BL that is observed. The mixed layer front is accompanied by a strong vertical shear through the  
 338 thermal wind relation. This vertical shear may play a role in generating and maintaining the  
 339 horizontal convergences and divergences that drive the vertical circulation (KS20). In particular,  
 340 the surface divergence on the fresh side of the front may be due to acceleration of the flow by  
 341 concentration of the front's isohalines at the location of the BL (Figure 11b).



342

343 Figure 12. Schematic view of proposed BL formation mechanism in the ETP showing a section  
 344 across a zonal salinity front in the mixed layer as in Figure 10b. The zonal velocity, the arrows at  
 345 the left and right, indicate a tilting shear flow. On the salty side of the front there is horizontally  
 346 convergent flow at the surface and divergent flow at the base of the mixed layer. By contrast, on  
 347 the fresh side there is divergent flow at the surface and convergent flow at the base of the mixed  
 348 layer. This sets up a vertical circulation that acts to tilt isohalines mainly at the front's base  
 349 (dashed lines) and generate a thick BL. Included is a strong vertical shear parallel to the front  
 350 (into/out of the page) which helps to maintain the vertical orientation of the front at the surface  
 351 and contribute to the convergent and divergent parts of the flow.

352 The proposed mechanism shown in Figure 12 is two-dimensional. However, this greatly  
 353 simplifies the surface circulation in reality that generates and moves the front and associated  
 354 convergence/divergence and ignores mixing and entrainment of salt through the base of the  
 355 mixed layer. The schematic does however, place emphasis on vertical processes in the vicinity of  
 356 a front, which seems appropriate given the apparent association between BL formation,  
 357 horizontal divergence and the presence of fronts (KS20). Indeed, Vialard and Delecluse (1998b)  
 358 suggest a similar mechanism, including downwelling near the front, but emphasize the role of  
 359 precipitation to a greater degree. That study was conducted in the western tropical Pacific where  
 360 precipitation may be more important in the BL formation process.

361 KS20 emphasize the importance of Ekman transport in the formation of BLs, especially  
 362 in summer and fall in the eastern tropical North Pacific. We note that formation of thick BLs in  
 363 the October 2017 event is associated with a sudden relaxation of the winds between 26 and 27-  
 364 October (Figure 6). There is no indication that the currents slowed or stopped as a result of this

365 (Figure 3). However, the disturbance in the wind forcing may have been enough to produce  
366 submesoscale eddies and a growing set of convergences and divergences at the surface (Figure 4)  
367 that were able to generate fronts and BLs during October 2017 (see also Animation S1, 18-31-  
368 October-2017).

369 Tanguy et al. (2007) discuss the diversity of situations and causes responsible for the BLs  
370 observed in the tropical Atlantic. Some instances of BLs arise in similar ways to those depicted  
371 here in the ETP, on the fresh side of a mixed layer salinity front and at the front's base (see  
372 Tanguy et al (2007) their Figures 10c, e and f). As noted above, it has been hypothesized that  
373 BLs act to insulate the full mixed layer from surface buoyancy flux and entrainment cooling  
374 from below (Godfrey and Lindstrom, 1989; Vialard and Delecluse, 1998a) and concentrate  
375 heating into the upper part of the mixed layer. If this is the case, then it follows that in the  
376 tropics, as these BLs are formed, heating preferentially occurs on the fresh sides of submesoscale  
377 fronts. As the mixed layer is heated in that region, the density contrast across the front is  
378 enhanced, along with the lifetime of the front. This may be why these fronts can last for long  
379 periods of time, such as the one in the Animation S2 which lasts more than two weeks, despite  
380 the fact that they are unstable.

381 In this work, we have analyzed two very limited appearances of BLs, and attributed  
382 certain characteristics to them. This is not to say that all, or even most, BLs in the ETP  
383 necessarily follow this script. However, given the ubiquity of these features as seen in Animation  
384 S1 and documented by KS20, there is no doubt the BLs introduce variance into the processes of  
385 entrainment at the base of the mixed layer and the flux of heat and fresh water across the surface.  
386 This variance itself may help to generate the submesoscale flows that create fronts and in turn  
387 work to increase variance in salinity, a positive feedback loop. This positive feedback, acting on  
388 the large scale salinity field can perhaps lead to or accelerate the extension of the surface salinity  
389 minimum that stretches across the tropical Pacific (Melnichenko et al., 2019).

390

### 391 **Acknowledgments and Data**

392 Color scales for all color figures were taken from the “cmocean” package (Thyng et al., 2016).

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396 SPURS-2 central mooring data can be accessed here:

- 397 • <http://dx.doi.org/10.5067/SPUR2-MOOR1>

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399 SPURS-2 uCTD data can be accessed here:

- 400 • <http://dx.doi.org/10.5067/SPUR2-UCTD0>

401 ROMS output can be accessed here:

- 402 • [add location here].

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