

# A scale-dependent analysis of the barotropic vorticity budget in a global ocean simulation

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## Abstract

The climatological mean barotropic vorticity budget is analyzed to investigate the relative importance of surface wind stress, topography and nonlinear advection in dynamical balances in a global ocean simulation. In addition to a pronounced regional variability in vorticity balances, the relative magnitudes of vorticity budget terms strongly depend on the length-scale of interest. To carry out a length-scale dependent vorticity analysis in different ocean basins, vorticity budget terms are spatially filtered by employing the coarse-graining technique. At length-scales greater than 10o (or roughly 1000 km), the dynamics closely follow the Topographic-Sverdrup balance in which bottom pressure torque, surface wind stress curl and planetary vorticity advection terms are in balance. In contrast, when including all length-scales resolved by the model, bottom pressure torque and nonlinear advection terms dominate the vorticity budget (Topographic-Nonlinear balance), which suggests a prominent role of oceanic eddies, which are of O(10-100) km in size, and the associated bottom pressure anomalies in local vorticity balances at length-scales smaller than 1000 km. Overall, there is a transition from the Topographic-Nonlinear regime at scales smaller than 10o to the Topographic-Sverdrup regime at length-scales greater than 10o. These dynamical balances hold across all ocean basins; however, interpretations of the dominant vorticity balances depend on the level of spatial filtering or the effective model resolution. On the other hand, the contribution of bottom and lateral friction terms in the barotropic vorticity budget remains small and is significant only near sea-land boundaries, where bottom stress and horizontal friction generally peak.

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## Key Points:

- Relative magnitudes of barotropic vorticity budget terms display significant regional variability and length-scale dependence.
- Bottom pressure torque and wind stress curl control the depth-integrated meridional flow at length scales larger than  $10^6$  (roughly 1000 km).
- Nonlinear advection and bottom pressure torque dominate the vorticity budget at smaller length scales.

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20 **Abstract**

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 32 balance), which suggests a prominent role of oceanic eddies, which are of  $\mathcal{O}(10 - 100)$   
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 34 length-scales smaller than 1000 km. Overall, there is a transition from the Topographic-  
 35 Nonlinear regime at scales smaller than  $10^\circ$  to the Topographic-Sverdrup regime at length-  
 36 scales greater than  $10^\circ$ . These dynamical balances hold across all ocean basins; however,  
 37 interpretations of the dominant vorticity balances depend on the level of spatial filter-  
 38 ing or the effective model resolution. On the other hand, the contribution of bottom and  
 39 lateral friction terms in the barotropic vorticity budget remains small and is significant  
 40 only near sea-land boundaries, where bottom stress and horizontal friction generally peak.

41 **Plain Language Summary**

42 Vorticity provides a measure of the local circulation of fluid flow. The analysis of  
 43 physical processes contributing to ocean vorticity has proven fundamental to our under-  
 44 standing of how those processes drive ocean flows, ranging from large-scale ocean gyres  
 45 to a few km-scale boundary currents such as the Gulf Stream. Furthermore, a vortic-  
 46 ity analysis can inform us about the relative importance of different physical processes  
 47 in generating flow structures having different length scales. In the present work, we per-  
 48 form a length-scale dependent vorticity budget analysis using the coarse-graining method  
 49 to filter out signals larger than a fixed length scale. We coarse-grain the climatological  
 50 mean vorticity budget terms over a range of length scales, and then compare the rela-  
 51 tive magnitudes to identify the dominant vorticity balances as a function of length scale.

52 We find that the spatial structure of the meridional transport is mainly controlled by  
 53 atmospheric winds, bathymetry and nonlinear advection. However, the relative magni-  
 54 tudes of these factors change drastically at different length scales. We conclude that phys-  
 55 ical interpretations of the primary vorticity balances are fundamentally dependent on  
 56 the chosen length scale of the analysis.

## 57 1 Introduction

58 Vorticity budget analyses are quite effective for understanding how surface winds  
 59 drive ocean motions at different length scales. In particular, the classical Stommel model  
 60 of the wind-driven gyre has provided significant insight into how surface wind stress spins  
 61 up ocean gyres according to the steady balance (Stommel, 1948; Munk, 1950),

$$\rho_o \beta V = \hat{z} \cdot (\nabla \wedge \boldsymbol{\tau}_s - \nabla \wedge \boldsymbol{\tau}_b). \quad (1)$$

62 Equation (1) shows that the vertical component of the surface wind stress curl,  $\hat{z} \cdot (\nabla \wedge$   
 63  $\boldsymbol{\tau}_s)$ , balances a meridional flow ( $V$  is the vertically-integrated meridional velocity) through  
 64 the  $\beta$ -effect, which is commonly known as ‘‘Sverdrup balance’’ (Sverdrup, 1947). Also,  
 65 the mass conservation condition requires a return meridional flow, which appears to be  
 66 controlled by bottom friction stress,  $\hat{z} \cdot (\nabla \wedge \boldsymbol{\tau}_b)$ . The Stommel model effectively ex-  
 67 plained the east-west asymmetry due to nonzero  $\beta$  and flow intensification at the west-  
 68 ern boundary in the gyre circulation. In a slight modification, Munk (1950) argued that  
 69 the ocean flow does not reach the ocean bottom so that horizontal friction acts mainly  
 70 along the western boundary; thus, permitting a return flow along the western bound-  
 71 ary.

72 The Stommel and Munk models apply to a flat bottom ocean since neither model  
 73 accounts for bathymetry. If we take the curl of depth-integrated momentum equations  
 74 to derive a linear vorticity equation in the presence of a variable topography at  $z = -H(x, y)$ ,  
 75 the resulting vorticity equation has an additional term known as the bottom pressure  
 76 torque (Holland, 1973; Hughes & De Cuevas, 2001),

$$\rho_o \beta V = \hat{z} \cdot (\nabla \wedge \boldsymbol{\tau}_s - \nabla \wedge \boldsymbol{\tau}_b) + J(p_b, H). \quad (2)$$

77 A nonzero bottom pressure torque,  $J(p_b, H) = \hat{z} \cdot (\nabla p_b \wedge \nabla H)$ , arises due to varying  
 78 bottom pressure along isobath contours, and the variations in bottom pressure,  $p_b$ , ex-  
 79 ert a nonzero torque on fluid lying over a variable topography (Jackson et al., 2006). In

80 essence, equation (2) implies that the return flow along the western boundary can be bal-  
 81 anced by bottom pressure torque, and western boundary currents can be perceived as  
 82 being largely inviscid because friction is not required to explain a closed gyre circulation  
 83 (Hughes, 2000; Hughes & De Cuevas, 2001). In fact, Schoonover et al. (2016) carried out  
 84 vorticity budget analysis in realistic simulations from three different ocean models and  
 85 found that bottom pressure torque controls the Gulf Stream flow magnitude along the  
 86 western boundary; thus, the Gulf Stream is indeed largely inviscid (also see Gula et al.,  
 87 2015; Le Bras et al., 2019). The three-way balance among  $\rho_o \beta V$ , bottom pressure torque,  
 88 and surface wind stress curl is called “Topographic-Sverdrup balance” (Holland, 1967).  
 89 Notably, friction is ultimately necessary for energy conservation and maintaining a steady  
 90 state in the presence of wind forcing since bottom pressure torque does not dissipate en-  
 91 ergy (Jackson et al., 2006). However, in the presence of realistic bottom pressure torques,  
 92 the role of friction (either bottom or side friction) for establishing basin-scale gyre cir-  
 93 culations is no longer fundamental.

94 Several works have shown that bottom pressure torque appears as a first-order term  
 95 in the vorticity budget of the depth-integrated flow and is crucial for understanding the  
 96 returning boundary flows in gyres (Hughes & De Cuevas, 2001; Le Bras et al., 2019; Lu  
 97 & Stammer, 2004; Sonnewald et al., 2019; Yeager, 2015). However, there remains sig-  
 98 nificant regional variability in the relative magnitudes of vorticity budget terms. For ex-  
 99 ample, in the North Atlantic Ocean, wind stress curl tends to be more important in con-  
 100 trolling the depth-integrated meridional flow in the subtropics (except along the west-  
 101 ern boundary), whereas bottom pressure torque balances  $\rho_o \beta V$  in almost all of the sub-  
 102 polar basin (Le Bras et al., 2019; Sonnewald & Lguensat, 2021; Yeager, 2015). Global  
 103 analyses from ocean state estimates and in situ observations also show that the Sverdrup-  
 104 balance holds only in the tropics and subtropics (Gray & Riser, 2014; Thomas et al., 2014;  
 105 Wunsch, 2011). This regional variability in the relative importance of wind stress curl  
 106 and bottom pressure torque arises partly due to the nature of bottom pressure torque,  
 107 which vanishes when integrated along an isobath. Hence, bottom pressure anomalies can  
 108 lead to non-local effects and induce meridional flows in regions having no local surface  
 109 forcing via wind stress curl in the vorticity budget (Stewart et al., 2021). Consequently,  
 110 it is important to consider regional differences in vorticity budget terms.

111 In addition to the regional variability, spatial resolution in an ocean model affects  
 112 the interpretation of dominant vorticity balances. In general, Stommel-type vorticity mod-

113 els (equations 1 and 2) apply to large-scale ocean flows. Thomas et al. (2014) showed  
114 that a linear Sverdrup balance only holds at length scales greater than  $5^\circ$  in ocean mod-  
115 els. At relatively small length scales, i.e., mesoscales and submesoscales, ocean eddies  
116 and the associated nonlinearities make a notable contribution to the vorticity budget.  
117 Using an eddy-resolving simulation of the North Atlantic Ocean, Le Corre et al. (2020)  
118 showed that bottom pressure torque and curl of nonlinear advection terms (see equation  
119 3) appear to be the largest vorticity budget terms. On the other hand, Yeager (2015)  
120 performed vorticity analysis in a non-eddy-resolving ocean simulation and observed that  
121 the nonlinear advection term had an insignificant contribution to the overall vorticity  
122 budget, and the meridional flow was mainly controlled by bottom pressure torque and  
123 surface wind stress. Thus, interpretations of vorticity analyses depend on the region of  
124 interest, as well as the length scale of interest.

125 Several model-based vorticity analyses have shown that spatial resolution and the  
126 details of the topographic variations are crucial for examining the relative magnitudes  
127 of vorticity budget terms (e.g. Hughes & De Cuevas, 2001; Le Corre et al., 2020; Yea-  
128 ger, 2015). However, a quantitative comparison is not feasible because these studies used  
129 different ocean models that significantly differ in terms of numerical methods, sub-grid  
130 parameterizations, and other features, each of which can affect the magnitudes of the  
131 vorticity terms (Styles et al., 2022). The present study investigates the primary balances  
132 in the vorticity budget of the depth-integrated flow in an eddy-permitting global ocean  
133 simulation and quantifies the impacts of spatial resolution on dynamical balances. In ad-  
134 dition to analyzing the regional variability in vorticity budget terms, we examine how  
135 the relative magnitudes of these terms change as a function of length scale, which is achieved  
136 by employing the coarse-graining technique (Buzicotti et al., 2023; Storer et al., 2022).  
137 In particular, spatial maps of vorticity budget terms are examined at different filtering  
138 length-scales to understand the relative contributions of different processes in control-  
139 ling the magnitude of the  $\beta V$  term. The methodology is described in section 2, and the  
140 results are in section 3. Conclusions and broader implications of this study are discussed  
141 in section 4.

142 We offer four appendices that detail the methods used to perform a vorticity bud-  
143 get analysis and coarse-grain filter terms in that budget. Appendix A presents the math-  
144 ematical expressions for the vorticity of the depth integrated flow; Appendix B details  
145 the budget terms saved online in MOM6 ocean model and how we then compute the vor-

146 ticity terms offline; and Appendix C discusses the magnitudes of the vorticity budget terms.  
 147 Finally, Appendix D compares results from the coarse-graining method to the spatial fil-  
 148 tering algorithm of Grooms et al. (2021), revealing that the two approaches agree qual-  
 149 itatively.

## 150 2 Methodology

### 151 2.1 Theory of Vorticity Budget Analysis

152 We analyze the vorticity budget based on the depth-integrated Boussinesq-hydrostatic  
 153 ocean primitive equations. Several studies have employed this vorticity budget approach  
 154 to examine the role of surface wind stress, bottom pressure, and ocean eddies in govern-  
 155 ing the flow dynamics (e.g. Le Corre et al., 2020; Hughes & De Cuevas, 2001; Yeager,  
 156 2015), see Waldman and Giordani (2023) for a recent review. The complete vorticity bud-  
 157 get of the depth-integrated flow can be written as (see Appendix A for derivation)

$$\beta V = \frac{J(p_b, H)}{\rho_o} + \hat{z} \cdot \left( \frac{\nabla \wedge \boldsymbol{\tau}_s}{\rho_o} - \frac{\nabla \wedge \boldsymbol{\tau}_b}{\rho_o} + \nabla \wedge \mathcal{A} + \nabla \wedge \mathcal{B} \right) - f \frac{Q_m}{\rho_o} + f \partial_t \eta - \hat{z} \cdot (\nabla \wedge \mathcal{U}_t), \quad (3)$$

158 where  $\beta = \partial_y f$  is the meridional derivative of the Coriolis parameter,  $V$  is the vertically-  
 159 integrated meridional velocity,  $z = \eta$  is sea free surface height,  $z = -H$  is ocean bot-  
 160 tom,  $p_b$  is bottom pressure,  $\nabla = \hat{x} \partial_x + \hat{y} \partial_y$ , and  $\rho_o = 1035 \text{ kg m}^{-3}$  is the Boussinesq  
 161 reference density.  $\boldsymbol{\tau}_s$  and  $\boldsymbol{\tau}_b$  are surface wind stress and bottom friction stress fields, re-  
 162 spectively.  $\mathcal{A}$  and  $\mathcal{B}$  represent the vertically integrated velocity advection and velocity  
 163 friction terms.  $Q_m$  is the downward mass flux on the ocean surface and  $\mathcal{U}_t$  is the ver-  
 164 tically integrated velocity tendency term. By assuming a steady state, linear, and flat  
 165 bottom ocean, equation (3) readily reduces to the Stommel model of wind-driven gyre  
 166 given by equation (1).

167 It is important to note that there are other ways to derive a two-dimensional vor-  
 168 ticity equation, e.g., compute the curl of the depth-averaged velocity equations (Mertz  
 169 & Wright, 1992), the curl of the velocity equations at each depth level and then com-  
 170 pute the vertical integral or mean. All these formulations are equally valid and can be  
 171 used depending on the research problem at hand (these variations on vorticity budgets  
 172 are reviewed in Waldman & Giordani, 2023). In this study, we only use the vorticity bud-  
 173 get formulation in equation (3), which will be referred to as the “barotropic vorticity bud-  
 174 get”. We discuss our results in the context of previous studies that used the same for-  
 175 mulation.

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## 2.2 Diagnosing Vorticity Budget Terms in a Global Ocean Simulation

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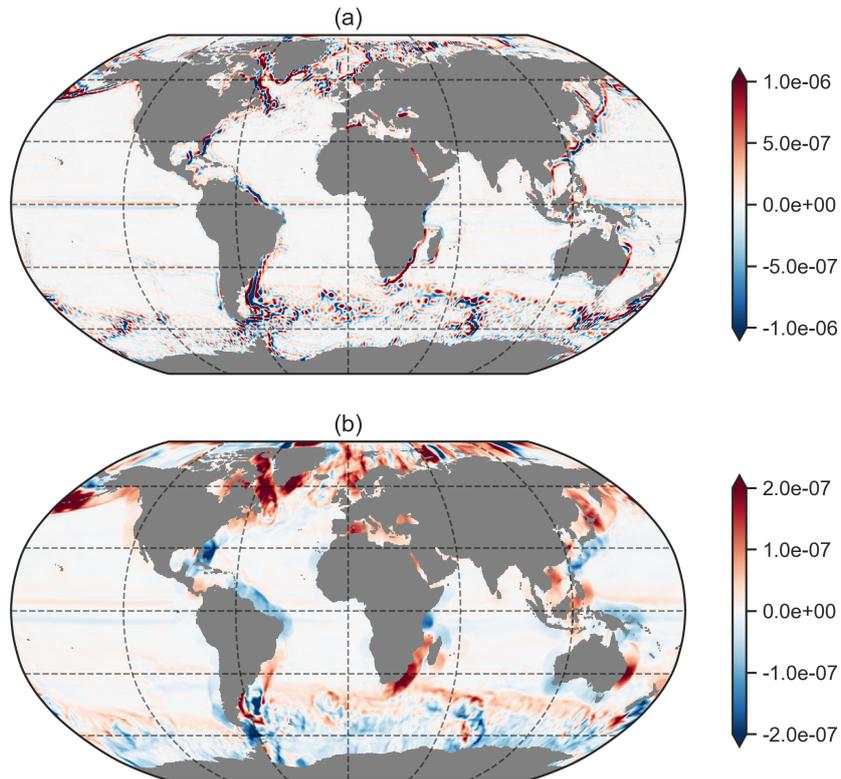
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For the vorticity budget analysis, we employ output from the global ocean-sea ice model GFDL-OM4.0, which is constructed by coupling the Modular Ocean Model version 6 (MOM6)(Adcroft et al., 2019; Griffies et al., 2020) with the Sea Ice Simulator version 2 (SIS2). GFDL-OM4.0 configuration has  $1/4^\circ$  horizontal grid spacing, which permits mesoscale eddies especially in the lower latitudes, and uses a hybrid  $z^*$ -isopycnal vertical coordinate, which significantly reduces artificial numerical mixing and the associated biases (Adcroft et al., 2019; Tsujino et al., 2020). For the present work, GFDL-OM4.0 was forced using JRA-55 reanalysis data (Tsujino et al., 2018) following the Ocean Model Intercomparison Project protocol (Griffies et al., 2016; Tsujino et al., 2020), and the time-mean model output for 60 years (1958–2017) is used for the barotropic vorticity budget analysis.



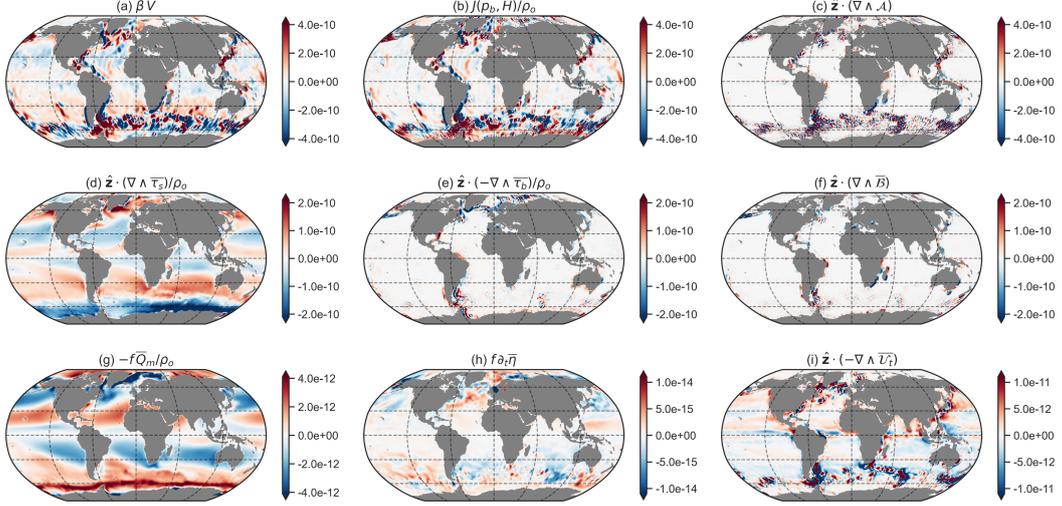
**Figure 1.** Spatial maps of the vertical component of relative vorticity (units are in  $\text{s}^{-1}$ ) computed using the time-mean (1958–2017), depth-averaged velocity. The plotted vorticity maps are coarse-grained to (a)  $2^\circ$ , (b)  $10^\circ$  horizontal resolution (used FlowSieve package, Storer & Aluie, 2023).

188 Since vorticity has a higher-order spatial derivative than velocity, the vorticity field  
 189 can be very noisy due to strong spatial and regional variability, which is especially en-  
 190 hanced at small length scales (see the maps of relative vorticity of the depth-averaged  
 191 flow in Figure 1). Hence, it requires additional care to have a fully closed barotropic vor-  
 192 ticity budget. To diagnose the vorticity budget terms in equation (3), different terms in  
 193 the depth-integrated primitive velocity equations from the model are saved as diagnos-  
 194 tics, and the curl of these diagnostics is computed to obtain the relevant barotropic vor-  
 195 ticity budget terms (see Appendix B for details). Computing the vorticity budget terms  
 196 directly from the depth-integrals of velocity equation terms reduces numerical errors due  
 197 to mathematical manipulations and interpolation, and the vorticity budget closes at ma-  
 198 chine precision.

199 We point to the particularly difficult task of accurately computing bottom pres-  
 200 sure torques using the Jacobian operator,  $J(p_b, H)$ , which generally leads to significant  
 201 numerical errors due to large topographic gradients. To minimize these numerical errors,  
 202 bottom pressure torque can be computed as the residual of all other vorticity budget terms  
 203 (Le Bras et al., 2019), or we can locally smooth bottom topography to obtain realistic  
 204 magnitudes in bottom pressure torque (Le Corre et al., 2020). Our preferred method to  
 205 compute bottom pressure torque is to compute the curl of vertically-integrated pressure  
 206 gradient terms from the velocity equations. The same approach holds for the rest of the  
 207 terms in the barotropic vorticity budget. Hence, to be consistent with the model numer-  
 208 ical schemes and minimize the numerical errors in offline calculations, we compute vor-  
 209 ticity budget terms directly from the depth-integrated momentum budget diagnostics.

210 As seen in the spatial maps of the time-mean vorticity budget terms,  $\beta V$ , bottom  
 211 pressure torque, the nonlinear advection curl, and the surface wind stress curl dominate  
 212 the barotropic vorticity budget in terms of the magnitude (Figure 2a–2d). However, there  
 213 is a significant spatial variability in the relative magnitudes of the vorticity budget terms.  
 214 The vorticity balance tends to be very region dependent, as different terms dominate in  
 215 different geographical locations (also see Sonnewald et al., 2019; Sonnewald & Lguen-  
 216 sat, 2021). For example, the global means of bottom friction and lateral friction stress  
 217 terms are negligible (Figure 2e–2f); however, these terms have notable contributions in  
 218 local balances especially near continental boundaries. These characteristics of the vor-  
 219 ticity budget terms motivate a vorticity analysis considered separately in different ocean  
 220 regions (e.g. see Le Corre et al., 2020; Palóczy et al., 2020). Note that the remainder of

221 the vorticity budget terms, which are associated with surface mass flux and time-tendencies  
 222 (Figures 2g–2i), have a negligible contribution. Even so, we include them in the anal-  
 223 yses to enable a fully closed vorticity budget.



**Figure 2.** Time-mean (1958–2017, indicated with overbars) barotropic vorticity budget terms (units are in  $\text{m s}^{-2}$ ). Each of the fields are coarse-grained to  $5^\circ$  spatial resolution (used FlowSieve package, Storer & Aluie, 2023). Note the different colorbar ranges on the panels.

224 Signs of the barotropic vorticity budget terms can rapidly change spatially (e.g.,  
 225 see spatial variations in bottom pressure torque and nonlinear advection term in the South-  
 226 ern Ocean in Figures 2a–2c). Hence, positive and negative signals tend to cancel when  
 227 integrated over large domains. For example, the global averages of bottom pressure torque  
 228 and nonlinear advection vanish and the main balance is between surface wind stress and  
 229 friction terms. As a result, a domain-averaged vorticity budget cannot pick up fields that  
 230 have large magnitudes but with spatially alternating signs. The resultant domain-averaged  
 231 vorticity balance cannot represent the true nature of vorticity dynamics and can lead to  
 232 incomplete or incorrect interpretations. To overcome these issues, we employ the coarse-  
 233 graining technique to deduce the dominant vorticity budget terms appearing at differ-  
 234 ent length scales (Buzzicotti et al., 2023). Coarse-graining allows us to examine the lo-  
 235 cal and non-local impacts of different processes as a function of length scale, while main-  
 236 taining the structure of the patterns corresponding to scales at or larger than the cho-  
 237 sen coarse-graining scale. In the present work, we focus on the impacts of the choice of  
 238 length scale on local barotropic vorticity balances.

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### 2.3 The coarse-graining method

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Coarse-graining can be used to examine the spatial variability in a multi-dimensional field. For any field,  $F(\mathbf{x})$ , the coarse-graining produces a filtered field,  $F_\ell(\mathbf{x})$ , that has variability only on scales longer than  $l$  (Buzdicotti et al., 2023).  $F_\ell(\mathbf{x})$  is computed as

$$F_\ell(\mathbf{x}) = G_\ell * F(\mathbf{x}), \quad (4)$$

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where  $*$  is the convolution on the sphere (Aluie, 2019) and  $G_\ell$  is a normalized filtering kernel, which is a top-hat filter in this study (see equation (4) in Storer et al., 2022), so that  $\int_A G_\ell = 1$ . Relation (4) basically represents a spatial average of  $F(\mathbf{x})$  centered at geographical location  $\mathbf{x}$ .

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In practice, the coarse-graining technique can be applied to the entire globe, which has land/sea boundaries, while preserving the fundamental physical properties, such as the global variance of a field and non-divergence of the velocity in a Boussinesq ocean (Aluie, 2019). Coarse-graining has been successfully used for analyzing the kinetic energy spectrum and inter-scale energy transfers in the oceans (Aluie et al., 2018; Rai et al., 2021; Storer et al., 2022). Since the vorticity budget term magnitudes tend to peak around continental boundaries (Figure 2), spatial filtering near boundaries requires additional care so that there are no artificial large signals as a result of the spatial filtering. The coarse-graining technique is well suited for the present analysis as it handles gradients around land-sea boundaries appropriately.

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Following the steps described in section 2.2, we compute the barotropic vorticity budget diagnostics, which are then coarse-grained by employing the FlowSieve package (Storer & Aluie, 2023). Prior to coarse-graining, vorticity budget diagnostics were re-gridded to a uniform  $0.25^\circ \times 0.25^\circ$  grid because the current implementation of FlowSieve package only accepts rectangular latitude-longitude grids. Since we only analyze the vertical vorticity component, the barotropic vorticity budget terms are treated as scalar fields for the purpose of coarse-graining. We use the fixed-kernel method, in which land is treated as ocean with zero vorticity, to conserve global averages of vorticity terms (Buzdicotti et al., 2023). Coarse-grained diagnostics are then analyzed to identify the dominant vorticity balances as a function of filter scale,  $\ell$ . In particular, the spatial structure of the coarse-grained vorticity budget fields is examined for different magnitudes of the filter scale, which is expressed either in degree or km. Although setting the filter scale in km is a natural choice for preserving the global area-weighted variance, the coarse-graining

270 filter scale in degree units is used to understand how the grid spacing in a model affects  
 271 the dominant vorticity balances. The coarse-graining in degree is performed by assign-  
 272 ing equal weights to all model grid cells whereas, for the coarse-graining in km, actual  
 273 grid cell areas are used as weights. Note that, for both coarse-graining in degree and km,  
 274 the point-wise vorticity budget is closed for coarse-grained vorticity terms and the global  
 275 averages (when calculated with appropriate weights) of vorticity terms are conserved.

276 Furthermore, we compute root-mean-square magnitudes,  $\sqrt{\{F_\ell^2\}}$ , for all the vor-  
 277 ticity budget terms in different ocean regions and analyze their relative magnitudes as  
 278 a function of filter scale,

$$\sqrt{\{F_\ell^2\}} = \sqrt{\frac{\sum_i w_i F_\ell(\mathbf{x}_i)^2}{\sum_i w_i}}, \quad (5)$$

279 where  $i$  is a grid cell index within a region and  $w_i$  is the associated weight. For coarse-  
 280 graining in km,  $w_i$  is equal to the grid cell area, and, for coarse-graining in degree,  $w_i =$   
 281 1. The root-mean-square magnitudes are used to investigate the regional variability in  
 282 vorticity balances. Note that  $\sqrt{\{F_\ell^2\}}$  magnitudes decline significantly with increasing  
 283 the coarse-graining filter scale (see appendix Figure C1). Thus, we analyze the normal-  
 284 ized  $\sqrt{\{F_\ell^2\}}$  magnitudes as a function of filter scale to measure the relative importance  
 285 of different vorticity budget terms,

$$\sqrt{\{F_\ell^2\}_j(\text{normalized})} = \frac{\sqrt{\{F_\ell^2\}_j}}{\sum_j (\sqrt{\{F_\ell^2\}_j})}, \quad (6)$$

286 where  $j$  corresponds to a vorticity budget term and  $\sqrt{\{F_\ell^2\}_j(\text{normalized})}$  measures spa-  
 287 tial variability captured by a vorticity budget term.

### 288 **3 Vorticity Budget Analysis as a Function of Length-scale**

289 Vorticity budget analyses from relatively coarse ocean models have shown that bot-  
 290 tom pressure torque plays a prominent role in regional vorticity balances and in guid-  
 291 ing western boundary currents (Hughes & De Cuevas, 2001; Lu & Stammer, 2004; Yea-  
 292 ger, 2015). On the other hand, more recent studies employed mesoscale eddy-resolving  
 293 ocean models having horizontal grid spacing of 2 – 10 km, with these studies empha-  
 294 sizing that bottom pressure torque and nonlinear advection are equally important for  
 295 regional vorticity dynamics (Le Corre et al., 2020; Palóczy et al., 2020). The present study  
 296 aims to quantify the impacts of resolution on vorticity balances using a single global ocean  
 297 simulation. Coarse-grained barotropic vorticity budget terms are examined as a func-

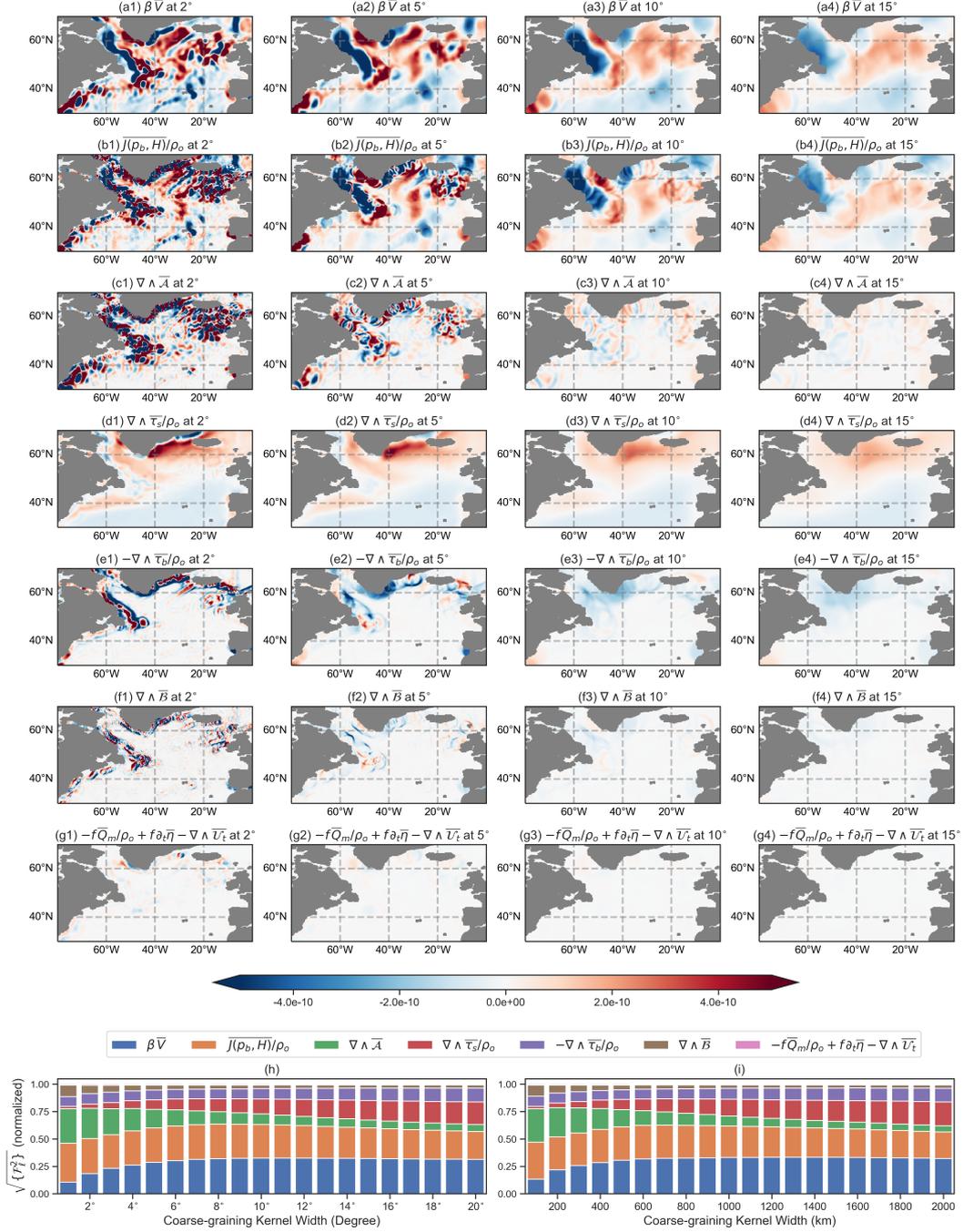
298 tion of filter scale in different ocean basins to assess the impact of spatial smoothing on  
 299 the magnitudes of all vorticity terms.

### 300 **3.1 Vorticity Budget in the North Atlantic Ocean**

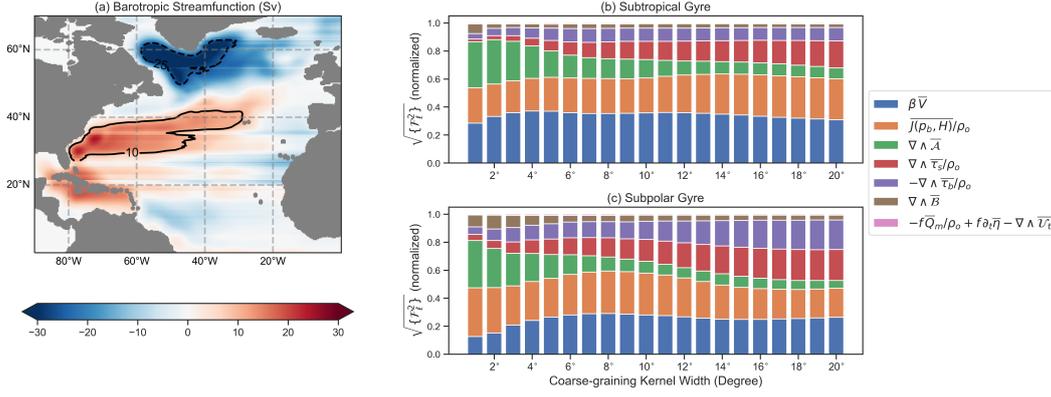
301 At first, we examine the spatial structure of coarse-grained vorticity budget terms  
 302 in the North Atlantic Ocean, which has been considered in several works (e.g. Le Corre  
 303 et al., 2020; Schoonover et al., 2016; Yeager, 2015). As seen in Figure 3, all vorticity terms,  
 304 except the wind stress curl, have pronounced spatial variability and peak near continen-  
 305 tal boundaries and mid-ocean topographic features.

306 Coarse-graining has a notable impact on the relative contributions of different vor-  
 307 ticity terms. For example, when spatial variations larger than  $2^\circ$  in size are retained (Fig-  
 308 ures 3a1-3g1),  $\beta V$ , bottom pressure torque and the curl of the nonlinear advection term,  
 309  $\nabla \wedge \mathcal{A}$ , dominate in terms of the magnitude (also see Le Corre et al., 2020). Hence, the  
 310 local meridional flow is controlled by bottom pressure torque and nonlinear advection  
 311 (henceforth will be referred to as “Topographic-Nonlinear balance”). Surface wind stress,  
 312 bottom friction, and horizontal friction terms also have large magnitudes around land-  
 313 sea boundaries; however, their contribution to the local vorticity budget is relatively small.  
 314 The rest of the vorticity budget terms (surface mass flux and time-tendencies) are neg-  
 315 ligible in comparison. There appears to be a significant cancellation between bottom pres-  
 316 sure torque and  $\nabla \wedge \mathcal{A}$  at mesoscales and submesoscales (smaller than about  $5^\circ$ ), and  
 317 their sum is roughly in balance with  $\beta V$ . Consistent with our results, Le Corre et al.  
 318 (2020) found that bottom pressure torque and  $\nabla \wedge \mathcal{A}$  signals generally are of opposite  
 319 signs to each other, so that these two terms compensate for each other (also see Gula  
 320 et al., 2015).

321 On the other hand, with coarse-graining at scales  $10^\circ$  and larger (Figures 3a3-3g3),  
 322  $\nabla \wedge \mathcal{A}$  almost disappears, and the dominant balance is then among  $\beta V$ , bottom pres-  
 323 sure torque and wind stress curl. This result suggests that vorticity dynamics at large  
 324 scales are close to the Topographic-Sverdrup balance, which agrees with vorticity bud-  
 325 get analyses from relatively coarse ocean models (Lu & Stammer, 2004; Yeager, 2015).  
 326 The coarse-graining exercise shows that bottom pressure torque is significant at all length  
 327 scales, whereas  $\nabla \wedge \mathcal{A}$  contribution to the barotropic vorticity budget is limited to scales  
 328 smaller than  $10^\circ$ . These results indicate that the model resolution (or the length scale



**Figure 3.** Vorticity budget analysis for the North Atlantic Ocean (a-g) Time-mean (1958–2017, indicated with overbars) spatial maps of barotropic vorticity budget terms (units are in  $\text{m s}^{-2}$ ) as a function of the coarse-graining filter scale; (h-i) Normalized magnitudes of the root-mean-square budget terms (see equation 6) at different coarse-graining filter scales (in degree and km).  $\sqrt{\{F_\ell^2\}}$  is computed for the region bounded between 30°N–70°N and 80°W–0°W. Note that  $\hat{z}$  is omitted in panel titles and legends.



**Figure 4.** Vorticity budget analysis for for North Atlantic gyres (a) Time-mean (1958–2017, indicated with overbars) barotropic streamfunction computed as  $\int_{x_w}^x \bar{V} dx$ ; (b-c) Normalized magnitudes of the root-mean-square budget terms (see equation 6) at different coarse-graining filter scales (in degree) for the subtropical gyre (within the region of 10 Sv contour) and subpolar gyre (within the region of  $-25$  Sv contour). For brevity,  $\hat{z}$  is omitted in the legend.

329 of interest) is a key parameter while examining relative contributions from different vor-  
 330 ticity terms, as physical interpretations of these results depend on the length scale.

331 For a quantitative investigation on the impacts of coarse-graining on vorticity bal-  
 332 ances, we compute normalized root-mean-square values of the time-mean budget terms  
 333 over the whole domain (Figure 3h). Consistent with the results discussed above, for coarse-  
 334 graining with  $2^\circ$  filter scale (or smaller), bottom pressure torque and  $\nabla \wedge \mathcal{A}$  are the largest  
 335 vorticity terms and capture more than 60% of the spatial-pattern variability.  $\beta V$  is the  
 336 third largest term and explains about 10% of the spatial-pattern variability. As the coarse-  
 337 graining kernel width increases,  $\nabla \wedge \mathcal{A}$  signals smooth out, and the primary balance is  
 338 then among  $\beta V$ , bottom pressure torque, and surface wind stress curl. Together, these  
 339 three terms capture more than 70% of the vorticity budget at length scales greater than  
 340  $10^\circ$ . The rest of the contribution to the vorticity balance is from  $-\nabla \wedge \tau_b / \rho_o$  and  $\nabla \wedge$   
 341  $\mathcal{B}$ , which project on all length scales. Overall, these vorticity analyses show a clear tran-  
 342 sition from the Topographic-Nonlinear balance to the Topographic-Sverdrup balance as  
 343 we move from small to large length-scales. The conclusions remain the same if the fields  
 344 are coarse-grained using kernel width in km instead of degree (Figure 3i). The contri-  
 345 bution from  $\nabla \wedge \mathcal{A}$  is minimal at length scales larger than about 1000 km. Even the coarse-  
 346 grained fields obtained by setting the filter kernel in km (not shown here) are very sim-

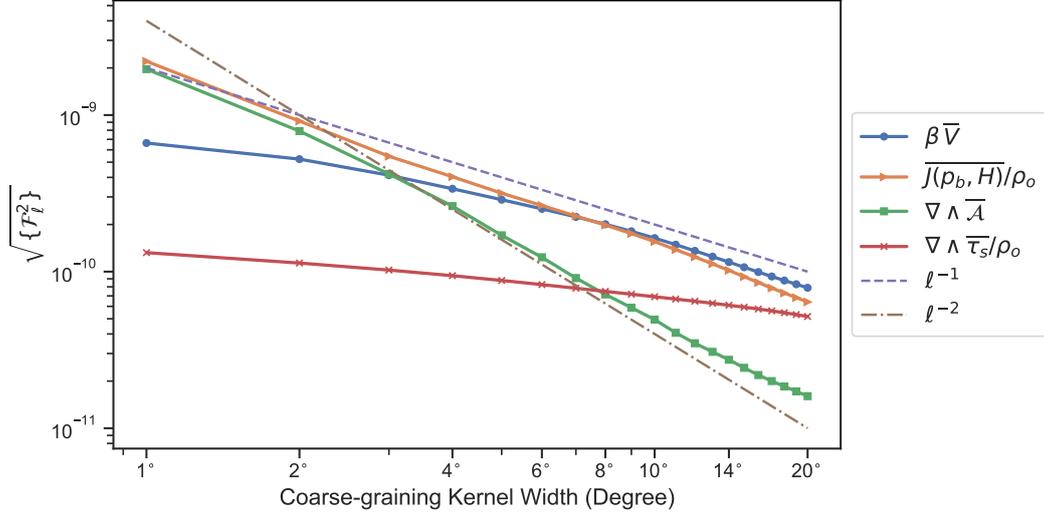
347 ilar to coarse-grained fields shown in Figure 3. The same results hold even if a different  
 348 spatial filtering algorithm is used (see Figure D1).

### 349 ***3.1.1 Vorticity budget within closed gyre contours***

350 To understand the dominant vorticity balances that control subtropical and sub-  
 351 polar North Atlantic gyre circulations, we analyze the root-mean-square magnitudes of  
 352 vorticity budget terms within closed gyre contours (Figure 4). Even within gyres, the  
 353 vorticity balance is largely among bottom pressure torque,  $\nabla \wedge \mathcal{A}$ , and  $\beta V$  when all length  
 354 scales are included. When spatial features only larger than  $10^\circ$  are retained, there is an  
 355 insignificant contribution from  $\nabla \wedge \mathcal{A}$ , and about 70% of the spatial-pattern variabil-  
 356 ity in the barotropic vorticity terms is explained with  $\beta V$ , bottom pressure torque, and  
 357 the surface wind stress curl. However, there is one key difference between the vorticity  
 358 budgets of subtropical and subpolar gyres. At relatively large length-scales (greater than  
 359  $5^\circ$ ), bottom friction and horizontal friction terms,  $-\nabla \wedge \tau_b / \rho_o$  and  $\nabla \wedge \mathcal{B}$ , capture about  
 360 20% of the spatial-pattern variability in the subpolar gyre, whereas their contribution  
 361 to the vorticity balance in the subtropical gyre is less than 10%. This difference is be-  
 362 cause a large part of the subpolar gyre is influenced by physical processes occurring near  
 363 land-sea boundaries. Since bottom and horizontal friction have their peak magnitudes  
 364 near continental boundaries (see Figures 3e–3f), they are more important in the vortic-  
 365 ity budget of the subpolar gyre than in the subtropical gyre.

### 366 ***3.1.2 Why does the nonlinear advection term smooth out at large scales?***

367 The nonlinear advection term mainly accounts for the redistribution of vorticity  
 368 via transient eddies and standing meanders (Gula et al., 2015), which generally are 1–  
 369 300 km in size (Chelton et al., 2007; Eden, 2007). Since these nonlinear flow patterns  
 370 have spatial variations over length scales smaller than about 500 km, the nonlinear term  
 371 is expected to be weak at large length scales (also see Hughes & De Cuevas, 2001). To  
 372 better understand this behavior, we examine the vorticity budget equation more closely.  
 373 Since meridional transport is primarily controlled by bottom pressure torque and non-  
 374 linear advection at small length scales (Figures 3–4), an approximate vorticity budget



**Figure 5.** Scaling of the root-mean-square magnitudes,  $\sqrt{\{F_\ell^2\}}$  (units are in  $\text{m s}^{-2}$ ), of vorticity budget terms in the subpolar North Atlantic Ocean, region shown in Figure 3. Note that  $\hat{z}$  is omitted in the legends.

375 can be written as

$$\beta V \approx \hat{z} \cdot \left[ \frac{1}{\rho_o} \nabla \wedge (H \nabla p_b) + \overbrace{\frac{1}{\rho_o} \nabla \wedge \left( \nabla \cdot \int_{-H}^{\eta} \mathbb{T}_{\text{hor}}^{\text{kinetic}} dz \right)}^{\approx \nabla \wedge \mathcal{A}} \right], \quad (7)$$

376 where  $\mathbb{T}_{\text{hor}}^{\text{kinetic}} = -\rho_o \mathbf{u} \otimes \mathbf{u}$  is the horizontal kinetic stress tensor whose Reynolds aver-  
 377 age leads to the Reynolds stress (see, for example, page 620 of Kundu et al., 2016). The  
 378 nonlinear term is written in a different, but equivalent, form in Appendix A2. Note that  
 379 there are higher-order derivatives in the nonlinear advection term and bottom pressure  
 380 torque. Hence, the right-hand side terms have a stronger small-scale spatial variability  
 381 and relatively larger magnitudes at small length scales than  $\beta V$ . Essentially, the non-  
 382 linear advection term and bottom pressure torque are expected to compensate for each  
 383 other at small length scales, and their residual leads to a relatively large-scale structure  
 384 in meridional transport (see Figures 3a1–3c1).

385 This qualitative argument does not provide any explanation of why the relative mag-  
 386 nitudes of bottom pressure torque and nonlinear advection term change as a function  
 387 of length scale. For further investigation, we perform a scale analysis (also see Schoonover  
 388 et al., 2016),

$$\left| \frac{J(p_b, H)}{\rho_o} \right| = |f \mathbf{u}_g \cdot \nabla H| \approx f \frac{\mathcal{V} \mathcal{L}_v}{\mathcal{L}_h}, \quad (8)$$

$$|\hat{\mathbf{z}} \cdot (\nabla \wedge \mathcal{A})| \approx \frac{\mathcal{V}^2 \mathcal{L}_v}{\mathcal{L}_h^2}, \quad (9)$$

where  $\mathbf{u}_g$  is the horizontal geostrophic velocity at the ocean bottom,  $\mathcal{V}$  is the velocity scale,  $\mathcal{L}_h$  is the horizontal length scale, and  $\mathcal{L}_v$  is the vertical length scale. Since  $\mathcal{V}$  and  $\mathcal{L}_v$  vary little with changing  $\mathcal{L}_h$ , equations (8)–(9) imply that the magnitudes of bottom pressure torque and the nonlinear advection term follow  $1/\mathcal{L}_h$  and  $1/\mathcal{L}_h^2$  scalings, respectively. Hence, the nonlinear advection term must decay faster than bottom pressure torque when increasing the horizontal length scale. At relatively large length scales, the meridional flow then has to be controlled by a combination of bottom pressure torque and surface wind stress, which each can have spatial variations on scales of atmospheric motions. As seen in Figure 5, the root-mean-square values of vorticity budget terms are in agreement with these scaling arguments. The nonlinear term roughly follows  $\ell^{-2}$  scaling whereas the bottom pressure torque magnitude declines as  $\ell^{-1}$ . At relatively large scales,  $\beta V$  dominates over  $\nabla \wedge \mathcal{A}$  and the cross-over occurs near  $\ell = 3^\circ$  scale (roughly 300 km), which interestingly correlates with the mesoscale spectral peak in the global kinetic energy spectrum (Storer et al., 2022). Using the scale analysis, we estimate this cross-over length scale,

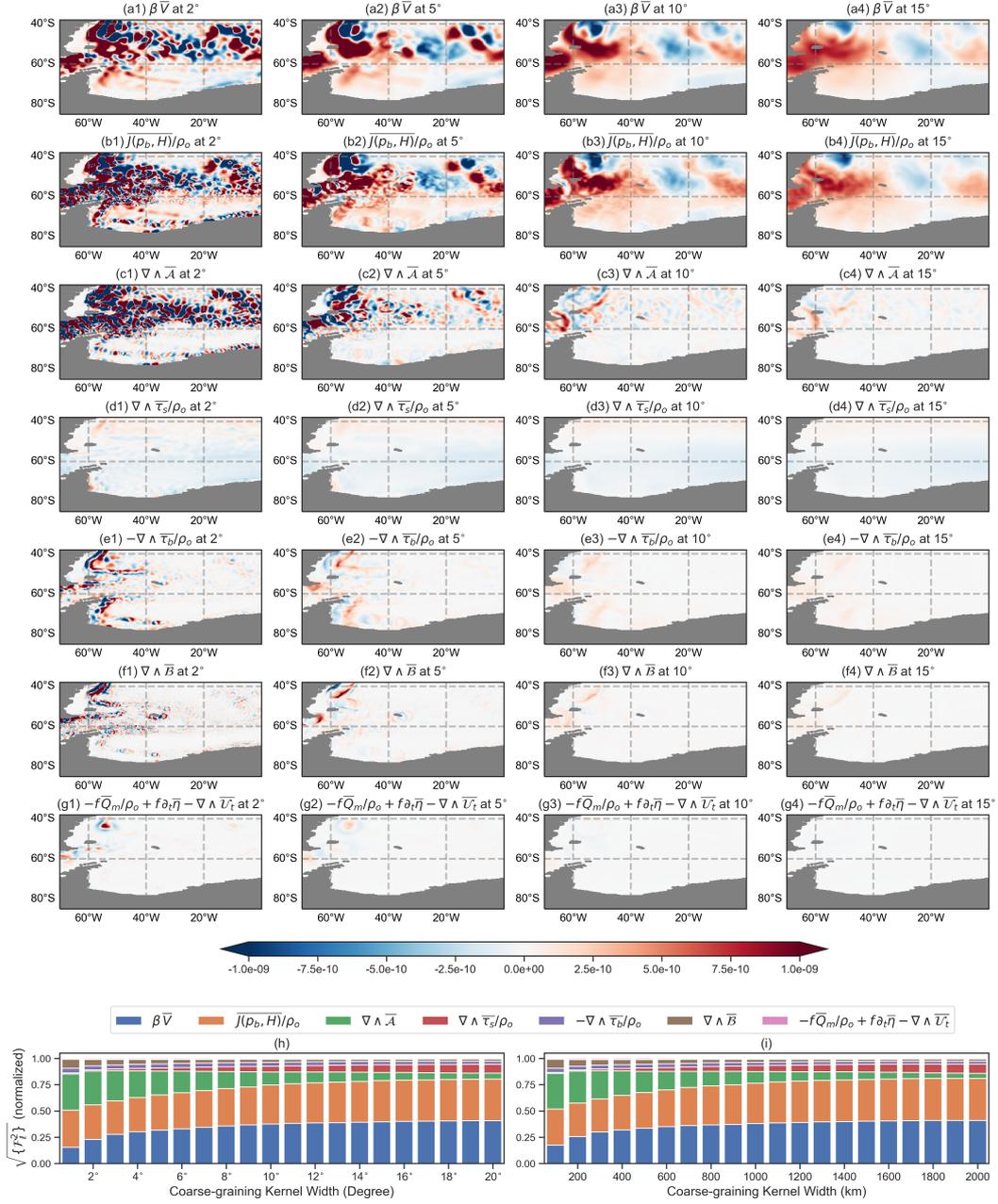
$$|\beta V| \approx |\hat{\mathbf{z}} \cdot (\nabla \wedge \mathcal{A})|, \quad (10)$$

$$\beta \mathcal{V} \mathcal{L}_v \approx \frac{\mathcal{V}^2 \mathcal{L}_v}{\mathcal{L}_h^2}. \quad (11)$$

By setting  $\beta = 10^{-11} \text{ m}^{-1} \text{ s}^{-1}$  and  $\mathcal{V} = 0.1 \text{ m s}^{-1}$ , we obtain  $\mathcal{L}_h = 100 \text{ km}$ , which largely agrees with the results from Figure 5. Thus, the contribution of the nonlinear advection term to the barotropic vorticity budget can be neglected at scales larger than 300–400 km, which was also argued by Hughes and De Cuevas (2001). One caveat to note is that our analyses use output from a  $0.25^\circ$  ocean model, which does not resolve all mesoscale activity. Hence, the contribution of the nonlinear advection term to barotropic vorticity budget, especially at mesoscales, is not fully captured.

### 3.2 Vorticity Budget in Weddell Sea Region

Topography plays a fundamental role in the Southern Ocean, which comprises highly energetic ocean regions, e.g. Weddell Sea and Drake Passage, in terms of flow-topography interactions and mesoscale eddy dynamics (Hughes, 2005; Rintoul et al., 2001; Rintoul & Naveira Garabato, 2013; Rintoul, 2018). To investigate the roles of topography and nonlinear eddies on local vorticity balances, we repeat the vorticity budget analysis in



**Figure 6.** Vorticity budget analysis for the Weddell Sea region (a-g) Time-mean (1958–2017, indicated with overbar) spatial maps of barotropic vorticity budget terms (units are in  $\text{m s}^{-2}$ ) as a function of the coarse-graining filter scale; (h-i) Normalized magnitudes of the root-mean-square budget terms (see equation 6) at different coarse-graining filter scales (in degree and km).  $\sqrt{\{F_\ell^2\}}$  is computed for the region bounded between 85°S–40°S and 70°W–0°W. Note that  $\hat{z}$  is omitted in panel titles and legends.

417 the Weddell Sea region (Figure 6). For coarse-graining scale of  $1^\circ - 2^\circ$ , the main bal-  
 418 ance is among bottom pressure torque,  $\nabla \wedge \mathcal{A}$ , and  $\beta V$ . For coarse-grained fields at scales  
 419 larger than about  $10^\circ$  (or 1000 km), the contribution from the nonlinear advection term  
 420 is minimal, and  $\beta V$  and bottom pressure torque terms explain more than 70% of the  
 421 spatial-pattern variability in the barotropic vorticity balances.

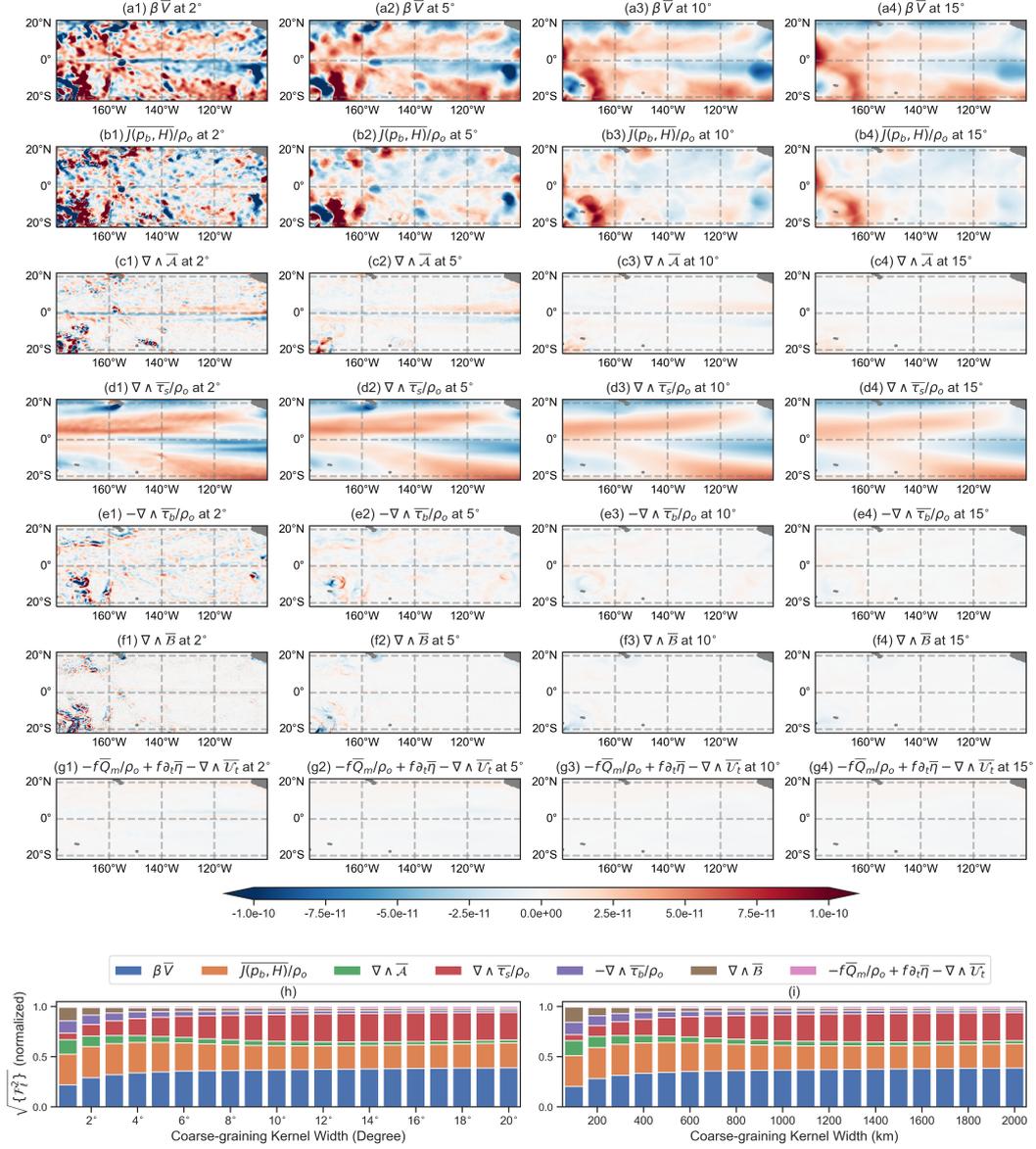
422 Interestingly, the relative contribution of the surface wind stress curl to the vor-  
 423 ticity budget at length scales larger than  $10^\circ$  is much smaller than observed in the North  
 424 Atlantic Ocean (compare Figures 3h and 6h). This behavior is because the magnitudes  
 425 of  $\beta V$  and bottom pressure torque are much larger in the Southern Ocean than in the  
 426 North Atlantic (Figures 2a–2b), whereas the wind stress curl magnitudes vary little with  
 427 latitude (Figure 2d). These results do not imply that the wind component is unimpor-  
 428 tant in the Weddell Sea region. On the contrary, surface winds are a key driving force  
 429 for ocean flows at all length scales. However, for the local vorticity budget and spatial  
 430 variability in vorticity terms, bottom pressure torque appears to be the primary factor  
 431 in governing the spatial structure of the depth-integrated meridional flow in the Wed-  
 432 dell Sea.

### 433 3.3 Vorticity Budget in the Equatorial Pacific Ocean

434 The equatorial Pacific Ocean slightly differs from ocean regions at high latitudes  
 435 in terms of barotropic vorticity dynamics. Here, the contribution of the nonlinear ad-  
 436 vection term to the barotropic vorticity budget is relatively small at all length scales (Fig-  
 437 ure 7). Instead, bottom pressure torque and wind stress curl are the dominant terms that  
 438 balance  $\beta V$  at all length scales, and these three terms capture more than 80% of the spatial-  
 439 pattern variability. Hence, dynamics in the equatorial Pacific Ocean largely follow the  
 440 Topographic-Sverdrup balance. These results are in contrast to North Atlantic and Wed-  
 441 dell Sea analyses, which indicate significant nonlinear eddy advection contribution to vor-  
 442 ticity dynamics at length scales smaller than  $10^\circ$ .

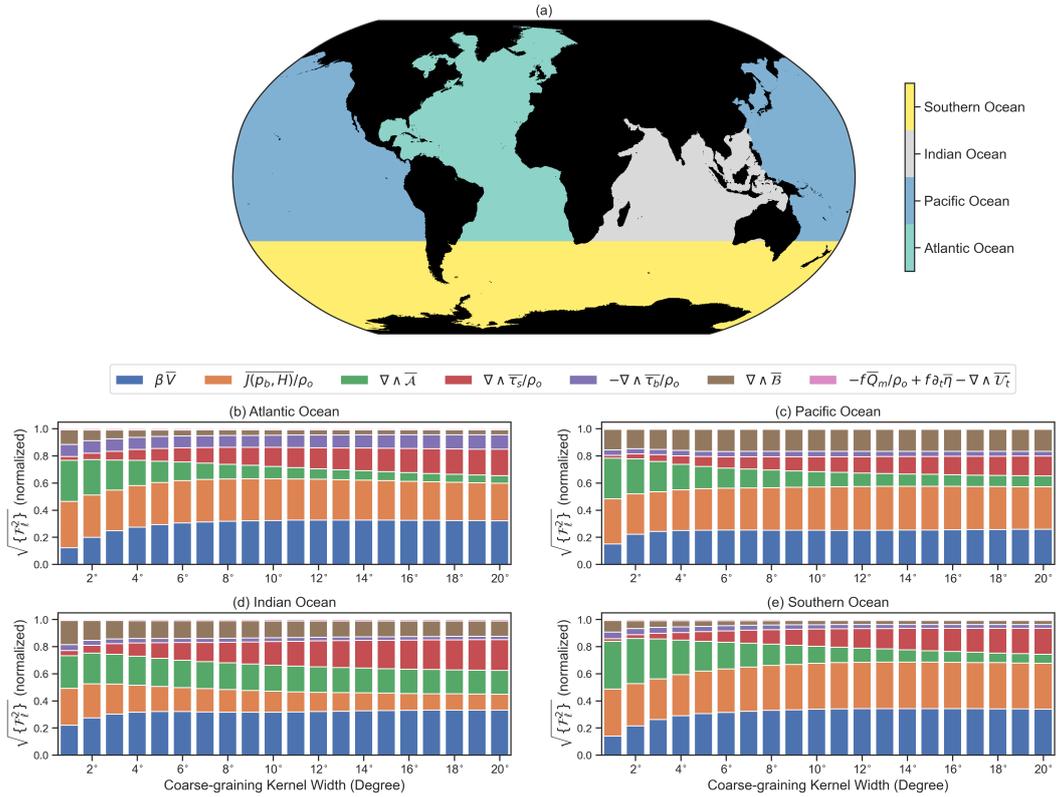
### 443 3.4 Global Vorticity Budget

444 To have an understanding of the global picture of vorticity balances, we divide the  
 445 global ocean into four regions and repeat the vorticity analysis in these four regions (Fig-  
 446 ure 8). These basins are sufficiently large such that the regional variability (as in sec-



**Figure 7.** Vorticity budget analysis for an oceanic region in the equatorial Pacific (a-g) Time-mean (1958–2017, indicated with overbar) spatial maps of barotropic vorticity budget terms (units are in  $\text{m s}^{-2}$ ) as a function of the coarse-graining filter scale; (h-i) Normalized magnitudes of the root-mean-square budget terms (see equation 6) at different coarse-graining filter scales (in degree and km).  $\sqrt{\{F_\ell^2\}}$  is computed for the region bounded between 20°S–20°N and 180°W–100°W. Note that  $\hat{z}$  is omitted in panel titles and legends.

447 tions 3.1–3.3) becomes less apparent. In general, bottom pressure torque and  $\beta V$  terms  
 448 are the largest terms, followed by the surface wind stress curl that appears on relatively  
 449 large scales. These three terms together capture roughly 70% of the variability in spa-  
 450 tial patterns. As seen in sections 3.1–3.3, the nonlinear advection term is only impor-  
 451 tant at length scales smaller than about  $10^\circ$ , except in the Indian Ocean sector where,  
 452 even at length scales of  $10^\circ$ – $20^\circ$ , the nonlinear advection term is as important as sur-  
 453 face wind stress curl and bottom pressure torque. The relatively large contribution of  
 454 the nonlinear advection in the Indian Ocean could be due to larger mesoscale eddy length  
 455 scales in tropics than at higher latitudes (Chelton et al., 2007, 2011). In addition, bot-  
 456 tom friction and horizontal friction explain about 10%–20% of the spatial pattern vari-  
 457 ations in the vorticity balance.



**Figure 8.** Vorticity budget analysis for the global ocean (a) Extent of four ocean basins (b–  
 e) Normalized magnitudes of the root-mean-square budget terms (see equation 6) at different  
 coarse-graining filter scales (in degree).  $\sqrt{\{F_\ell^2\}}$  is computed separately for the basins shown with  
 different colors in (a). Note that  $\hat{z}$  is omitted in the legends.

458 To further emphasize how spatial smoothing affects the local vorticity balance, we  
 459 identify grid points at which 80% of the variability in the barotropic vorticity budget can  
 460 be explained with two or three largest vorticity terms. Sonnewald et al. (2019) applied  
 461 a machine learning algorithm to ECCO global ocean state estimate, which has horizon-  
 462 tal grid spacing of  $1^\circ$ , and identified different dynamical regimes using the barotropic  
 463 vorticity budget framework. However, impacts of the spatial resolution on these dynam-  
 464 ical regimes have not been examined before. Here, we analyze point-wise vorticity bal-  
 465 ances for four coarse-graining filter scales (Figure 9). Firstly, three vorticity balances stand  
 466 out, i.e., Topographic-Sverdrup balance, Topographic-Nonlinear balance, and Sverdrup  
 467 balance. The proportion of grid points at which these balances are satisfied increases when  
 468 we increase the filter length scale (see Table 1). In fact, a large part of the global ocean  
 469 transitions from a Topographic-Nonlinear regime to a Topographic-Sverdrup regime, es-  
 470 pecially in the Southern Ocean. As the coarse-graining kernel width increases and more  
 471 length scales are filtered out, the contribution of the nonlinear advection term decreases.  
 472 In the case of  $2^\circ$  filter scale, the vorticity dynamics closely follow Topographic-Sverdrup  
 473 and Topographic-nonlinear relationships at about 20% and 18% of the total grid points,  
 474 respectively. On the other hand, these percentages change to 38% and 7%, respectively,  
 475 at length scales greater than  $20^\circ$ .

476 In tropical and subtropical oceans (roughly  $40^\circ\text{S}$ – $40^\circ\text{N}$ ), Sverdrup balance holds  
 477 reasonably well at length scales larger than  $10^\circ$  (Figure 9c), which is in agreement with  
 478 Gray and Riser (2014); Thomas et al. (2014); Wunsch (2011). However, Sverdrup bal-  
 479 ance rarely holds at higher latitudes in those regions where topography significantly af-  
 480 fects the spatial variability of the depth-integrated meridional flow at large scales. This  
 481 role for topography is enhanced in such regions due to a relatively weak stratification  
 482 allowing for strong deep flows. Note that maps of Sverdrup and Topographic-Sverdrup  
 483 relationships in Figure 9 are not mutually exclusive. If the local vorticity dynamics can  
 484 be approximated as being in Sverdrup balance, then the dynamics would also be in ac-  
 485 cord with Topographic-Sverdrup balance. Hence, Sverdrup balance is a special case of  
 486 Topographic-Sverdrup balance. At length scales larger than  $10^\circ$ , the barotropic vortic-  
 487 ity dynamics can be understood in terms of Topographic-Sverdrup balance in more than  
 488 60% of the global ocean. A schematic of different dynamical regimes in the global ocean  
 489 is shown in Figure 10.

490 Intriguingly, there is virtually no ocean region in the friction-dominated regime,  
 491 in which  $\beta V$  is controlled by bottom friction and horizontal friction. This result sug-  
 492 gests that the global ocean is dominated by inviscid processes in terms of barotropic vor-  
 493 ticity dynamics. Indeed, there is a large part of the oceans where these simplified vor-  
 494 ticity relationships (Topographic-Nonlinear and Topographic-Sverdrup) do not hold and  
 495 vorticity dynamics are controlled by more than three terms.

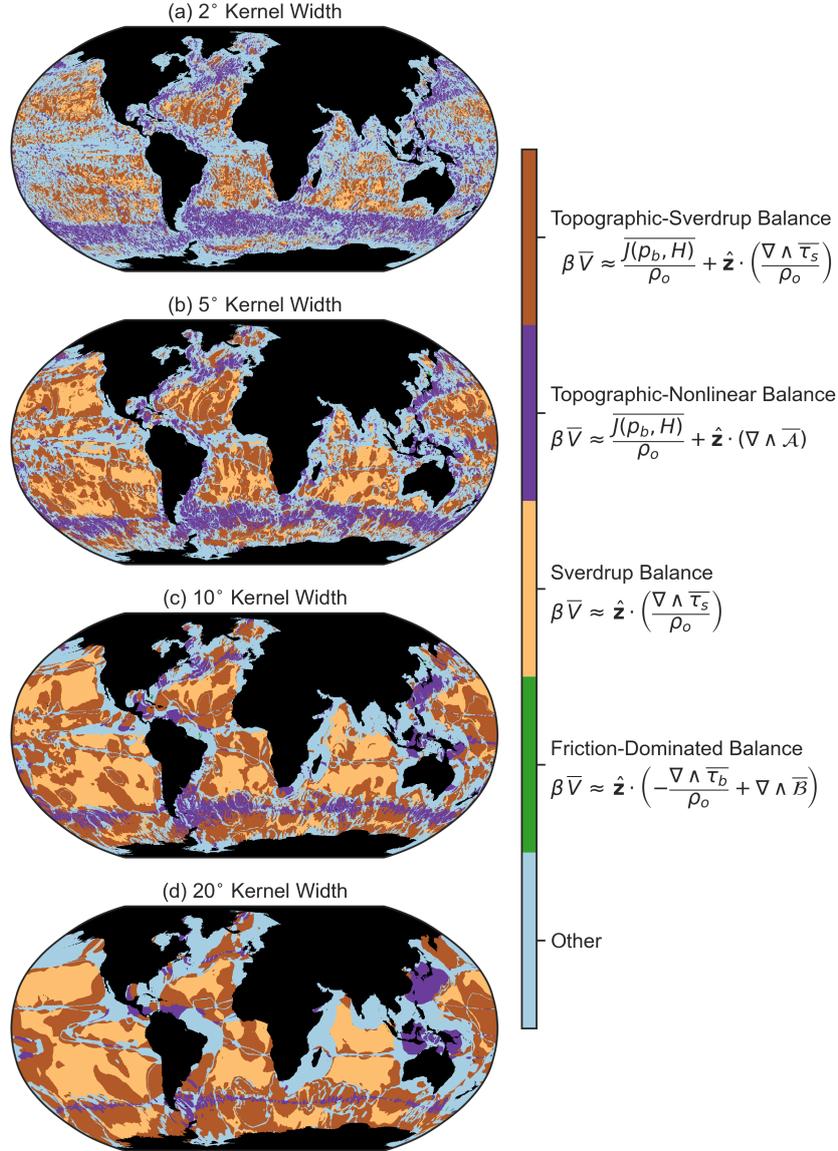
	2° Kernel	5° Kernel	10° Kernel	20° Kernel
$\beta \bar{V} \approx \overline{J(p_b, H)}/\rho_o + \hat{z} \cdot (\nabla \wedge \bar{\tau}_s)/\rho_o$	19.98%	31.81%	37.07%	38.01%
$\beta \bar{V} \approx \overline{J(p_b, H)}/\rho_o + \hat{z} \cdot (\nabla \wedge \bar{A})$	18.15%	14.61%	11.02%	6.80%
$\beta \bar{V} \approx \hat{z} \cdot (\nabla \wedge \bar{\tau}_s)/\rho_o$	4.99%	14.49%	20.46%	24.32%
$\beta \bar{V} \approx \hat{z} \cdot (-\nabla \wedge \bar{\tau}_b/\rho_o + \nabla \wedge \bar{B})$	0.19%	0.06%	0.04%	0.01%
Other	56.75%	39.03%	31.41%	30.85%

**Table 1.** Percentage of grid points at which vorticity balances plotted in Figure 9 satisfy and capture more than 80% spatial pattern variations in vorticity balances.

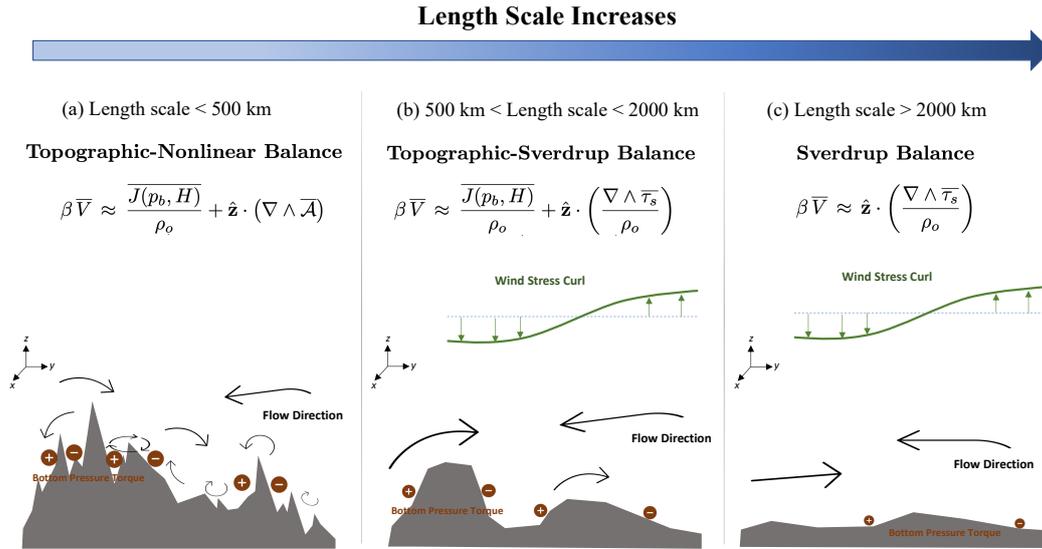
#### 496 **4 Discussion and Conclusions**

497 The vorticity budget of the depth-integrated flow is analyzed to understand how  
 498 bottom pressure torque, surface wind stress curl, nonlinear advection, and friction drive  
 499 spatial variability in meridional transport in the oceans. Previous studies have shown  
 500 that interpretations of vorticity budget analyses can significantly change depending on  
 501 the region of interest and length scale. For example, the classical Sverdrup balance only  
 502 holds in tropics and subtropics at length scales greater than about 5° (Thomas et al.,  
 503 2014; Wunsch, 2011). At higher latitudes and in eddy-active regions, barotropic pres-  
 504 sure torque and nonlinear advection control the spatial variability in the depth-integrated  
 505 meridional flow (Hughes & De Cuevas, 2001; Le Corre et al., 2020; Lu & Stammer, 2004;  
 506 Yeager, 2015).

507 The present work investigates the regional variability and length-scale dependence  
 508 in vorticity budget analyses using the 60-year mean vorticity budget terms from an eddy-  
 509 permitting global ocean simulation (Adcroft et al., 2019). The time-mean vorticity bud-



**Figure 9.** Global map of leading vorticity balances with different levels of coarse-graining (a) 2° kernel width (b) 5° kernel width (c) 10° kernel width (d) 20° kernel width. Different colors indicate balance among different vorticity terms (see legend), which capture 80% of the variability in the vorticity budget at any grid point. For legend ‘Other’, vorticity balance is complex, and more than three terms are required to capture 80% spatial-pattern variations in vorticity balances.



**Figure 10.** Schematic of primary barotropic vorticity balances and dynamical regimes as a function of length scale in a steady state. Both velocity field (see black arrows) and bottom pressure (brown  $\pm$  circles) project on all length scales whereas surface wind stress projects only on large length scales. At length scales smaller than 500 km, nonlinear advection and bottom pressure torque control the spatial variability in meridional transport. At length scales greater than 500 km, meridional transport is mainly controlled by bottom pressure torque and surface wind stress curl as the nonlinear advection contribution is insignificant at large length scales.

510 get terms are analyzed as a function of spatial-filtering scale by employing the coarse-  
 511 graining technique (Buzziotti et al., 2023; Storer et al., 2022). Consistent with previ-  
 512 ous studies (Hughes & De Cuevas, 2001; Sonnewald et al., 2019), the relative magnitudes  
 513 of different vorticity budget terms display significant regional variability. In general, depth-  
 514 integrated meridional velocity is balanced by a combination of the surface wind stress  
 515 curl, bottom pressure torque, and the curl of the nonlinear velocity advection in the barotropic  
 516 vorticity budget. The relative importance of these terms is examined by performing vor-  
 517 ticity analyses in different ocean regions at different filter scales.

518 We show that Topographic-Sverdrup balance, in which  $\beta V$  (meridional gradient of  
 519 Coriolis parameter  $\times$  depth-integrated meridional velocity), bottom pressure torque, and  
 520 surface wind stress curl are in balance (Holland, 1967), applies to vorticity dynamics in  
 521 the majority of the global ocean. These three vorticity terms capture more than 70% of  
 522 the spatial-pattern variability in the barotropic vorticity budget (Figures 3–8); however,  
 523 it requires significant spatial filtering, and this simplified balance only holds at length  
 524 scales larger than about  $10^\circ$  (or roughly 1000 km). This result is in agreement with pre-  
 525 vious studies that employed coarse non-eddy resolving model outputs in their vorticity  
 526 analyses (Lu & Stammer, 2004; Yeager, 2015). Although bottom pressure torque con-  
 527 tribution is significant in all ocean regions that we considered, a simpler Sverdrup bal-  
 528 ance, in which the depth-integrated meridional transport is driven by surface wind stress  
 529 curl (Sverdrup, 1947), holds reasonably well in subtropical oceans at length scales greater  
 530 than  $10^\circ$  (also see Gray & Riser, 2014; Thomas et al., 2014; Wunsch, 2011). On the other  
 531 hand, at higher latitudes and throughout the Southern Ocean, the contribution of bot-  
 532 tom pressure torque for the vorticity balance cannot be neglected, with this importance  
 533 due to relatively strong deep flows.

534 In the case of nominal or no coarse-graining (retaining variations on length scales  
 535 greater than  $1^\circ$  in the present work), bottom pressure torque and the nonlinear advec-  
 536 tion term dominate the vorticity budget locally (referred to as “Topographic-Nonlinear”  
 537 balance here) indicating a prominent role of ocean eddies in vorticity balances. We note  
 538 that bottom pressure torque and nonlinear advection terms compensate against each other  
 539 (e.g. see Le Corre et al., 2020), and the residual from these two terms is roughly balanced  
 540 by  $\beta V$ . As we increase the length scale of spatial filtering, the nonlinear advection term  
 541 largely smooths out, and we find a clear transition from Topographic-Nonlinear balance  
 542 to Topographic-Sverdrup balance in the local vorticity budget (see Figures 9–10). Hence,

543 the nonlinear advection term contributes to vorticity balances mostly at length scales  
 544 smaller than  $10^\circ$  (roughly 1000 km), and we offer a scaling argument to explain why it  
 545 plays a negligible role for larger scale vorticity balances.

546 By incorporating the coarse-graining method in vorticity budget analysis, we find  
 547 that the relative magnitudes of vorticity budget terms not only vary regionally but also  
 548 have a strong length-scale dependence. Although Sverdrup and Topographic-Sverdrup  
 549 relationships explain the spatial structure of the meridional transport in many places,  
 550 these relationships only apply to large-scale oceanic flows (larger than about 1000 km).  
 551 At relatively small length scales, the contribution of eddies and nonlinear advection to  
 552 vorticity balance tends to be significant. Hence, the interpretations from vorticity anal-  
 553 yses can be completely different depending on the extent of spatial filtering.

554 The present study only considers time-mean vorticity balances and the temporal  
 555 variability in local vorticity balances has not been analyzed. Preliminary vorticity anal-  
 556 yses from seasonal vorticity diagnostics (not shown) closely follow the time-mean results  
 557 presented in the present work. In temporally varying vorticity diagnostics, we expect sim-  
 558 ilar transitions among different dynamical regimes at different length scales (Figure 9)  
 559 in barotropic vorticity balances, albeit some regional differences may be present.

## 560 **Appendix A Vorticity Budget of the Depth-integrated Flow**

561 The governing hydrostatic and Boussinesq ocean primitive velocity equation on a  
 562 generalized vertical coordinate  $r = r(x, y, z, t)$  is given by (Adcroft et al., 2019; Griffies  
 563 et al., 2020)

$$\frac{\partial \mathbf{u}}{\partial t} + (f + \zeta) \hat{\mathbf{z}} \wedge \mathbf{u} + w^{(\dot{r})} \frac{\partial \mathbf{u}}{\partial r} = - \left[ \frac{\nabla_r p}{\rho_o} + \nabla_r \Phi \right] - \nabla_r K + \mathcal{F} + \frac{\partial_r \tau}{\rho_o}, \quad (\text{A1})$$

564 where we have

$$\mathbf{v} = \mathbf{u} + \hat{\mathbf{z}} w = \hat{\mathbf{x}} u + \hat{\mathbf{y}} v + \hat{\mathbf{z}} w \quad \text{velocity} \quad (\text{A2})$$

$$\nabla_s = \hat{\mathbf{x}} \left[ \frac{\partial}{\partial x} \right]_r + \hat{\mathbf{y}} \left[ \frac{\partial}{\partial y} \right]_r \quad \text{horizontal gradient on } r\text{-surface} \quad (\text{A3})$$

$$w^{(\dot{r})} = \frac{\partial z}{\partial r} \frac{Dr}{Dt} \quad \text{dia-surface velocity used for remapping} \quad (\text{A4})$$

$$\zeta = \left[ \frac{\partial v}{\partial x} \right]_r - \left[ \frac{\partial u}{\partial y} \right]_r \quad r\text{-coordinate vertical vorticity} \quad (\text{A5})$$

$$- [\rho_o^{-1} \nabla_r p + \nabla_r \Phi] \quad \text{horizontal pressure acceleration } (\Phi = gz) \quad (\text{A6})$$

$$K = \frac{u^2 + v^2}{2} \quad \text{horizontal kinetic energy per mass} \quad (\text{A7})$$

$$\mathcal{F} = \mathcal{F}^{(\text{horz diff})} + \mathcal{F}^{(\text{vert diff})} \quad \text{horizontal and vertical diffusion} \quad (\text{A8})$$

$$\partial_r \tau = \delta(z - \eta) \boldsymbol{\tau}_s - \delta(z + H) \boldsymbol{\tau}_b \quad \text{wind stress, } \boldsymbol{\tau}_s \text{ and bottom drag, } \boldsymbol{\tau}_b \quad (\text{A9})$$

$$\delta(z) \quad \text{Dirac delta with dimensions } L^{-1} \quad (\text{A10})$$

## 565 A1 Depth integration and its curl

566 To derive the vorticity budget of the depth-integrated flow, we first vertically in-  
 567 tegrate the velocity equation (A1) from the ocean bottom,  $z = -H(x, y)$ , to the sea  
 568 surface,  $z = \eta(x, y, t)$ ,

$$\int_{-H}^{\eta} \partial_t \mathbf{u} \, dz = -f \hat{\mathbf{z}} \wedge \int_{-H}^{\eta} \mathbf{u} \, dz - \frac{1}{\rho_o} \int_{-H}^{\eta} \nabla p \, dz + \frac{\boldsymbol{\tau}_s}{\rho_o} - \frac{\boldsymbol{\tau}_b}{\rho_o} + \int_{-H}^{\eta} \mathbf{a} \, dz + \int_{-H}^{\eta} \mathbf{b} \, dz. \quad (\text{A11})$$

569 Here,  $\mathbf{a} = -\zeta \hat{\mathbf{z}} \wedge \mathbf{u} - \nabla_r K - w^{(\dot{r})} \partial_r \mathbf{u}$  and  $\mathbf{b} = \mathcal{F}^{(\text{horz diff})}$ . By construction, vertical in-  
 570 tegral of  $\mathcal{F}^{(\text{vert diff})}$  over the whole depth vanishes. Since we use the depth-integrated ve-  
 571 locity equation to derive the vorticity budget, the mathematical manipulations in the  
 572 following steps remain the same irrespective of the choice of the vertical coordinate in  
 573 the velocity equation. Thus, for simplicity, the pressure gradient term is just written as  
 574  $\nabla p$  above, where  $\nabla = \hat{\mathbf{x}} \partial_x + \hat{\mathbf{y}} \partial_y$  is the horizontal gradient operator on a fixed depth.  
 575 We now introduce the shorthand notation

$$\mathcal{U}_t = \int_{-H}^{\eta} \partial_t \mathbf{u} \, dz \quad \text{and} \quad \mathcal{A} = \int_{-H}^{\eta} \mathbf{a} \, dz \quad \text{and} \quad \mathcal{B} = \int_{-H}^{\eta} \mathbf{b} \, dz, \quad (\text{A12})$$

576 and make use of Leibniz's rule on the pressure gradient term to render

$$\mathcal{U}_t = -f \hat{\mathbf{z}} \wedge \int_{-H}^{\eta} \mathbf{u} \, dz - \frac{1}{\rho_o} \nabla \left[ \int_{-H}^{\eta} p \, dz \right] + p_s \nabla \eta + p_b \nabla H + \frac{\boldsymbol{\tau}_s}{\rho_o} - \frac{\boldsymbol{\tau}_b}{\rho_o} + \mathcal{A} + \mathcal{B}. \quad (\text{A13})$$

577 Here,  $p_s$  and  $p_b$  are pressures at the surface and bottom of the ocean, and the terms  
 578  $p_s \nabla \eta$ ,  $p_b \nabla H$  are pressure form stresses at the ocean surface and ocean bottom, respec-  
 579 tively. We now take the curl of this equation and split the curl of the linear Coriolis term  
 580 into two terms to obtain

$$\begin{aligned} \nabla \wedge \mathcal{U}_t &= -\nabla \wedge \left( f \hat{\mathbf{z}} \wedge \int_{-H}^{\eta} \mathbf{u} \, dz \right) - \frac{1}{\rho_o} \nabla \wedge \left( \nabla \int_{-H}^{\eta} p \, dz - p_s \nabla \eta - p_b \nabla H \right) \\ &\quad + \frac{\nabla \wedge \boldsymbol{\tau}_s}{\rho_o} - \frac{\nabla \wedge \boldsymbol{\tau}_b}{\rho_o} + \nabla \wedge \mathcal{A} + \nabla \wedge \mathcal{B}, \end{aligned} \quad (\text{A14})$$

$$\begin{aligned} \hat{\mathbf{z}} \cdot (\nabla \wedge \mathcal{U}_t) &= -\beta \int_{-H}^{\eta} v \, dz - f \nabla \cdot \int_{-H}^{\eta} \mathbf{u} \, dz + \frac{J(p_s, \eta)}{\rho_o} + \frac{J(p_b, H)}{\rho_o} \\ &\quad + \hat{\mathbf{z}} \cdot \left( \frac{\nabla \wedge \boldsymbol{\tau}_s}{\rho_o} - \frac{\nabla \wedge \boldsymbol{\tau}_b}{\rho_o} + \nabla \wedge \mathcal{A} + \nabla \wedge \mathcal{B} \right). \end{aligned} \quad (\text{A15})$$

581 We can further manipulate the second term on the right hand side (RHS) by making use  
 582 of volume conservation for a vertical column of Boussinesq fluid, which is

$$\nabla \cdot \int_{-H}^{\eta} \mathbf{u} \, dz = \frac{Q_m}{\rho_o} - \partial_t \eta. \quad (\text{A16})$$

583 In addition, many climate models impose a uniform pressure at the ocean surface  
 584 so that  $J(p_s, \eta) = 0$ . Finally, the vorticity budget for the depth-integrated flow (with  
 585 some rearranging and writing  $\int_{-H}^{\eta} v = V$ ) can be written as

$$\beta V = \frac{J(p_b, H)}{\rho_o} + \hat{\mathbf{z}} \cdot \left( \frac{\nabla \wedge \boldsymbol{\tau}_s}{\rho_o} - \frac{\nabla \wedge \boldsymbol{\tau}_b}{\rho_o} + \nabla \wedge \mathcal{A} + \nabla \wedge \mathcal{B} \right) - f \frac{Q_m}{\rho_o} + f \partial_t \eta - \hat{\mathbf{z}} \cdot (\nabla \wedge \mathcal{U}_t). \quad (\text{A17})$$

## 586 **A2 Manipulating the nonlinear advection term**

587  $\nabla \wedge \mathcal{A}$  term can be further manipulated to represent it in a simpler form. In a  $z$ -coordinate  
 588 model, we can write  $\mathbf{a}$  as

$$\mathbf{a} = a_x \hat{\mathbf{x}} + a_y \hat{\mathbf{y}} \quad (\text{A18})$$

$$= -\nabla_3 \cdot (\mathbf{v}u) \hat{\mathbf{x}} - \nabla_3 \cdot (\mathbf{v}v) \hat{\mathbf{y}}, \quad (\text{A19})$$

589 where  $\mathbf{v} = \mathbf{u} + \hat{\mathbf{z}}w = \hat{\mathbf{x}}u + \hat{\mathbf{y}}v + \hat{\mathbf{z}}w$  is the velocity and  $\nabla_3 = \nabla + \hat{\mathbf{z}}\partial_z$ . We can  
 590 integrate  $\mathbf{a}$  vertically to obtain  $\mathcal{A} = \mathcal{A}_x \hat{\mathbf{x}} + \mathcal{A}_y \hat{\mathbf{y}}$  (Leibniz's rule is also used),

$$\mathcal{A}_x = a_x = - \int_{-H}^{\eta} \nabla_3 \cdot (\mathbf{v}u) \, dz \quad (\text{A20})$$

$$= - \int_{-H}^{\eta} \nabla \cdot (\mathbf{u}u) \, dz - [wu]^{z=\eta} + [wu]^{z=-H} \quad (\text{A21})$$

$$= -\nabla \cdot \int_{-H}^{\eta} (\mathbf{u}u) \, dz + [\mathbf{u}u]^{z=\eta} \cdot \nabla \eta + [\mathbf{u}u]^{z=-H} \cdot \nabla H \\ - [wu]^{z=\eta} + [wu]^{z=-H}. \quad (\text{A22})$$

591 We can further simplify the above equation by using the surface and bottom kinematic  
 592 boundary conditions,

$$\frac{\partial \eta}{\partial t} + \mathbf{u} \cdot \nabla \eta = w + \frac{Q_m}{\rho_o} \quad \text{at } z = \eta, \quad (\text{A23})$$

$$-\mathbf{u} \cdot \nabla H = w \quad \text{at } z = -H. \quad (\text{A24})$$

593 Using equations A22–A24 and following the same steps for  $\mathcal{A}_y$ , we obtain

$$\mathcal{A}_x = -\nabla \cdot \int_{-H}^{\eta} (\mathbf{u}u) \, dz + \left( \frac{Q_m}{\rho_o} - \frac{\partial \eta}{\partial t} \right) [u]^{z=\eta} \quad (\text{A25})$$

$$\mathcal{A}_y = -\nabla \cdot \int_{-H}^{\eta} (\mathbf{u}v) \, dz + \left( \frac{Q_m}{\rho_o} - \frac{\partial \eta}{\partial t} \right) [v]^{z=\eta} \quad (\text{A26})$$

594 Finally, the nonlinear advection term in the barotropic vorticity budget can be written

$$\begin{aligned} \nabla \wedge \mathcal{A} &= -\nabla \wedge \left( \hat{\mathbf{x}} \nabla \cdot \int_{-H}^{\eta} (\mathbf{u} u) \, dz + \hat{\mathbf{y}} \nabla \cdot \int_{-H}^{\eta} (\mathbf{u} v) \, dz \right) \\ &\quad + \nabla \wedge \left( \left( \frac{Q_m}{\rho_o} - \frac{\partial \eta}{\partial t} \right) [\mathbf{u}]^{z=\eta} \right), \end{aligned} \quad (\text{A27})$$

$$\nabla \wedge \mathcal{A} = \frac{1}{\rho_o} \nabla \wedge \left( \nabla \cdot \int_{-H}^{\eta} \mathbb{T}_{\text{hor}}^{\text{kinetic}} \, dz \right) + \nabla \wedge \left( \left( \frac{Q_m}{\rho_o} - \frac{\partial \eta}{\partial t} \right) [\mathbf{u}]^{z=\eta} \right), \quad (\text{A28})$$

595 where  $\mathbb{T}_{\text{hor}}^{\text{kinetic}} = -\rho_o \mathbf{u} \otimes \mathbf{u}$  is the horizontal kinetic stress tensor. The second term of  
 596 the RHS in equation (A28) is generally very small and can be neglected (Figure 2). Thus,  
 597 the nonlinear advection term is mainly due to  $\mathbb{T}_{\text{hor}}^{\text{kinetic}}$ .

## 598 Appendix B Diagnosing Vorticity Budget Terms in MOM6

599 MOM6 is equipped with online diagnostics sufficient for an offline computation of  
 600 individual terms in the vorticity equations (A17). We do so by making use of the online  
 601 depth-integrated velocity budget diagnostics in MOM6. We then take the curl of these  
 602 diagnostics to obtain the corresponding vorticity budget terms. Actual names of depth-  
 603 integrated momentum diagnostics and the relevant calculations are shown in Table B1.  
 604 A more detailed description of velocity and vorticity budget diagnostic calculations in  
 605 MOM6 is available at Khatri et al. (2023).

### 606 B1 Remapping contribution

607 In MOM6, the layer-wise discrete zonal and meridional velocity budgets can be di-  
 608 agnosed according to

$$\text{dudt} = \text{CAu} + \text{PFu} + \text{u\_BT\_accel} + \text{du\_dt\_visc} + \text{diffu} + \text{remapping}(\mathbf{u}), \quad (\text{B1})$$

$$\text{dvdt} = \text{CAv} + \text{PFv} + \text{v\_BT\_accel} + \text{dv\_dt\_visc} + \text{diffv} + \text{remapping}(\mathbf{v}). \quad (\text{B2})$$

609 Except for the last term on the RHS in equations (B1-B2), the rest of the terms are names  
 610 of the MOM6 diagnostics corresponding to terms in equation (A1). The remapping terms  
 611 correspond to  $w^{(s)} \partial_z \mathbf{u}$ , which are diagnosed offline as a residual in the velocity budget  
 612 as

$$\text{remapping}(\mathbf{u}) = \text{dudt} - \text{CAu} - \text{PFu} - \text{u\_BT\_accel} - \text{du\_dt\_visc} - \text{diffu} \quad (\text{B3})$$

$$\text{remapping}(\mathbf{v}) = \text{dvdt} - \text{CAv} - \text{PFv} - \text{v\_BT\_accel} - \text{dv\_dt\_visc} - \text{diffv}. \quad (\text{B4})$$

Term	Relevant Diagnostic Calculations
$V$	$\text{vmo\_2d}/(\rho_o \Delta x)$ , where $\Delta x$ is the zonal grid spacing and $\rho_o = 1035 \text{ kg m}^{-3}$
$J(p_b, H)$	see section B2
$\hat{\mathbf{z}} \cdot (\nabla \wedge \boldsymbol{\tau}_s)$	$\partial_x [\text{tauy}] - \partial_y [\text{taux}]$
$\hat{\mathbf{z}} \cdot (\nabla \wedge \boldsymbol{\tau}_b)$	$\partial_x [\text{tauy\_bot}] - \partial_y [\text{taux\_bot}]$
$\hat{\mathbf{z}} \cdot (\nabla \wedge \mathcal{A})$	$\partial_x [\text{intz\_rvxu\_2d} + \text{intz\_gKEv\_2d}] - \partial_y [\text{intz\_rvxv\_2d} + \text{intz\_gKEu\_2d}]$ + vertical remap contribution
$\hat{\mathbf{z}} \cdot (\nabla \wedge \mathcal{B})$	$\partial_x [\text{intz\_diffv\_2d}] - \partial_y [\text{intz\_diffu\_2d}]$
$Q_m$	wfo or PRCmE
$\partial_t \eta$	$\text{wfo}/\rho_o - \partial_x [\text{umo\_2d}/(\rho_o \Delta y)] - \partial_y [\text{vmo\_2d}/(\rho_o \Delta x)]$ (following equation (A16))
$\hat{\mathbf{z}} \cdot (\nabla \wedge \mathcal{U}_t)$	$\partial_x [D \times \text{hf\_dvdv\_2d}] - \partial_y [D \times \text{hf\_dudv\_2d}]$

**Table B1.** Method for the computations of vorticity budget terms using depth-integrated momentum budget diagnostics ( $D = H + \eta$  is the full depth of the ocean) in MOM6. The contribution from remapping in  $\nabla \wedge \mathcal{A}$  can be computed as discussed in section B1.

613 To compute the contribution of the remapping terms in the vorticity budget, we calcu-  
 614 late the curl of the depth-integrated remapping terms diagnosed as residuals from the  
 615 depth-integrated velocity budget diagnostics.

## 616 B2 Bottom pressure torque calculation

617 In the present analysis, bottom pressure torque is diagnosed as the following

$$\frac{J(p_b, H)}{\rho_o} = \hat{\mathbf{z}} \cdot \left( -\nabla \wedge \left[ \frac{1}{\rho_o} \int_{-H}^{\eta} \nabla p \, dz \right] - \nabla \wedge \left[ f \hat{\mathbf{z}} \wedge \int_{-H}^{\eta} \mathbf{u} \, dz \right] \right) + \beta V + f \frac{Q_m}{\rho_o} - f \partial_t \eta, \quad (\text{B5})$$

618 which then leads to the following diagnostic equation

$$\begin{aligned} \frac{J(p_b, H)}{\rho_o} &= \partial_x [\text{intz\_PFv\_2d} + \text{intz\_v\_BT\_accel\_2d}] - \partial_y [\text{intz\_PFu\_2d} + \text{intz\_u\_BT\_accel\_2d}] \\ &+ \partial_x [\text{intz\_CAv\_2d} - \text{intz\_rvxu\_2d} - \text{intz\_gKEv\_2d}] \\ &- \partial_y [\text{intz\_CAu\_2d} - \text{intz\_rvxv\_2d} - \text{intz\_gKEu\_2d}] \\ &+ \frac{\beta}{\rho_o \Delta x} \times \text{vmo\_2d} + \frac{f}{\rho_o} \times \text{wfo} - f \partial_t \eta. \end{aligned} \quad (\text{B6})$$

619 From the development in equations A14-A16, sum of the last four terms on the RHS in  
 620 equation B5 vanishes.

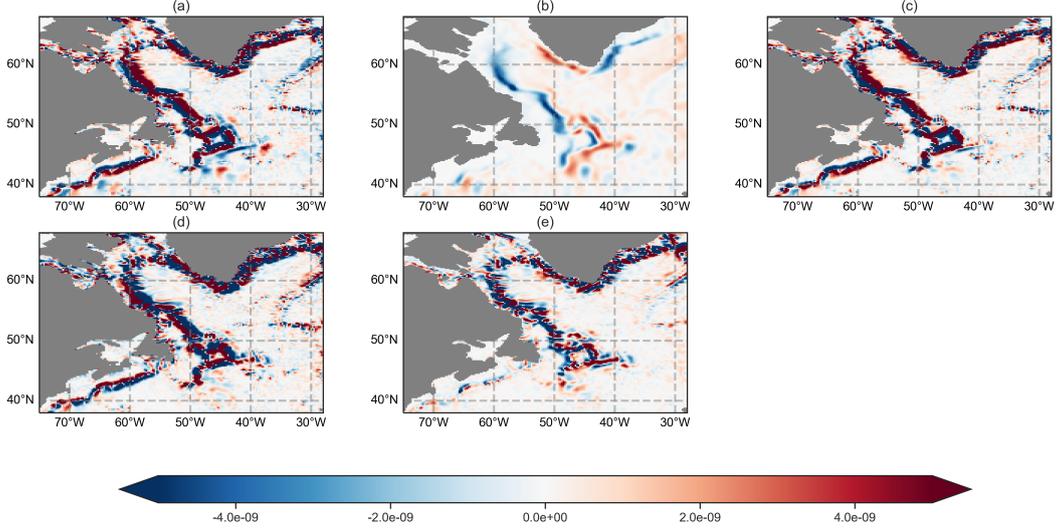
$$\hat{\mathbf{z}} \cdot \left( \nabla \wedge \left[ f \hat{\mathbf{z}} \wedge \int_{-H}^{\eta} \mathbf{u} \, dz \right] \right) = \beta V + f \frac{Q_m}{\rho_o} - f \partial_t \eta \quad (\text{B7})$$

621 Hence, the analytical expression B5 basically computes the curl of the depth-integrated  
 622 pressure gradient terms, which is bottom pressure torque.

623 However, the analytical result in equation B7 need not hold in an ocean model, which  
 624 solves for velocity on a discretized grid. Theoretically, the zonal and meridional gradi-  
 625 ents in the curl operations over planetary vorticity advection terms (LHS in equation B7)  
 626 largely cancel out and the small residual is equal to  $\beta V$  (plus small contributions from  
 627 nonzero  $Q_m$  and  $\partial_t \eta$ ). A similar cancellation is expected in the curl of depth-integrated  
 628 pressure gradient terms and the small residual is the measure of bottom pressure torque.  
 629 However, on the MOM6 grid, the cancellation between the zonal and meridional gradi-  
 630 ents in  $\nabla \wedge \left[ f \hat{\mathbf{z}} \wedge \int_{-H}^{\eta} \mathbf{u} \, dz \right]$  does not occur as expected and the residual is at least two  
 631 orders of magnitudes larger than  $\beta V$  (compare Figures B1a and B1b). Similarly,  $-\nabla \wedge$   
 632  $\left[ \frac{1}{\rho_o} \int_{-H}^{\eta} \nabla p \, dz \right]$  suffers from unrealistic large residuals (Figure B1d). These large resid-  
 633 uals are just numerical errors due to model discretization.

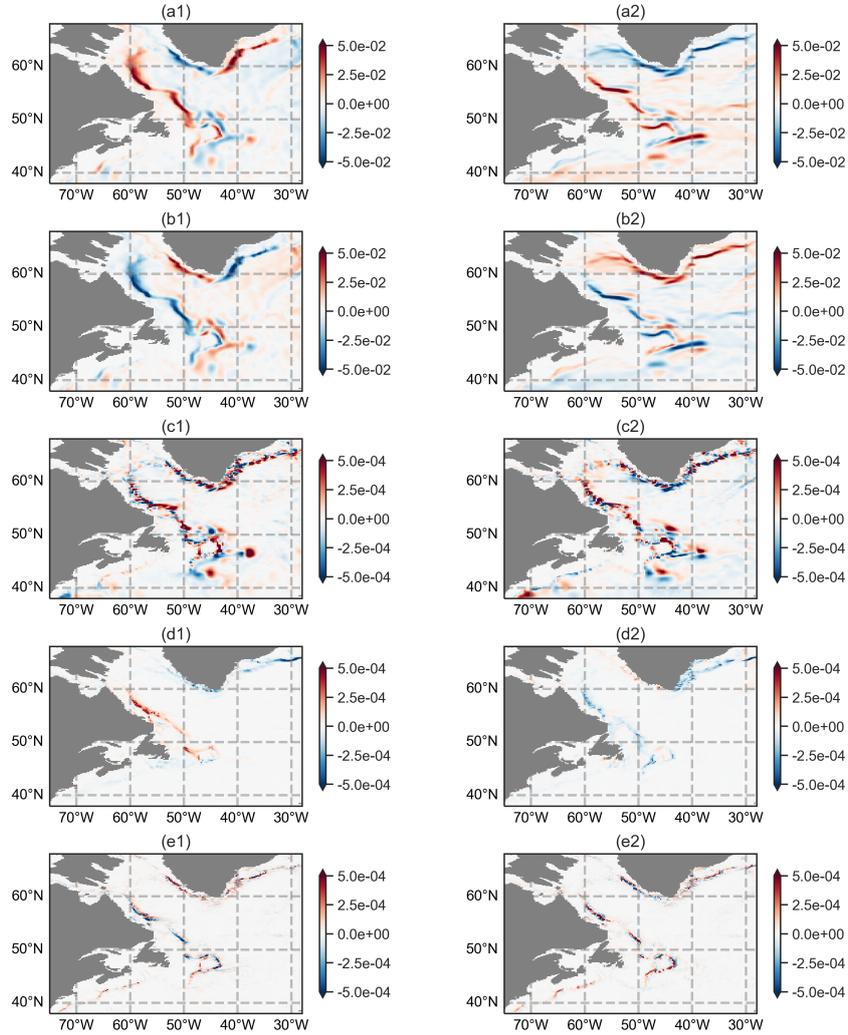
634 Styles et al. (2022) showed that vorticity budget terms suffer from spurious signals  
 635 in ocean models based on the C-grid (Mesinger & Arakawa, 1976). These spurious sig-  
 636 nals arise due to the handling of Coriolis advection and representation of bathymetry  
 637 in energy and enstrophy conserving schemes on a discrete C-grid (Arakawa & Lamb, 1981).  
 638 As a result, a C-grid model does not satisfy discrete versions of the divergence theorem  
 639 and Leibniz's rule, which are used in equation A13, leading to spurious forces in the vor-  
 640 ticity budget. MOM6 is discretized using a C-grid and employs a vertical Lagrangian-  
 641 remap method on a hybrid  $z^*$ -isopycnal vertical coordinate to simulate the ocean state  
 642 (Adcroft et al., 2019; Griffies et al., 2020). Hence, vorticity budget terms diagnosed in  
 643 MOM6 model are expected to suffer from spurious forces as suggested by Styles et al.  
 644 (2022).

645 It turns out that numerical errors in  $-\nabla \wedge \left[ f \hat{\mathbf{z}} \wedge \int_{-H}^{\eta} \mathbf{u} \, dz \right]$  and  $-\nabla \wedge \left[ \frac{1}{\rho_o} \int_{-H}^{\eta} \nabla p \, dz \right]$   
 646 are opposite in sign (see Figures B1a, B1d) and these numerical errors almost disappear  
 647 in the summation of curls of depth-integrated Coriolis advection and pressure gradient  
 648 terms. Hence, we employ equation B6 to diagnose bottom pressure torque from the model  
 649 as this approach results in realistic magnitudes and spatial structure of bottom pressure

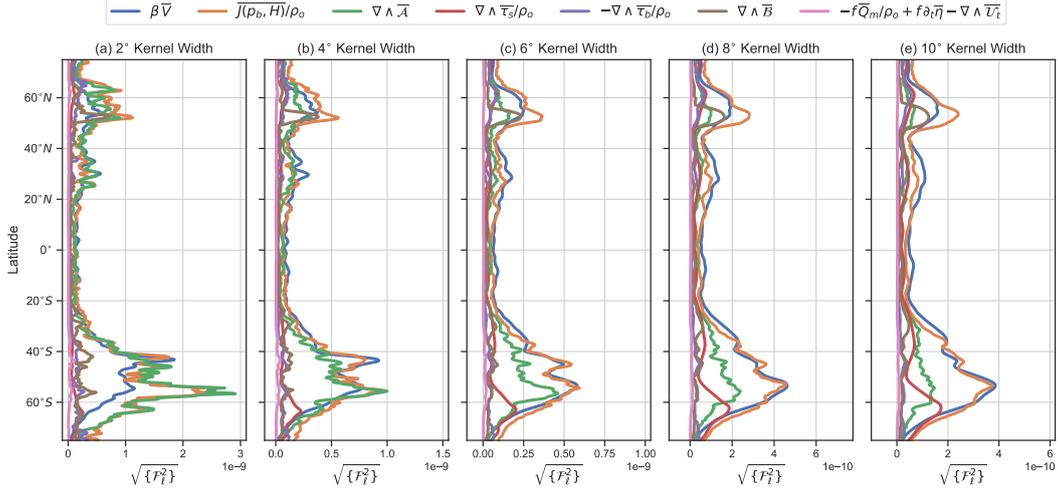


**Figure B1.** Time-mean (1958–2017) of (a) Vertical component of the curl of depth-integrated planetary vorticity advection,  $-\nabla \wedge \left[ f \hat{\mathbf{z}} \wedge \int_{-H}^{\eta} \mathbf{u} dz \right]$ , in model diagnostics (terms in second and third lines on the RHS in equation B6) (b)  $\beta V + f Q_m / \rho_o - f \partial_t \eta$  (c) sum of fields shown in panels a and b (d) Vertical component of the the curl of depth-integrated pressure gradient,  $-\nabla \wedge \left[ \frac{1}{\rho_o} \int_{-H}^{\eta} \nabla p dz \right]$ , in model diagnostics (terms in the first line on the RHS in equation B6) (e) sum of fields shown in panels c and d to compute bottom pressure torque. No coarse-graining (or regridding) was applied and the plotted diagnostics are on the actual model grid. However, for a better visualization, plotted diagnostics were smoothed by averaging over neighboring four grid points to remove grid-scale noise (used GCM-Filters package Loose et al., 2022).

650 torque. For example, compare Figure B1e with Figure 7b in Le Corre et al. (2020), who  
 651 used a terrain following vertical coordinate model. Our diagnostic approach essentially  
 652 assumes that numerical errors in  $-\nabla \wedge \left[ f \hat{\mathbf{z}} \wedge \int_{-H}^{\eta} \mathbf{u} dz \right]$  and  $-\nabla \wedge \left[ \frac{1}{\rho_o} \int_{-H}^{\eta} \nabla p dz \right]$  are  
 653 exactly opposite in sign, which need not be true in general. Numerical errors may also  
 654 be present in nonlinear advection, bottom stress, and horizontal friction in the barotropic  
 655 vorticity budget. However, pressure gradient and Coriolis advection in velocity equations  
 656 are at least two orders of magnitude larger than the rest of the terms (Figure B2). Thus,  
 657 it is safe to assume that numerical errors are contained in pressure gradient and Cori-  
 658 olis advection, and the diagnostic approach (equation B6) works well in practice.



**Figure B2.** Time-mean (1958–2017) model diagnostics for (a) Depth-integrated pressure gradient term,  $-\frac{1}{\rho\sigma} \int_{-H}^{\eta} \nabla p dz$ , (b) Depth-integrated Coriolis advection,  $-f \hat{\mathbf{z}} \wedge \int_{-H}^{\eta} \mathbf{u} dz$ , (c) Depth-integrated nonlinear advection,  $\mathcal{A}$ , (d) Bottom friction term,  $-\tau_b/\rho\sigma$ , (e) Depth-integrated horizontal diffusion term,  $\mathcal{B}$ . Left and right panels are for the zonal and meridional velocity diagnostics, respectively.



**Figure C1.** Latitude vs root-mean-square magnitudes,  $\sqrt{\{F_\ell^2\}}$ , of vorticity budget terms as a function of the coarse-graining filter scale. Note that  $\hat{z}$  is omitted in the legends.

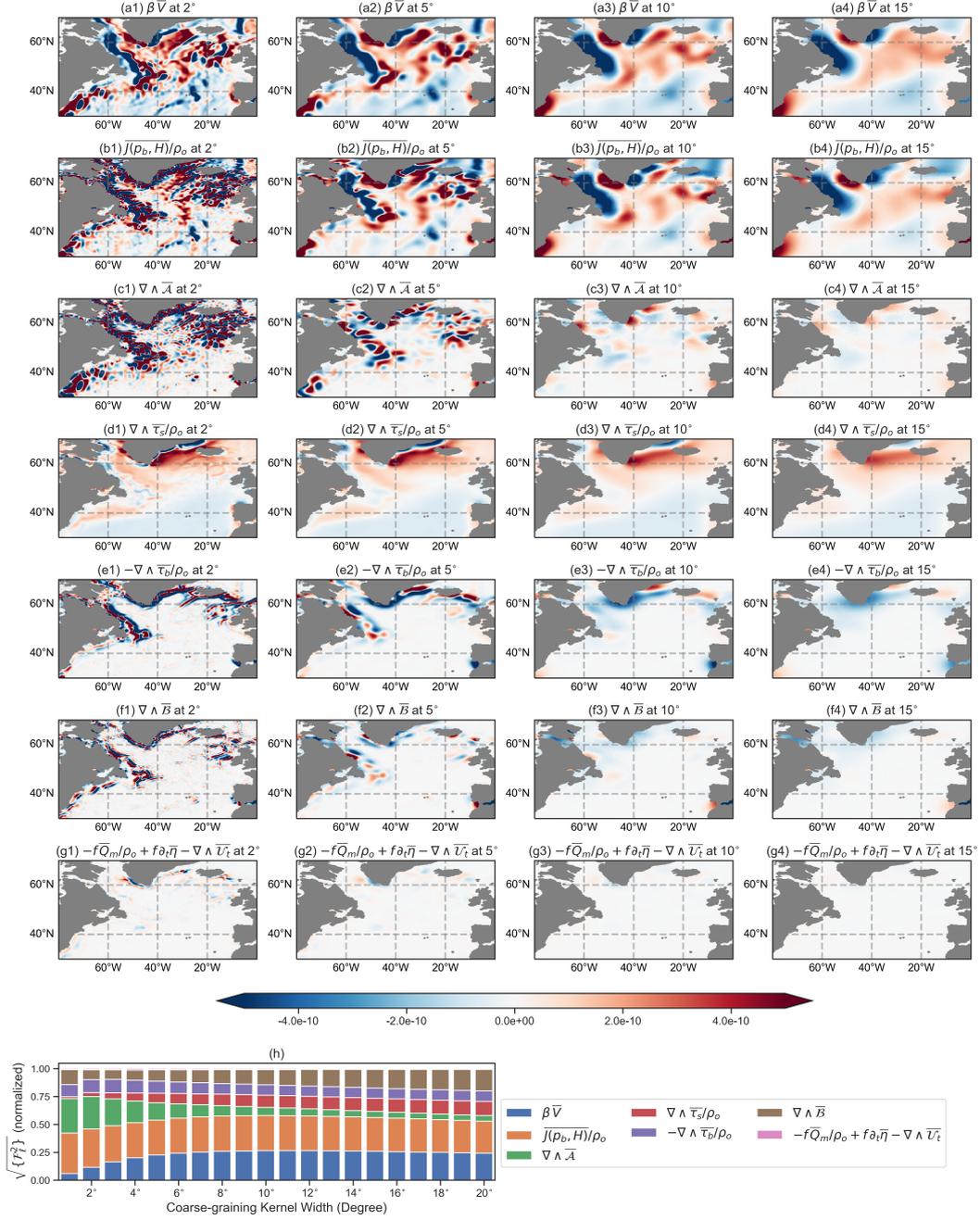
## Appendix C Coarse-graining and Vorticity Budget Magnitudes

To assess the impact of coarse-graining on the actual magnitudes of vorticity budget terms, the zonally-averaged profiles of  $\sqrt{\{F_\ell^2\}}$  are examined. As seen in Figure C1, root-mean-square magnitudes of the vorticity budget terms are largest in the Southern Ocean (between 40°S and 60°S) followed by oceanic regions at 50°N–70°N latitude bands.  $\sqrt{\{F_\ell^2\}}$  values of coarse-grained fields for 2° filter scale are larger by a factor of ten than  $\sqrt{\{F_\ell^2\}}$  values for 10° filter scale. In the zonal average,  $\beta V$ , bottom pressure torque, and nonlinear advection term are of the largest magnitudes. With increasing the coarse-graining filter scale,  $\nabla \wedge \mathcal{A}$  term becomes much smaller and  $\beta V$  is mainly balanced by bottom pressure torque.

## Appendix D Sensitivity of Vorticity Balances to the Filtering Method

To test the dependence of vorticity balances on the shape of filter kernel and filtering algorithm, we spatially filter the vorticity budget terms with a Gaussian kernel using GCM-Filters package (Loose et al., 2022), which employs a diffusion-based filtering scheme (Grooms et al., 2021), and repeat the analysis shown in section 3.1. In contrast to the fixed-kernel approach that we used in coarse-graining, GCM-Filters modifies the shape of the Gaussian kernel near land-sea boundaries (Grooms et al., 2021).

676 Nevertheless, the spatial maps of filtered vorticity terms in Figure D1 look similar to maps  
677 shown in Figure 3 and the overall conclusions about vorticity balances remain the same.



**Figure D1.** Vorticity budget analysis for the North Atlantic Ocean (a-g) Time-mean (1958–2017, indicated with overbars) spatial maps of filtered barotropic vorticity budget terms (used GCM-Filters package, units are in  $\text{m s}^{-2}$ ) as a function of filter scale; (h-i) Normalized magnitudes of the root-mean-square budget terms (see equation 6) at different filter scales (in degree).  $\sqrt{\{F_\ell^2\}}$  is computed for the region bounded between 30°N–70°N and 80°W–0°W. Note that  $\hat{z}$  is omitted in panel titles and legends.

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## 689 **Open Research**

690 FlowSieve filtering package (Storer & Aluie, 2023) used in the analysis of this pa-  
 691 per is available at <https://github.com/husseinaluie/FlowSieve>. Post-processed data  
 692 and Python scripts used to produce the figures are available at Khatri et al. (2023).

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