

# Clinical and laboratory considerations: Determining an antibody-based composite correlate of risk for reinfection with SARS-CoV-2 or severe COVID-19

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## Abstract

Much of the global population now has some level of adaptive immunity to SARS-CoV-2 induced by exposure to the virus (natural infection), vaccination, or a combination of both (hybrid immunity). Key questions that subsequently arise relate to the duration and the level of protection an individual might expect based on their infection and vaccination history. A multi-component composite correlate of risk (CoR) could inform individuals and stakeholders about protection and aid decision making. This perspective evaluates the various elements that need to be accommodated in the development of an antibody-based composite CoR for reinfection with SARS-CoV-2 or development of severe COVID-19, including variation in exposure dose, transmission route, viral genetic variation, patient factors, and vaccination status. We provide an overview of antibody dynamics to aid exploration of the specifics of SARS-CoV-2 antibody testing. We further discuss anti-SARS-CoV-2 immunoassays, sample matrices, testing formats, frequency of sampling and the optimal time point for such sampling. Whilst the development of a composite CoR is challenging, we provide our recommendations for each of these key areas and highlight areas that require further work to be undertaken.

## Introduction

The COVID-19 pandemic, caused by severe acute respiratory coronavirus 2 (SARS-CoV-2) led to unprecedented, accelerated vaccine development (1) and expansive roll-out programs (2,3). Much of the global population now has some level of adaptive immunity to SARS-CoV-2 induced by exposure to the virus (natural infection), vaccination, or a combination of both (hybrid immunity).

Natural infection induced by, and/or vaccination against, SARS-CoV-2 leads to the development of both binding and neutralizing antibodies (nAbs) (4,5), and the induction of T-cell responses during active immune reaction and clearance of infection (6). Key questions that subsequently arise relate to the duration and the level of protection an individual might expect based on their infection and vaccination history. Studies of those infected early in the pandemic documented that natural SARS-CoV-2 infection afforded some level of protection against reinfection in most individuals, and that subsequent reinfections were typically less severe than the primary episode (**Table 1**). However, SARS-CoV-2 has high rates of mutation and heavily mutated variants have emerged (7). Most significant are the ‘variants of concern’ (VOCs) (8), and there is now ample evidence that protection against reinfection with the B.1.1.529/21K (Omicron) variant (9,10) is dramatically reduced compared with previous variants (**Table 1**).

Any descriptor of immunity based on patient history will encompass a population of individuals with vastly variable exposure to vaccines and viral variants with differing orders of immune challenge intensity. Unrecognised ‘silent infections’, especially in Omicron-positive subjects with underlying immunity, further complicate the assessment. Therefore derivation of potential immunity based on patient history requires assistance from a surrogate composite score to inform about protection and to aid decision making.

## **Correlates of protection or risk**

In vaccinology, a correlate of protection (CoP) reflects a statistical non-causal relationship between an immune marker and protection after vaccination (11). Most accepted CoPs are based on antibody measurements (12) and vary depending on the clinical endpoint, for example protection from (symptomatic) infection or severe disease. In contrast, a correlate of risk (CoR) can be used as a measurement of an immunologic parameter that is correlated with a study endpoint (13) and can predict a clinical endpoint in a specified population with a defined future timeframe. Notably, antibody markers have been used as correlates of immune function in clinical trials of SARS-CoV-2 vaccine efficacy (14-19), and for identifying the risk of symptomatic infection by VOCs (20,21).

A CoR would likely comprise a measure of the immune component plus determinants that act to modify such a measure (a multi-component composite CoR). In general, the immune component of a composite CoR should be easily measured by widely available technologies that are amenable to automation, are scalable, cost-efficient, and have a rapid turn-around time. Given the relative complexity, cost and pre-analytic requirements for cellular immune response testing, the preferred candidate for the immune component of a CoR would be detection of humoral immune response(s) (i.e. antibody). This perspective evaluates the various elements that need to be accommodated in the development of an antibody-based composite CoR for reinfection with SARS-CoV-2 or severe COVID-19.

## **A composite CoR: A brief summary of extrinsic viral and intrinsic host elements that should be considered**

### **Variation in exposure dose and transmission route**

Viral load varies widely between infected individuals and over time (22), with viral emissions independent of symptom severity (23). Exposure to SARS-CoV-2 is tempered by the use of personal protective measures and, at the population level, adherence to public health measures that reduce exposure has been variable (24,25), making assessment of exposure dose complex.

Controlled human infections to directly study the impact of viral inoculum and disease severity are controversial (26), and only one human challenge trial of SARS-CoV-2 using a single low inoculum dose has been reported to date (27). However, the initial infective dose of SARS-CoV-2 is thought to be associated with disease severity (28-30), since relationships between dose and severity exist for many other viral infections (30). Evidence from SARS-CoV-2 animal models suggests that the route of transmission similarly affects disease severity (30,31).

### **Viral genetic variation**

Risk reduction depends on the dominant variant in circulation. Continued evolution of SARS-CoV-2 can lead to significant changes in viral transmission and impact reinfection rates (32). Mechanistically, the receptor binding domain (RBD) within the viral spike (S) glycoprotein engages in initiation of infection via interaction with the angiotensin converting enzyme-2 (ACE2) receptor (33). The RBD is a target for many nAbs (33) and mutations are frequently located at the RBD-ACE2 interface (34). It is therefore not surprising that changes to the viral epitope can reduce antibody binding (34), helping to drive immune

escape from anti-RBD nAbs (35), decreasing previously generated protective immunity (36-38), and leading to variant-specific risks of severe illness (39,40).

## Patient factors

Patient differences impact susceptibility to reinfection and disease severity. The immune response declines with increasing age (41,42), and age is the strongest predictor of SARS-CoV-2 infection–fatality ratio (43). Older individuals have been shown to exhibit reduced binding antibody titers and neutralization following vaccination (44-46). Pregnant women are also at high risk of severe outcomes (47). Similarly, immunocompromised or immunosuppressed individuals exhibit reduced immune responses to infection or an increased risk of hospitalization (48-51). Other co-morbidities are frequently observed in those with severe COVID-19 (52).

## Vaccination status

COVID-19 vaccines include recombinant subunit, nucleic acid, viral vector and whole virus vaccines, amongst others, and some vaccines have been adapted for Omicron variants (53). The use of different vaccines, combinations, the number of boosters received, the occurrence of natural infection, and combinations thereof, trigger the immune system to varying degrees in depth, breadth or duration of response (21,54-66).

Following primary infection, severely ill patients exhibit higher binding and neutralizing antibody titers or activity compared with individuals with mild disease (67-72). Persistence of nAbs has also been associated with disease severity (73). In the event of reinfection, there is an implicit assumption that nAb titers ameliorate severe COVID-19 (74,75). In brief, in infection-naïve individuals, post-vaccination antibody titers (anti-S IgG and nAbs) correlate with higher vaccine efficacy (55), and post-vaccination anti-RBD IgG and nAbs levels associate with protection against infection and symptomatic disease even during the Omicron era (76) or inversely correlate with risk of death (anti-S IgG below 20<sup>th</sup> percentile) (77). Generally, individuals with higher nAbs (levels or capacity) are considered increasingly protected from infection (78-80), symptomatic reinfection (80-82), severe disease (81), or death (83) compared with individuals with lower nAbs. There is evidence that neutralization capacity can be strain specific (84).

## Summary

In summary, viral and host elements modify the risk of reinfection or development of severe COVID-19. Although not described above, other relevant factors include whether an individual previously received monoclonal antibodies (85) (but potentially not antiviral medication (86)), genetic predisposition (87-91), and socioeconomic, air pollution, co-infection, microbiota, and frailty factors (reviewed in detail (31)).

## A composite CoR: Antibody dynamics, serology in practice and challenges, and expert recommendations

The antibody component of a composite CoR should be developed under defined conditions. To provide insight into these conditions, an understanding of antibody dynamics is required.

## SARS-CoV-2 antibody dynamics

Natural infection with SARS-CoV-2 elicits a diversity of antibodies including those targeting S and nucleocapsid (N) antigens (59,92) and the development of anti-RBD IgG antibodies is associated with improved patient survival (93). A detailed systematic review of 66 studies investigated antibody responses (94). Collectively, the evidence supports the induction of IgM production in the acute phase of natural infection (peak

prevalence: 20 days) followed by IgA (peak prevalence: 23 days), IgG (peak prevalence: 25 days), and nAbs (peak prevalence: 31 days) after symptom onset (94).

Serum IgG has the longest half-life compared with the relatively transient IgA or IgM (95). A longitudinal analysis of 4558 individuals, measuring total anti-N antibodies, revealed that, whilst total antibodies begin to decline after 90–100 days, they may persist for over 500 days after natural infection (96). Specifically measuring nAb via plaque reduction neutralization test (PRNT) shows that infection yields a robust nAb response in most individuals (67). Some studies report that anti-S antibodies show greater persistence than anti-N antibodies (97,98).

Dramatic inductions of anti-S or anti-RBD IgG antibodies is indicative of vaccination (59,99,100). Primary vaccination by some vaccines, (but not all (101)), or boosters generates high nAb titers (100,102,103) or neutralizing responses (99). Notably, nAbs wane over time (21) with a half-life of 108 days (81) – although the level of decay may be assay or variant dependent (102) – and multiple clinical factors affect the duration of neutralization responses after primary vaccination (66).

## Anti-SARS-CoV-2 antibody testing

### Commercial high-throughput immunoassays

Numerous immunoassays for the detection of antibodies against SARS-CoV-2 are available, differing in the immunoglobulin class detected, target viral antigen, format, and output (qualitative, [semi]-quantitative) (reviewed in detail (104,105)).

Head-to-head comparisons from the pre-Omicron era reveal variable levels of performance between the assays (106-110), caused by numerous technical factors including assay methodology, format and antibodies used, timing of testing, and the targeted viral antigen. Comparison studies show that sensitivity for detecting prior infection by different serologic assays changes over time (111). Commercial assays developed early during the pandemic are based on ancestral/wild-type antigens. Subsequently, there is potential for differential performance in the Omicron-era: in particular, S- and RBD-specific immunoassays have shown significantly reduced performance (112-114), and decreased comparability of quantitative results (115).

Most common commercial immunoassays detect both binding and nAbs without differentiating between them, however certain assays measuring IgG or total antibodies correlate well with neutralizing capacity (14,78,116-122), acting as surrogates of neutralization. Cell-based virus neutralization tests can be used to measure neutralizing capability, but these are typically not readily available in clinical laboratories due to inherent test performance challenges associated with their methodology, time and cost (123).

### *Expert recommendations*

Mature immune responses are dominated by IgG. Serologic assays that measure IgG or total antibodies (if skewed towards IgG) that correlate with neutralizing activity and focus on anti-RBD should be used for the serologic component of a composite CoR; anti-N antibodies are unlikely to be neutralizing as the N protein is located within the viral envelope (59).

Assays should be adapted for accurate measurement of the modified antigen, if applicable. However, frequent adaptation of assays is unlikely if several variants are circulating in parallel and due to regulatory requirements for assays. Therefore, studies are needed to determine assay applicability in the present conditions, especially since RBD mutations frequently occur and recombinant versions of RBD or S are commonly used in immunoassays (105). Accordingly, the upper and lower thresholds of any CoR may need modification.

External ring trials show poor comparability of assays from different manufacturers (124,125) and there are significant challenges with the current binding antibody units (BAU) standardization, due to multiple factors, including different assay methods, antibody class(es) detected and target antigen used. Of note, BAU reference materials were derived from UK convalescent individuals infected in 2020 (126) (pre-Omicron), and there are vastly different BAU standardized values (Kroidl et al 2023, submitted). Antibody measurements

should be harmonized across assays from different manufacturers, irrespective of the different epitopes utilized, to reduce variability. To support this, there is an urgent need for external quality assessment, production of robust traceable certified reference materials, standards for different variants, and improved documentation of the methods on laboratory reports. Age-specific normalization of reference intervals in defined groups, by means of z-log transformation and documentation in antibody passes, may further improve the comparability of assays. Stakeholders should agree on minimum performance-based criteria to develop the gold standard for CoR, allowing validation of secondary assays.

Finally, systemic cellular assays could provide a comprehensive profile of the immune response, especially in immunocompromised and susceptible individuals who are not able to mount a robust antibody response. Currently, they lack scientific evidence and their use in clinical practice still remains uncertain.

### Sample matrices

Systemic anti-SARS-CoV-2 antibody testing can be performed on blood, plasma/serum, or dried blood spots (DBS) (105,127,128); Wieser et al 2023, submitted). An advantage of whole blood or DBS collection is the ease in obtaining the sample. Whilst many methodologies focus on systemic testing, infection with SARS-CoV-2 or vaccination against COVID-19 induces mucosal antibodies (129,130), thus secretions such as saliva offer another possibility. Antibody dynamics will differ depending on the material in question (131), and sample types are subject to specific idiosyncrasies, such as additional pre-processing, that need to be accounted for (132). Currently secretion-based testing is less suitable for a composite CoR as performance is variable (133).

#### *Expert recommendations*

A composite CoR will likely be sample matrix-specific. Our preference is for plasma/serum, as this sample matrix has the largest evidence base, shows the least variability, experiences less interference than whole blood, and is consistent with CoRs established for other infectious diseases. DBS would be also possible, but variability is high, and few laboratories have an established workflow.

### Serologic testing formats

Formats include high-throughput automated enzyme immunoassay/ electrochemiluminescence immunoassay/enzyme-linked immunosorbent assay (certified and used in central laboratories and hospitals), point-of-care (POC) testing (used in emergencies and outpatients setting), and direct-to-consumer testing (at-home use with online services). POC testing is gaining in popularity, but methodological variation is higher (134) and any method that relies upon sampling from untrained individuals is less reliable for (semi)quantitative measurements (135).

#### *Expert recommendations*

We recommend automated assays that are approved by location-specific regulatory agencies and performed in certified and centralized laboratories. Home sampling/DBS would contribute to a reduction in clinician workload, particularly in high-density residential facilities, but methods are not yet sufficiently robust. At this time, there is no clear benefit in POC testing as urgent results are not critical.

### Frequency of sampling and optimal time point

Considering antibody dynamics, several important questions arise: what is the optimal time point for measurement; would the timing differ depending on the vaccine schedule, and/or the presence of previous infection of a specified severity; should antibody levels be measured once or serially? Whilst single values can be plotted into modelled curves showing decrease rates over time, serial measurements could further refine the composite CoR. Only individuals with symptomatic disease or vaccination are known to stabilise the curve — infections that are sufficiently mild to lack detection will impact the composite CoR model.

#### Expert recommendations

As most individuals have experienced infection or vaccination, and titers are generally high and more stable than with single exposures, sampling should be performed annually or less. Serologic evaluation should be conducted more frequently in the elderly or immunocompromised than the general population (time interval to be defined), depending on any underlying disease and/or treatment.

## Discussion

A composite CoR would be helpful particularly for high-risk groups, such as solid organ transplant recipients (136), and those in occupations with high risk of exposure to SARS-CoV-2. However, whether a composite CoR would operate at the individual or population level is yet uncertain.

For health policymakers, a composite CoR could be useful for: 1) predicting the durability of protection, supporting serosurveys to determine the protection levels of individuals and populations; 2) aiding decision-making with regard to monitoring vaccination efficacy and identifying individuals who would benefit from booster vaccinations; 3) evaluating the need for extra protection of vulnerable communities in the face of new variants with low cross protection and less efficacious vaccines; 4) licensing new vaccines; and 5) developing clear immunologic vaccine trial endpoints.

A previous systematic review by Perry and colleagues found mixed evidence for a serologic CoP, with the lack of standardization between laboratory methodology, differing assay targets and sampling time points, and the lack of information on the SARS-CoV-2 variant confounding interpretation (137). We have highlighted various parameters that should be controlled for in any measure of risk, some of which will be challenging to obtain (such as host genetics). Comparing different protection studies is also difficult as infectious pressure in the observation time period is often uncertain as, in reality, community data are incomplete and the number of oligosymptomatic infections is unclear. Of course, individual responses to infection and vaccination with regards to antibody production will make long-term assessment difficult, intrinsic risk will vary by age and protection will not be linear (122,138). All the variables previously described need to be thought of in the general context of laboratory diagnostics, paying attention to sensitivity, specificity, reliability, precision, dilution, linearity, robustness, stability, preanalytics, scalability (automation), cost-efficiency, In Vitro Diagnostic Regulation certification, and the use of qualified standard and control materials. Laboratory quality is essential for meaningful follow-up of quantitative antibody levels.

Whilst the development of a composite CoR is a sizeable task, steps can be taken to address this need. Studies need to adapt to the requirements of new variants, controlling for patient settings (vaccination types, earlier infections), and levels of disease severity. The emergence of VOCs means that a CoR will undoubtedly be variant-specific and the timing of infections and vaccination, how variants impact disease severity, antibody kinetics, and assay reactivity, must be respected. Frequently revisiting the data would be helpful as overall epidemiology changes; since almost all epidemiologic population-based studies have ended, background data is increasingly difficult to acquire, and this must be reversed. Whilst serologic testing has retreated from the political agenda and public interest, there is still an obligation to broaden the scientific knowledge base, and collect data to inform public health authorities, given that COVID-19 still causes a significant number of deaths and there is a considerable population of those with post-acute sequelae of SARS-CoV-2 infection (long COVID; (139)).

A composite CoR will differ depending on the clinical endpoint (12). Definitions of symptomatic or severe disease are often not consistent across studies (81). Clinical outcomes must be precisely defined: an evaluation of the primary endpoints of 19 clinical trials for severe COVID-19 revealed the complexity of this task, reporting 12 different primary endpoints (140). In addition, the ideal timeframe for predictive ability is yet to be determined.

Whilst we support the development of a composite CoR and serologic testing by high- quality controlled assays, viruses such as influenza have significant strain variation and similar disease severity, so the importance of a composite CoR for SARS-CoV-2 should be judged against other pathogens of interest. Assessment

of cost-effectiveness will likely inform upon the need for a composite CoR.

## Conflict of Interest

Outside of the submitted work: **Stefan Holdenrieder** has received grants from Roche Diagnostics, Sysmex and Volition, consulting fees from Instand e.V, EQAS, Merck KG, Roche Diagnostics and Thermo Fisher Scientific, speaker's honoraria from BMS, Medica, Roche Diagnostics and Trillium, and has leadership roles in the International Society of Oncology and Biomarkers (board member and secretary), DGKL Competence Field Molecular Diagnostic (vice speaker) and Federal Medical Association, D5 Group (delegate of the DGKL); **Carlos Eduardo dos Santos Ferreira** has received speaker's honoraria from Abbott Diagnostics, Roche Diagnostics and Siemens Healthineers; **Jacques Izopet** has received grants from Roche Diagnostics; **Elitza Theel** has received consulting fees from EUROIMMUN US, Serimmune and Roche Diagnostics, speaker's honoraria from the American Society for Microbiology and EUROIMMUN US, and support for meetings from the American Society for Microbiology, the New York City Branch of the American Society of Microbiology and the Pan American Society for Clinical Virology; **Andreas Wieser** has received grants from numerous different public fundings, including the German Center for Infection Research, Fraunhofer Gesellschaft, and German Aif and Zim programs, royalties or licenses from Smart United GmbH, consulting fees from Roche Diagnostics and Roche Pharma, speaker's honoraria from BÄMI and Roche Diagnostics, support for meetings from BÄMI and Roche Diagnostics, participated in advisory boards for Roche Diagnostics, declares stock or stock options in Smart United GmbH and Munich Innovative Biosolutions UG (haftungsbeschränkt), and has received reduced rates for materials and equipment from EUROIMMUN and Roche Diagnostics; **Stefan Holdenrieder** is a founder of CEBIO and SFZ BioCoDE.

## Author Contributions

Stefan Holdenrieder and Andreas Wieser were involved in the Conceptualization, Writing - Original draft preparation, Writing - Reviewing and Editing of this manuscript. Carlos Eduardo dos Santos Ferreira, Jacques Izopet, and Elitza Theel were involved with Conceptualization, Writing - Reviewing and Editing of this manuscript. All authors approved the manuscript.

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Table 1: Selection of peer-reviewed publications assessing reinfection or risk of severe COVID-19 after natural infection (ordered by study end date, earliest to most recent)

	<b>Study</b>	<b>Study</b>	<b>Study</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>
	<b>Total size (enrolled; before exclusions)</b>	<b>Time period</b>	<b>Reported lineage</b>	<b>Reported outcome measure (protection, risk, reinfection rate)</b>	<b>Repeat infection outcome (selected comparisons, terminology as reported)</b>	<b>Severe COVID-19 outcome (selected comparisons, terminology as reported)</b>
<b>Primary publications</b>	<b>Primary publications</b>	<b>Primary publications</b>	<b>Primary publications</b>	<b>Primary publications</b>	<b>Primary publications</b>	<b>Primary publications</b>

	<b>Study</b>	<b>Study</b>	<b>Study</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>
Hansen et al. 2021 Non-vaccinated individuals Denmark (141)	~ 4 million individuals	Feb 26, 2020–Dec 31, 2020	None	Protection	<i>Protection against repeat infection in those</i> <sup>11</sup> Derived as 1- adjusted relative risk. The rates of infection during the second surge were compared across those with a positive or negative PCR test from the first surge. The calculated the rate of infection was calculated as the number of individuals with positive PCR tests during the second surge divided by the cumulative number of person-days at risk < 65 years: 80.5% (95% CI 75.4–84.5) [?] 65 years: 47.1% (96% CI 24.7–62.8)	Not assessed

	<b>Study</b>	<b>Study</b>	<b>Study</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>
Abu-Raddad et al. 2021	192,984 individuals	April 16, 2020–Dec 31, 2020	None	Protection	<i>Efficacy of natural infection against reinfection</i> <sup>33</sup> Derived as 1- the ratio of the incidence rate of reinfection in the antibody-positive cohort to the incidence rate of infection in the antibody-negative cohort. 95.2% (95% CI: 94.1–96.0)	Not assessed Of 129 cases with good or some evidence of reinfection, one reinfection was severe, two were moderate, and none were critical or fatal
Non-vaccinated individuals <sup>22</sup> Qatar launched its vaccination campaign on December 21, 2020, around the time this study was concluded (December 31, 2020), so very few individuals had been vaccinated at time of this study. Qatar (142)						
Hall et al. 2021	30,625 individuals	June 18, 2020–Jan 11, 2021	Not specified B.1.1.7	Risk	<i>Risk of reinfection causing</i> <sup>44</sup> Derived as 1- adjusted incident rate ratio. COVID-19 symptoms: aIRR 0.074 (95% CI 0.06–0.10) All events (COVID-19 symptoms, other symptoms, asymptomatic): aIRR 0.159 (95% CI 0.13–0.19)	Not assessed
Non-vaccinated and vaccinated individuals UK (143)						

	<b>Study</b>	<b>Study</b>	<b>Study</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>
Lumley et al. 2022 Non-vaccinated and vaccinated individuals UK (144)	13,109 individuals	March 27, 2020–Feb 28, 2021	Non-S-gene target failure B.1.1.7	Risk	<i>Risk of PCR-positive result (symptomatic or asymptomatic) in Unvaccinated seropositive55Compared incidence in each follow-up group to unvaccinated seronegative healthcare workers.: aIRR 0.02 (95% CI 0.01–0.18)</i>	Not assessed

	<b>Study</b>	<b>Study</b>	<b>Study</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>
Abu-Raddad et al. 2021	193,233 individuals	Before Nov 1, 2020–March 3, 2021	B.1.1.7 Variants of unknown status	Protection	<i>Efficacy of natural infection against reinfection with 66</i> Derived as 1- the ratio of the incidence rate of reinfection in the PCR-confirmed (or antibody-positive) cohort to the incidence rate of infection in the antibody-negative cohort. B.1.1.7, prior PCR-confirmed infection: 97.5% (95% CI 95.7–98.6) B.1.1.7, prior antibody-positive result: 97.0% (95% CI 92.5–98.7) Unknown variant, prior PCR-confirmed infection: 92.2% (95% CI: 90.6–93.5) Unknown variant, prior antibody-positive result: 94.2% (95% CI: 91.8–96.0)	Not assessed
Non-vaccinated and vaccinated individuals Qatar (145)						

	<b>Study</b>	<b>Study</b>	<b>Study</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>
Chemaitelly, H et al. 2021 Unvaccinated individuals (146) Qatar	380,914 individuals	Before Jan 1, 2021–April 21, 2021 <sup>77</sup> This timeframe coincided with the beginning of the decline of the B.1.1.7 wave and the rapid expansion of the B.1.351 wave that peaked early April 2021.	B.1.351 B.1.1.7 Variants of unknown status	Protection	<i>Efficacy of natural infection against reinfection with</i> <sup>88</sup> Derived as 1- the ratio of the incidence rate of reinfection in the cohort of individuals with a prior PCR-confirmed infection to the incidence rate of infection in the antibody-negative cohort. B.1.351: 92.3% (95% CI: 90.3–93.8) B.1.1.7: 97.6% (95% CI 95.7–98.7) Variants of unknown status: 87.9% (95% CI: 84.7–90.5)	Not assessed

	<b>Study</b>	<b>Study</b>	<b>Study</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>
Nordström et al. 2022 Non-vaccinated and vaccinated individuals Sweden (147)	~3.5 million individuals (3 cohorts)	March 20, 2020–Sept 5, 2021	Alpha B.1.1.7 Beta B.1.351 Gamma P.1 Delta B.1.617.2	Risk	<i>Risk of reinfection in those with</i> Natural immunity99Calculated vs no immunity and after 3 months of follow-up.: aHR 0.05 (95% CI 0.05–0.05) One-dose hybrid immunity1010Calculated vs natural immunity and during the first 2 months of follow-up.: aHR 0.42 (95% CI 0.38–0.47) One-dose hybrid immunity1111Calculated vs natural immunity and after 2 months of follow-up.: aHR 0.55 (95% CI 0.39–0.76) Two-dose hybrid immunity, over-all1212Calculated vs natural immunity.: aHR 0.34 (95% CI 0.31–0.39)	<i>Risk of hospitalization (HR)</i> Two-dose hybrid immunity1313Calculated vs natural immunity.: 0.10 (95% CI 0.04–0.22)

	<b>Study</b>	<b>Study</b>	<b>Study</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>
Altarawneh et al. 2022 Non-vaccinated and vaccinated individuals Qatar (148)	~2.3 million individuals	March 23, 2021–Nov 18, 2021	Alpha Beta Delta Omicron	Protection	<i>Effectiveness of previous infection in preventing reinfection with 1414 Derived as 1- odds ratio of prior infection in cases (PCR-positive persons with variant infection) versus controls (PCR-negative persons))</i> Alpha: 90.2% (95% CI 60.2–97.6) Beta: 85.7% (95% CI 75.8–to 91.7) Delta: 92.0% (95% CI 87.9–94.7) Omicron: 56.0% (95% CI 50.6– 60.9)	<i>Effectiveness of previous infection in preventing severe, critical or fatal disease caused by</i> Alpha: 69.4% (95% CI -143.6–96.2) Beta: 88.0% (95% CI 50.7–97.1) Delta: 100% (95% CI 43.3–100) Omicron: 87.8% (95% CI 47.5–97.1)

	<b>Study</b>	<b>Study</b>	<b>Study</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>
Pulliam et al. 2022 Non-vaccinated and vaccinated individuals South Africa (149)	~2.9 million individuals	March 4, 2020–Jan 31, 2022	Beta (B.1.351) Delta (B.1.617.2) Omicron (B.1.1.529)1515Period of Omicron emergence: November 1, 2021 to November 30, 2021.	Risk	<i>Risk of reinfection during the first wave. Wave 2 (Beta-driven) versus Wave 1: relative HR 0.71 (95% CI 0.60–0.85) Wave 3 (Delta-driven) versus Wave 1: relative HR 0.54 (95% CI 0.45–0.64) Wave 4 (Omicron-driven) versus Wave 1: relative HR 1.70 (95% CI 1.44–2.04)</i>	Not assessed

	<b>Study</b>	<b>Study</b>	<b>Study</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>
Guedes et al. 2023 Non-vaccinated and vaccinated individuals Brazil (150)	25,750 real-time RT-PCR tests performed	March 10, 2020–March 20, 2022	Pre-VOC Gamma Delta Omicron	Reinfection rate	<i>Reinfection rate during the Omicron variant period:</i> 1717 Calculated as number of reinfection cases before and after the Omicron variant considering the total accumulated number of SARS-CoV-2 infections in both periods. Before 0.8% vs after 4.3%; p<0.001	Not assessed 281/281 reinfections were mild

	<b>Study</b>	<b>Study</b>	<b>Study</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>
Chemaitelly et al. 2022 (151) Unvaccinated individuals Qatar	Up to 3.3 million individuals	Feb 28, 2020– June 5, 2022 1818 Three individual studies (pre-Omicron reinfection, Omicron reinfection, COVID-19 severity reinfection) spanning different time periods.	Pre-Omicron (ancestral, Alpha, Beta, Delta) Omicron (BA.1, BA.2, BA.4, BA.5)	Protection	<i>Effectiveness of pre-Omicron primary infection</i> 1919 Derived as 1-adjusted hazard ratio, where the hazard ratio compared incidence of infection in both cohorts. Incidence rate of infection in each cohort defined as the number of identified infections divided by the number of person-weeks contributed by all individuals in the cohort. Against pre-Omicron reinfection: 85.5% (95% CI: 84.8–86.2%) Effectiveness peaked at 90.5% (95% CI 88.4–92.3%) in the 7th month after the primary infection, waning to ~70% by the 16th month Against Omicron reinfection: 38.1% (95% CI 36.3–39.8%), declining with time since primary infection	<i>Effectiveness of pre-Omicron primary infection</i> 2020 Cox regression analysis. Severity, criticality, and fatality defined as per WHO guidelines. Against severe, critical or fatal COVID-19 due to Omicron reinfection: 88.6% (95% CI 70.9–95.5) Against severe, critical, or fatal COVID-19 reinfection (irrespective of the variant of primary infection or reinfection): 97.3% (95% CI 94.9–98.6)

	<b>Study</b>	<b>Study</b>	<b>Study</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>
	Bowe et al. 2022 Non-vaccinated and vaccinated individuals USA (152)	~ 5.8 million individuals March 1, 2020–June 25, 2022	Pre-Delta Delta Omicron	Risk	Not assessed	<i>Risk of all-cause mortality (HR) 2.12</i> Calculated for reinfection vs no reinfection. 2.17 (95% CI 1.93–2.45) <i>Risk of hospitalization (HR) 3.32</i> (95% CI 3.13–3.51) Not assessed
	Yang et al. 2023 Non-vaccinated and vaccinated individuals Malaysia (153)	482 individuals Jan 31, 2022–Jul 31, 2022 The Omicron-dominant period in Malaysia was estimated to start from early February 2022.	Non-Omicron Omicron	Risk	<i>Risk of reinfection in those with Pre-Omicron natural infection</i> Calculated vs Omicron-dominant period.: aHR 0.41 (95% CI 0.27–0.62)	

**Meta-analyses**

	<b>Study</b>	<b>Study</b>	<b>Study</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>	<b>Outcome measures of protection or risk</b>
Stein et al 2023. Global systematic review and meta-analysis of 65 studies from 19 countries (154)	Various	Up to Sept 31, 2022	Ancestral Mixed Alpha (B.1.1.7) Beta (B.1.351) Delta (B.1.617.2) Omicron BA.1 variants	Protection	<i>Pooled estimate of protection from past infection (with various variants) against reinfection with</i> Ancestral: 84.9 (95% UI 72.8–91.8) Alpha: 90.0% (95% UI 54.8–98.4) Beta: 85.7% (95% UI 83.4–87.7) Delta: 82.0 (95% UI 63.5–91.9) Omicron BA.1: 45.3% (95% UI 17.3–76.1)	<i>Pooled estimate of protection against severe disease caused by</i> Ancestral: 78.1% (95% UI 34.4–96.5) Alpha: 79.6% (95% UI 43.3–95.3) Beta: 88% (95% UI 50.7–97.1) Single study. Delta: 97.2% (95% UI 85.2–99.6) Omicron BA.1: 81.9% (95% UI 73.8–88.0)

aRR, adjusted risk ratio; aIRR, adjusted incidence risk ratio; aHR, adjusted hazard ratio; CI, confidence interval; HR, hazard ratio; OR, odds ratio; PE<sub>S</sub>, effectiveness of prior infection in preventing reinfection; real-time RT-PCR, real-time reverse transcription polymerase chain reaction; UI, uncertainty interval.